

IX. Flavor oscillation and CP violation

1. Quark mixing and the CKM matrix
2. Flavor oscillations: Mixing of neutral mesons
3. CP violation
4. Neutrino oscillations

1. CKM Matrix

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \cdot \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

weak eigenstates

CKM matrix

mass eigenstates

Unitarity

$$V_{CKM} V_{CKM}^+ = 1$$

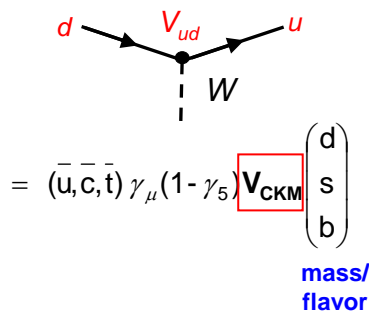
Charged currents:

$$J_\mu^+ \propto \bar{\psi}_u \gamma_\mu (1 - \gamma_5) \psi_{d'}$$



$$J_\mu^+ \propto (\bar{u}, \bar{c}, \bar{t}) \gamma_\mu (1 - \gamma_5) \begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}$$

weak



mass/ flavor

### 1.1 Parameters of CKM matrix

Number of independent parameters:

- 18 parameter (9 complex elements)
- 5 relative quark phases (unobservable)
- 9 unitarity conditions
- 
- =4 independent parameters: **3 angles + 1 phase**

PDG parametrization

3 Euler angles

$$\theta_{23}, \theta_{13}, \theta_{12}$$

1 Phase

$$\delta$$

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

where  $c_{ij} = \cos\theta_{ij}$ ,  $s_{ij} = \sin\theta_{ij}$

### Unobservable Phases

Phases of left-handed fields in  $J^{cc}$  are unobservable: possible redefinition

$$u_L \rightarrow e^{i\phi(u)}u_L \quad c_L \rightarrow e^{i\phi(c)}c_L \quad t_L \rightarrow e^{i\phi(t)}t_L$$

$$d_L \rightarrow e^{i\phi(d)}d_L \quad s_L \rightarrow e^{i\phi(s)}s_L \quad b_L \rightarrow e^{i\phi(b)}b_L$$

↑  
Real numbers

Under phase transformation:

$$V \rightarrow \begin{pmatrix} e^{-i\phi(u)} & 0 & 0 \\ 0 & e^{-i\phi(c)} & 0 \\ 0 & 0 & e^{-i\phi(t)} \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} e^{i\phi(d)} & 0 & 0 \\ 0 & e^{i\phi(s)} & 0 \\ 0 & 0 & e^{i\phi(b)} \end{pmatrix}$$

$$V_{\alpha j} \rightarrow \exp[i(\phi(j) - \phi(\alpha))]V_{\alpha j}$$

**5 unobservable phase differences !**

# Advanced Particle Physics: IX. Flavor Oscillation and CP Violation

Magnitude of elements

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} u & d & s & b \\ c & & & \\ t & & & \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

komplex in  $O(\lambda^3)$

**Wolfenstein Parametrization**  $\lambda, A, \rho, \eta, \lambda = 0.22$

$$V_{CKM} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & V_{ub} = |V_{ub}| e^{-i\gamma} \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \\ V_{td} = |V_{td}| e^{-i\beta} & & \end{pmatrix} + O(\lambda^4)$$

Reflects hierarchy of elements in  $O(\lambda)$

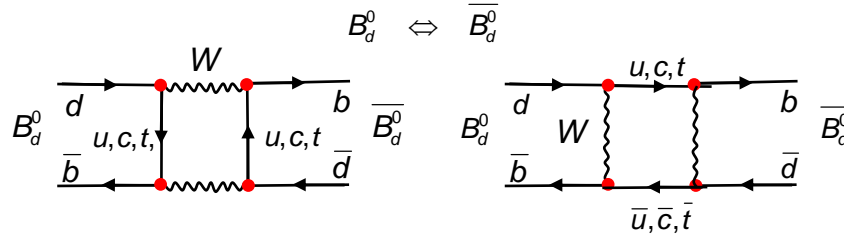
### Complex CKM elements and CP violation

CP (T) violation  $\Leftrightarrow V_{ji} \neq V_{ji}^*$   
i.e. Complex elements

**Remark:** For 2 quark generations the mixing is described by the **real 2x2** Cabbibo matrix  $\rightarrow$  **no CP violation !!**. To explain **CPV** in the SM Kobayashi and Maskawa have predicted a **third quark generation**.

