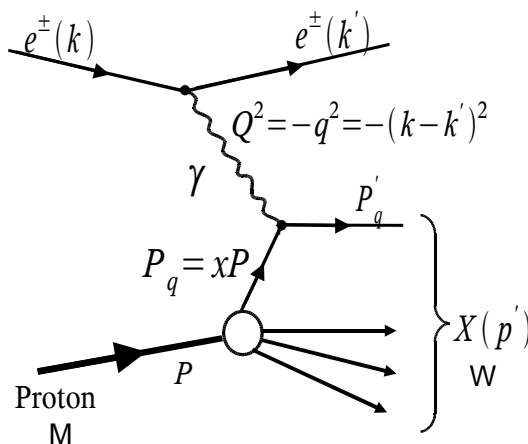


Experimental studies of QCD

1. Elements of QCD
2. Tests of QCD in e^+e^- annihilation
3. Studies of QCD in DIS
4. QCD in $pp(p\bar{p})$ collisions

3.2 DIS in the quark parton model (QPM)



- Elastic scattering: $W = M$

⇒ only one free variable

$$\frac{Q^2}{2M\nu} = 1$$

- Inelastic scattering: $W \neq M$

⇒ scattering described by 2 independent variables

$$(E, \nu), (Q^2, x), (x, y), \dots$$

- x = fractional momentum of struck quark
- y = P_q/P_k = fractional energy transfer in proton rest frame
- ν = $E - E' =$ energy transfer in lab

}

$$\left. \begin{aligned} Q^2 &= sxy & s &= \text{CMS energy} \\ x &= \frac{Q^2}{2M\nu} & & \text{(Bjorken } x) \end{aligned} \right\}$$

3.5 Further DIS Experiments

- To test Bjorken scaling: go to higher Q^2
- To study sea quarks: go to small Bjorken x

$$Q^2 = x y s \rightarrow \text{go to higher } s$$

- Fixed target μN scattering.

Higher beam energies from muons produced in $pN \rightarrow \pi, K \rightarrow \mu$

Today, most precise data (reaching 1-2%) from

muon energy

BCDMS (Bologna-Cern-Dubna-Munich-Saclay)	CERN SPS	1978-85	120 - 280 GeV
NMC (New Muon Collaboration)	CERN SPS	1986-89	90 - 280 GeV
E665	FNAL Tevatron	1987-92	470 GeV

+ newer dedicated experiments on polarized structure functions

- Fixed target νN scattering

proton energy

CDHSW (CERN-Dortmund-Heidelberg-Saclay-Warsaw)	CERN	1976-84	400 GeV
CCFR (Chicago-Columbia-Fermilab-Rochester)	FNAL	1984-88	400-600 GeV
NuTeV (based on CCFR detector)	FNAL	1996-97	

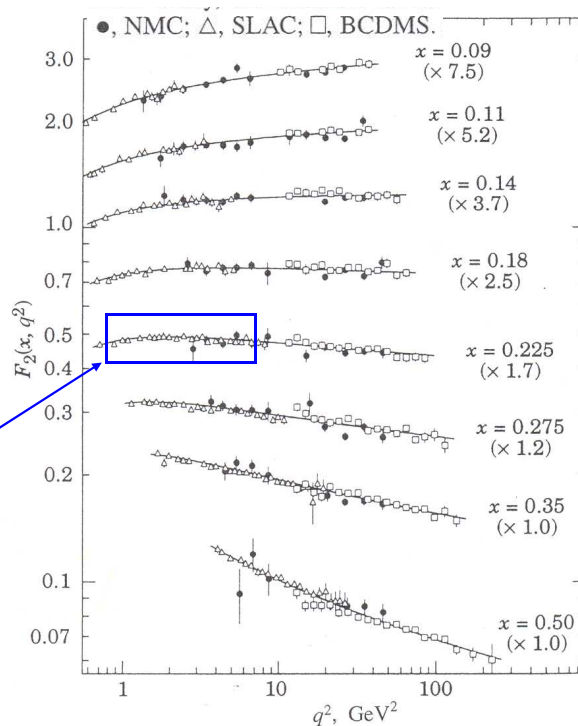
- HERA ep collider

DESY 1992-2007

Scaling violation

$$F_2 = F_2(x, Q^2) = x \sum_i e_i^2 q_i(x)$$

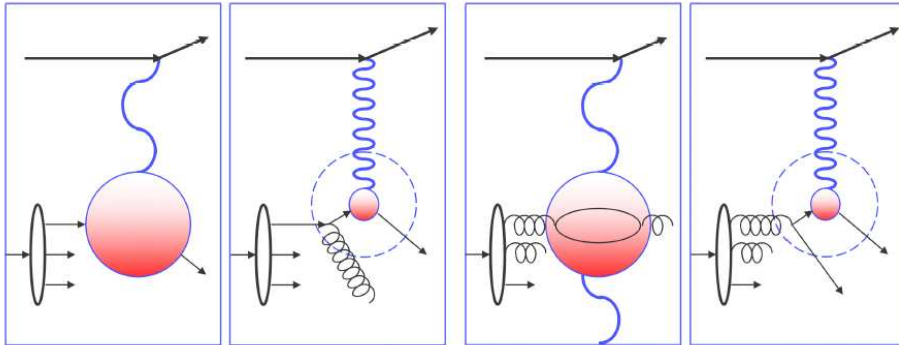
Region of 1st SLAC measurement (1972)



QCD explains observed scaling violation

Large x: valence quarks

Small x: Gluons, sea quarks

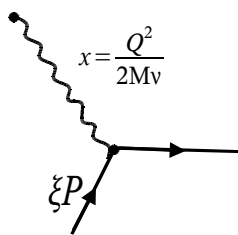


$Q^2 \uparrow \Rightarrow F_2 \downarrow$ for fixed x

$Q^2 \uparrow \Rightarrow F_2 \uparrow$ for fixed x

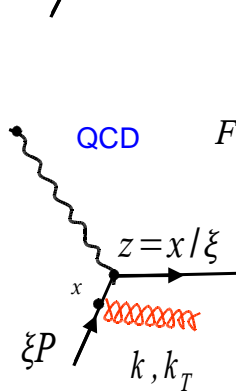
Quantitative description of scaling violation

