



# **Quark-Gluon Plasma Physics**

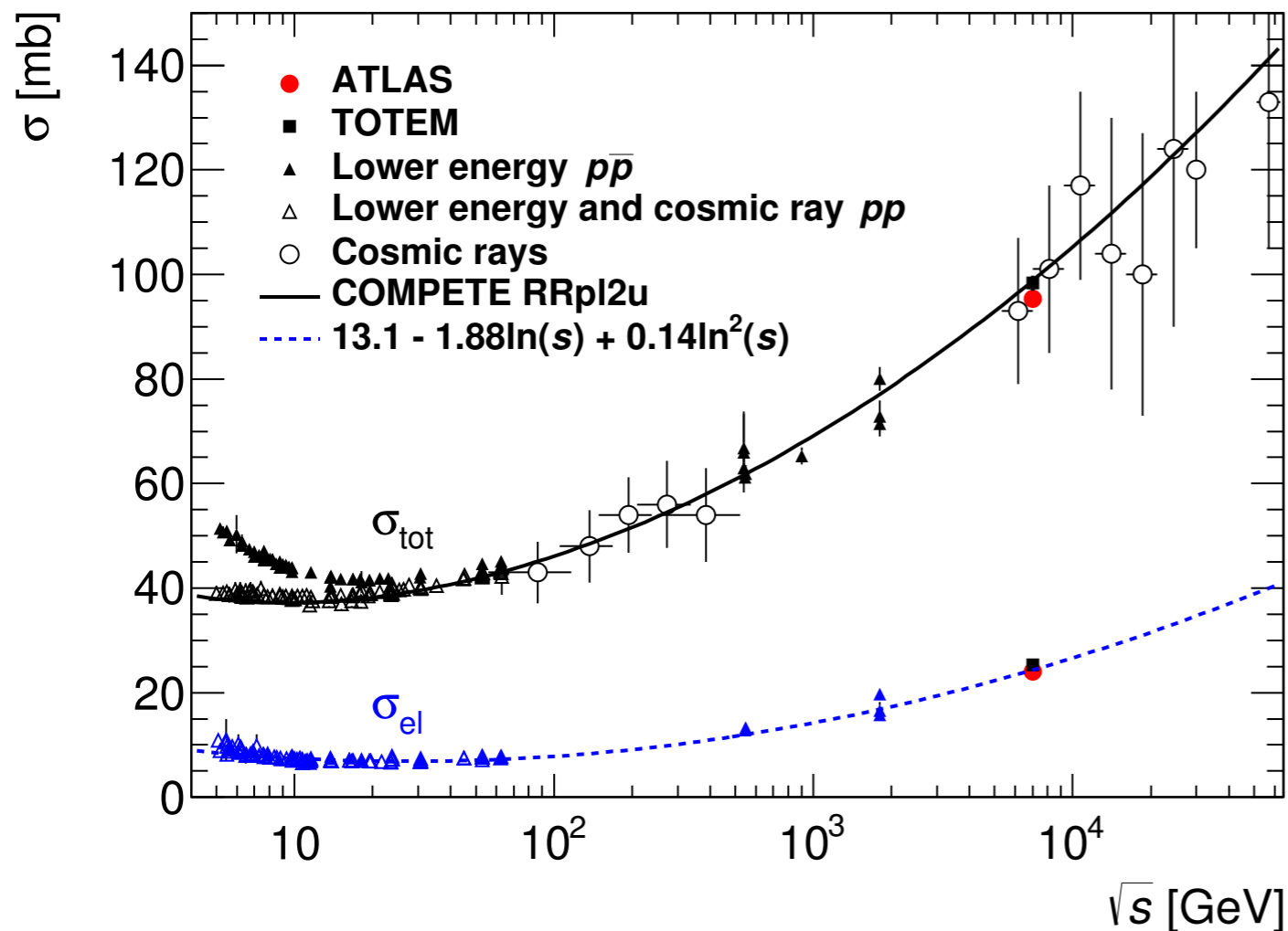
## **3. Basics of Nucleon-Nucleon and Nucleus-Nucleus Collisions**

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SS 2017**

# Part I: proton-proton collisions

# Total p+p(pbar) Cross Section

ATLAS, arXiv:1408.5778



Above  $\sim \sqrt{s} = 20$  GeV all hadronic cross sections rise with increasing  $\sqrt{s}$

Data show that

$$\sigma_{\text{tot}}(h + X) = \sigma_{\text{tot}}(\bar{h} + X)$$

(in line with Pommeranchuk's theorem)

Soft processes:

hard to calculate  $\sigma_{\text{tot}}(\sqrt{s})$  in QCD

parameterization from Regge theory:

$$\sigma_{\text{tot}} = Xs^{\epsilon} + Ys^{\epsilon'}$$

$$\epsilon = 0.08 - 0.1, \quad \epsilon' \approx -0.45$$

Modeling based on Regge theory: exchange of color-neutral object called *pomeron*

# Diffraction collisions (I)

(Single) diffraction in p+p:

“Projectile” proton is excited to a hadronic state  $X$  with mass  $M$

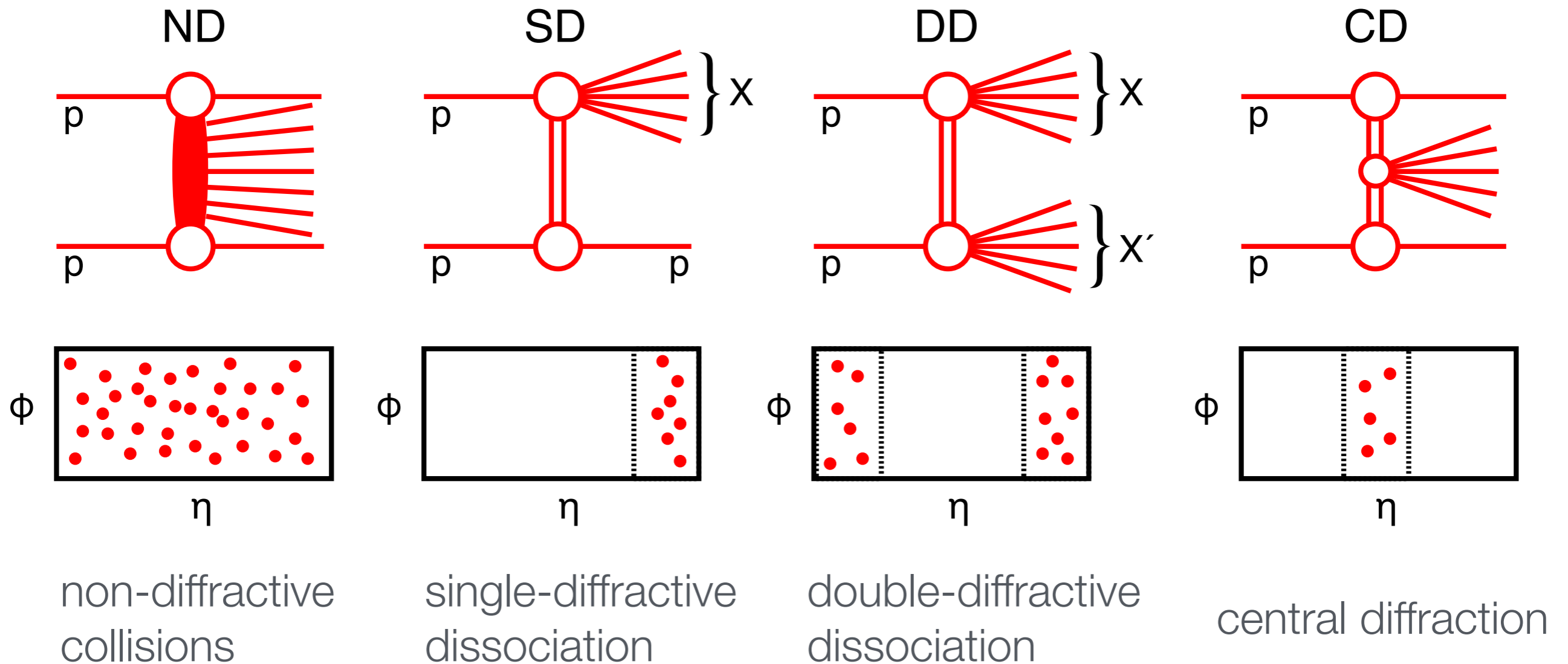
$$p_{\text{proj}} + p_{\text{targ}} \rightarrow X + p_{\text{targ}}$$

The excited state  $X$  fragments, giving rise to the production of (a small number) of particles in the forward direction

Theoretical view:

- Diffractive events correspond to the exchange of a Pomeron
- The Pomeron carries the quantum numbers of the vacuum ( $JPC = 0^{++}$ )
- Thus, there is no exchange of quantum numbers like color or charge
- In a QCD picture the Pomeron can be considered as a two- or multi-gluon state, see, e.g., O. Nachtmann ([→ link](#))

# Diffractive collisions (II)



$$\sigma_{\text{tot}} = \sigma_{\text{el}} + \sigma_{\text{inel}}, \quad \sigma_{\text{inel}} = \sigma_{\text{SD}} + \sigma_{\text{DD}} + \sigma_{\text{CD}} + \sigma_{\text{ND}}$$

# Diffractive collisions (III)

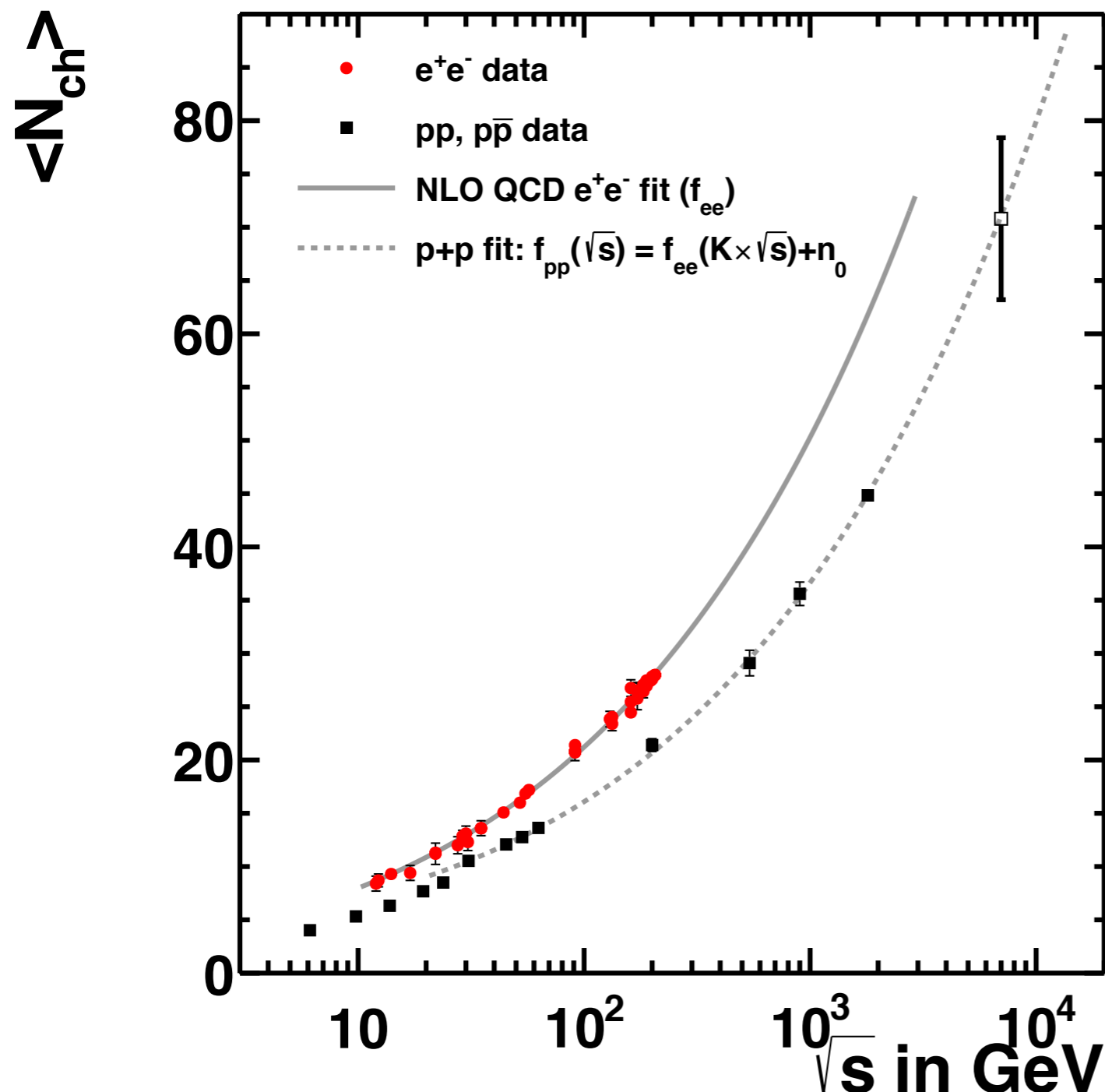
UA5, Z. Phys. C33, 175, 1986

<b><math>p + \bar{p}</math></b>	<b><math>\sqrt{s} = 200 \text{ GeV}</math></b>	<b><math>\sqrt{s} = 900 \text{ GeV}</math></b>
<b>Total inelastic</b>	<b><math>(41.8 \pm 0.6) \text{ mb}</math></b>	<b><math>(50.3 \pm 0.4 \pm 1.0) \text{ mb}</math></b>
<b>Single-diffractive</b>	<b><math>(4.8 \pm 0.5 \pm 0.8) \text{ mb}</math></b>	<b><math>(7.8 \pm 0.5 \pm 1.8) \text{ mb}</math></b>
<b>Double-diffractive</b>	<b><math>(3.5 \pm 2.2) \text{ mb}</math></b>	<b><math>(4.0 \pm 2.5) \text{ mb}</math></b>
<b>Non-diffractive</b>	<b><math>\approx 33.5 \text{ mb}</math></b>	<b><math>\approx 38.5 \text{ mb}</math></b>

Fraction of diffractive dissociation events with respect to all inelastic collisions is about 20–30% (rather independent of  $\sqrt{s}$ )

See also ATLAS, arXiv:1201.2808

# Charged-particle Multiplicity as a fct. of $\sqrt{s}$ : Similarities between pp and $e^+e^-$



The increase of  $N_{ch}$  with  $\sqrt{s}$  looks rather similar in p+p and  $e^+e^-$

