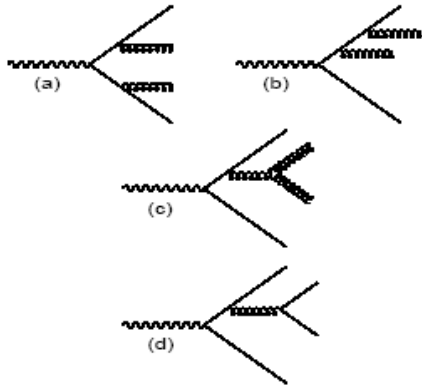


2.3 Multi-jet events and gluon self coupling

Non-abelian gauge theory (SU(3))

4-jet events



03-97
829CA19

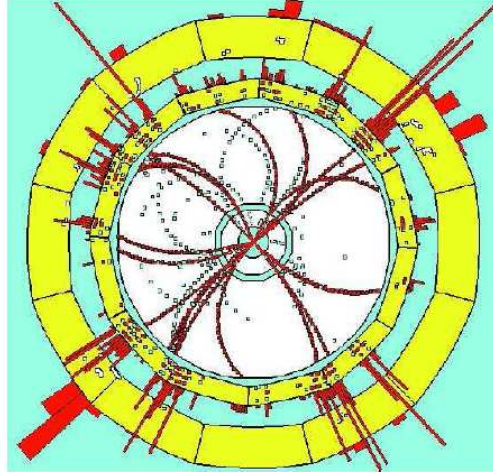


Figure 1: Hadronic event of the type $e^+e^- \rightarrow 4$ jets recorded with the ALEPH detector at LEP-I.

Multiple jets and jet algorithm

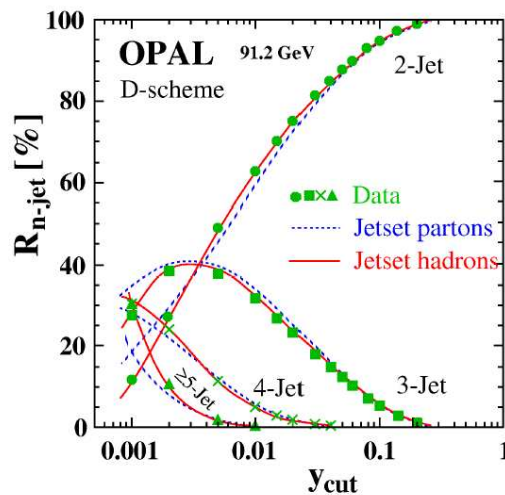
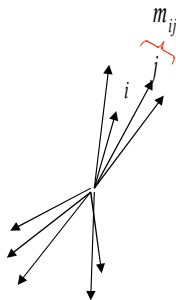
Durham Jet Algorithm

Hadronic particles are i and j grouped to a pseudo particle k as long as the invariant mass is smaller than the **jet resolution parameter**:

$$\frac{m_{ij}^2}{s} < y_{cut}$$

m_{ij} is the invariant mass of i and j .

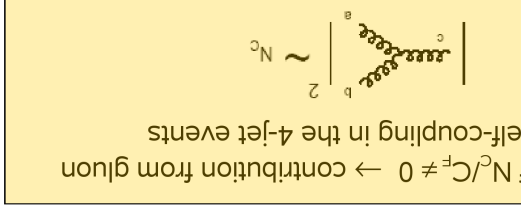
Remaining pseudo particles are **jets**.



Note: small hadronisation corrections down to $y \sim 0.001$

Angular correlations of jets in 4-jet events

$N_c/C_F \neq 0 \rightarrow$ contribution from gluon self-coupling in the 4-jet events



his should lead to the enhancement in total cross section. But it is difficult to compare with theory due to renormalisation uncertainty. instead, exploiting angular distributions:

engsson-Zerwas angle

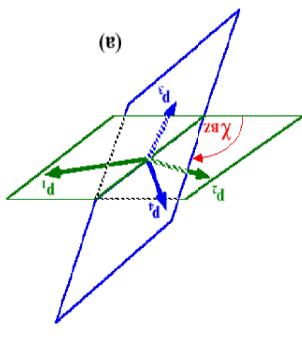
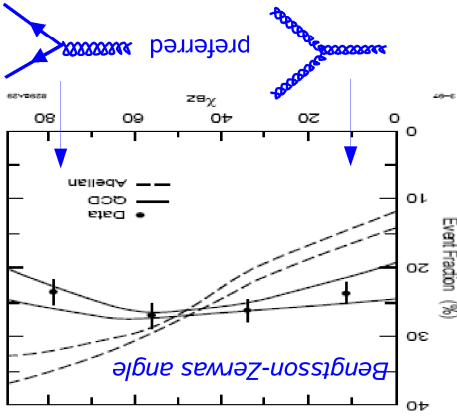
$$\cos \chi^{BZ} \propto (\vec{d}_1 \times \vec{d}_2) \cdot (\vec{d}_3 \times \vec{d}_4)$$

Wachmann-Reiter angle

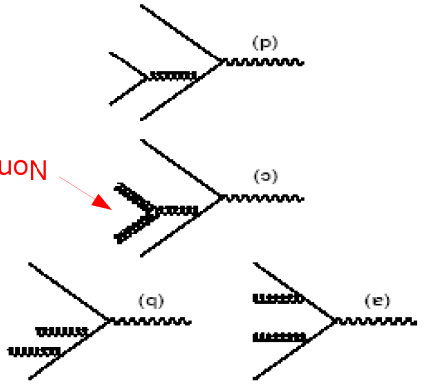
$$\cos \theta^{NR} \propto (\vec{d}_1 - \vec{d}_2) \cdot (\vec{d}_3 - \vec{d}_4)$$

Körner-Schiehholz-Willrodt angle ...

ow us to measure the ratios T^F/C_F and N_c/C_F

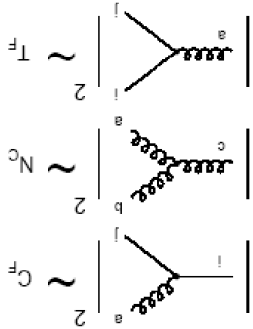


-jet events



Non-abelian only!