## 2. Mixing of neutral mesons

As result of the quark mixing the Standard Model predicts oscillations of neutral mesons:



Similar graphs for other neutral mesons:

Neutral mesons:  $|P^0\rangle: K^0 = |d\bar{s}\rangle \quad D^0 = |\bar{u}c\rangle \quad B_d^0 = |d\bar{b}\rangle \quad B_s^0 = |s\bar{b}\rangle$   
 $|\bar{P}^0\rangle: \bar{K}^0 = |\bar{d}s\rangle \quad \bar{D}^0 = |u\bar{c}\rangle \quad \bar{B}_d^0 = |\bar{d}b\rangle \quad \bar{B}_s^0 = |s\bar{b}\rangle$

discovery of mixing

1960

2007

1987

2006

### 2.1 Phenomenological description of mixing

Schrödinger equation for unstable meson:

$$i \frac{d}{dt} |\psi\rangle = H |\psi\rangle = \left( m - \frac{i}{2} \Gamma \right) |\psi\rangle \Rightarrow \begin{cases} |\psi(t)\rangle = |\psi_0\rangle \cdot e^{-imt} \cdot e^{-\frac{1}{2}\Gamma t} \\ \|\psi(t)\|^2 = \|\psi_0\|^2 \cdot e^{-\Gamma t} \end{cases}$$

For neutral mesons  $(K^0, \bar{K}^0), (D^0, \bar{D}^0), (B^0, \bar{B}^0), (B_s^0, \bar{B}_s^0)$  consider 2 components

$$i \frac{d}{dt} \begin{pmatrix} P^0 \\ \bar{P}^0 \end{pmatrix} = \mathbf{H} \begin{pmatrix} P^0 \\ \bar{P}^0 \end{pmatrix} = \begin{pmatrix} H_{11} & H_{21} \\ H_{12} & H_{22} \end{pmatrix} \begin{pmatrix} P^0 \\ \bar{P}^0 \end{pmatrix} = \left( \mathbf{M} - \frac{i}{2} \mathbf{\Gamma} \right) \begin{pmatrix} P^0 \\ \bar{P}^0 \end{pmatrix} = \underbrace{\begin{pmatrix} m_{11} - \frac{i}{2} \Gamma_{11} & m_{12} - \frac{i}{2} \Gamma_{12} \\ m_{12} - \frac{i}{2} \Gamma_{12} & m_{22} - \frac{i}{2} \Gamma_{22} \end{pmatrix}}_{\langle P^0 | H_w | \bar{P}^0 \rangle} \begin{pmatrix} P^0 \\ \bar{P}^0 \end{pmatrix}$$

$\text{CP} \Rightarrow H_{12} = H_{21}$	$\text{CPT} \Rightarrow H_{11} = H_{22} = H$ $m_{11} = m_{22} = m$ $\Gamma_{11} = \Gamma_{22} = \Gamma$	$\mathbf{M}$ and $\mathbf{\Gamma}$ hermitian: $m_{21} = m_{12}^*$ $\Gamma_{21} = \Gamma_{12}^*$
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Mass eigenstates (by diagonalizing matrix)

**Heavy and light mass eigenstate:**

$$|P_L\rangle = p|P^0\rangle + q|\bar{P}^0\rangle \quad \text{with } m_L, \Gamma_L$$

$$|P_H\rangle = p|P^0\rangle - q|\bar{P}^0\rangle \quad \text{with } m_H, \Gamma_H$$