Quark Parton Model



$$F_2(x) = x \sum_i e_i^2 \int_0^1 q_i(\xi) \cdot \delta(x - \xi) d\xi = x \sum_i e_i^2 q_i(x)$$

The photon "catches" a quark with the "right" x



QCD

$$F_2(x, Q^2) = x \sum_i e_i^2 \int_0^1 \frac{d\xi}{\xi} q_i(\xi) \cdot \left[\delta\left(1 - \frac{x}{\xi}\right) + \frac{\alpha_s}{2\pi} P_{qq}\left(\frac{x}{\xi}\right) \log \frac{Q^2}{\mu_0^2} \right]$$

$$\hat{\sigma}(\gamma^* q \rightarrow qg) \sim \frac{\alpha_s}{2\pi} P_{qq}(z) \int_{\mu_0^2}^{Q^2} \frac{dk_T^2}{k_T^2}$$

$$\sim \frac{\alpha_s}{2\pi} P_{qq}(z) \log\left(\frac{Q^2}{\mu_0^2}\right)$$

P_{qq} - probability of a quark to emit a gluon and thus to become a quark with momentum reduced by fraction z.

μ_0 cutoff parameter

Changing to the quark densities:

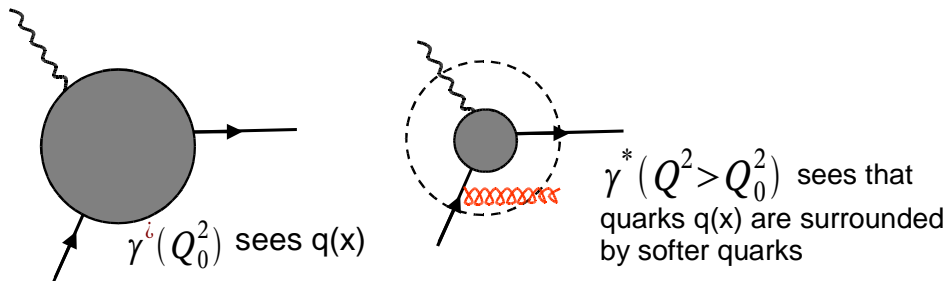
$$q_i(x, Q^2) = q_i(x) + \underbrace{\frac{\alpha_s}{2\pi} \log \frac{Q^2}{\mu_0^2} \int_0^1 \frac{d\xi}{\xi} q_i(\xi) P_{qq}\left(\frac{x}{\xi}\right)}_{\Delta q(x, Q^2)}$$

Integro-differential equation for $q(x, Q^2)$:

$$\frac{d}{d \log Q^2} q(x, Q^2) = \frac{\alpha_s}{2\pi} \int_0^1 \frac{d\xi}{\xi} q(\xi, Q^2) P_{qq}\left(\frac{x}{\xi}\right)$$

DGLAP evolution equation

(Dokshitzer, Gribov, Lipatov, Altarelli, Parisi, 1972 – 1977)



Evolution of parton densities (quarks and gluons)

evolution of quark density with $\ln Q^2$

$$\frac{\partial q(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[q(z, Q^2) P_{qq}\left(\frac{x}{z}\right) + g(z, Q^2) P_{qg}\left(\frac{x}{z}\right) \right]$$



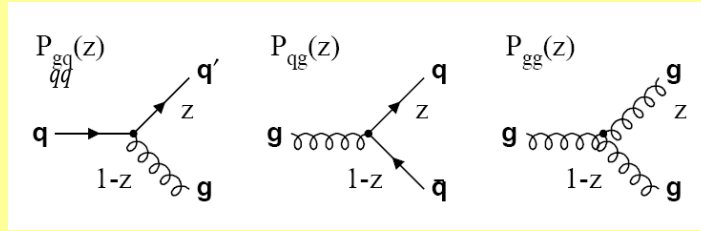
$$\frac{\partial g(x, Q^2)}{\partial \ln Q^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dz}{z} \left[q(z, Q^2) P_{gq}\left(\frac{x}{z}\right) + g(z, Q^2) P_{gg}\left(\frac{x}{z}\right) \right]$$

evolution of gluon density with $\ln Q^2$



Splitting functions: Probability that a parton (quark or gluon) emits a parton (q, g) with momentum fraction $\epsilon=x/z$ of the parent parton.

Splitting functions are calculated as power series in α_s up to a given order:



$$P_{ij}(z, \alpha_s) = P_{ij}^0(z) + \frac{\alpha_s}{2\pi} P_{ij}^1(z) + \dots$$

In leading order: $P_{ij}(z, \alpha_s) \equiv P_{ij}^0(z)$

$$P_{qq}(z) = \frac{4}{3} \frac{1+z^2}{1-z}$$

$$P_{gq}(z) = \frac{4}{3} \frac{1+(1-z)^2}{z}$$

$$P_{qg}(z) = \frac{z^2 + (1-z)^2}{2}$$

$$P_{gg}(z) = 6 \left(\frac{z}{1-z} + \frac{1-z}{z} + z(1-z) \right)$$

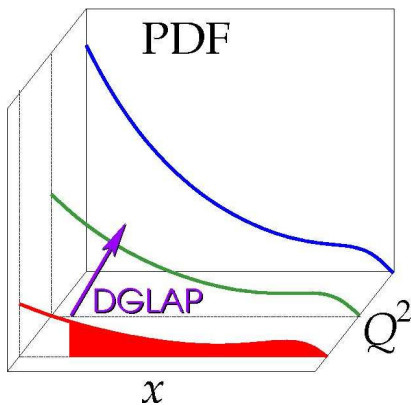
DGLAP Evolution (“symbolic”):

$$\frac{\partial}{\partial \log Q^2} \begin{bmatrix} q(x, Q^2) \\ g(x, Q^2) \end{bmatrix} = \frac{\alpha_s}{2\pi} \begin{bmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{bmatrix} \otimes \begin{bmatrix} q(x, Q^2) \\ g(x, Q^2) \end{bmatrix}$$

$$P \otimes f(x, Q^2) = \int_x^1 \frac{dz}{z} P\left(\frac{x}{z}\right) f(z, Q^2)$$