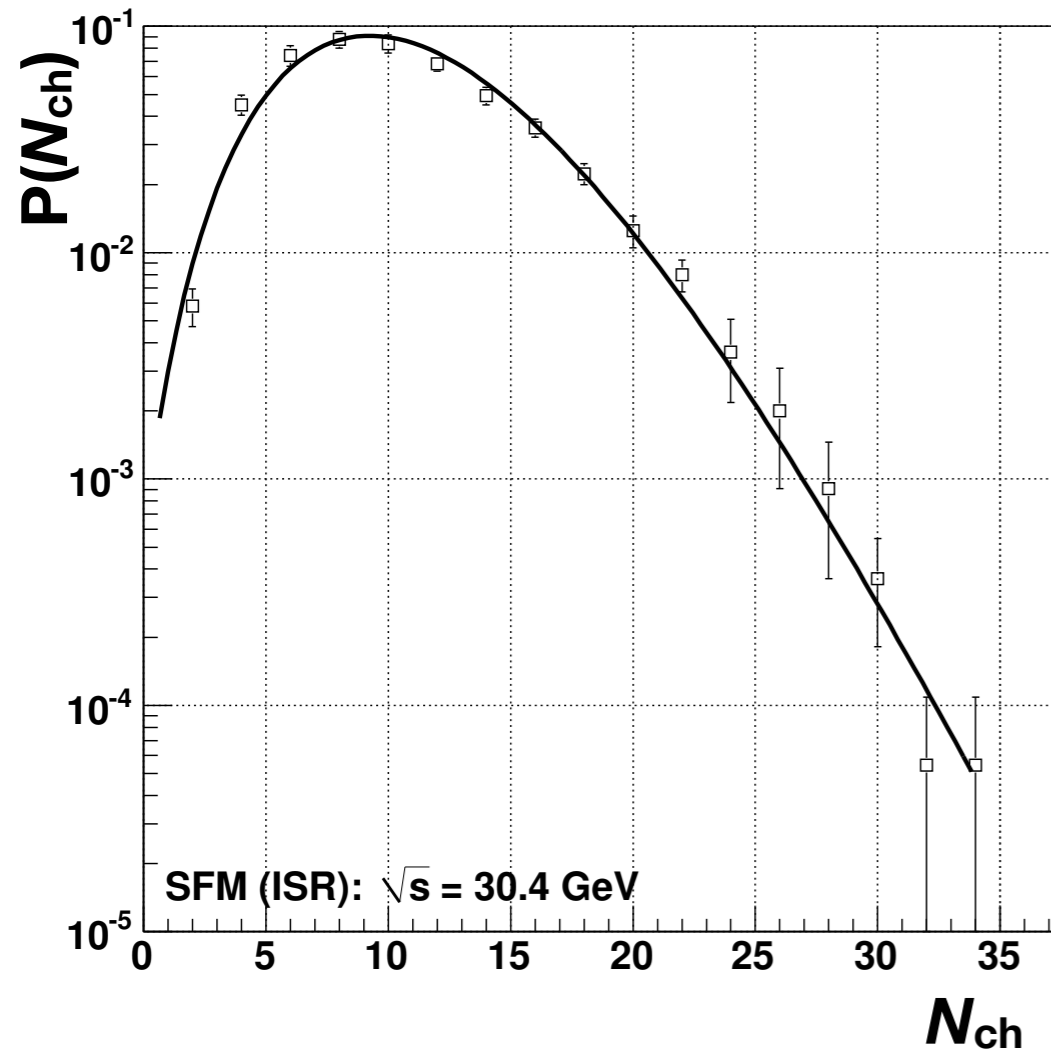
Roughly speaking, the energy available for particle production in p+p seems to be  $\sim 30\text{--}50\%$ :

$$f(\sqrt{s}) := N_{ch}^{e^+e^-}(\sqrt{s})$$

$$\rightarrow N_{ch}^{p+p} = f(K\sqrt{s_{pp}}) + n_0$$

A fit yields:  $K \approx 0.35$ ,  $n_0 \approx 2.2$

# What is the distribution of the number of produced particles per collision?



Independent sources: Poisson distribution

Observation:

Multiplicity distributions in pp,  $e^+e^-$ , and lepton-hadron collisions well described by a Negative Binomial Distribution (NBD)

Deviations from the NBD were discovered by UA5 at  $\sqrt{s} = 900$  GeV and later confirmed at the Tevatron at  $\sqrt{s} = 1800$  GeV (shoulder structure at  $n \approx 2 \langle n \rangle$ )

$$P_{\mu,k}^{\text{NBD}}(n) = \frac{(n+k-1) \cdot (n+k-2) \cdot \dots \cdot k}{\Gamma(n+1)} \left( \frac{\mu/k}{1+\mu/k} \right)^n \frac{1}{(1+\mu/k)^k}$$

$$\langle n \rangle = \mu, \quad D := \sqrt{\langle n^2 \rangle - \langle n \rangle^2} = \sqrt{\mu \left( 1 + \frac{\mu}{k} \right)}$$

Limits of the NBD:

$k \rightarrow \infty$ : Poisson distribution

integer  $k, k < 0$ : Binomial distribution

( $N = -k, p = -\langle n \rangle/k$ )



# $\pi^0$ transverse momentum distributions at different $\sqrt{s}$

Low  $p_T$  ( $< \sim 2$  GeV/c):  
"soft processes"

$$E \frac{d^3\sigma}{d^3p} = A(\sqrt{s}) \cdot e^{-\alpha p_T}, \quad \alpha \approx 6/(\text{GeV}/c)$$

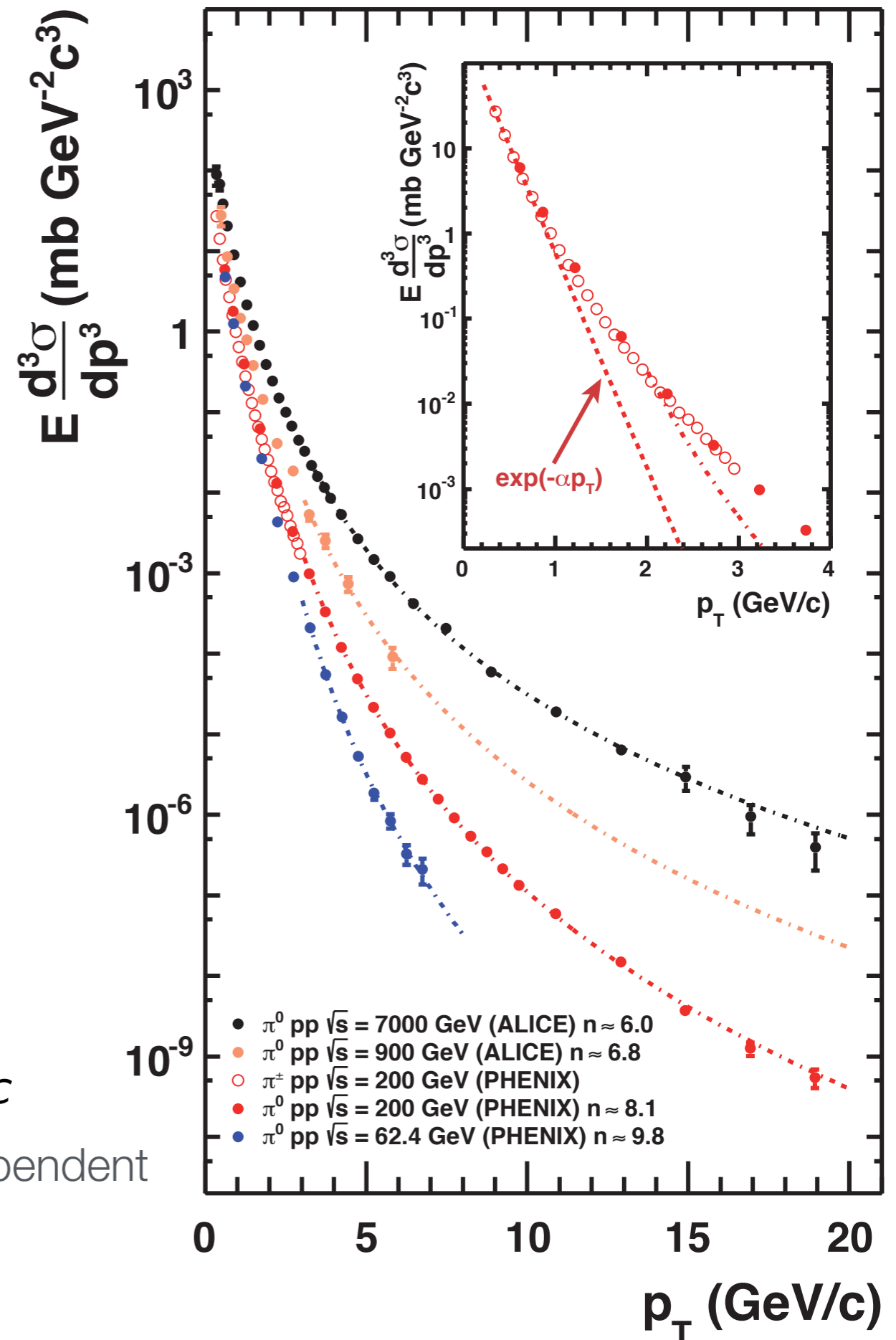
High  $p_T$  ("hard scattering"):

$$E \frac{d^3\sigma}{d^3p} = B(\sqrt{s}) \cdot \frac{1}{p_T^{n(\sqrt{s})}}$$

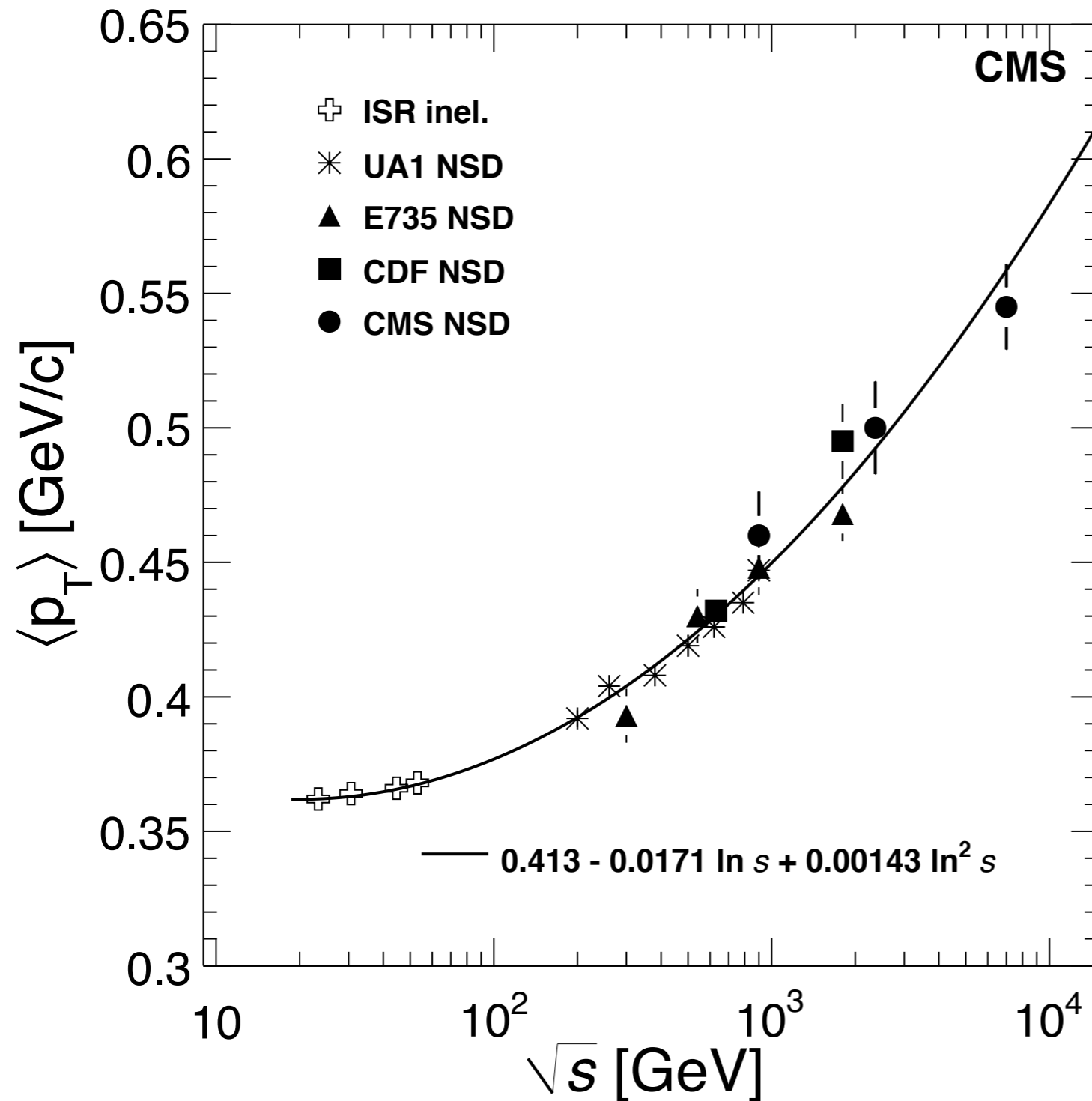
Average  $p_T$ :

$$\langle p_T \rangle = \frac{\int_0^\infty p_T \frac{dN_x}{dp_T} dp_T}{\int_0^\infty \frac{dN_x}{dp_T} dp_T} \approx 300 - 400 \text{ MeV}/c$$

pretty energy-independent  
for  $\sqrt{s} < 100$  GeV



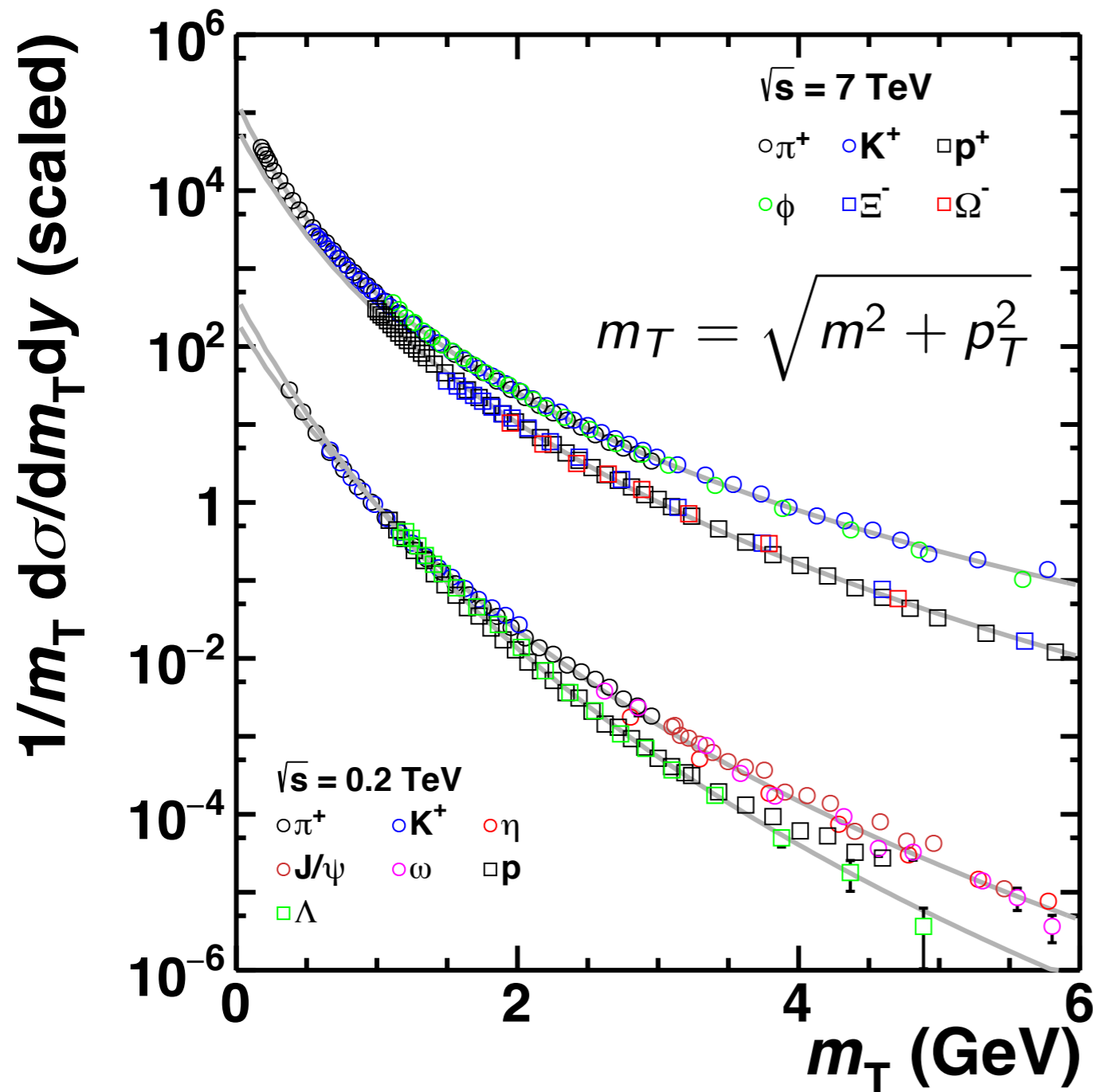
# Mean $p_T$ increases with $\sqrt{s}$



Increase of  $\langle p_T \rangle$  with  $\sqrt{s}$  (most likely) reflects increase in particle production from hard parton-parton scattering