$|p|^2 + |q|^2 = 1$  complex coefficients

↓

**Flavor eigenstates**

$$|P^0\rangle = \frac{1}{2p}(|P_L\rangle + |P_H\rangle)$$

$$|\bar{P}^0\rangle = \frac{1}{2q}(|P_L\rangle - |P_H\rangle)$$

$|P_L\rangle = |P_1\rangle$  and  $|P_H\rangle = |P_2\rangle$

**Parameters of the mass states**

$$m_{H,L} = m \pm \text{Re}\sqrt{H_{12}H_{21}}$$

$$\Gamma_{H,L} = \Gamma \mp 2\text{Im}\sqrt{H_{12}H_{21}}$$

$$\Delta m = m_H - m_L = 2\text{Re}\sqrt{H_{12}H_{21}}$$

$$\Delta\Gamma = \Gamma_H - \Gamma_L = -4\text{Im}\sqrt{H_{12}H_{21}}$$

$$x \equiv \frac{\Delta m}{\Gamma} \quad \text{und} \quad y \equiv \frac{\Delta\Gamma}{\Gamma}$$

**Neutral B mesons**

$$\Delta m_d = 0.502 \pm 0.007 \text{ ps}^{-1} \text{ (PDG)}$$

$$= (3.337 \pm 0.033) \times 10^{-10} \text{ MeV}$$

$$\Delta m_s = 17.8 \pm 0.1 \text{ ps}^{-1} \text{ (CDF 2006)}$$

$$= 118 \times 10^{-10} \text{ MeV}$$

$$\Delta\Gamma / \Gamma < 0.07 \text{ (90\% CL)}$$

$$\Delta\Gamma_s / \Gamma_s = 0.09 \pm 0.64 \text{ (Moriond07)}$$

Time evolution

**"Generic particle" (  $P_{H,L}$  )**

$$|B_{H,L}, t\rangle = b_{H,L}(t) |B_{H,L}\rangle \quad \text{mit} \quad b_{H,L}(t) = e^{-\Gamma_{H,L}t/2} e^{-im_{H,L}t}$$

$$|B^0\rangle = \frac{1}{2p}(|B_L\rangle + |B_H\rangle)$$

$$|\psi_{B^0}(t)\rangle = \frac{|B_L, t\rangle + |B_H, t\rangle}{2p} = \frac{1}{2p} \left( b_L(t) \cdot (p|B^0\rangle + q|\bar{B}^0\rangle) + b_H(t) \cdot (p|B^0\rangle - q|\bar{B}^0\rangle) \right)$$

$$= f_+(t) \cdot |B^0\rangle + \frac{q}{p} f_-(t) \cdot |\bar{B}^0\rangle$$

$$|\psi_{\bar{B}^0}(t)\rangle = f_+(t) \cdot |\bar{B}^0\rangle + \frac{p}{q} f_-(t) \cdot |B^0\rangle$$

$$f_{\pm}(t) = \frac{1}{2} \cdot \left[ e^{-im_H t} e^{-\Gamma_H t/2} \pm e^{-im_L t} e^{-\Gamma_L t/2} \right]$$

**$B^0$**

$$P(B^0 \rightarrow B^0, t) = |f_+(t)|^2$$

$$P(B^0 \rightarrow \bar{B}^0, t) = \left| \frac{q}{p} f_-(t) \right|^2$$

**$\bar{B}^0$**

$$P(\bar{B}^0 \rightarrow \bar{B}^0, t) = |f_+(t)|^2$$

$$P(\bar{B}^0 \rightarrow B^0, t) = \left| \frac{p}{q} f_-(t) \right|^2$$

### Time evolution of neutral meson states

No mixing part:

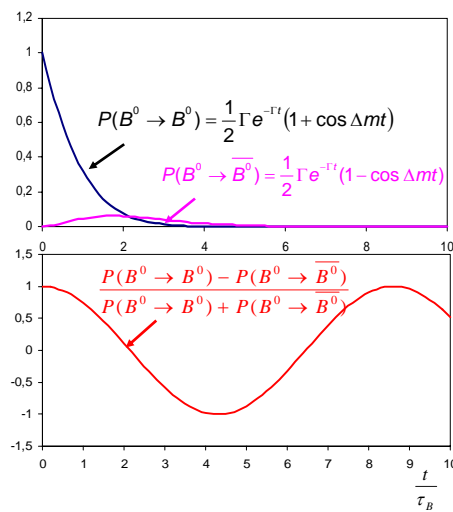
$$\underbrace{P(B^0 \rightarrow B^0) = P(\bar{B}^0 \rightarrow \bar{B}^0)}_{\text{CPT}} = \frac{1}{4} \left[ e^{-\Gamma_L t} + e^{-\Gamma_H t} + 2e^{-(\Gamma_L + \Gamma_H)t/2} \cos \Delta m t \right]$$