Color factors:

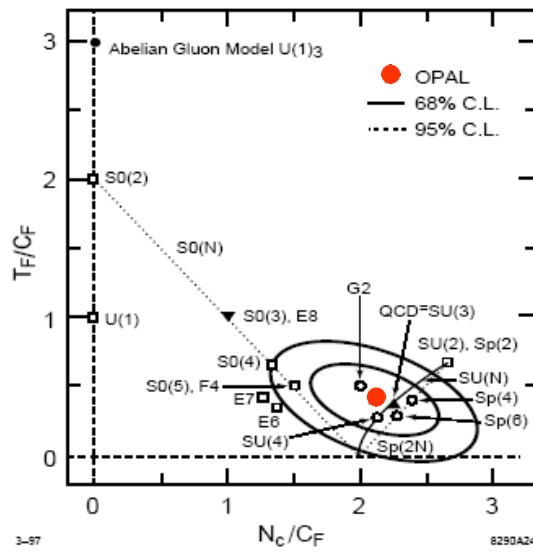


et cross section:

$$d\sigma^4 = \left(\frac{\alpha_s C_F}{2} \right)^2 \left[F_A + \left(1 - \frac{1}{N_c} \right) \frac{C_F}{2} F_B + \frac{C_F}{N_c} F_C \right] + \left(\frac{\alpha_s C_F}{2} \right)^2 \left[T_F N^j F_D + \left(1 - \frac{1}{N_c} \right) \frac{C_F}{2} F_E \right]$$

$F_{A,B,C,D,E}$ are kinematic functions

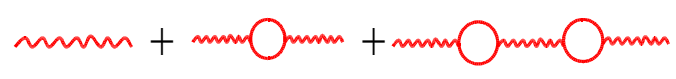
Group	N_c	C_F	T_F
$U(1)$	0	1	1
$U(1)_3$	0	1	3
$SU(N)$	N	$(N^2 - 1)/2N$	$1/2$
$SU(3)$	3	$4/3$	$1/2$



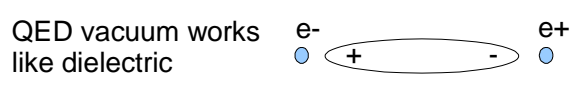
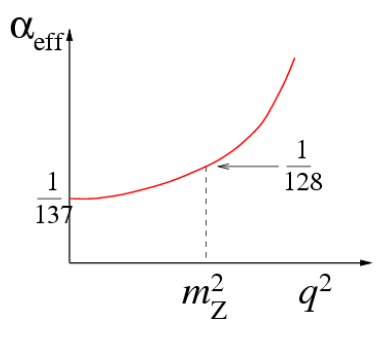
Confirms QCD prediction (SU(3)) and gluon self-coupling:
 $T_F/C_F = 0.375$ and $N_c/C_F = 2.25$

2.4 Strong coupling constant α_s

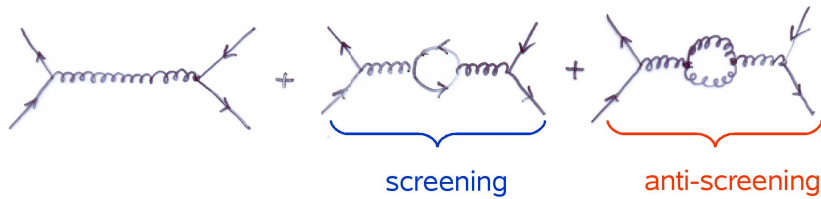
QED: Running coupling constant



$$\alpha(q^2) = \frac{\alpha}{1 - \underbrace{\frac{\alpha}{3\pi} \sum_f Q_f^2 \cdot \log \frac{q^2}{m_f^2}}_{-\alpha \beta_0^{QED} \log \frac{Q^2}{\mu^2}}}$$



QCD propagator corrections:



Running strong coupling $\alpha_s(Q^2)$

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2) \frac{1}{12\pi} (33 - 2n_f) \log \frac{Q^2}{\mu^2}}$$

$$\beta_0 = \frac{1}{12\pi} (33 - 2n_f)$$

n_f = active quark flavors
 μ^2 = renormalization scale
 conventionally $\mu^2 = M_Z^2$

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2) \beta_0 \log \frac{Q^2}{\mu^2}}$$

“+” is possible only in non-abelian theories!
 Here “+” remains for $n_f < 17$

QCD vacuum works like “paramagnetic”

Introduce scale Λ_{QCD} at which perturbative solutions diverge:

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2) \beta_0 \log \frac{Q^2}{\mu^2}} \quad \leftarrow \mu^2 = \Lambda_{QCD}^2$$

$$\Lambda_{QCD} \approx 200 \text{ MeV}$$

(parameter, must be determined experimentally)

$$\rightarrow \frac{1}{\alpha_s(Q^2)} = \frac{1}{\alpha_s(\Lambda_{QCD}^2)} + \beta_0 \ln(Q^2 / \Lambda_{QCD}^2)$$

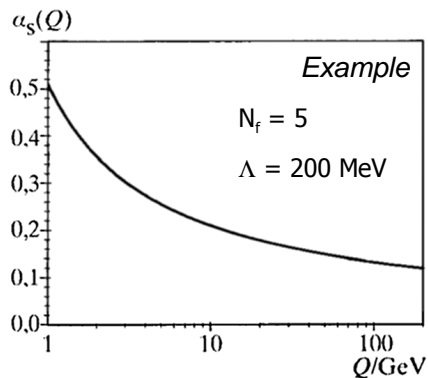
$$\rightarrow \alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2 / \Lambda_{QCD}^2)}$$

→ Confinement for small Q^2

→ Asymptotic freedom for large Q^2

For large Q^2 quarks can be treated as free particles: → Quark Parton Model

Gross & Wilczek (1973), Politzer (1974)





The Nobel Prize in Physics 2004



David J. Gross

H. David Politzer

Frank Wilczek

"for the discovery of asymptotic freedom in the theory of the strong interaction"

2.5 Measurement of strong coupling α_s

➔ α_s measurements are done at given scale Q^2 : $\alpha_s(Q^2)$

a) α_s from total hadronic cross section

$$\sigma_{had}(s) = \sigma_{had}^{QED}(s) \left[1 + \frac{\alpha_s(s)}{\pi} + 1.411 \cdot \frac{\alpha_s(s)^2}{\pi^2} + \dots \right]$$

$$R_{had} = \frac{\sigma(ee \rightarrow hadrons)}{\sigma(ee \rightarrow \mu\mu)} = 3 \sum Q_q^2 \left\{ 1 + \frac{\alpha_s}{\pi} + 1.411 \frac{\alpha_s^2}{\pi^2} + \dots \right\}$$