CMS, PRL 105, 022002 (2010)  
CDF, PRL 61, 1819 (1988)

# $m_T$ scaling in pp collisions



$m_T$  scaling (early ref's):  
 Nucl. Phys. B70, 189–204 (1974)  
 Nucl. Phys. B120 (1977) 14–22

$m_T$  scaling:

shape of  $m_T$  spectra the same for different hadron species

example:  $\frac{dN/dm_T|_{\eta}}{dN/dm_T|_{\pi^0}} \approx 0.45$

possible interpretation: thermodynamic models

$$E \frac{d^3 n}{d^3 p} \propto E e^{-E/T}$$

$$\rightarrow \frac{1}{m_T} \frac{dn}{dm_T} \propto K_1 \left( \frac{m_T}{T} \right)$$

RHIC/LHC:

$m_T$  scaling (approximately) satisfied, different universal function for mesons and baryons

Do deviations from  $m_T$  scaling in pp at low  $p_T$  indicate onset of radial flow?  
 (1312.4230)

# Theoretical modeling: General considerations

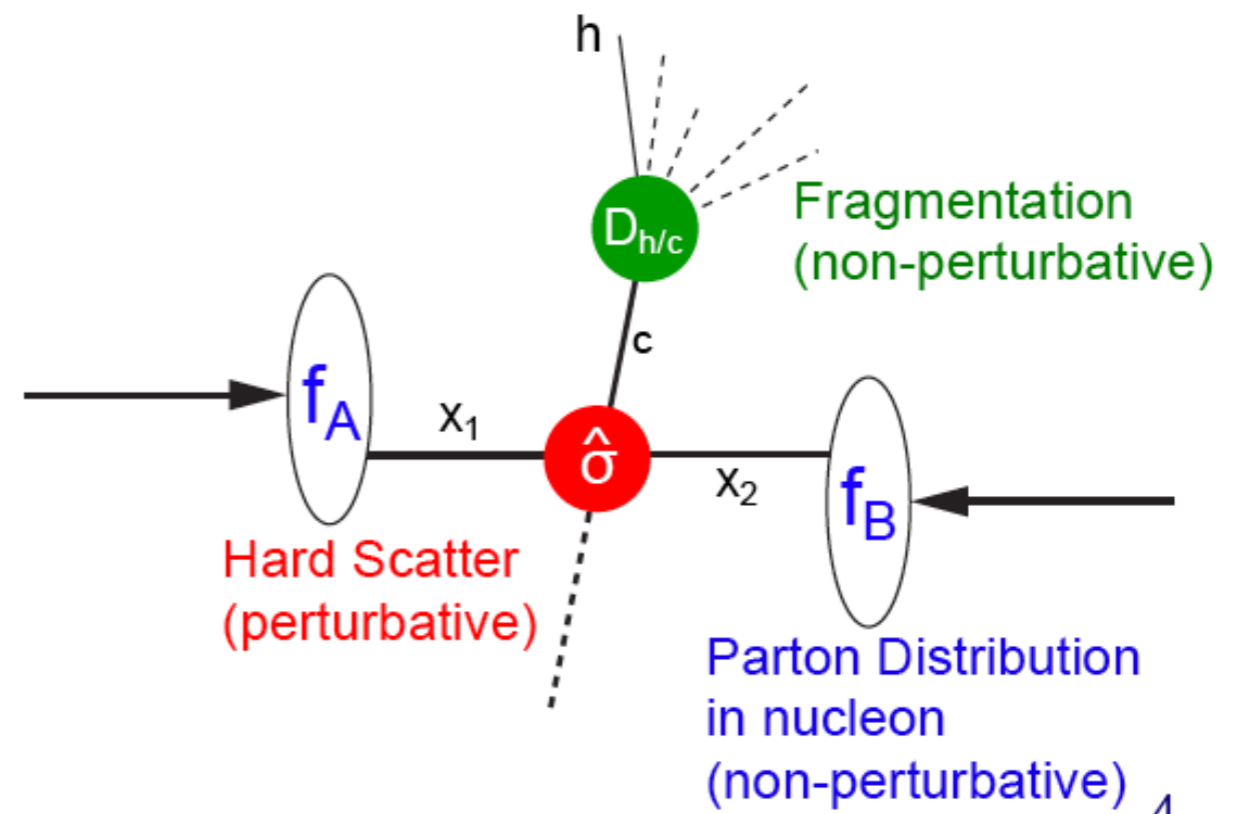
- Description of particle production amenable to perturbative methods only at sufficiently large  $p_T$  (so that  $\alpha_s$  becomes sufficiently small)

- ▶ parton distributions (PDF)
- ▶ parton-parton cross section from perturbative QCD (pQCD)
- ▶ fragmentation functions (FF)

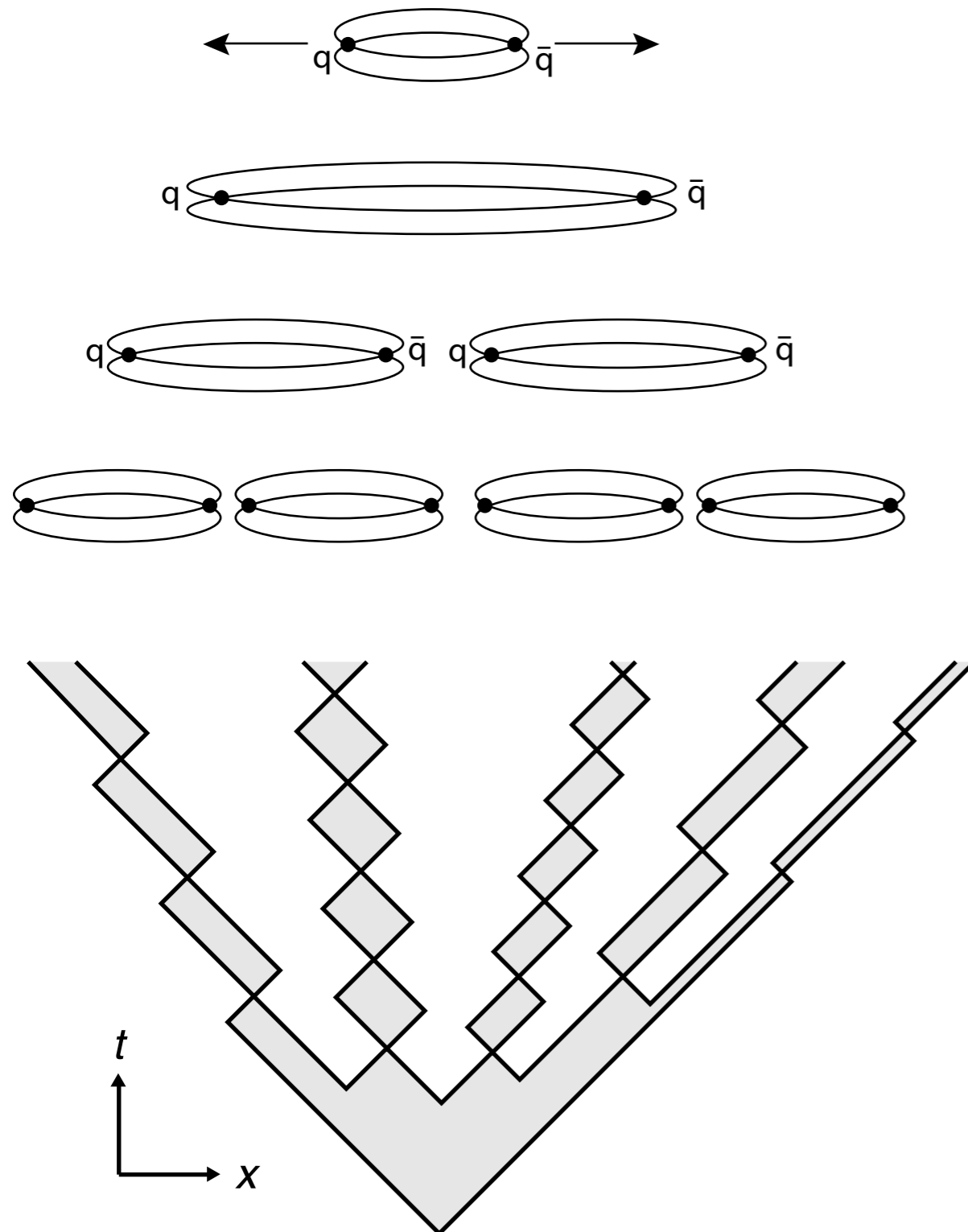
- Low- $p_T$ :  
Need to work with (QCD inspired) models, and confront them with data

- ▶ e.g. Lund string model

$$E \frac{d^3\sigma}{d^3p} = \int \text{PDF} \otimes \text{pQCD} \otimes \text{FF}$$

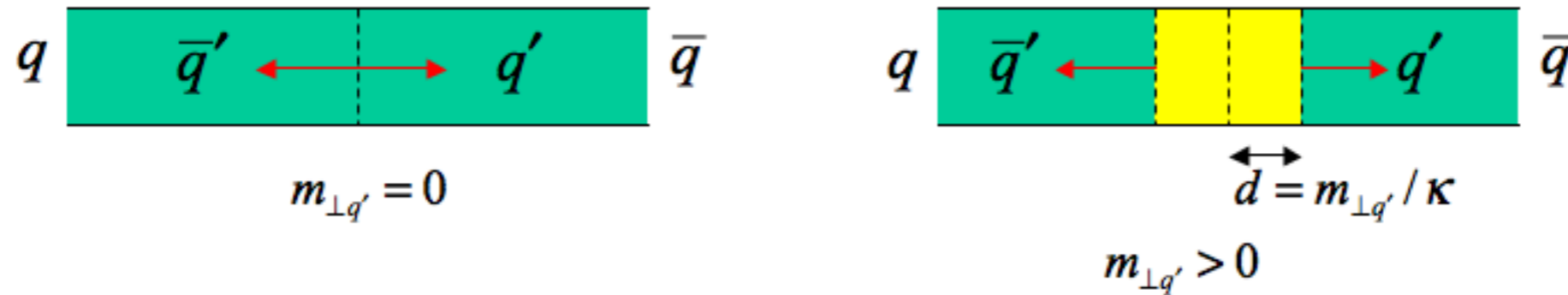


# Modeling particle production as string breaking (I)



- Color flux tube between two quarks breaks due to quark-antiquark pair production in the intense color field
- Lund model:  
The basic assumption of the symmetric Lund model is that the vertices at which the quark and the antiquark are produced lie approximately on a curve on constant proper time
- Result: flat rapidity distribution of the produced particles

# Modeling particle production as string breaking (II)



In terms of the transverse mass of the produced quark ( $m_{T,q'} = m_{T,q'\text{bar}}$ ) the probability that the break-up occurs is:

$$P \propto \exp\left(-\frac{\pi m_{\perp q'}^2}{k}\right) = \exp\left(-\frac{\pi p_{\perp q'}^2}{k}\right) \exp\left(-\frac{\pi m_{q'}^2}{k}\right)$$

This leads to a transverse momentum distribution for the quarks of the form:

$$\frac{1}{p_T} \frac{dN_{\text{quark}}}{dp_T} = \text{const.} \cdot \exp\left(-\pi p_T^2/k\right) \rightsquigarrow \sqrt{\langle p_T^2 \rangle_{\text{quark}}} = \sqrt{k/\pi}$$

For pions (two quarks) one obtains:  $\sqrt{\langle p_T^2 \rangle_{\text{pion}}} = \sqrt{2k/\pi}$

With a string tension of 1 GeV/fm this yields  $\langle p_T \rangle_{\text{pion}} \approx 0.37$  GeV/c, in approximate agreement with data

# Modeling particle production as string breaking (III)

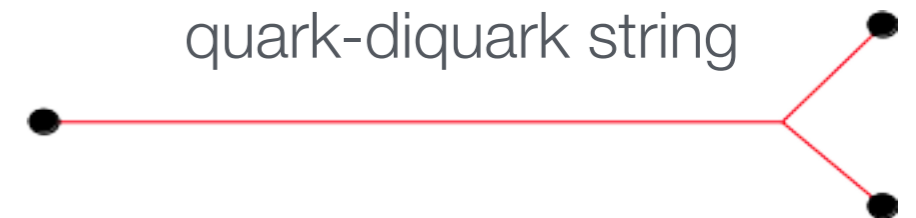
Convolution of the string breaking mechanism with fluctuations of the string tension described by a Gaussian give rise to exponential  $p_T$  spectra

Phys. Lett. B466, 301–304 (1999)

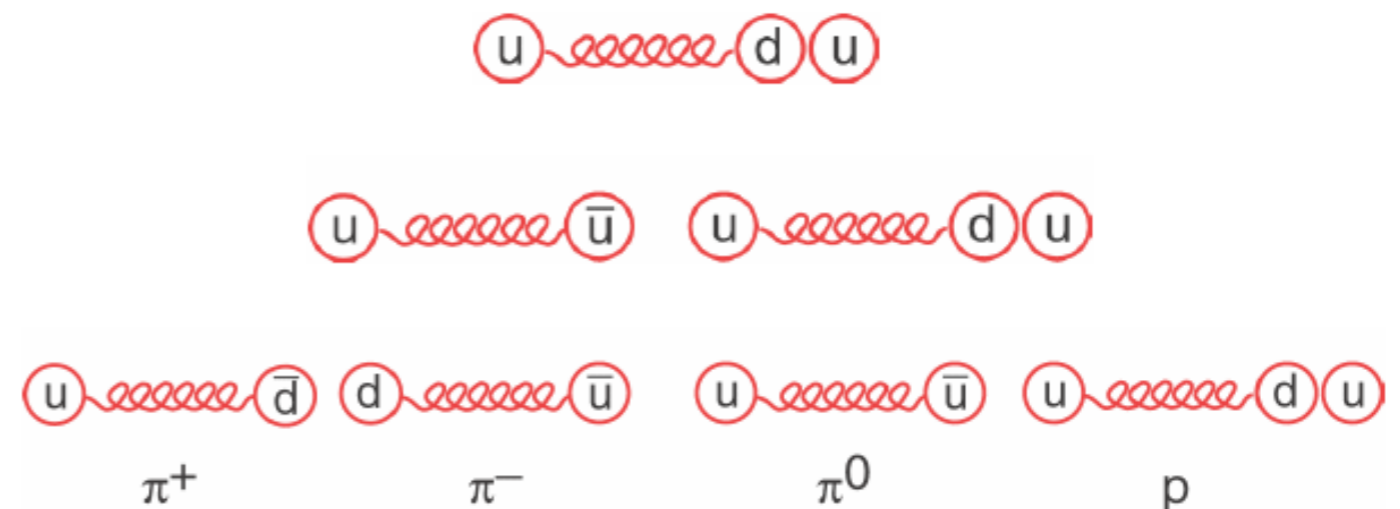
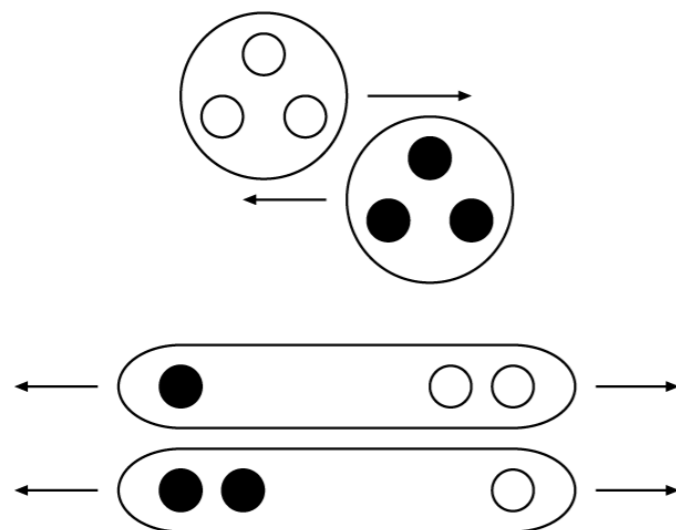
The tunneling process implies heavy-quark suppression:

$$u\bar{u} : d\bar{d} : s\bar{s} : c\bar{c} \approx 1 : 1 : 0.3 : 10^{-11}$$

The production of baryons can be modeled by replacing the q-qbar pair by an quark-diquark pair