With mixing:

$$P(B^0 \rightarrow \bar{B}^0) = \frac{1}{4} \left| \frac{q}{p} \right|^2 \left[ e^{-\Gamma_L t} + e^{-\Gamma_H t} - 2e^{-(\Gamma_L + \Gamma_H)t/2} \cos \Delta m t \right]$$

$$P(\bar{B}^0 \rightarrow B^0) = \frac{1}{4} \left| \frac{p}{q} \right|^2 \left[ e^{-\Gamma_L t} + e^{-\Gamma_H t} - 2e^{-(\Gamma_L + \Gamma_H)t/2} \cos \Delta m t \right]$$

For  $\Delta\Gamma$  very small:  $\Gamma_H \approx \Gamma_L \approx \Gamma$  (e.g.  $B^0$ )



**In general:**

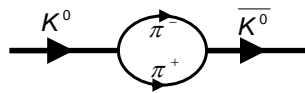
Two mixing mechanisms:

- Mixing through decays  $\longrightarrow y = \frac{\Delta\Gamma}{2\Gamma} \approx O(1)$
  - Mixing through oscillation  $\longrightarrow x = \frac{\Delta m}{\Gamma} \approx O(1)$
- }  $(K^0, \bar{K}^0), (D^0, \bar{D}^0),$   
 $(B^0, \bar{B}^0), (B_s^0, \bar{B}_s^0)$   
 show different oscillation behavior

CP, T- violation in mixing:

$$P(B^0 \rightarrow \bar{B}^0) \neq P(\bar{B}^0 \rightarrow B^0) \Rightarrow \left| \frac{q}{p} \right| \neq 1$$

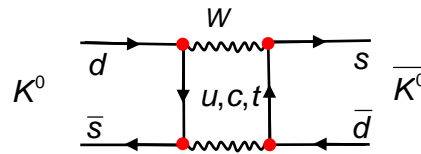
Mixing mechanisms: e.g.  $K^0$



„long distant, on-shell states“

For  $K^0$  important, for  $B^0$  negligible

$\Rightarrow \Delta\Gamma$



„short distant, virtual states“

$\Rightarrow \Delta m$

	$K^0/\bar{K}^0$	$D^0/\bar{D}^0$	$B^0/\bar{B}^0$
$\tau$ [ps]	$89.4 \pm 0.1;$ $51700 \pm 400$	$0.413 \pm .003$	$1.548 \pm 0.021$
$\Gamma$ [ $s^{-1}$ ]	$5.61 \cdot 10^9$	$2.4 \cdot 10^{12}$	$(6.41 \pm 0.16) \cdot 10^{11}$
$y = \frac{\Delta\Gamma}{2\Gamma}$	$-0.9966$	$ y  < 0.06$	$ y  \lesssim 0.01^*$
$\Delta m$ [ $s^{-1}$ ]	$(5.300 \pm 0.012) \cdot 10^9$	$< 7 \cdot 10^{10}$	$(4.89 \pm 0.09) \cdot 10^{11}$
$\Delta m$ [eV]	$(3.49 \pm 0.01) \cdot 10^{-6}$	$< 5 \cdot 10^{-6}$	$(3.2 \pm 0.1) \cdot 10^{-4}$
$x = \frac{\Delta m}{\Gamma}$	$0.945 \pm 0.002$	$< 0.03$	$0.76 \pm 0.02$

## 2.2 Neutral kaons

Observation of two neutral kaons  $K_L$  (long) and  $K_S$  (short) with different lifetimes:

$$\tau(K_L^0) = (51.7 \pm 0.4) \text{ ns} \gg \tau(K_S^0) = (0.089 \pm 0.001) \text{ ns}$$

$$K_L^0 \rightarrow 3\pi$$

$$K_S^0 \rightarrow 2\pi$$

$$CP = -1$$

$$CP = +1$$

$K_L$  and  $K_S$  can be identified with the mass eigenstates (ignoring CP violation)

$$|K_L\rangle = |K_2\rangle = \frac{1}{\sqrt{2}} (|K^0\rangle - |\bar{K}^0\rangle)$$