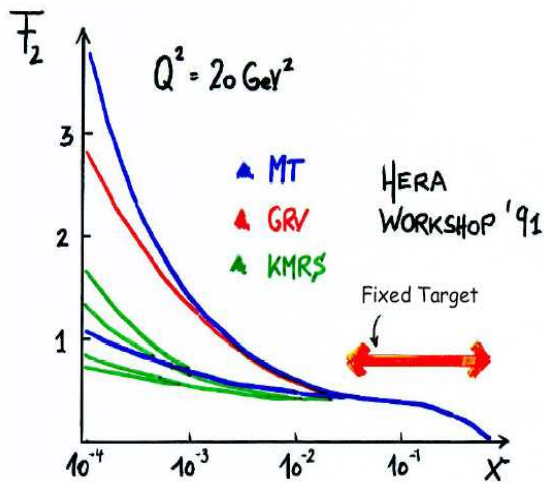
DGLAP Evolution (“symbolic”):

$$\frac{\partial}{\partial \log Q^2} \begin{bmatrix} q(x, Q^2) \\ g(x, Q^2) \end{bmatrix} = \frac{\alpha_s}{2\pi} \begin{bmatrix} P_{qq} \left[\frac{\gamma_f}{x} \right] & P_{qg} \left[\frac{\gamma_f}{x} \right] \\ P_{gq} \left[\frac{\gamma_f}{x} \right] & P_{gg} \left[\frac{\gamma_f}{x} \right] \end{bmatrix} \otimes \begin{bmatrix} q(x, Q^2) \\ g(x, Q^2) \end{bmatrix}$$



$$P \otimes f(x, Q^2) = \int_x^1 \frac{dz}{z} P\left(\frac{x}{z}\right) f(z, Q^2)$$

Bjorken x dependence of parton densities:



DGLAP:

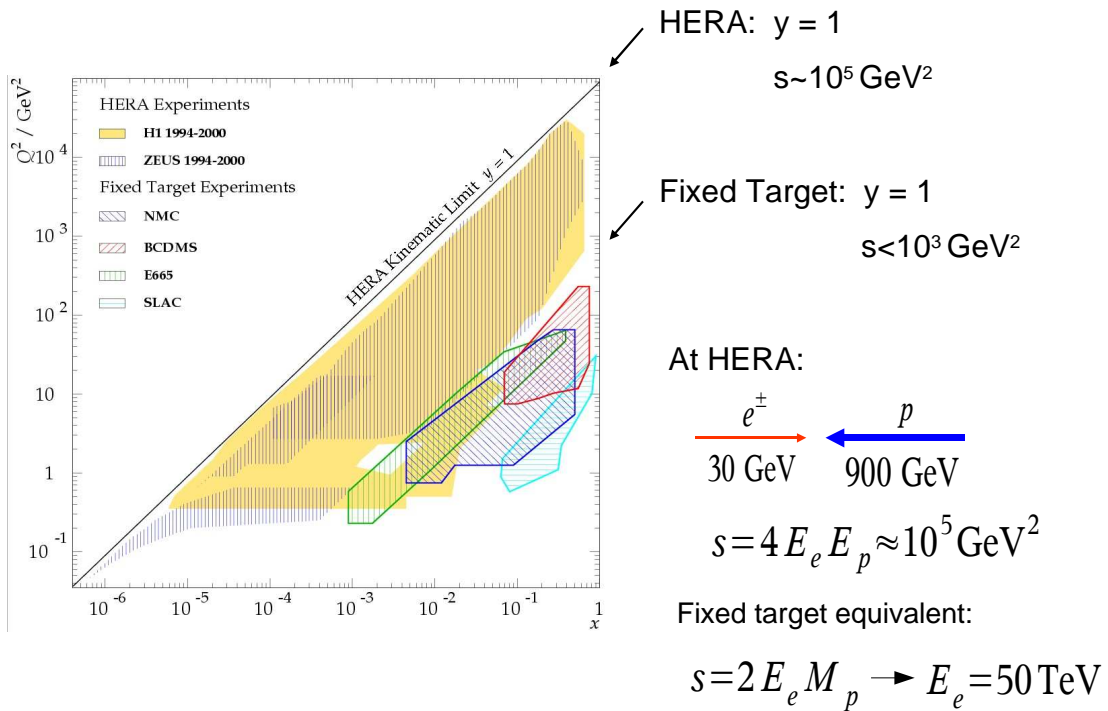
Q^2 dependence at given x
but no prediction for the x
dependence of the parton
densities.

Status in 1991 (pre HERA):
Data limited to a not very
small x region. Models to
extrapolate to smaller x differ
significantly.