Collisions of hadrons described as excitation of quark-diquarks strings:



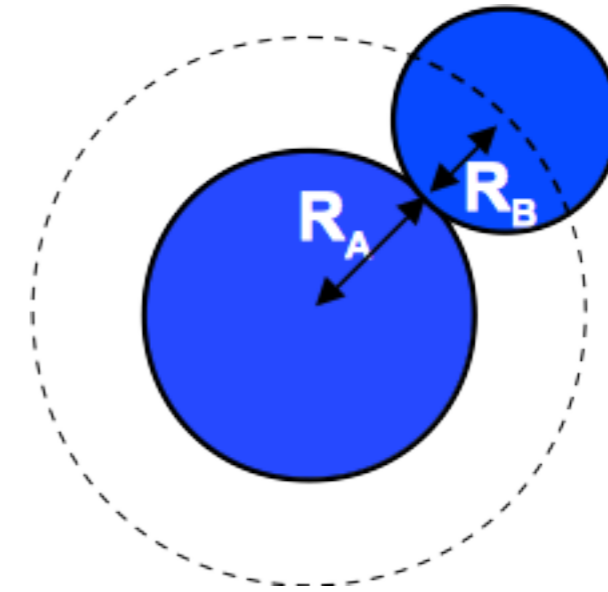
## Part II: nucleus-nucleus collisions



# Ultra-Relativistic Nucleus-Nucleus Collisions: Importance of Nuclear Geometry

## ■ Ultra-relativistic energies

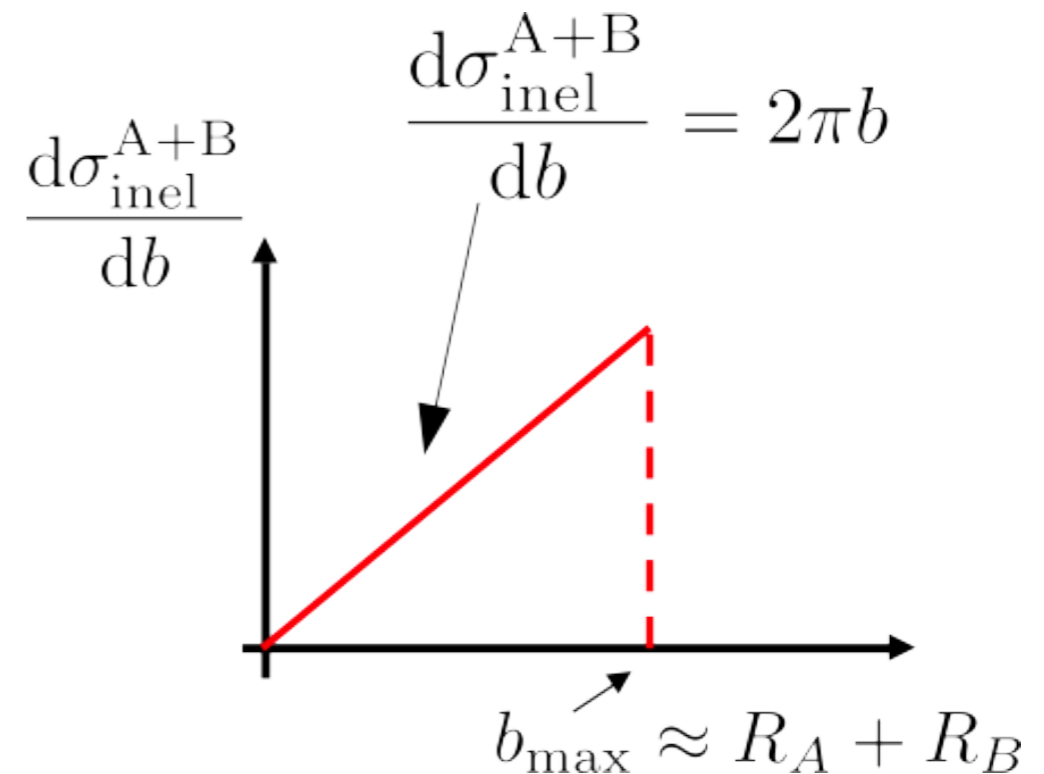
- ▶ De Broglie wave length much smaller than size of the nucleon
- ▶ Wave character of the nucleon can be neglected for the estimation of the total cross section



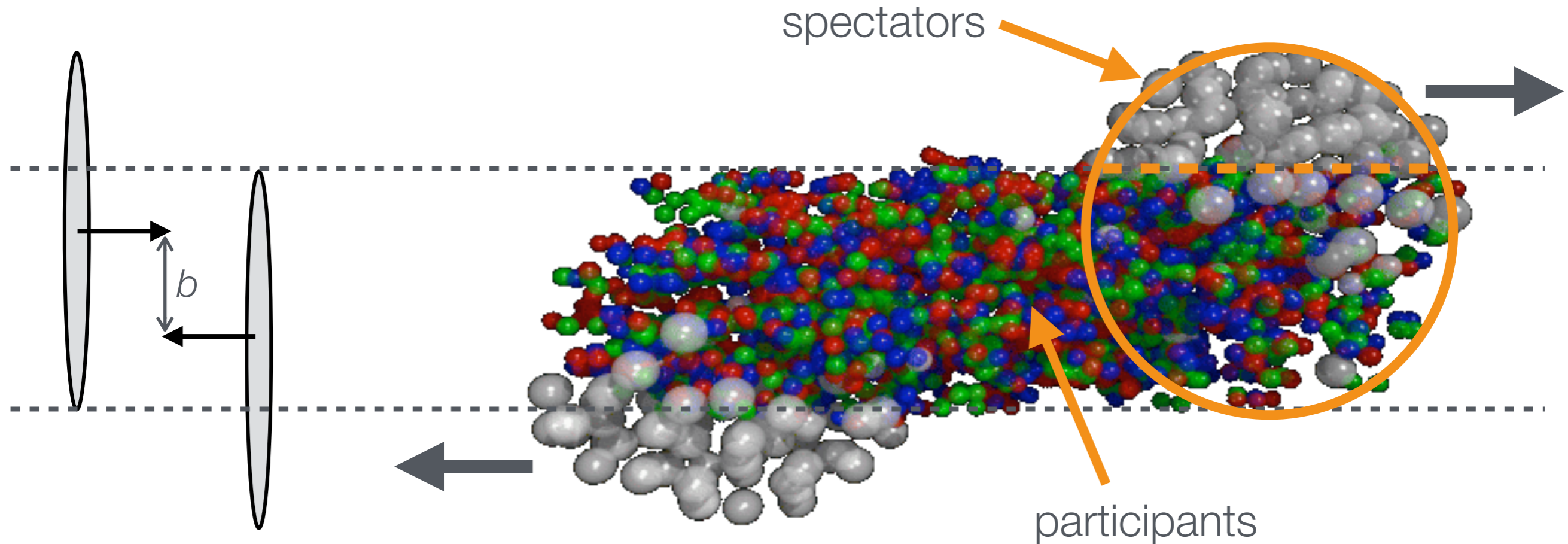
## ■ Nucleus-Nucleus collision can be considered as a collision of two black disks

$$R_A \approx r_0 \cdot A^{1/3}, \quad r_0 = 1.2 \text{ fm}$$

$$\sigma_{\text{inel}}^{A+B} \approx \sigma_{\text{geo}} \approx \pi r_0^2 (A^{1/3} + B^{1/3})^2$$

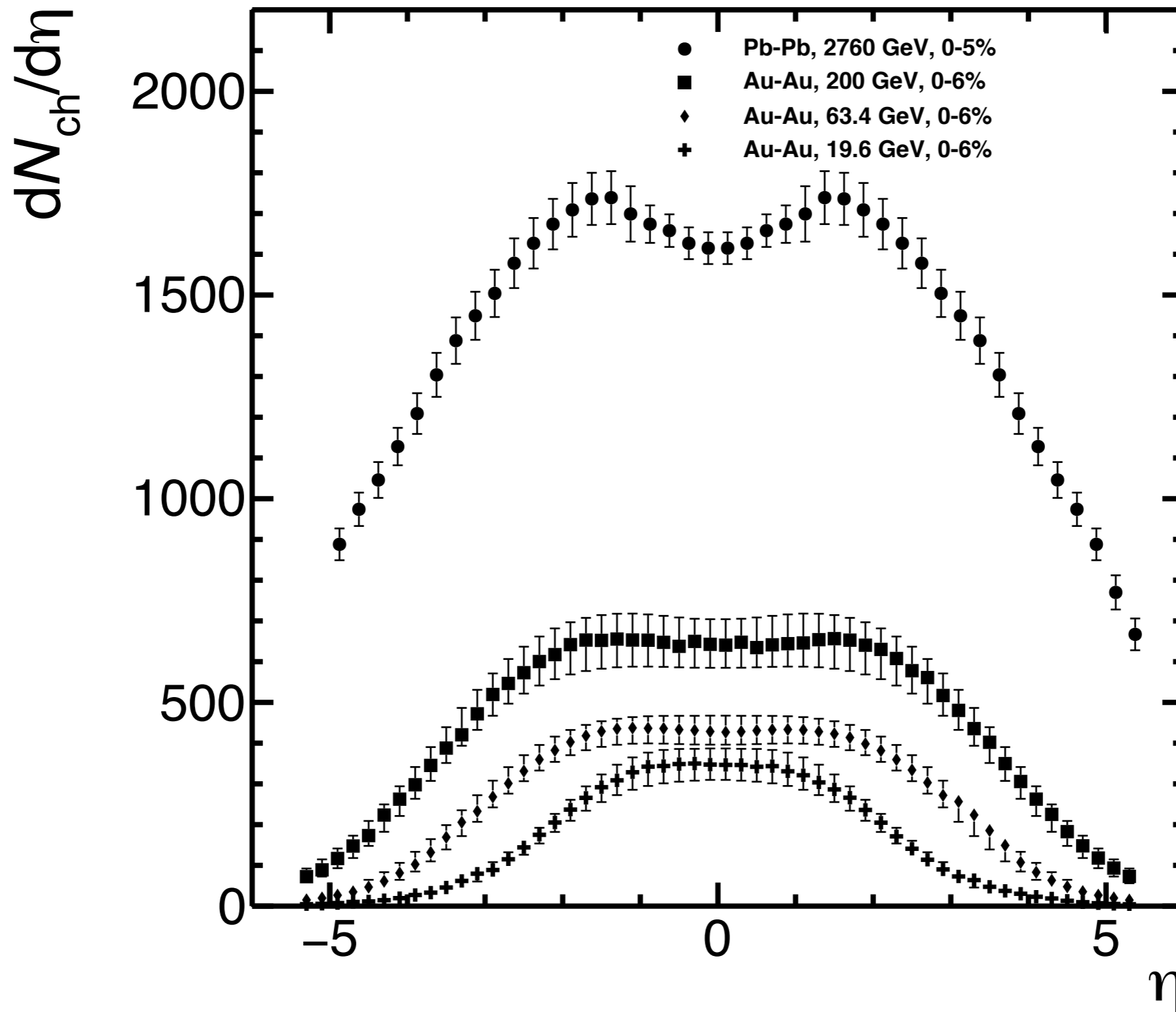


# Participants and spectators

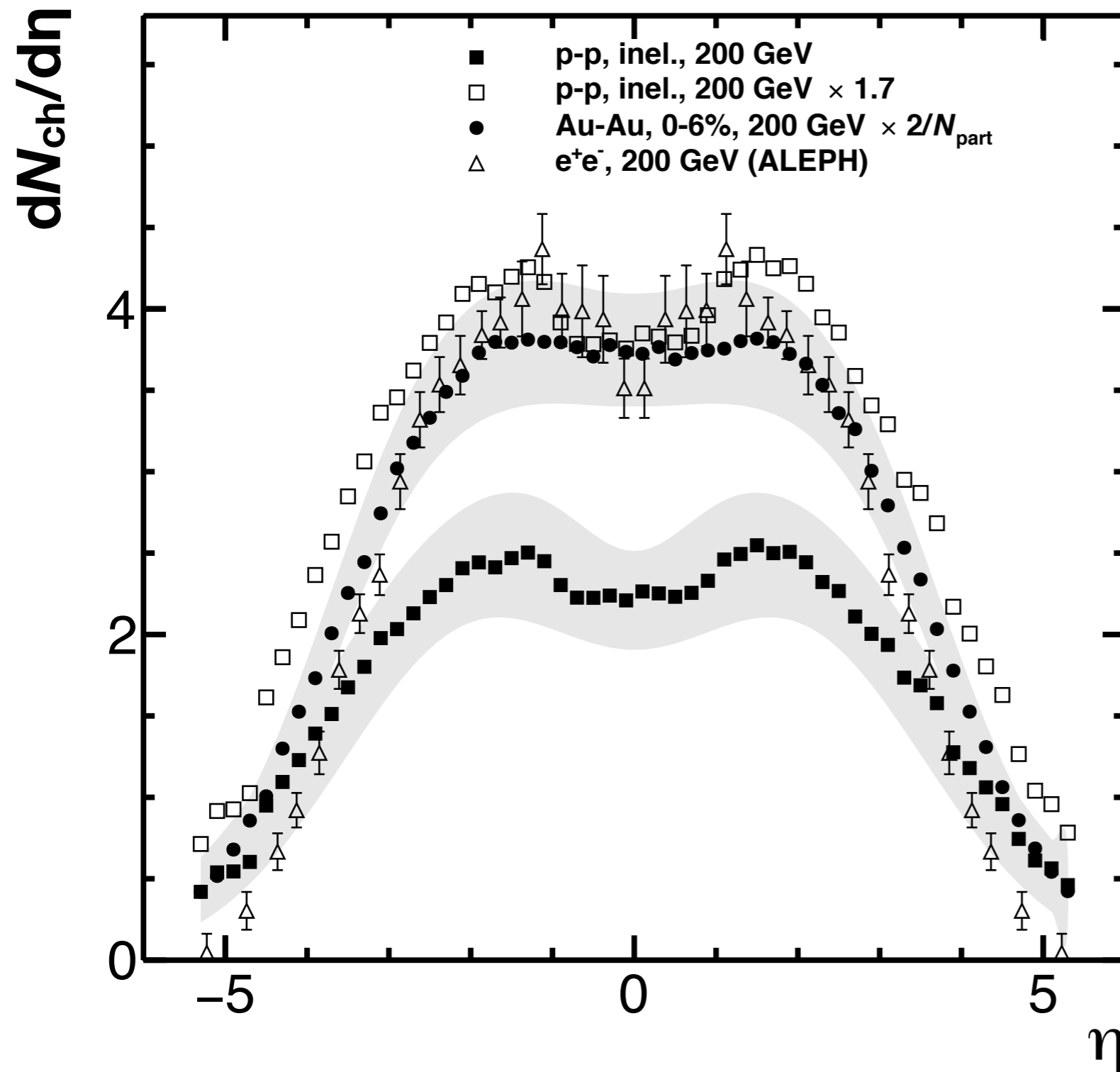


- $N_{\text{coll}}$ : number of inelastic nucleon-nucleon collisions
- $N_{\text{part}}$ : number of nucleons which underwent at least one inelastic nucleon-nucleon collisions