$$CP|K_2\rangle = -|K_2\rangle$$

Phase convention:

$$CP|K^0\rangle = |\bar{K}^0\rangle$$

$$|K_S\rangle = |K_1\rangle = \frac{1}{\sqrt{2}} (|K^0\rangle + |\bar{K}^0\rangle)$$

$$CP|K_1\rangle = +|K_1\rangle$$

$$CP|\bar{K}^0\rangle = |K^0\rangle$$

Large differences between lifetimes

$$\Delta m = (0.5303 \pm 0.0009) \cdot 10^{10} \text{ } \hbar\text{s}^{-1}$$

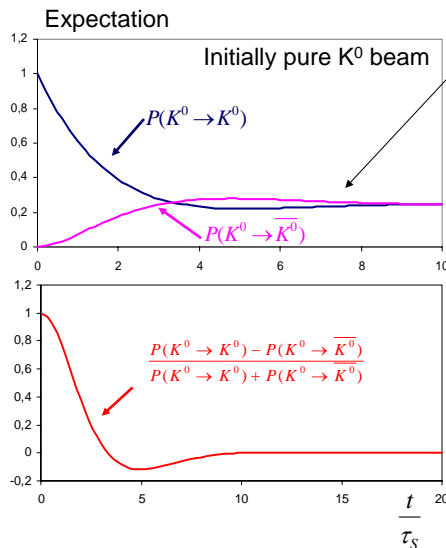
$$= (3.49 \pm 0.006) \cdot 10^{-12} \text{ MeV}$$

$$x = \frac{\Delta m}{\Gamma} = 0.942 \pm 0.007$$

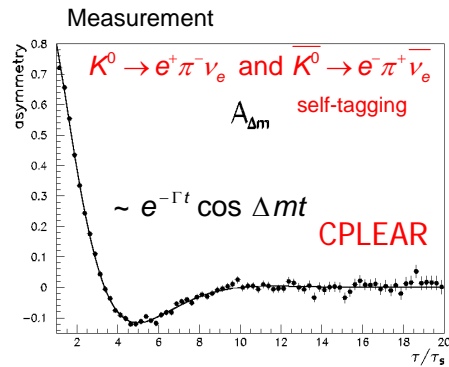
$$\Delta\Gamma = -11.182 \cdot 10^9 \text{ } \hbar\text{s}^{-1}$$

$$y = \frac{\Delta\Gamma}{2\Gamma} = -0.9966$$

## Neutral kaon system



After the lifetime of the  $K_S$  the  $K^0$  consists entirely out of  $K_1$ 's, which are essentially an equal mixture of  $K^0$  and  $\bar{K}^0$ .





$K^0 - \bar{K}^0$  (strangeness) oscillation in the SM

Short range effects

Long range effects: difficult to calculate

Oscillation frequency  $\Delta m$ :

$$\Delta m \sim \frac{G_F^2}{4\pi} m_K f_K^2 \sum_{q=u,c,t} m_q^2 |V_{qs} V_{qd}|^2 \approx \frac{G_F^2}{4\pi} m_K f_K^2 m_c^2 |V_{cs} V_{cd}|^2$$

c quark contribution dominant: although  $m_t^2$  is very large, the factor  $|V_{ts} V_{td}|^2 \sim \lambda^5$  is very small !

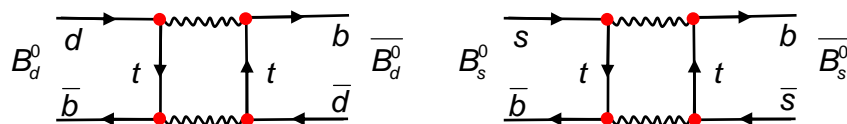
### 2.3 Neutral B Meson

#### Mixing mechanisms:

- Mixing through decay:** many possible hadronic decays  $\rightarrow \Gamma$  is large

$$\Rightarrow y = \frac{\Delta\Gamma}{2\Gamma} \text{ is small} = \begin{cases} \approx 0 \text{ for } B_d^0 \\ \approx O(0.1) \text{ for } B_s^0 \end{cases} \Rightarrow \text{don't expect mixing via decay}$$

- Mixing through oscillation**  $\rightarrow$  Significant contribution only from top loop



$$\Delta m \sim m_t^2 |V_{tb} V_{td}|^2 \sim m_t^2 \cdot O(\lambda^6) \quad \Delta m \sim m_t^2 |V_{tb} V_{ts}|^2 \sim m_t^2 \cdot O(\lambda^4)$$

Large  $\Delta m_{s,d}$ :  $\Delta m_s \sim 1/\lambda^2 \Delta m_d \rightarrow B_s$  osc. is about 35 times faster than  $B_d$  osc.