Not very precise. Got first indication on running $\alpha_s(s)$

b) α_s from hadronic event shape variables

3-jet rate: $R_3 \equiv \frac{\sigma_{3-jet}}{\sigma_{had}}$ depends on α_s

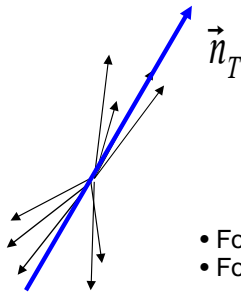
3-jet rate is measured as function of a jet resolution parameter y_{cut}

QCD calculation provides a theoretical prediction for $R_3^{\text{theo}}(\alpha_s, y_{\text{cut}})$

→ fit $R_3^{\text{theo}}(\alpha_s, y_{\text{cut}})$ to the data to determine α_s

Similarly other event shape variables (sphericity, thrust,...) can be used to obtain a prediction for α_s

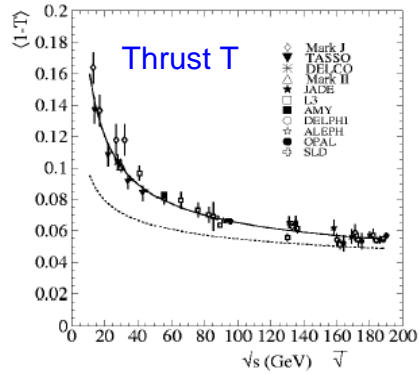
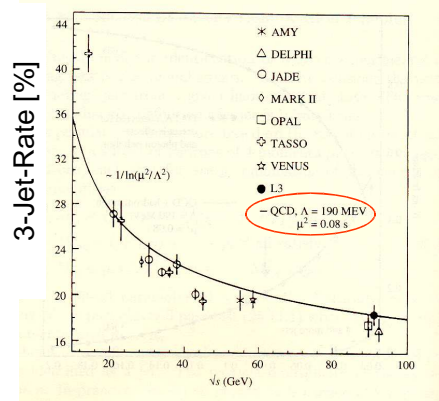
→ $\alpha_s(s)$



$$T = \max \frac{\sum_i |\vec{p}_i \cdot \vec{n}_T|}{\sum_i |\vec{p}_i|}$$

thrust axis
maximizes longitudinal momentum

- For 2 back-to-back jets: $T = 1$
- For spherical events: $T = 1/2$



c) α_s from hadronic τ decays

$$R_{had}^{\tau} = \frac{\Gamma(\tau \rightarrow \nu_{\tau} + \text{Hadrons})}{\Gamma(\tau \rightarrow \nu_{\tau} + e \bar{\nu}_e)} \sim f(\alpha_s)$$

$$R_{had}^{\tau} = \frac{\left| \begin{array}{c} \tau^- \\ \swarrow \\ w^- \\ \begin{array}{l} \nearrow \nu_{\tau} \\ \nearrow q \\ \searrow \bar{q} \end{array} \end{array} \right|^2 + \left| \begin{array}{c} \tau^- \\ \swarrow \\ w^- \\ \begin{array}{l} \nearrow \nu_{\tau} \\ \nearrow q \\ \searrow \bar{q} \end{array} \end{array} \right|^2}{\left| \begin{array}{c} \tau^- \\ \swarrow \\ w^- \\ \begin{array}{l} \nearrow \nu_{\tau} \\ \nearrow e^- \end{array} \end{array} \right|^2}$$

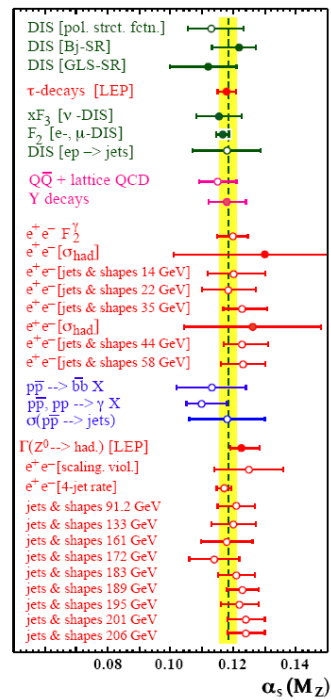
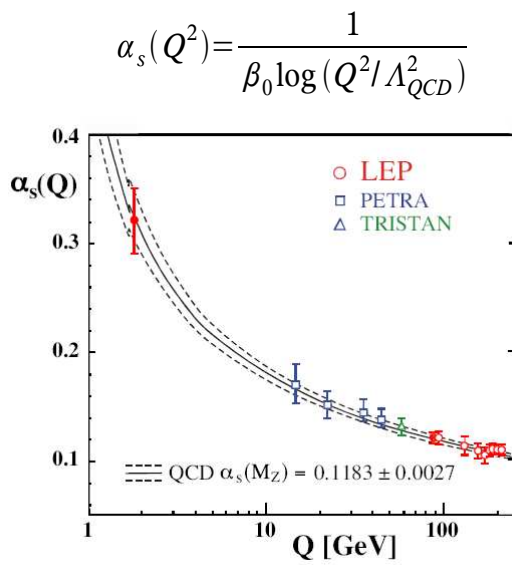
at τ mass

$$R_{had}^{\tau} = R_{had}^{\tau,0} \left(1 + \frac{\alpha_s(m_{\tau}^2)}{\pi} + \dots \right)$$