# Charged particle pseudorapidity distributions for different $\sqrt{s_{NN}}$

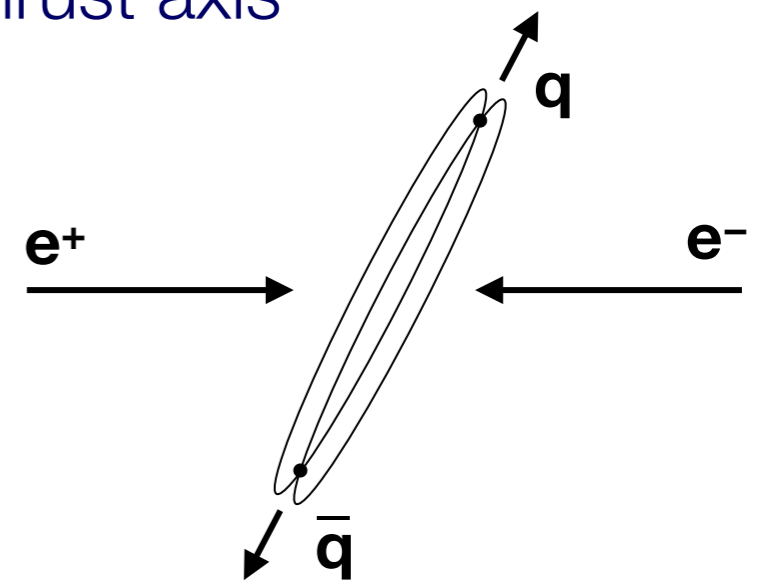


# Charged-particle Pseudorapidity Distributions: Comparison $e^+e^-$ , pp, and AA



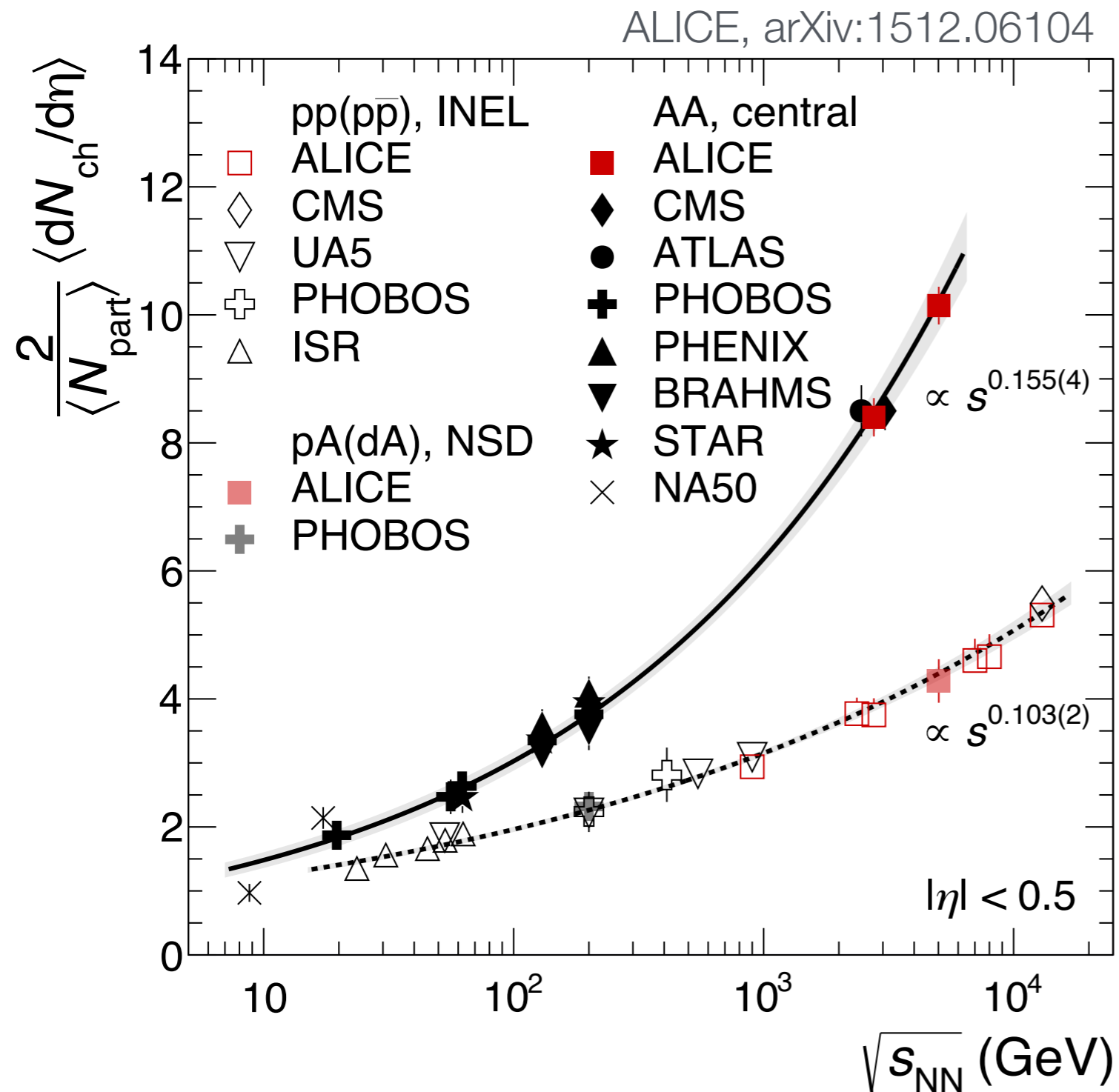
Multiplicity per participant  
higher in AA than in pp

$e^+e^-$ :  
pseudorapidity along the  
thrust axis



AA and  $e^+e^-$   $\eta$  distributions  
strikingly similar

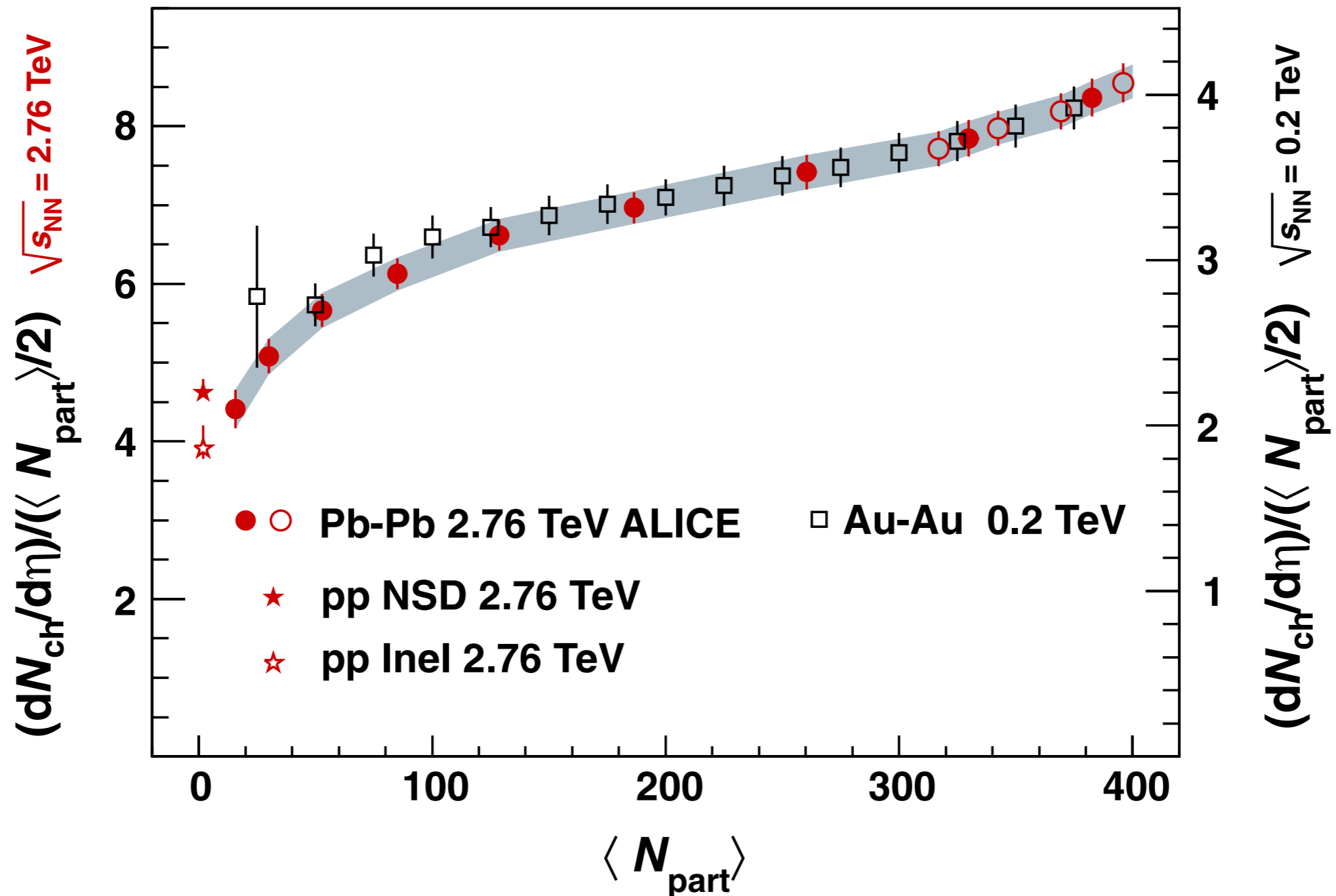
# $dN_{ch}/d\eta$ vs $\sqrt{s_{NN}}$ in pp and central A-A collisions



- $dN_{ch}/d\eta$  scales with  $s^\alpha$
- Increase in central A+A stronger than in p+p

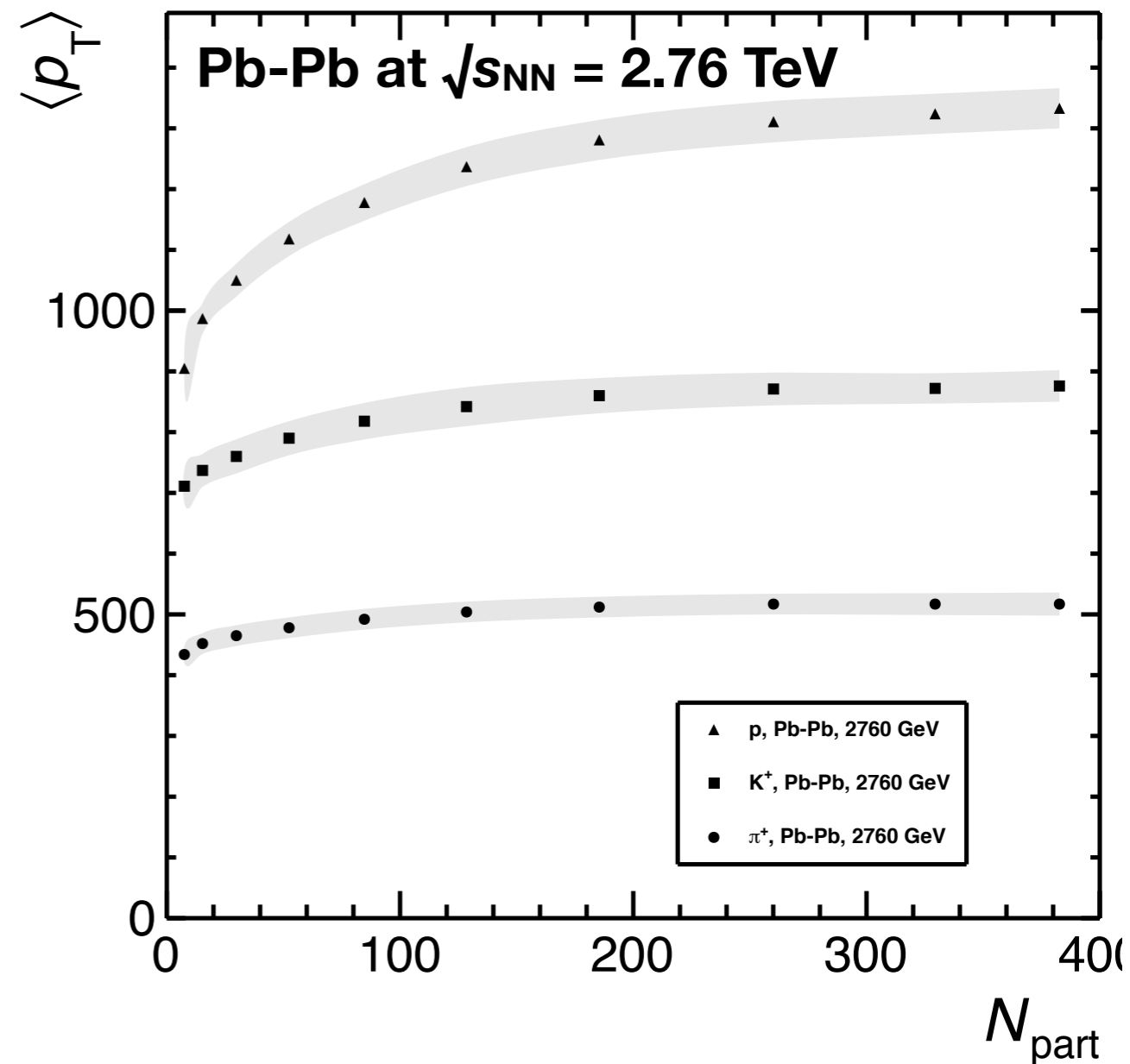
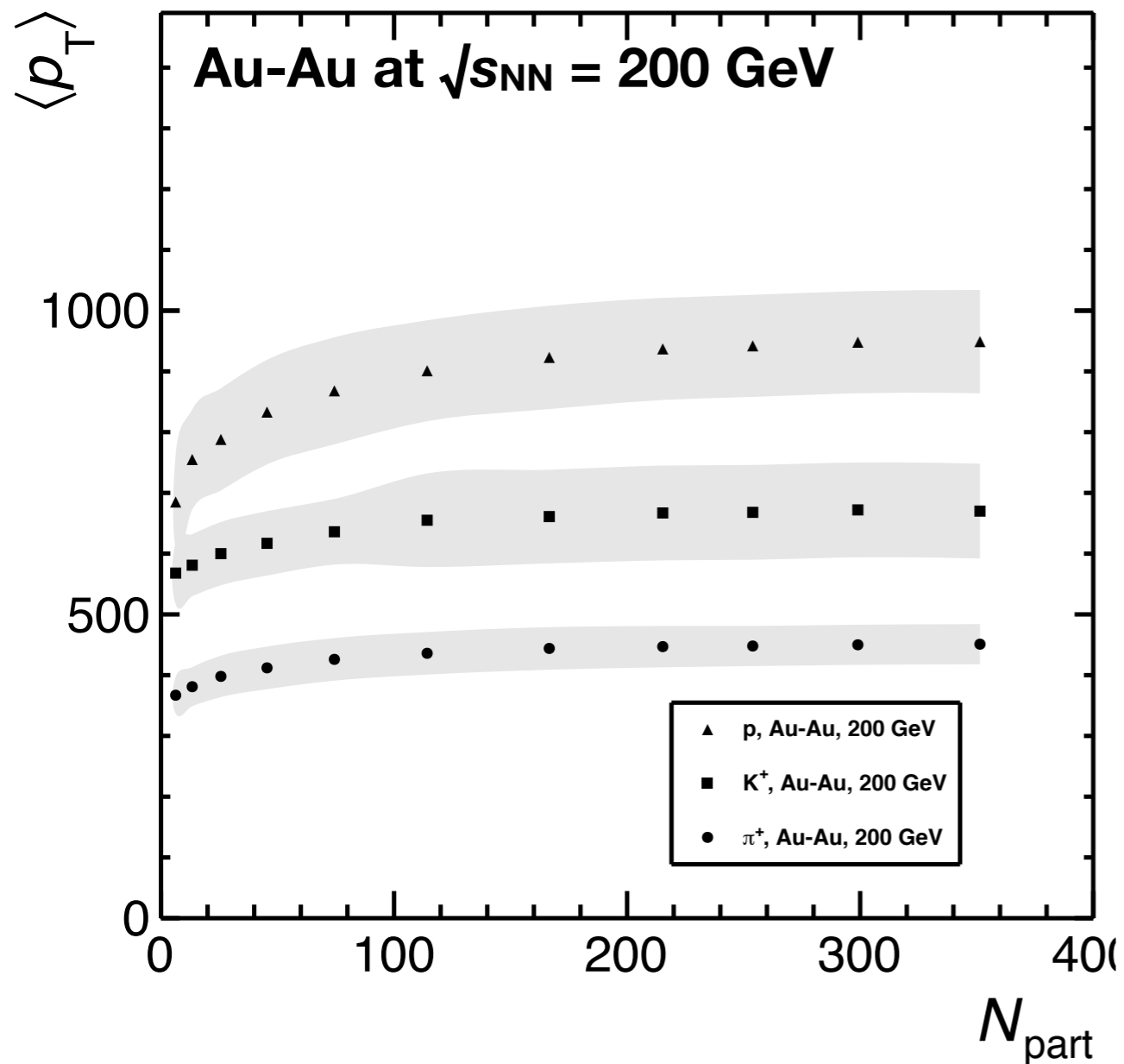
# Centrality dependence of $dN_{ch}/d\eta$