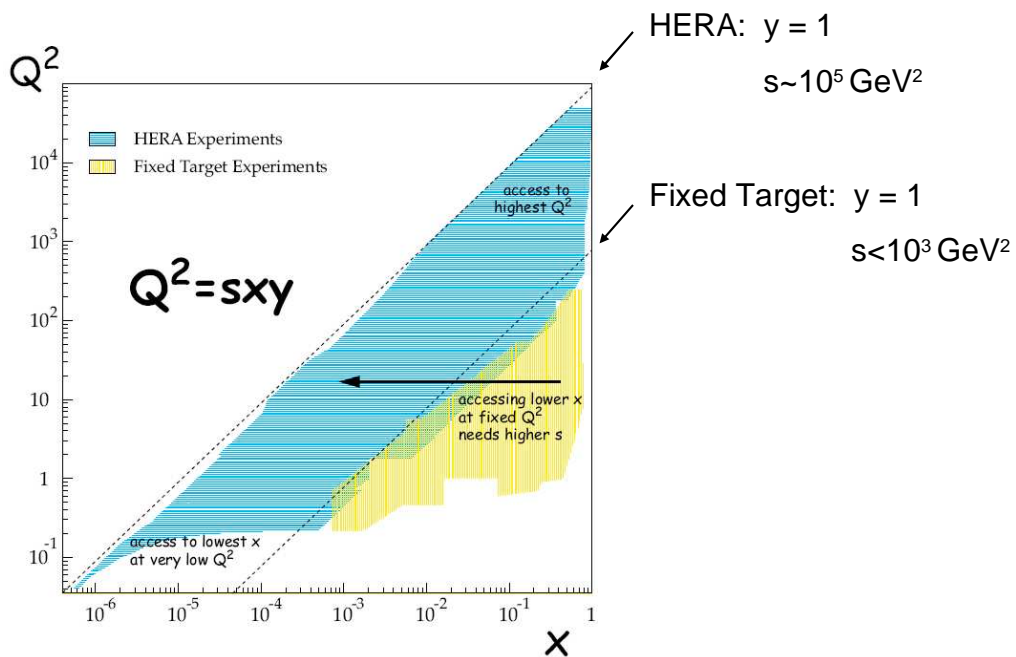


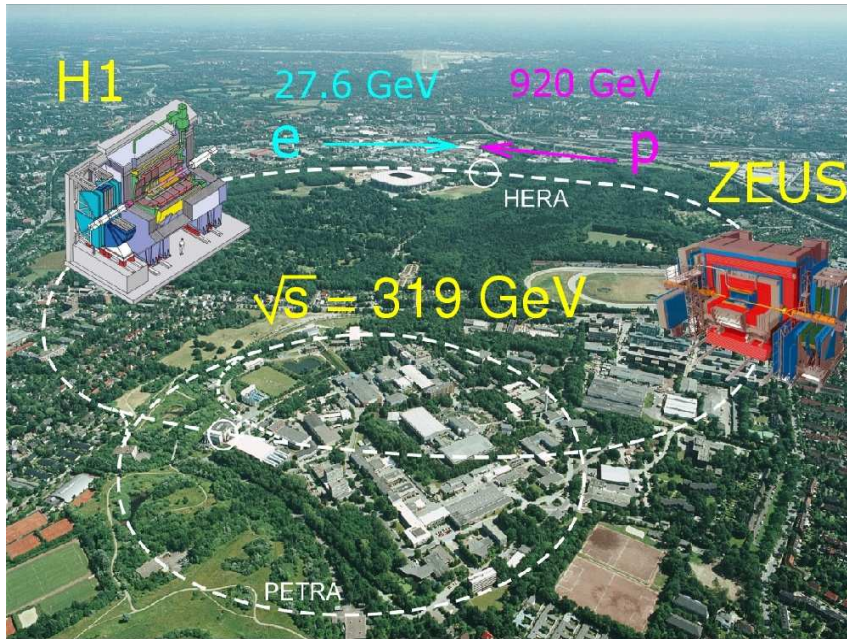
Measure structure functions
(parton densities) at low x .