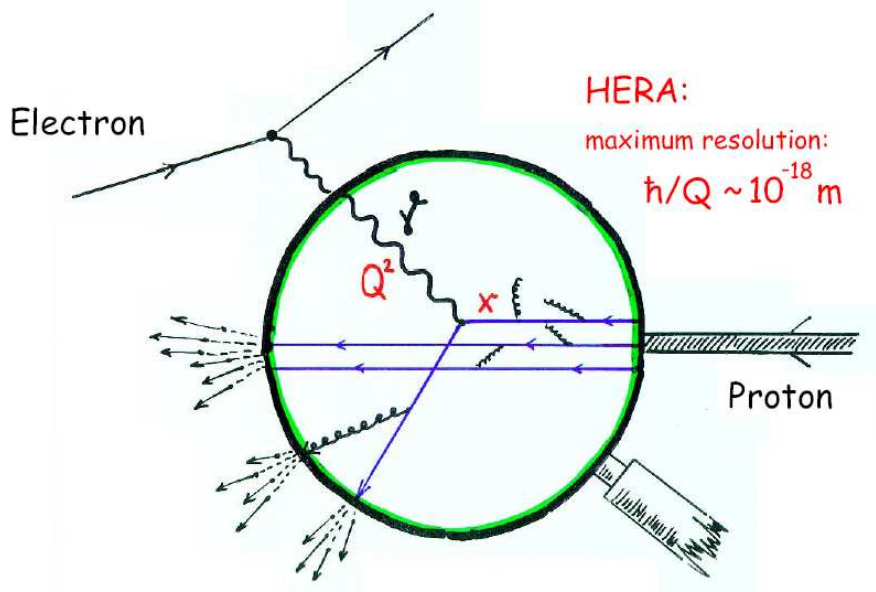
d) α_s from DIS (deep inelastic scattering)

will be discussed later

Running of α_s and asymptotic freedom



3. Study of QCD in deep inelastic scattering (DIS)

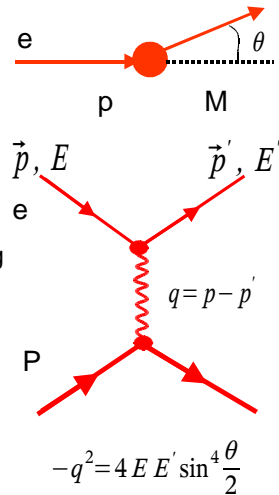


3.1 Elastic electron-proton scattering

General form of differential cross section

$$\frac{d\sigma}{d\Omega} = \underbrace{\frac{\alpha^2}{4 E E' \sin^4 \frac{\theta}{2}}}_{\text{Rutherford}} \left[\dots \right]$$

non pointlike scattering or partners w/ spin



Spin 1/2 electron +

Pointlike target w/o spin
Mott scattering

$$\left[\dots \right]_{Mott}^{elastic} = \left(\cos^2 \frac{\theta}{2} \right)$$

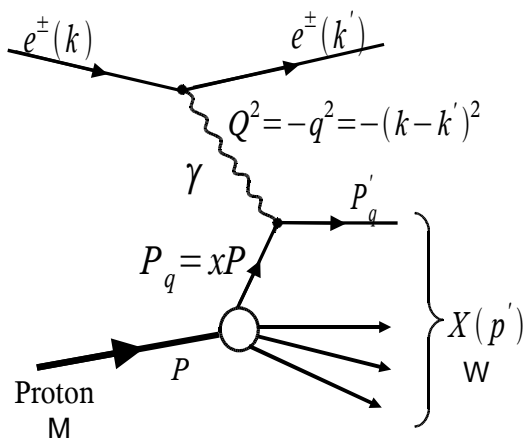
Pointlike target w/ spin and mass M

$$\left[\dots \right]_{e\mu \rightarrow e\mu}^{elastic} = \left(\cos^2 \frac{\theta}{2} + \frac{Q^2}{2M^2} \sin^2 \frac{\theta}{2} \right)$$

Extended proton w/ spin

$$\left[\dots \right]_{ep \rightarrow ep}^{elastic} = \left(\frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right) \quad \text{with } \tau = \frac{Q^2}{4M^2}$$

3.2 DIS in the quark parton model (QPM)



- Elastic scattering: $W = M$
 \Rightarrow only one free variable

$$\frac{Q^2}{2Mv} = 1$$

- Inelastic scattering: $W \neq M$
 \Rightarrow scattering described by 2 independent variables

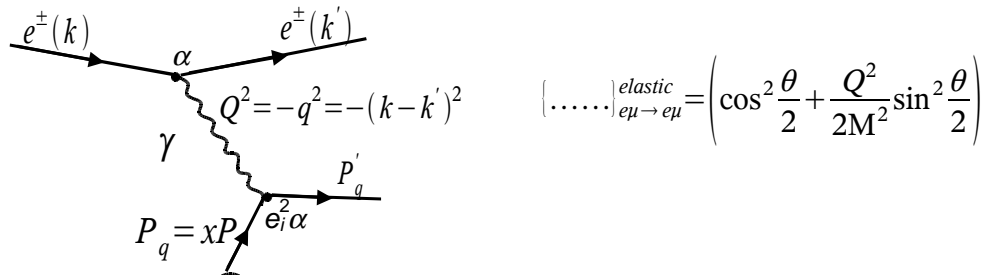
$$(E, v), (Q^2, x), (x, y), \dots$$

- x = fractional momentum of struck quark
- y = P_q/Pk = fractional energy transfer in proton rest frame
- v = $E - E'$ = energy transfer in lab

$$\left. \begin{aligned} Q^2 &= sxy & s &= \text{CMS energy} \\ x &= \frac{Q^2}{2Mv} & & \text{(Bjorken } x) \end{aligned} \right\}$$

Cross section in quark parton model (QPM)

Elastic scattering on single quark



$$\{\dots\dots\}_{e\mu \rightarrow e\mu}^{elastic} = \left(\cos^2 \frac{\theta}{2} + \frac{Q^2}{2M^2} \sin^2 \frac{\theta}{2} \right)$$

$$\frac{d\sigma}{dQ^2} = \left(\frac{4\pi\alpha^2}{Q^4} \right) \frac{E'}{E} \cdot \overset{\text{charge}}{\downarrow} e_i^2 \left(\cos^2 \frac{\theta}{2} + \frac{Q^2}{2x^2 M^2} \sin^2 \frac{\theta}{2} \right)$$

$$\sigma \left(\text{diagram} \right) = \sum_i q_i(x) \sigma_i \left(\text{diagram} \right)$$

Parton density $q_i(x)dx$: Probability to find parton i in momentum interval $[x, x+dx]$

$$\frac{d^2\sigma}{dQ^2 dx} = \left(\frac{4\pi\alpha^2}{Q^4} \right) \frac{E'}{E} \cdot \sum_i q_i(x) \left(\cos^2 \frac{\theta}{2} + \frac{Q^2}{2x^2 M^2} \sin^2 \frac{\theta}{2} \right)$$