ALICE, arXiv:1012.1657



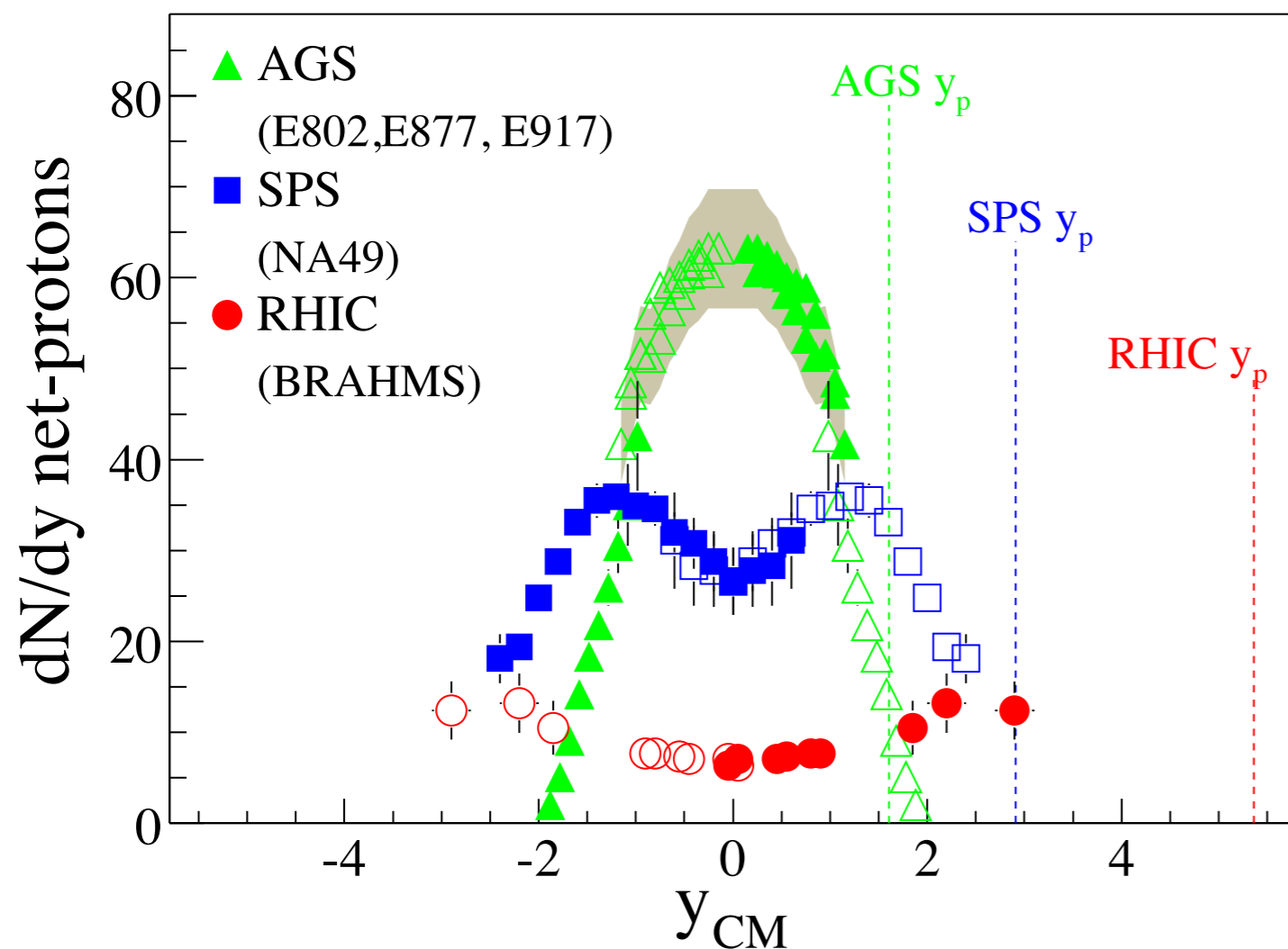
- $dN_{ch}/d\eta / N_{part}$  increases with centrality
- Relative increase similar at RHIC and the LHC: Importance of geometry!

# Average $p_T$ of pions, kaons, and protons in Au-Au@200 GeV and Pb-Pb@2.76 TeV



# Nuclear stopping power (Au-Au at $\sqrt{s_{NN}} = 200$ GeV)

Brahms, PRL 93:102301, 2004



Average rapidity loss:

Initial rapidity:

$$y_p = 5.36$$

Net baryons after the collision:

$$\langle y \rangle = \frac{2}{N_{\text{part}}} \int_0^{y_p} y \frac{dN_{B-\bar{B}}}{dy} dy$$

Average rapidity loss:

$$\langle \delta y \rangle = y_p - \langle y \rangle \approx 2$$

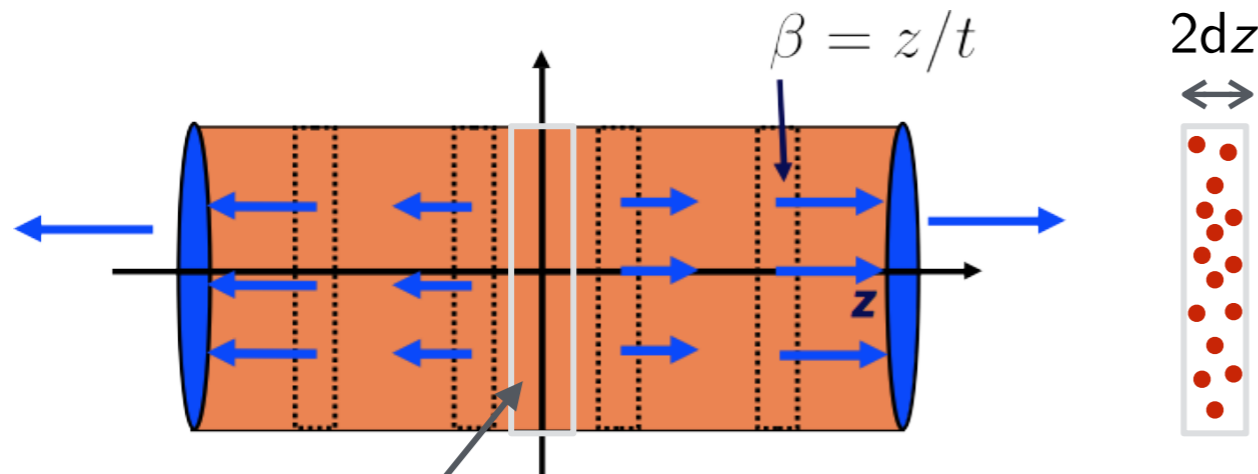
Average energy per (net) baryon:

$$E_p = 100 \text{ GeV}, \quad \langle E \rangle = \frac{1}{N_{\text{part}}} \int_{-y_p}^{y_p} \underbrace{\langle m_T \rangle \cosh y}_E \frac{dN_{B-\bar{B}}}{dy} dy \approx 27 \pm 6 \text{ GeV}$$

Average energy loss of a nucleon in central Au+Au@200GeV is  $73 \pm 6$  GeV



# Bjorken's formula for the initial energy density



Consider total energy in slice at  $z = 0$  at time  $\tau_0$

Assumptions:

- Particles (quarks and gluons) materialize at proper time  $\tau_0$
- Position  $z$  and longitudinal velocity (i.e. rapidity) are correlated
  - ▶ So as if particles streamed freely from the origin

$$z = \tau \sinh y$$

$$\varepsilon = \frac{E}{V} = \frac{1}{A} \frac{dE}{dz} \Big|_{z=0} = \frac{1}{A} \frac{dE}{dy} \Big|_{y=0} \frac{dy}{dz} \Big|_{z=0} = \frac{1}{A} \frac{dE}{dy} \Big|_{y=0} \frac{1}{\tau} = \frac{\langle m_T \rangle}{A \cdot \tau} \frac{dN}{dy} \Big|_{y=0}$$

$A$  = transverse area

$$\varepsilon = \frac{1}{A \cdot \tau_0} \frac{dE_T}{dy} \Big|_{y=0}, \quad \tau_0 \approx 1 \text{ fm}/c$$

# Energy density in central Pb-Pb collisions at the LHC

$$\begin{aligned}\varepsilon &= \frac{1}{A \cdot \tau_0} \left. \frac{dE_T}{dy} \right|_{y=0} \\ &= \frac{1}{A \cdot \tau_0} J(y, \eta) \left. \frac{dE_T}{d\eta} \right|_{\eta=0} \\ &\quad \text{with } J(y, \eta) \approx 1.09\end{aligned}$$

Transverse area:

$$A = \pi R_{Pb}^2 \quad \text{with } R_{Pb} \approx 7 \text{ fm}$$

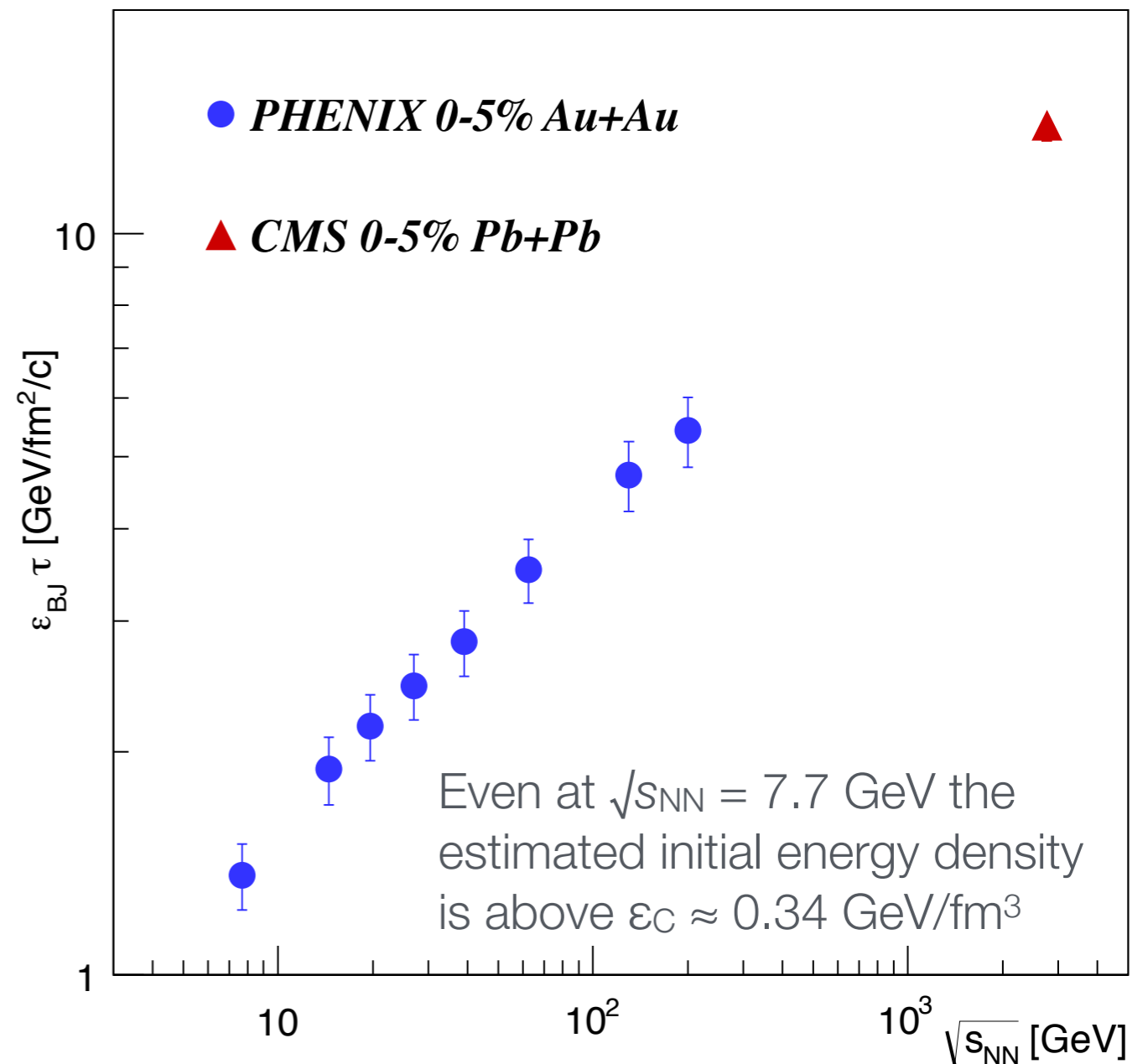
Central Pb-Pb at  $\sqrt{s_{NN}} = 2.76 \text{ TeV}$ :

$$dE_T/d\eta = 2000 \text{ GeV}$$

Energy density:

$$\begin{aligned}\varepsilon_{LHC} &= 14 \text{ GeV/fm}^3 \\ &\approx 2.6 \times \varepsilon_{RHIC} \text{ for } \tau_0 = 1 \text{ fm}/c\end{aligned}$$