Accessing the low x region: HERA ep collider

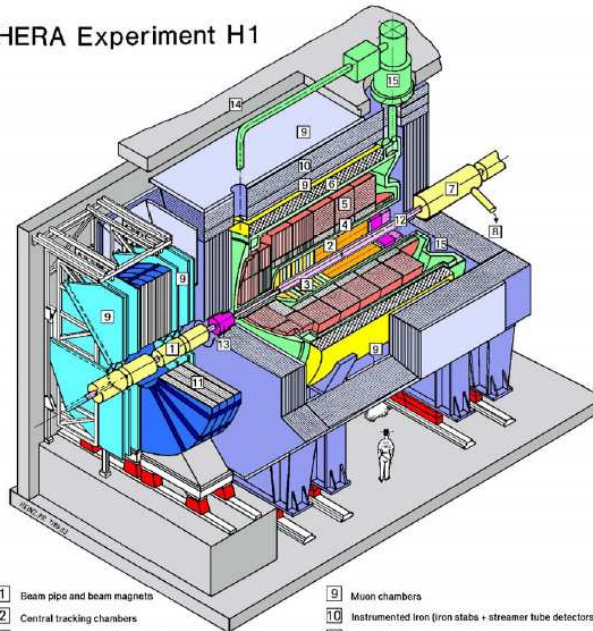


Accessing the low x region: HERA ep collider

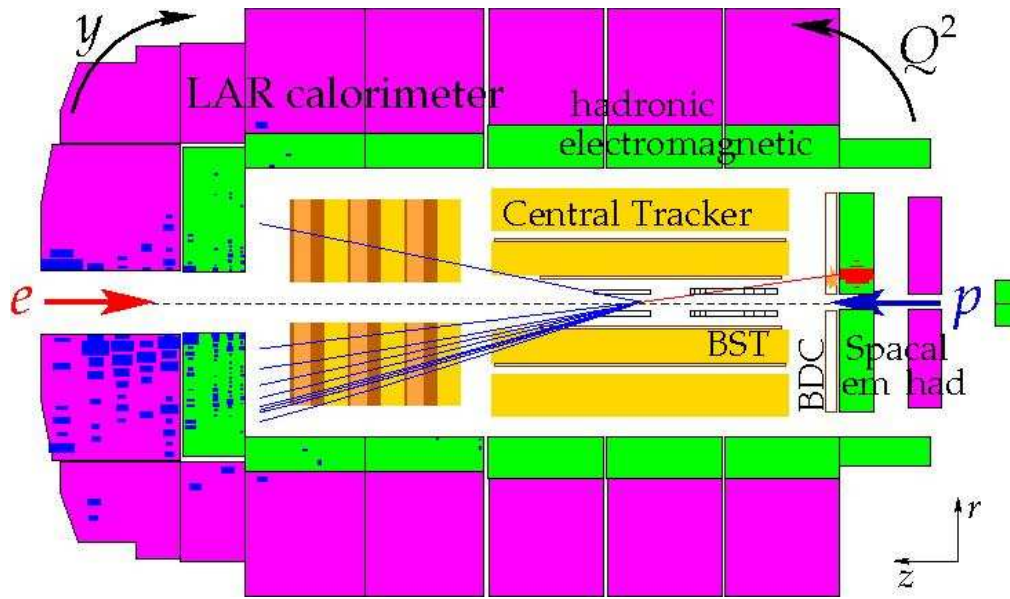




HERA Experiment H1

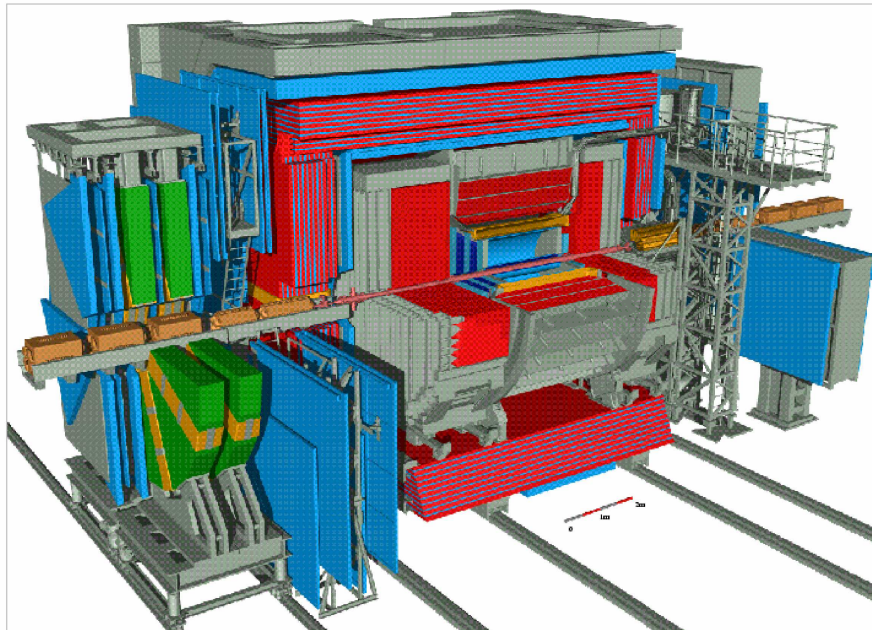
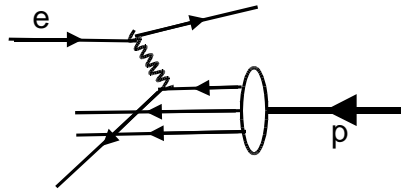


- | | | | |
|---|---|----|--|
| 1 | Beam pipe and beam magnets | 9 | Muon chambers |
| 2 | Central tracking chambers | 10 | Instrumented iron (iron stabs + streamer tube detectors) |
| 3 | Forward tracking and Transition radiators | 11 | Muon toroid magnet |
| 4 | Electromagnetic Calorimeter (lead) | 12 | Warm electromagnetic calorimeter |
| 5 | Hadronic Calorimeter (stainless steel) | 13 | Plug calorimeter (Cu, Si) |
| 6 | Superconducting coil (1.2T) | 14 | Concrete shielding |
| 7 | Compensating magnet | 15 | Liquid Argon cryostat |
| 8 | Helium cryogenics | | |



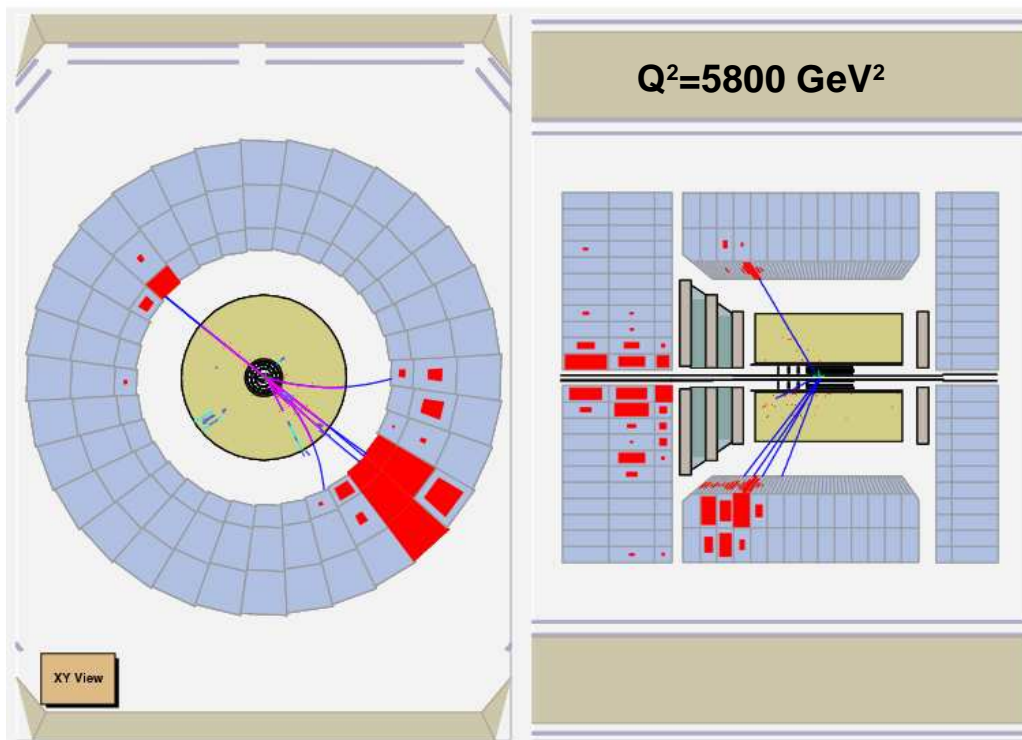
Both scattered electron and hadronic final state are reconstructed:

- Extended kinematic range is covered
- More cross checks -> reduced systematics



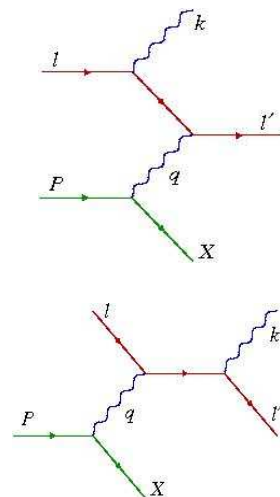
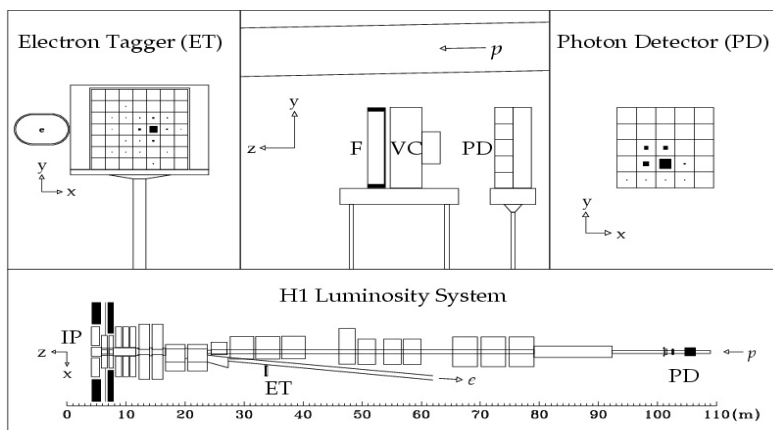
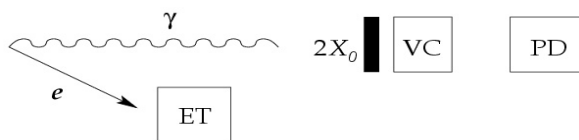
ZEUS (HERA) 

Software: CDRC-IDEAS level V1.1
 Prepared by: Claudio Mariani
 Date: October 1993

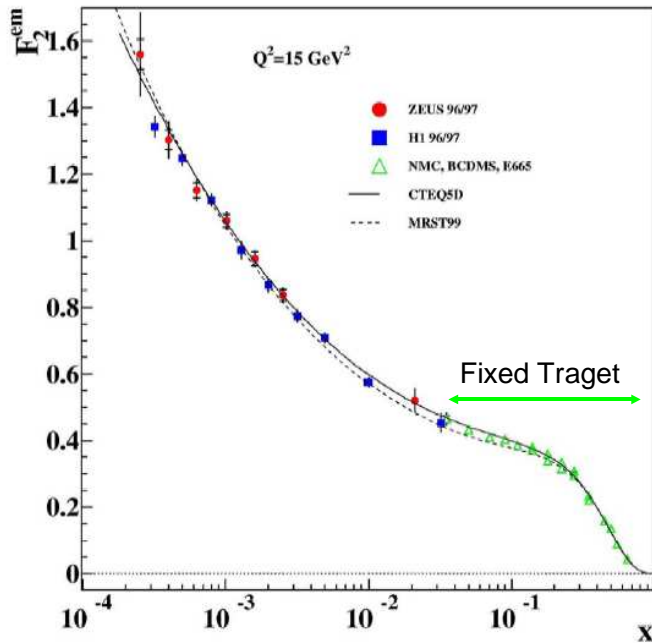


Luminosity measurement

Using low angle elastic ep scattering (Bethe-Heitler process)
 ~1.5% precision



ZEUS+H1

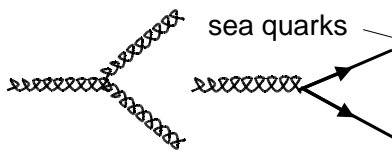


2-3% precision for F_2 at low-medium Q^2

Large increase of $F_2(x)$ for very small x



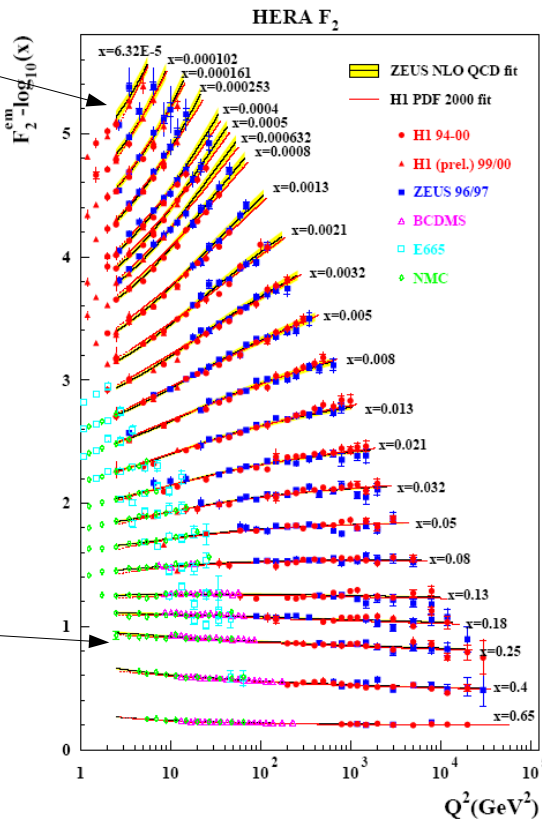
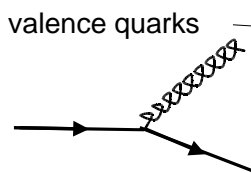
When does the rise stop? will be discussed later



5 orders of magnitude in x and Q^2

$$F_2(x, Q^2)$$

described by QCD evolution



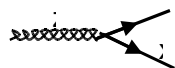
Experimental determination of the gluon density

Using the DGLAP evolution eq. one finds for $F_2(x, Q^2)$:

$$\frac{dF_2(x, Q^2)}{d \ln Q^2} = x \sum_i e_i^2 \frac{\alpha_s(Q^2)}{2\pi} \int_x^1 \frac{dz}{z} \left[P_{qq}\left(\frac{x}{z}\right) q_i(z, Q^2) + P_{qg}\left(\frac{x}{z}\right) g(z, Q^2) \right]$$

For small x ($x < 10^{-2}$):

quark pair production through gluon splitting dominant ($1/x$ gluon spectrum):



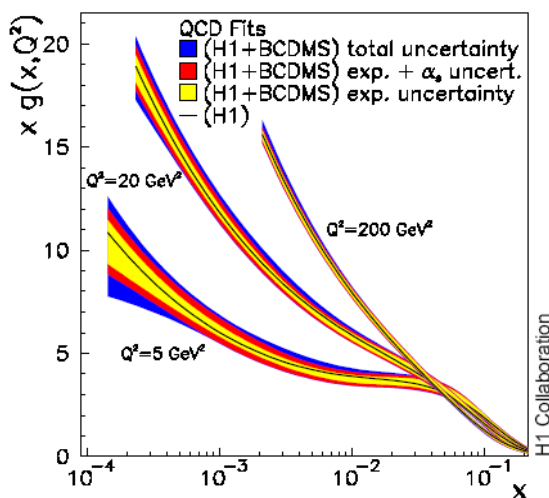
$$\rightarrow P_{qg}\left(\frac{x}{z}\right) g(z, Q^2) \text{ dominant}$$

As an approximation one finds:

$$x \cdot g(x, Q^2) \approx \frac{27\pi}{10\alpha_s(Q^2)} \cdot \frac{dF_2(x, Q^2)}{d \ln Q^2}$$

i.e. scaling violation of F_2 at small x measures the gluon density.