### Discovery of $B^0$ mixing

**ARGUS 1987**

First  $e^+e^-$  B factory at DESY:  
 at  $\sqrt{s} = 10.58 \text{ GeV}$  :  
 $e^+e^- \rightarrow Y(4S) \rightarrow B^0\bar{B}^0$  }  $\sigma(B\bar{B}) \approx 1\text{nb}$

**Unmixed:**  $B^0\bar{B}^0 \rightarrow \ell^+\ell^-$

**Mixed:**  $B^0B^0 \rightarrow \ell^+\ell^+$   
 $\bar{B}^0\bar{B}^0 \rightarrow \ell^-\ell^-$  } **Same charge**

$B^0 \rightarrow D^{*-}\mu^+\nu_\mu$   $B^0 \rightarrow D^{*-}\mu^+\nu_\mu$

$\downarrow D^0\pi_s^-$   $\downarrow D^-\pi^0$

$\downarrow K^+\pi^-$   $\downarrow K^+\pi^-\pi^-$

### Mixing of neutral B mesons

$P(B^0 \rightarrow B^0) = \frac{1}{2}\Gamma e^{-\Gamma t}(1 + \cos \Delta m t)$

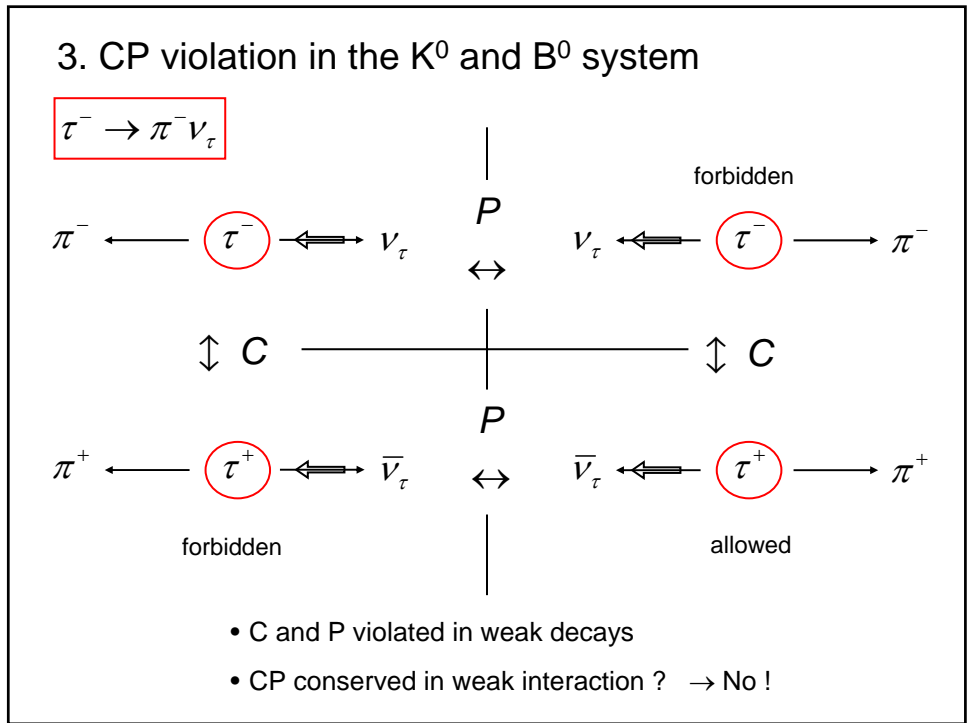
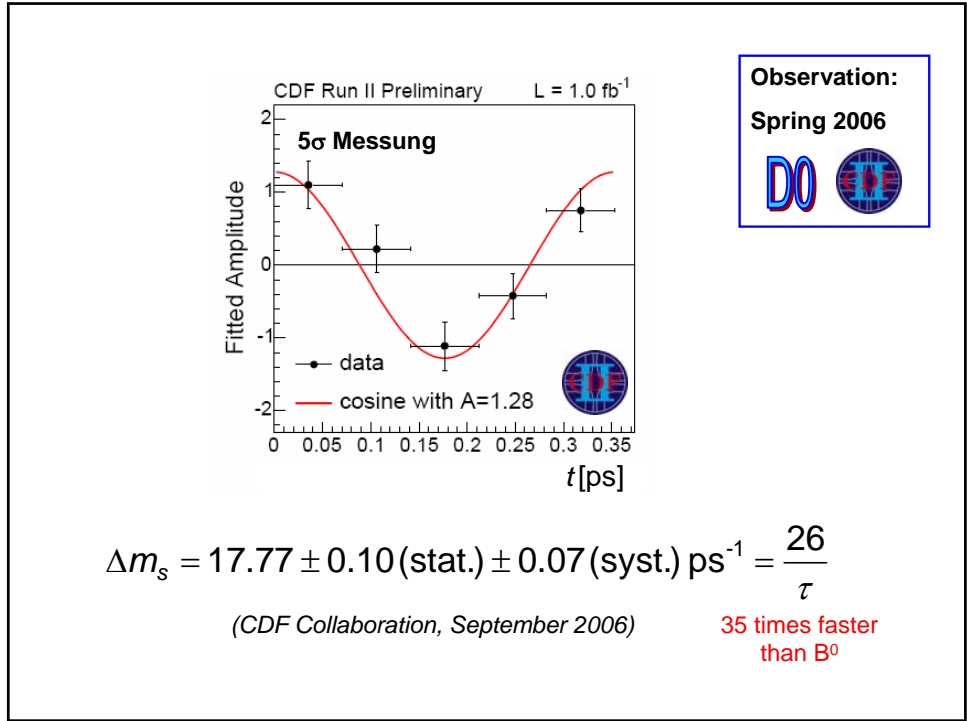
$P(B^0 \rightarrow \bar{B}^0) = \frac{1}{2}\Gamma e^{-\Gamma t}(1 - \cos \Delta m t)$