Using structure functions $F_2(x) = x \sum_i e_i^2 q_i(x)$

$$F_1(x) = \frac{1}{2} \sum_i e_i^2 q_i(x)$$

$$\frac{d^2 \sigma}{dQ^2 dx} = \left(\frac{4\pi\alpha^2}{Q^4} \right) \frac{E'}{E} \cdot \left(\frac{F_2(x)}{x} \cos^2 \frac{\theta}{2} + 2F_1(x) \frac{Q^2}{2x^2 M^2} \sin^2 \frac{\theta}{2} \right)$$



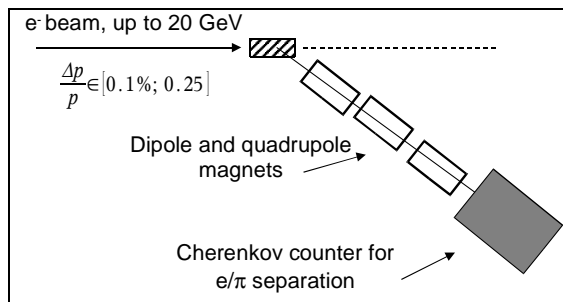
Using kinematical relations transform to Lorenz-invariant variables

$$\frac{d^2 \sigma}{dQ^2 dx} = \left(\frac{4\pi\alpha^2}{Q^4 x} \right) \cdot \left((1-y) F_2(x) + xy^2 F_1(x) \right)$$

Predictions of Quark – Parton model

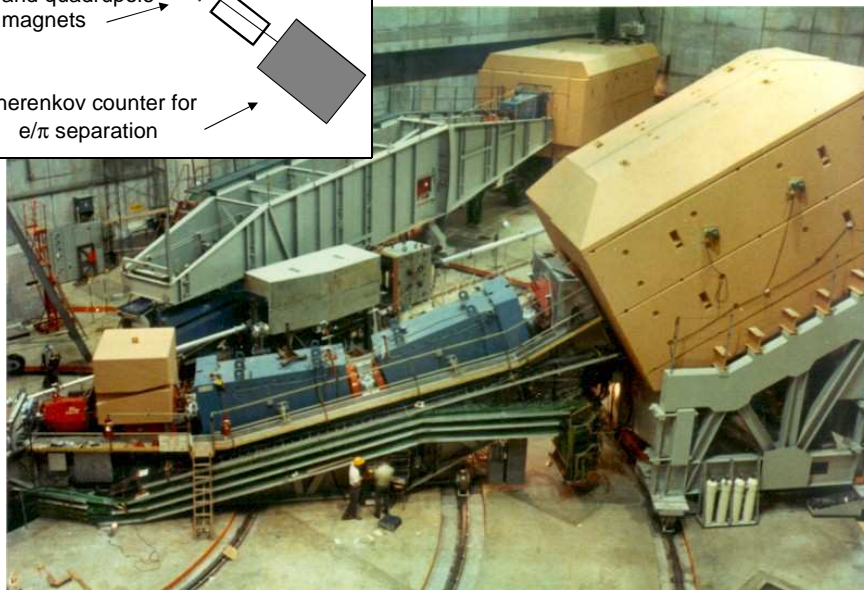
- Free partons: $F_2 = F_2(x) \Leftrightarrow$ “scaling”
- Spin $\frac{1}{2}$ partons: $2xF_1(x) = F_2(x)$ (Callan-Gross relation)

SLAC/MIT Experiment (1969)

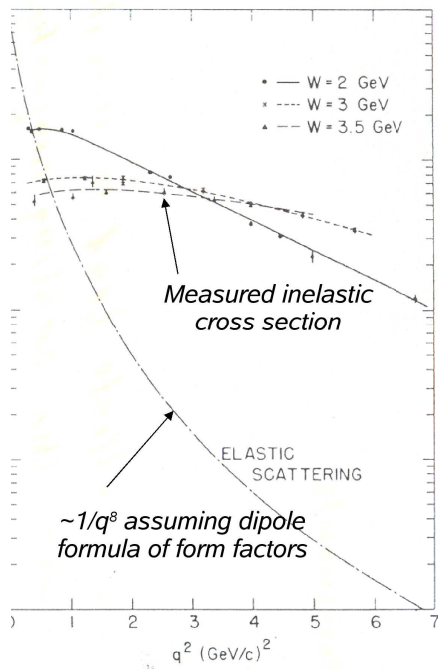


Spectrometer at fixed θ

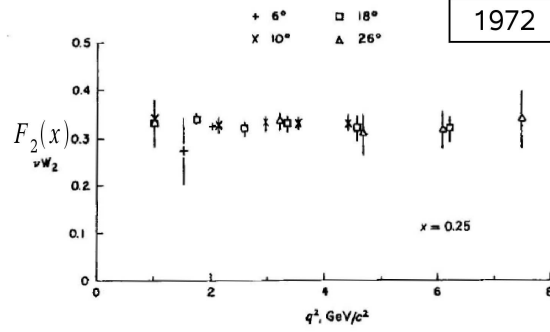
$$\frac{\Delta p}{p} \sim 0.1 \quad \Delta\theta \sim 0.7 \text{ mrad}$$



1969



1972



Structure function F_2 depends only on the dimensionless variable x

$$x = \frac{Q^2}{2Mv}$$

→ **Scale invariance: "scaling"**

Indicates elastic scattering at point-like free constituents of the proton: partons



The Nobel Prize in Physics 1990



Jerome I. Friedman

Henry W. Kendall

Richard E. Taylor

"for their pioneering investigations concerning deep inelastic scattering of electrons on protons and bound neutrons, which have been of essential importance for the development of the quark model in particle physics"

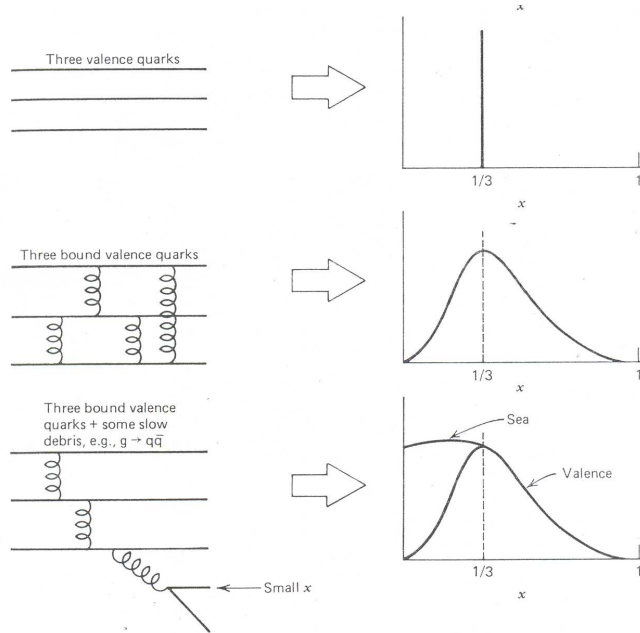
3.3 Structure functions – Nucleon structure