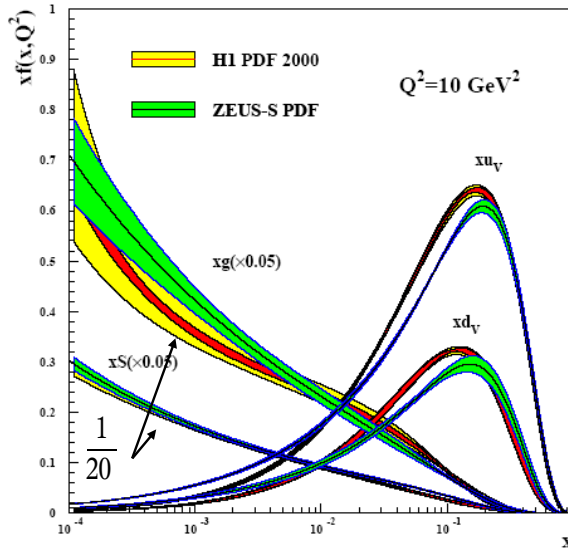
Gluon density $g(x, Q^2)$:



In practice one makes a global DGLAP fit to the measured cross section

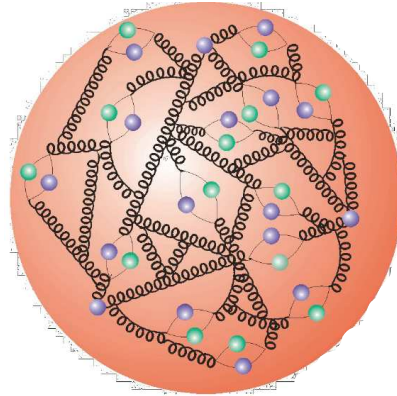
One starts with some $q(x)$ and $g(x)$ parametrizations at Q^2_0 and evolves to higher Q^2

Structure of the proton as seen by HERA



$$\# \text{ Valenzquarks} = \int u_v(x) + d_v(x) dx = 3$$

$$\# \text{ Gluonen} = \int g(x) dx > 30$$



PDF fits

Many options - uncertainties:

- Which datasets? [HERA only? Also some fixed target? Also pp data?]
- Which order of perturbation theory [LO, NLO, NNLO?]
- Form of parameterization $q(x)$, $g(x)$ [How many parameters?]

$$x p(x, Q^2) = A_p x^{a_p} (1-x)^{b_p} P(x, c_p, \dots)$$

characterizes at $x \rightarrow 0$
sea: $a < 0$, valence $a > 0$

characterizes at $x \rightarrow 1$
always $b > 0$

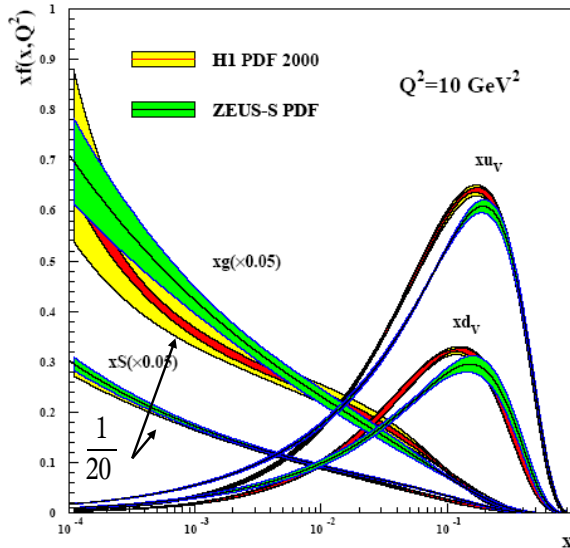
"fine tuning"
weakly x -dependent function

- Which PDFs? For each flavour? Some combination?
- Pure DGLAP or some extension/alternative?
- Start-up scale Q^2
- Sum rules
- Heavy quark treatment [What to do with $c(x)$, $b(x)$ at low Q^2 ?]

H1 and ZEUS do their own fits based mostly on their own data.

Theor. groups (e.g. CTEQ, MRST/MSTW,...) do combined fits of many datasets

Current knowledge of PDFs



Uncertainties:

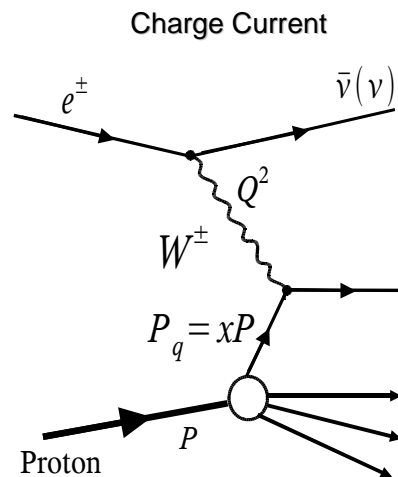
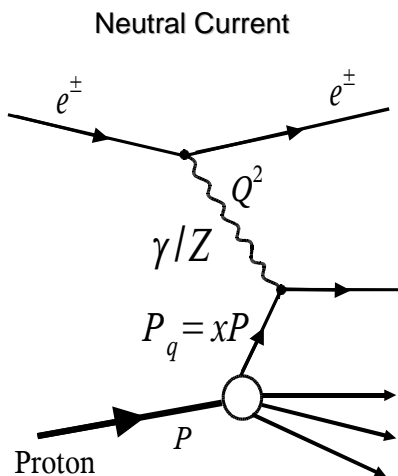
- u -density: ~3%
- d -density: ~10%
- g -density: 10-20% and more

u is better known than d
 due to el. charge (squared):
 $F_2 = x(8/9 u + 1/9 d + \dots)$