$\frac{P(B^0 \rightarrow B^0) - P(B^0 \rightarrow \bar{B}^0)}{P(B^0 \rightarrow B^0) + P(B^0 \rightarrow \bar{B}^0)}$

$$A = \frac{\text{unmixed} - \text{mixed}}{\text{unmixed} + \text{mixed}}$$

$\Delta m_d = 0.506 \pm 0.006 \pm 0.004 \text{ ps}^{-1}$

$\approx \frac{0.774}{\tau_B}$



### 3.1 Observation of CP violation (CPV) in $K_L$ decays

**Reminder:**

If no CPV:

$$|K_L\rangle = |K_2\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle)$$

$$|K_S\rangle = |K_1\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle + |\bar{K}^0\rangle)$$

Phase convention:

$$CP|K_2\rangle = -|K_2\rangle$$

$$CP|K_1\rangle = +|K_1\rangle$$

$$CP|K^0\rangle = |\bar{K}^0\rangle$$

$$CP|\bar{K}^0\rangle = |K^0\rangle$$

If no CPV:

$$|K_L\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle - |\bar{K}^0\rangle) \quad CP = -1$$

should always decay into  $3\pi$ :

$$CP(|3\pi\rangle) = -1$$

and never into  $2\pi$   $CP(|2\pi\rangle) = +1$

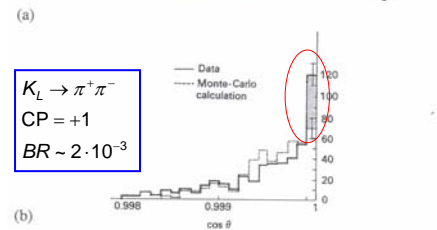
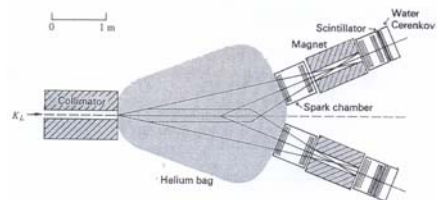
Explanation:

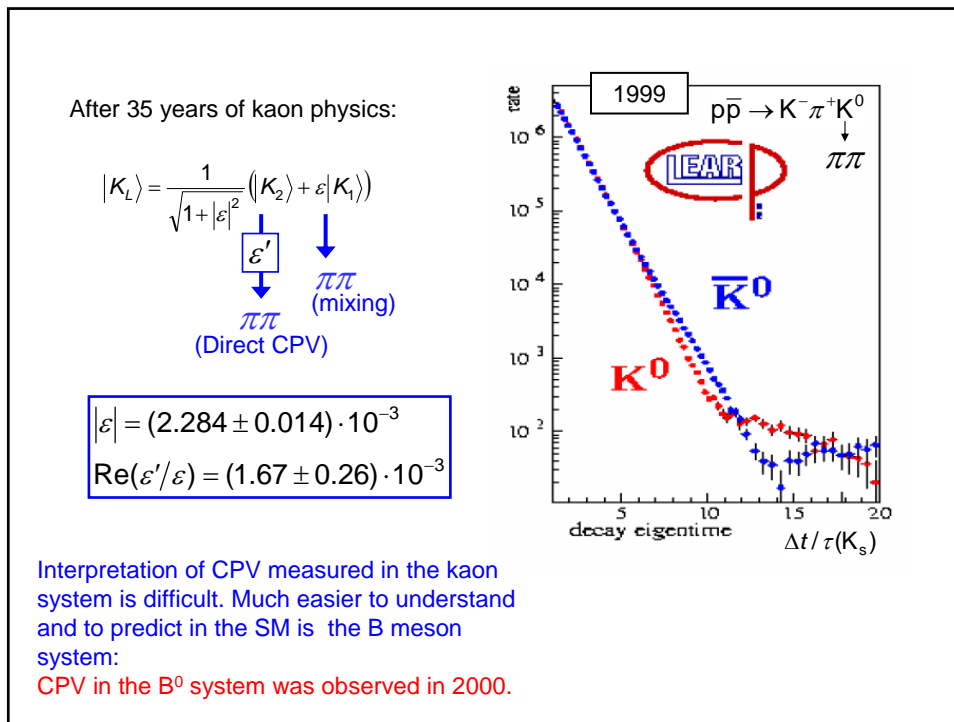
$$|K_L\rangle = \frac{1}{\sqrt{1+|\varepsilon|^2}}(|K_2\rangle - \varepsilon|K_1\rangle)$$

$CP = -1$      $CP = +1$   
 $\uparrow$

**Not a CP eigenstate: CP violation !**

**Christenson, Cronin, Fitch, Turlay, 1964**





Comments:

$$i \frac{d}{dt} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix} = \mathbf{H} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix} = \begin{pmatrix} H_{11} & H_{21} \\ H_{12} & H_{22} \end{pmatrix} \begin{pmatrix} K^0 \\ \bar{K}^0 \end{pmatrix}$$

**CPT conservation:**  $H_{11} = H_{22}$

**CP conservation:**  $H_{12} = H_{21}$

CP Eigenstates

$$|K_1\rangle = p|K^0\rangle + q|\bar{K}^0\rangle = |K^0\rangle + |\bar{K}^0\rangle$$

$$|K_2\rangle = p|K^0\rangle - q|\bar{K}^0\rangle = |K^0\rangle - |\bar{K}^0\rangle$$

**CP violation:**  $H_{12} \neq H_{21}$   $\langle K^0 | \mathbf{H}_w | \bar{K}^0 \rangle \neq \langle \bar{K}^0 | \mathbf{H}_w | K^0 \rangle$

$$|K_S\rangle = p|K^0\rangle + q|\bar{K}^0\rangle = \left( (1+\varepsilon)|K^0\rangle + (1-\varepsilon)|\bar{K}^0\rangle \right) / \sqrt{2(1+\varepsilon^2)} \neq K_1$$

$$|K_L\rangle = p|K^0\rangle - q|\bar{K}^0\rangle = \left( (1+\varepsilon)|K^0\rangle - (1-\varepsilon)|\bar{K}^0\rangle \right) / \sqrt{2(1+\varepsilon^2)} \neq K_2$$