In infinite momentum frame,
 x - fractional momentum of struck quark

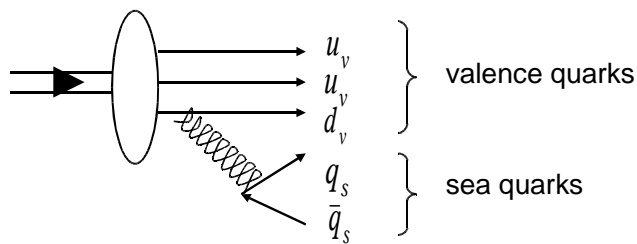
$$p = xP$$

Proton model

$$F_2(x) = x \sum_i e_i^2 q_i(x)$$



Sea and valence quarks in the proton



Quark composition of the proton

$$u_v + u_v + d_v + \underbrace{(u_s + \bar{u}_s) + (d_s + \bar{d}_s) + (s_s + \bar{s}_s)}_{\text{Heavy sea quarks are strongly suppressed}}$$

Heavy sea quarks are strongly suppressed

$$\frac{F_2^{ep}(x)}{x} = \sum_i e_i^2 \cdot q_i(x)$$

$$= \frac{4}{9}(u^p(x) + \bar{u}^p(x)) + \frac{1}{9}(d^p(x) + \bar{d}^p(x)) + \frac{1}{9}(s^p(x) + \bar{s}^p(x))$$

Quark composition of the neutron

$$\frac{F_2^{en}(x)}{x} = \sum_i e_i^2 \cdot q_i(x)$$

$$= \frac{4}{9}(u^n(x) + \bar{u}^n(x)) + \frac{1}{9}(d^n(x) + \bar{d}^n(x)) + \frac{1}{9}(s^n(x) + \bar{s}^n(x))$$

$$\frac{F_2^{en}(x)}{x} = \frac{4}{9}(d(x) + \bar{d}(x)) + \frac{1}{9}(u(x) + \bar{u}(x) + s(x) + \bar{s}(x))$$

Iso-spin symmetry

$$u^n(x) = d^p(x) = d(x)$$

$$d^n(x) = u^p(x) = u(x)$$

$$s^n(x) = s^p(x) = s(x)$$

$$\bar{q}^n(x) = \bar{q}^p(x) = \bar{q}(x)$$

In total 6 unknown quark distributions



Sum rules

$$\left. \begin{array}{l} \int_0^1 u(x) - \bar{u}(x) dx = \int_0^1 u_v(x) dx = 2 \\ \int_0^1 d(x) - \bar{d}(x) dx = \int_0^1 d_v(x) dx = 1 \\ \int_0^1 (q_s(x) - \bar{q}_s(x)) dx = 0 \end{array} \right\} \begin{array}{l} \text{valence in} \\ \text{proton} \\ \text{sea} \end{array}$$

$$q^i(x) = q_v^i(x) + q_s^i(x)$$

$$\bar{q}^i(x) = \bar{q}_s^i(x)$$

Sum of quark momenta

Scattering at an iso-scalar target N: #p = #n (e.g. Deuteron, C, Ca)

$$F_2^{eN} = \frac{1}{2} [F_2^{ep} + F_2^{en}] = \frac{5}{18} x \cdot [u + \bar{u} + d + \bar{d}] + \frac{1}{9} x \cdot [s + \bar{s}]$$

$$\approx \underbrace{\frac{5}{18} x \cdot [u + \bar{u} + d + \bar{d}]}_{\text{Sum of all quark momenta}} = \frac{5}{18} [\text{Sum of all quark momenta}]$$

Small s quark distribution neglected

Naively one expects: $\frac{18}{5} \cdot \int_0^1 F_2^{eN}(x) dx \approx 1$

Experimental observation: $\frac{18}{5} \cdot \int_0^1 F_2^{eN}(x) dx \approx 0.5$

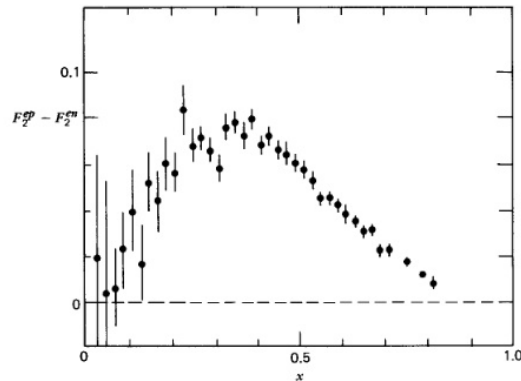
- Probed quarks and anti-quarks carry only 50% of nucleon momentum
- Remaining momentum is carried by gluons (see later)

Valence Quark Distribution

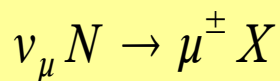
After subtracting

$$\frac{1}{x} [F_2^{ep} - F_2^{en}] = \frac{1}{3} [u_v(x) - d_v(x)]$$

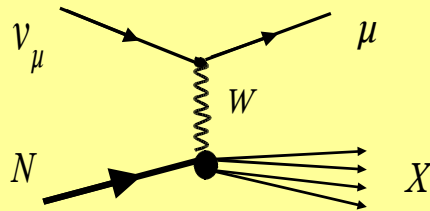
Shape of valence quark distribution. It peaks really at 1/3



3.4 Neutrino nucleon scattering



- More information on quark distribution
- Separation between quarks / anti-quarks