PHENIX, arXiv:1509.06727

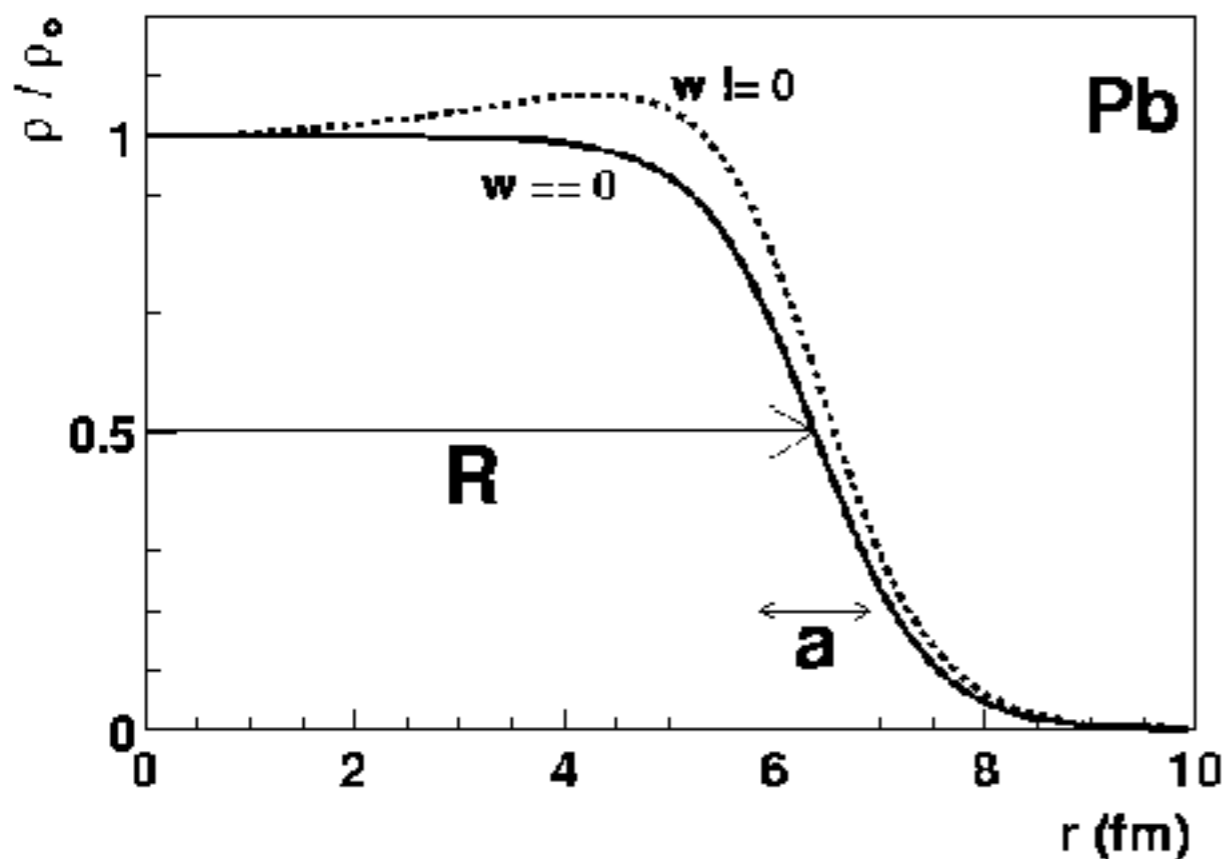


# Glauber modeling:

## An interface between theory and experiment

Starting point: nucleon density

$$\rho(r) = \frac{\rho_0 (1 + wr^2/R^2)}{1 + \exp((r - R)/a)}$$



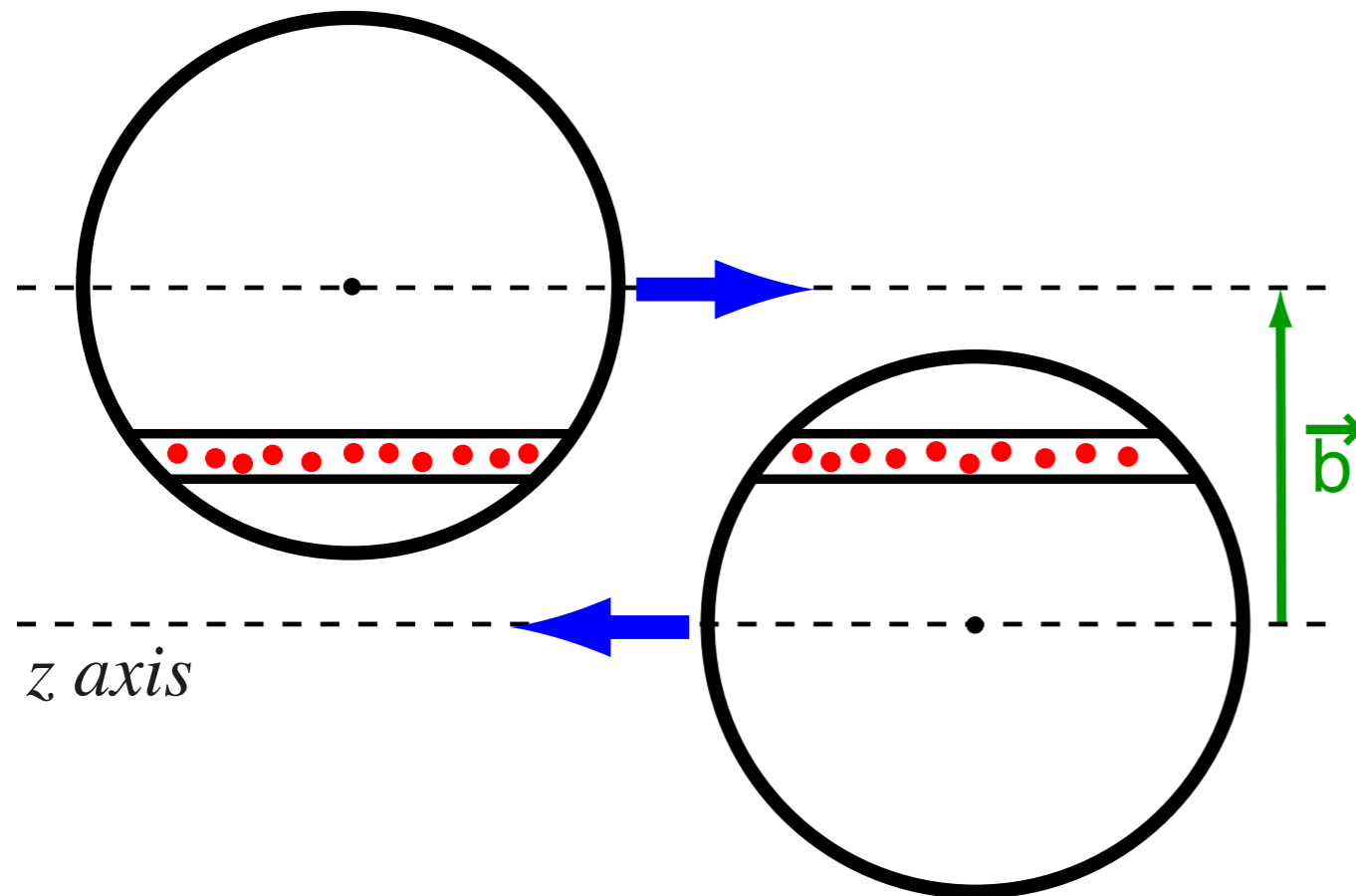
Nucleus	A	R (fm)	a (fm)	w
C	12	2.47	0	0
O	16	2.608	0.513	-0.051
Al	27	3.07	0.519	0
S	32	3.458	0.61	0
Ca	40	3.76	0.586	-0.161
Ni	58	4.309	0.516	-0.1308
Cu	63	4.2	0.596	0
W	186	6.51	0.535	0
<b>Au</b>	<b>197</b>	<b>6.38</b>	<b>0.535</b>	<b>0</b>
Pb	208	6.68	0.546	0
U	238	6.68	0.6	0

Woods-Saxon parameters typically from e<sup>-</sup>-nucleus scattering (sensitive to charge distribution only)

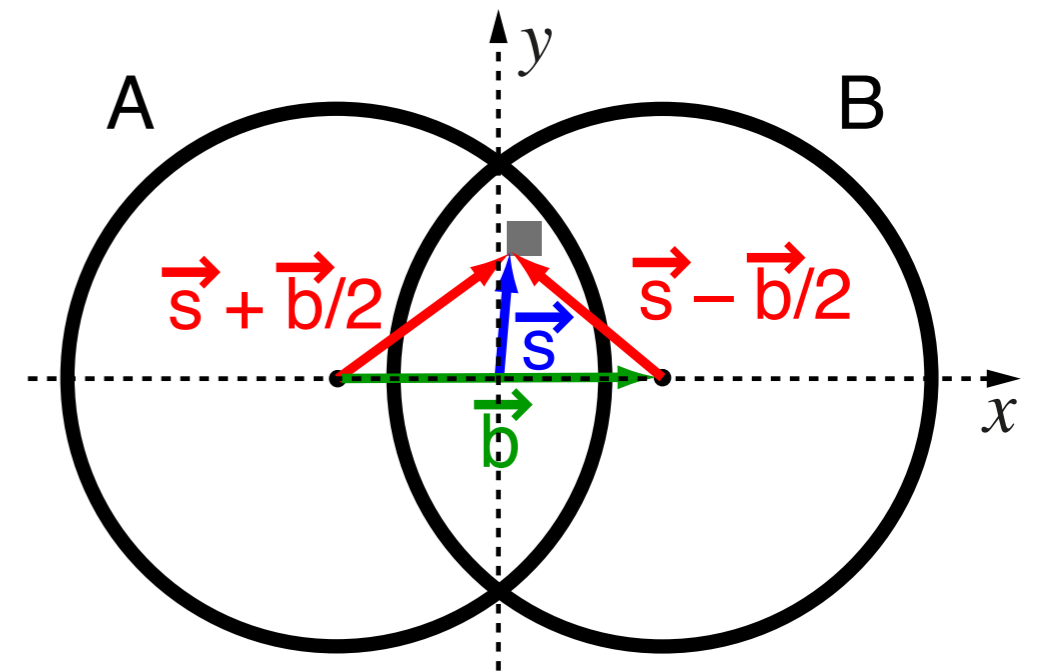
Difference between neutron and proton distribution small and typically neglected

# Nuclear thickness function

side view:



transverse plane:



Projection of nucleon density on the transverse plane ("nuclear thickness fct.):

$$T_A(\vec{s}') = \int dz \rho_A(z, \vec{s}')$$

(analogous for nucleus B)

Number of nucleon-nucleon encounters per transverse area element:

$$dT_{AB} = T_A(\vec{s} + \vec{b}/2) \cdot T_B(\vec{s} - \vec{b}/2) d^2s$$

# Nuclear overlap function and the number of nucleon-nucleon collisions

Nuclear overlap function:

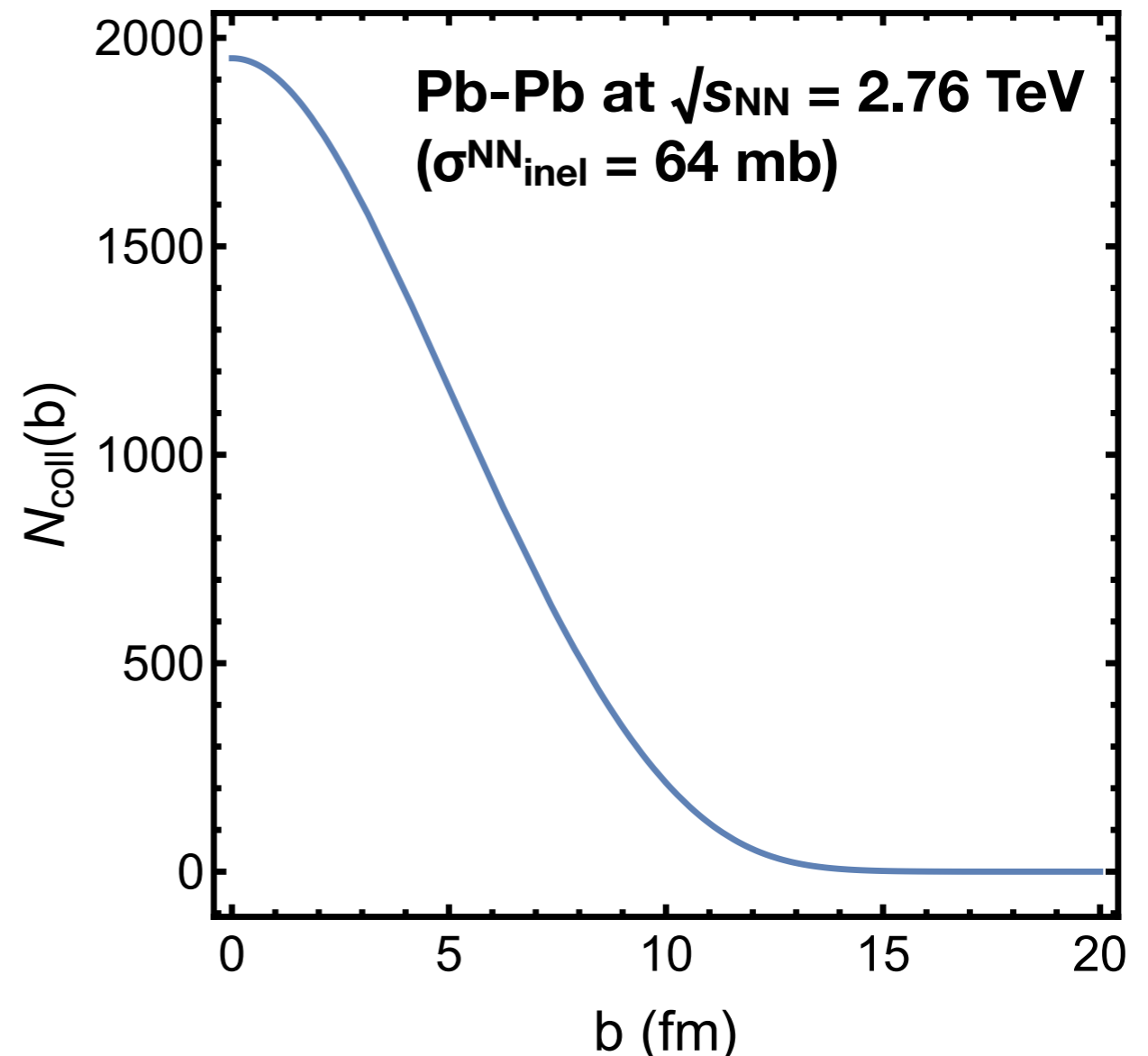
$$T_{AB}(\vec{b}) = \int T_A(\vec{s} + \vec{b}/2) \cdot T_B(\vec{s} - \vec{b}/2) d^2s$$

Nuclear overlap function resembles the integrated luminosity of a collider:

$$N_{\text{coll}}(b) = T_{AB}(b) \cdot \sigma_{\text{inel}}^{\text{NN}}$$

Or, more generally for a process with cross section  $\sigma_{\text{int}}$ :

$$N_{\text{int}}(b) = T_{AB}(b) \cdot \sigma_{\text{int}}$$



# Probability for an inelastic A+B collision

Def's (different normalization of the thickness functions):

$$\hat{T}_A(\vec{s}') = T_A(\vec{s}')/A \quad \hat{T}_B(\vec{s}') = T_B(\vec{s}')/B \quad \hat{T}_{AB}(\vec{b}) = T_{AB}(\vec{b})/(AB)$$

We can then write:

$$N_{\text{coll}}(b) = AB \hat{T}_{AB}(b) \cdot \sigma_{\text{inel}}^{\text{NN}}$$

$$p_{\text{NN}} = \hat{T}_{AB}(\vec{b}) \cdot \sigma_{\text{inel}}^{\text{NN}}$$

\ probability for a certain nucleon from nucleus A to collide with a certain nucleon from nucleus B

Probability for  $k$  nucleon-nucleon coll.:

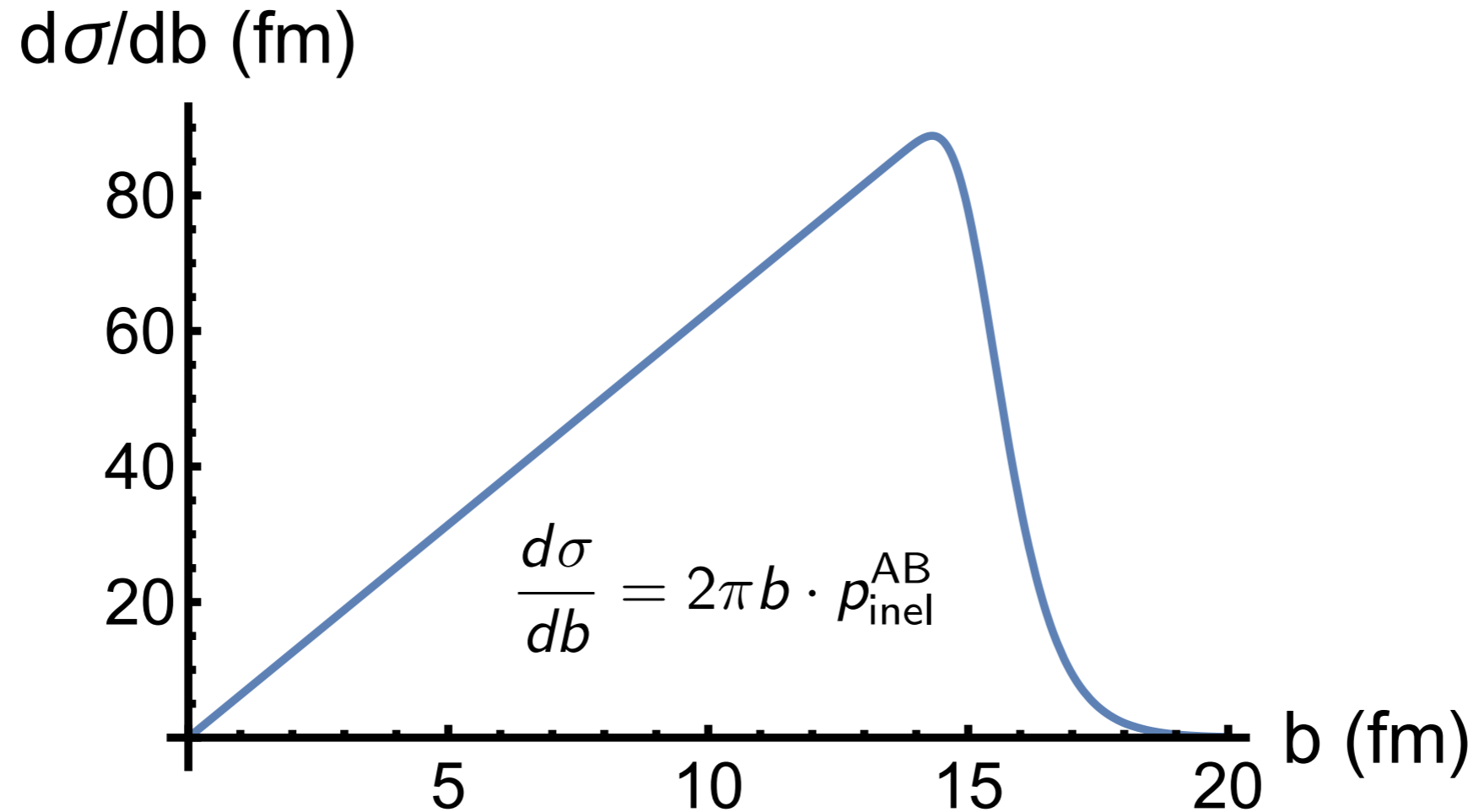
$$P(k, \vec{b}) = \binom{AB}{k} p_{\text{NN}}^k (1 - p_{\text{NN}})^{AB-k}$$