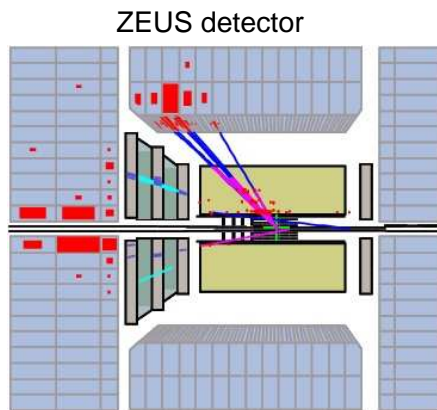
gluon is known worse,
 as it is determined from
 scaling violations (derivatives)

How to separate valence, sea, flavours from HERA only?

Electroweak effects at high Q^2



Charge current event



Neutrino escapes

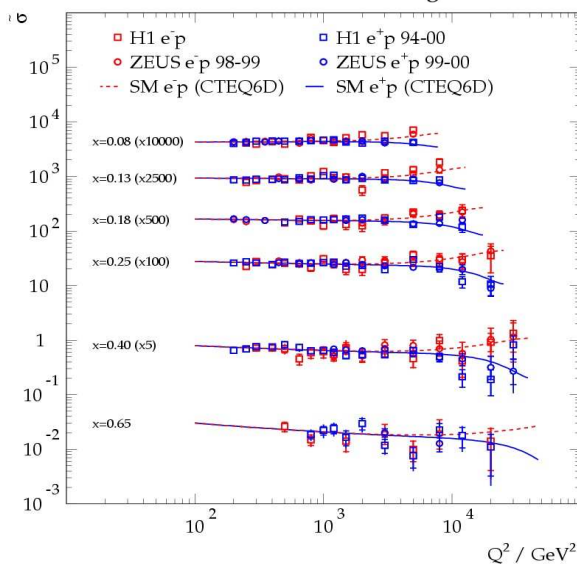
- Missing transverse momentum
- $E - P_z < 2 E_{e\text{-beam}}$

Q^2 and x are reconstructed from hadronic final state

Neutral current cross section at high Q^2

$$\frac{d^2\sigma^\pm}{dQ^2 dx} = \left(\frac{2\pi\alpha^2}{Q^4 x} \right) \cdot \left[Y^+ F_2(x) \mp Y^- x F_3(x) - \dots \right]$$

HERA Neutral Current at high x

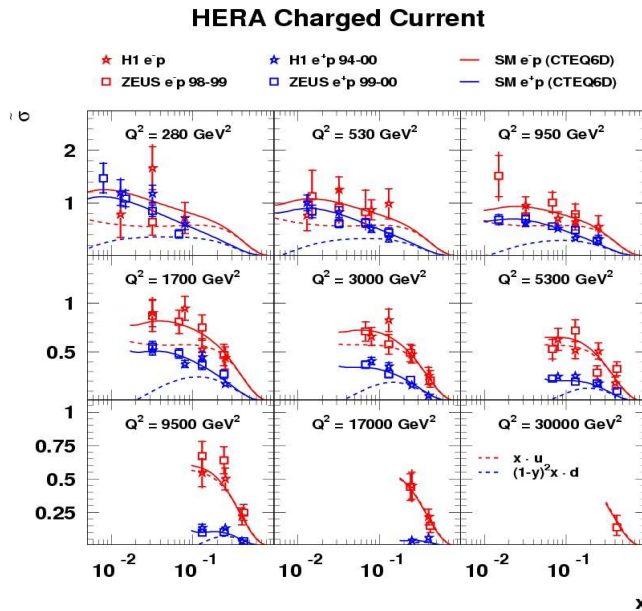


Parity violating function (similar to νN) constraints the valence PDFs

$$x F_3^{YZ} \sim \frac{Q^2}{Q^2 + M_Z^2} \sum e_q a_q(q - \bar{q})$$

Charge current cross section

Is similarly expressed via the structure functions but with the Fermi constant G_F instead of α



Charge current e⁻p:

$$\sigma_{cc}^- \sim xu + (1-y)^2 x \bar{d}$$

At high x: u-quarks dominate

Charge current e⁺p:

$$\sigma_{cc}^+ \sim (1-y)^2 xd + x \bar{u}$$

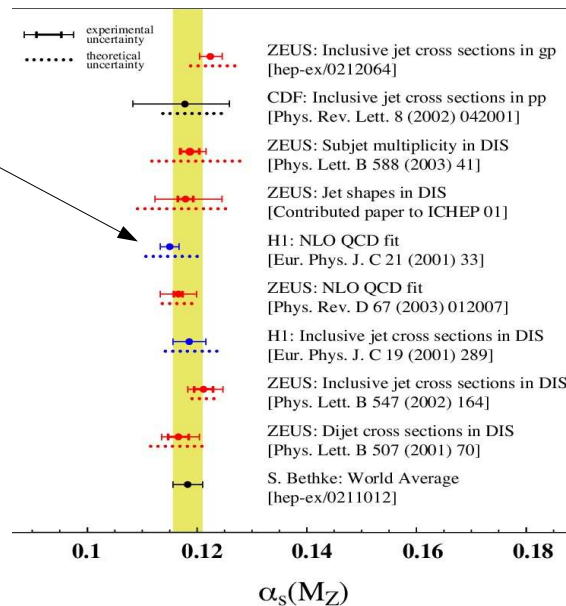
At high x: d-quarks dominate

Because high x corresponds to high Q²

-> Extract u and d densities

Strong coupling constant $\alpha_s(Q^2)$

- α_s is simultaneously extracted from the fits – most precise!
- Theory error (NLO QCD) is larger than experimental.
- After more than decade of work, NNLO calculations were finished. >1000 diagrams to calculate splitting functions!



$$\alpha_s(M_Z^2) = 0.1186 \pm 0.0011(\text{exp.}) \pm 0.005(\text{theor.})$$