QPM: $x = \frac{Q^2}{2M\nu}$ $y = \frac{\nu}{E}$ $\nu = E - E'$

$$\frac{d\sigma(\nu_\mu N \rightarrow \mu^- X)}{dy} = \sum_i \left| \begin{array}{c} \nu_\mu \rightarrow \mu^- \\ \text{---} d \text{---} \\ \text{---} u \text{---} \end{array} \right|^2 \rightarrow F_i^{\nu p}(x) \text{ and } F_i^{\nu n}(x)$$

$$\frac{d\sigma(\bar{\nu}_\mu N \rightarrow \mu^+ X)}{dy} = \sum_i \left| \begin{array}{c} \bar{\nu}_\mu \rightarrow \mu^+ \\ \text{---} u \text{---} \\ \text{---} d \text{---} \end{array} \right|^2 \rightarrow F_i^{\bar{\nu} p}(x) \text{ and } F_i^{\bar{\nu} n}(x)$$

Structure functions for neutrino scattering

$$\left. \begin{aligned} F_i^{vn} &= F_i^{\bar{v}p} \\ F_i^{vp} &= F_i^{\bar{v}n} \end{aligned} \right\} \text{Equal because of Charge symmetry}$$

$$F_i^{vN} = \frac{1}{2} (F_i^{vp} + F_i^{vn}) = \frac{1}{2} (F_i^{\bar{v}n} + F_i^{\bar{v}p}) = F_i^{\bar{v}N} \quad \text{for } i=1,2$$

$$F_3^{\bar{v}N} = -F_3^{vN} \quad \text{Additional structure function to account for parity violation}$$

Double differential cross section: Scattering at iso-scalar target

$$\frac{d^2\sigma(vN, \bar{v}N)}{dx dy} = 2ME \left(\frac{G_F^2}{2\pi} \left[(1-y)F_2^{vN}(x) + \frac{y^2}{2} 2xF_1^{vN}(x) \pm y \left(1 - \frac{y}{2}\right) xF_3^{vN}(x) \right] \right)$$

$\frac{4\pi\alpha^2}{Q^4} \quad \frac{G_F^2}{2\pi}$

$v=+$
 $\bar{v}=-$

to account for parity violation

Structure functions in QPM

$$F_1^{vN} = \frac{1}{2x} F_2^{vN}$$

$$F_2^{vp} = 2x[d + \bar{u}] \quad xF_3^{vp} = 2x[d - \bar{u}]$$

$$F_2^{vn} = 2x[d^n + \bar{u}^n] \quad xF_3^{vn} = 2x[d^n - \bar{u}^n]$$

$$= 2x[u + \bar{d}] \quad = 2x[u - \bar{d}]$$

Iso-scalar target

$$F_2^{vN} = x[u + \bar{u} + d + \bar{d}] \quad xF_3^{vN} = x[(u + d) - (\bar{u} + \bar{d})]$$

$$F_2^{vN} = x[Q(x) + \bar{Q}(x)] \quad xF_3^{vN} = x[Q(x) - \bar{Q}(x)]$$

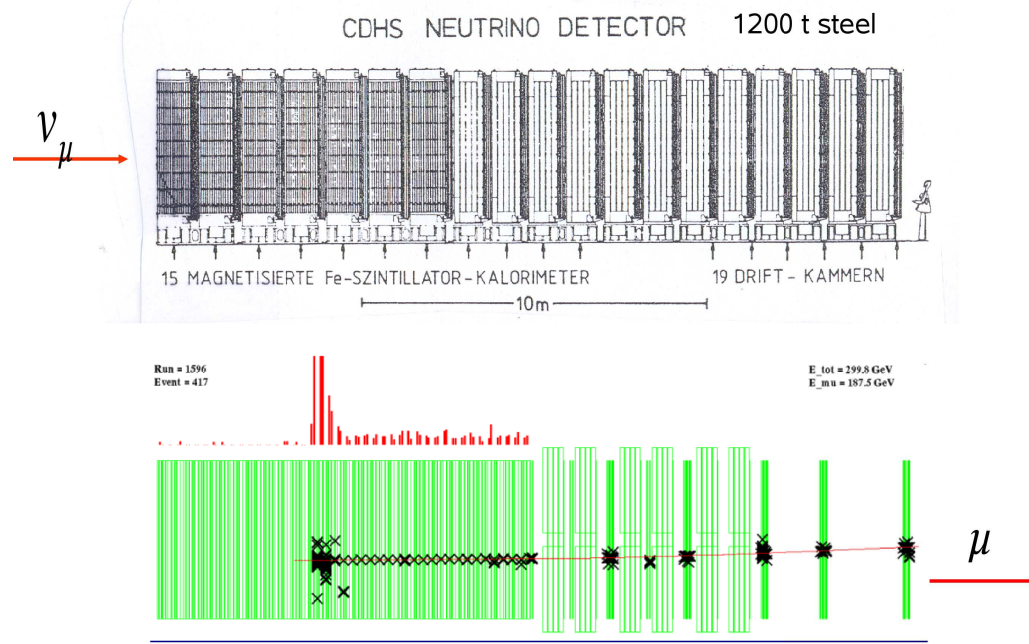
Measures sum of quarks and anti-quarks

Measures valence quarks

Measurement: $F_2^{vN} + xF_3^{vN} = 2xQ(x)$ \rightarrow Sea and valence quarks

$F_2^{vN} - xF_3^{vN} = 2x\bar{Q}(x)$ \rightarrow Sea quarks

CDHS (CERN-Dortmund-Heidelberg-Saclay) Experiment

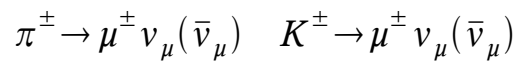


Note: Fe is not iso-scalar: 26 *p*, 30 *n*. Iso-scalar correction is applied.

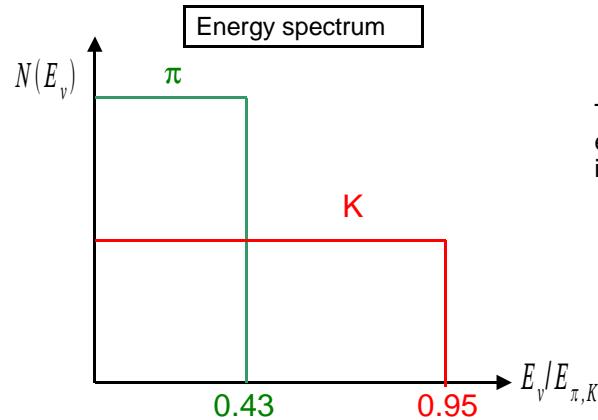


Neutrino beams

Sources of intense neutrino beams are 2-body decays of intense hadron beams



where the pions/kaons are generated in proton-nucleon interactions: $p+N \rightarrow \pi, K$

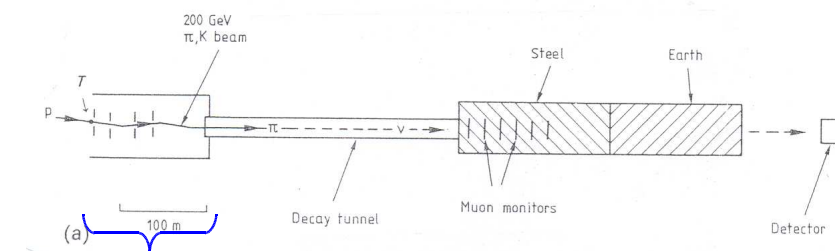


The Lorentz boost transforms the mono-energetic neutrino of the two-body decay into a flat energy spectrum:

$$0 < \frac{E_\nu}{E_{\pi, K}} < 1 - \frac{m_\mu^2}{m_{\pi, K}^2}$$

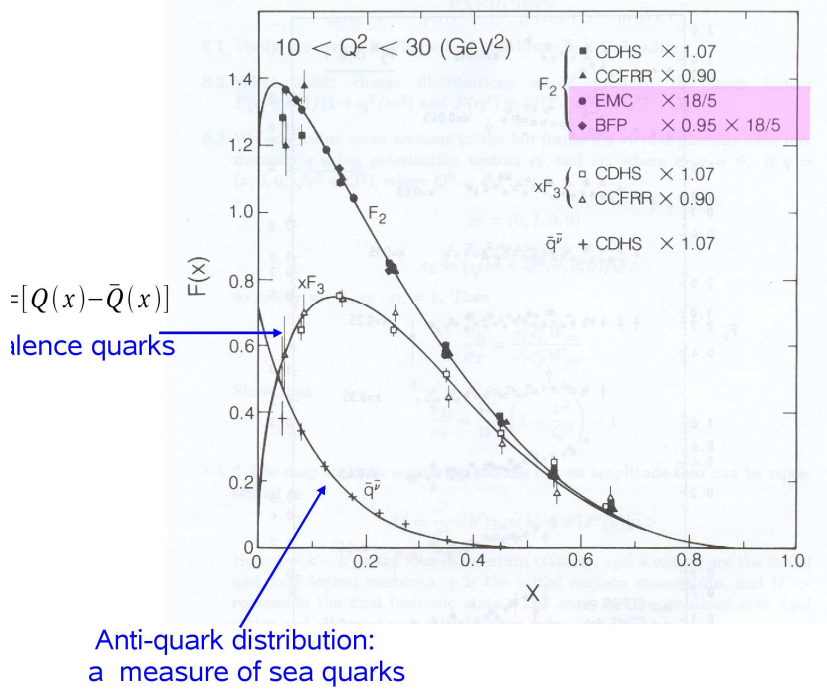
Beams contain small admixture (0.5%) of electron neutrinos !

Generation of neutrino beams



Focussing, momentum & charge selection

1. ~400 GeV proton beam on a (Be) target: secondary hadrons π, K
2. Momentum and charge selection of π 's and K 's using a focusing system
3. Selected π 's and K 's enter a decay tunnel: $\pi^\pm, K^\pm \rightarrow \mu^\pm \nu_\mu (\bar{\nu}_\mu)$
4. Remaining hadrons and decay muons are filtered by a massive absorber (~400 m iron, concrete, earth): only neutrinos after absorber



Parton distribution in eN and νN scattering

Question:

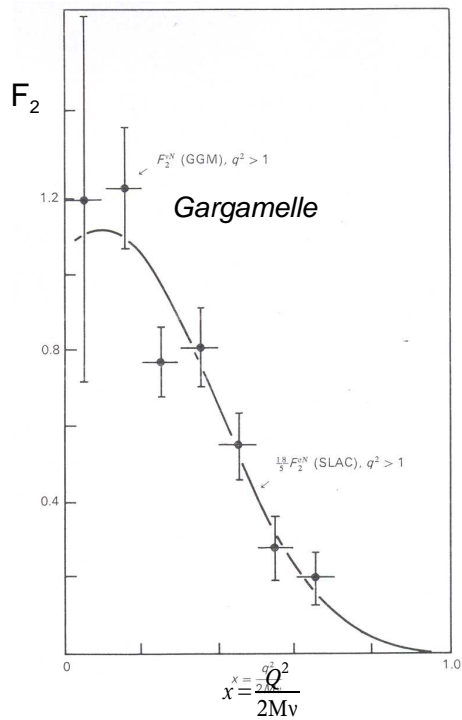
Do the parton distribution seen in electromagnetic (F_2^{eN}) and in weak interaction ($F_2^{\nu N}$) agree?

$$\rightarrow \frac{F_2^{\nu N}(x)}{F_2^{eN}(x)} = \frac{x[Q(x) + \bar{Q}(x)]}{\frac{5}{18} \cdot x[Q(x) + \bar{Q}(x)]} = \frac{18}{5}$$

↑
Factor from fractional charge

Answer:

- e.m. and weak quark structure is the same
- Factor 18/5 → fractional quark charge



Summary: eN and νN scattering (N=iso-scalar target)

eN scattering

$$\frac{d^2 \sigma^{eN}}{dx dy} = \frac{2\pi\alpha^2}{Q^4} x s [1 + (1-y)^2] \cdot \frac{5}{18} [Q(x) + \bar{Q}(x)]$$

$$F_2^{eN}(x) = \frac{5}{18} x [Q(x) + \bar{Q}(x)]$$

νN + ν̄N scattering

$$\frac{d^2 \sigma^{\nu N}}{dx dy} = \frac{G_F^2}{2\pi} x s [Q(x) + (1-y)^2 \cdot \bar{Q}(x)]$$

$$\frac{d^2 \sigma^{\bar{\nu} N}}{dx dy} = \frac{G_F^2}{2\pi} x s [\bar{Q}(x) + (1-y)^2 \cdot Q(x)]$$

$$F_2^{\nu N}(x) = x [Q(x) + \bar{Q}(x)] \quad F_3^{\nu N}(x) = x [Q(x) - \bar{Q}(x)]$$

$$F_2^{\bar{\nu} N}(x) = x [Q(x) + \bar{Q}(x)] \quad F_3^{\bar{\nu} N}(x) = x [\bar{Q}(x) - Q(x)]$$