Probability for  $k = 0$  is  $(1 - p_{\text{NN}})^{AB}$ . Thus:

$$p_{\text{inel}}^{\text{AB}}(\vec{b}) = 1 - (1 - \hat{T}_{AB}(\vec{b}) \cdot \sigma_{\text{inel}}^{\text{NN}})^{AB} \approx 1 - \exp(-AB \hat{T}_{AB}(\vec{b}) \cdot \sigma_{\text{inel}}^{\text{NN}})$$

\ Poisson limit of the binomial distribution

# $d\sigma/db$ for Pb-Pb



Total cross section: 
$$\sigma_{\text{inel}}^{\text{AB}} = \int_0^{\infty} \frac{d\sigma}{db} db \approx 784 \text{ fm}^2 = 7.84 \text{ b}$$

# Number of participants

Probability that a test nucleon of nucleus A interacts with a certain nucleon of nucleus B:

$$p_{\text{NN,A}}(\vec{s}) = \hat{T}_{\text{B}}(\vec{s} - \vec{b}/2)\sigma_{\text{inel}}^{\text{NN}}$$

Probability that the test nucleon does not interact with any of the  $B$  nucleons of nucleus B:

$$(1 - p_{\text{NN,A}}(\vec{s}))^B$$

Probability that the test nucleon makes at least one interaction:

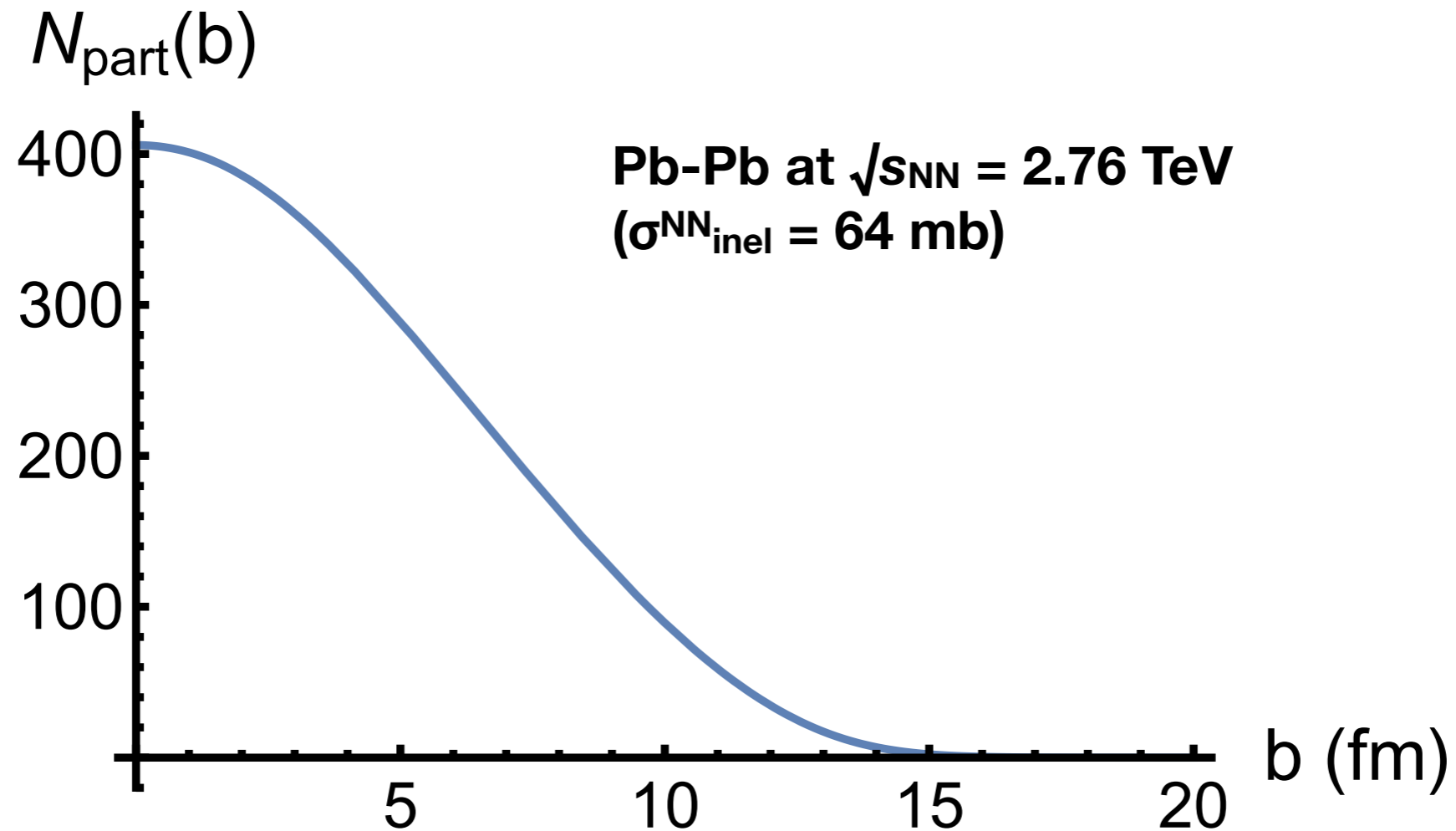
$$1 - (1 - p_{\text{NN,A}}(\vec{s}))^B \approx 1 - \exp(-Bp_{\text{NN,A}}(\vec{s}))$$

Number of participants:

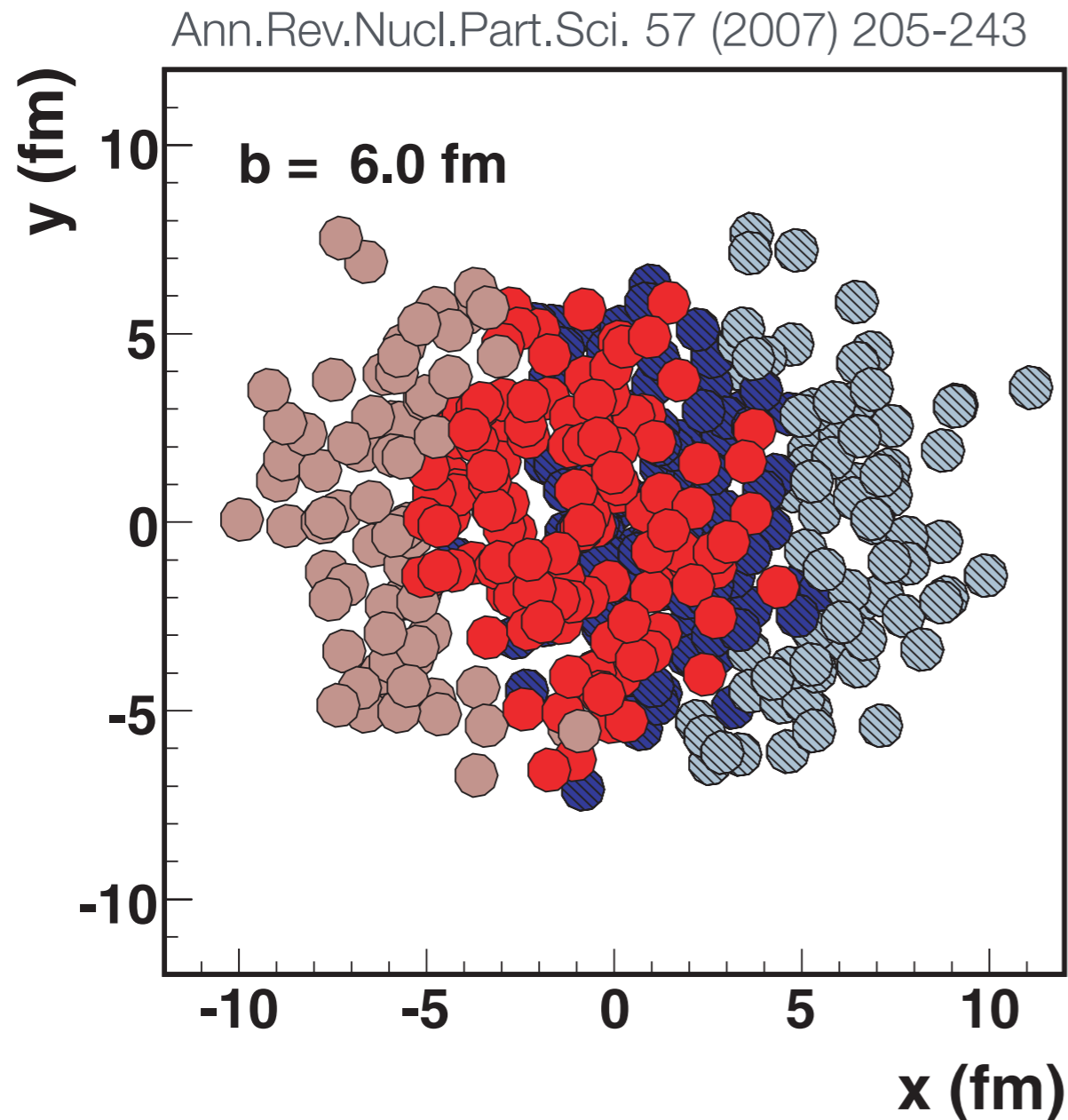
$$\begin{aligned} N_{\text{part}}(\vec{b}) &= N_{\text{part}}^{\text{A}}(\vec{b}) + N_{\text{part}}^{\text{B}}(\vec{b}) \\ &= \int T_{\text{A}}(\vec{s} + \vec{b}/2) \cdot \left[ 1 - \exp(-T_{\text{B}}(\vec{s} - \vec{b}/2)\sigma_{\text{inel}}^{\text{NN}}) \right] d^2s \\ &\quad + \int T_{\text{B}}(\vec{s} - \vec{b}/2) \cdot \left[ 1 - \exp(-T_{\text{A}}(\vec{s} + \vec{b}/2)\sigma_{\text{inel}}^{\text{NN}}) \right] d^2s \end{aligned}$$



# $N_{\text{part}}$ vs impact parameter $b$



# Glauber Monte Carlo Approach



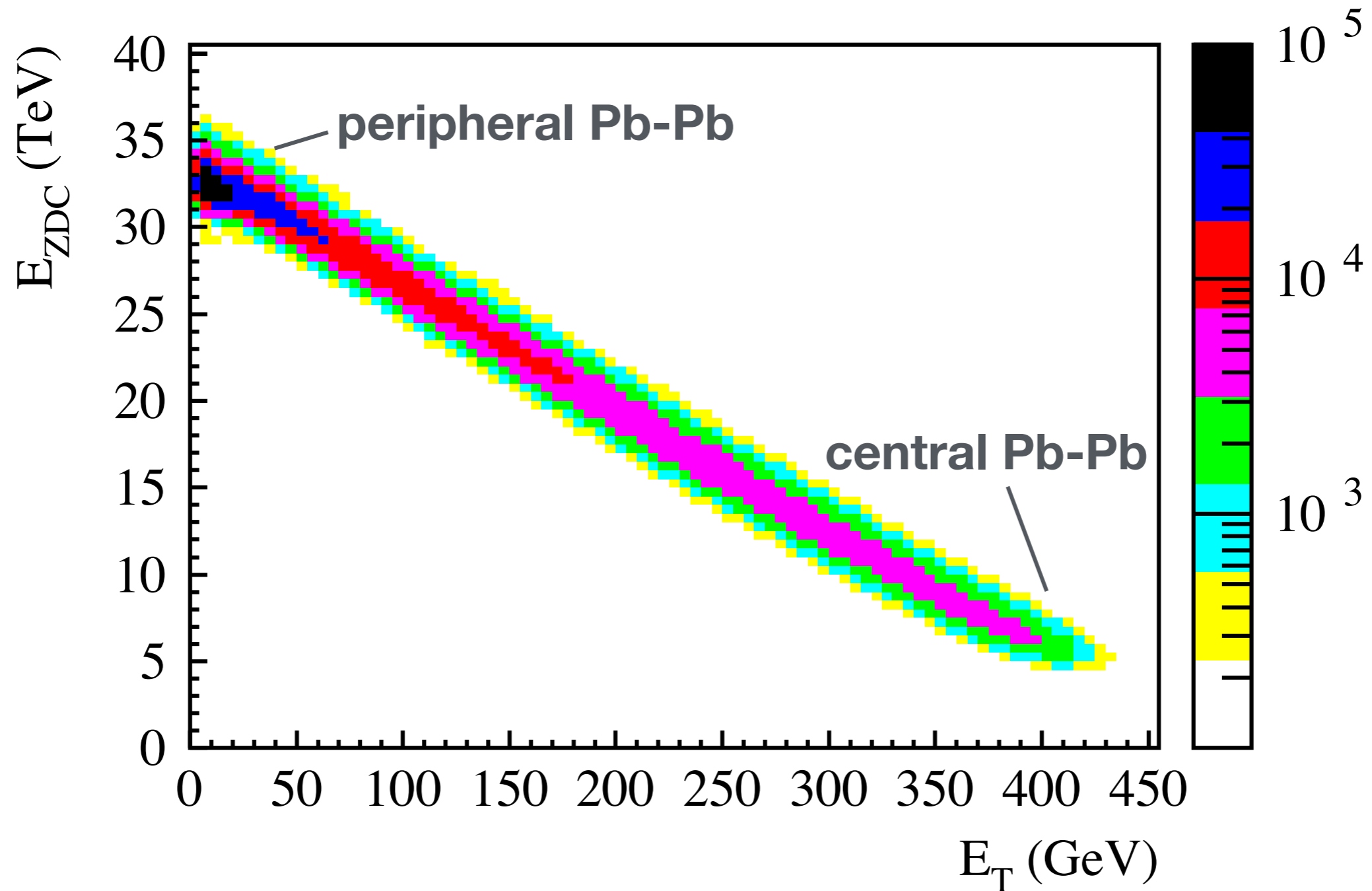
- Randomly select impact parameter  $b$
- Distribute nucleons of two nuclei according to nuclear density distribution
- Consider all pairs with one nucleon from nucleus A and the other from B
- Count pair as inel. n-n collision if distance  $d$  in  $x$ - $y$  plane satisfies:

$$d < \sqrt{\sigma_{\text{inel}}^{\text{NN}} / \pi}$$

- Repeat many times:  
 $\langle N_{\text{part}} \rangle(b)$   $\langle N_{\text{coll}} \rangle(b)$

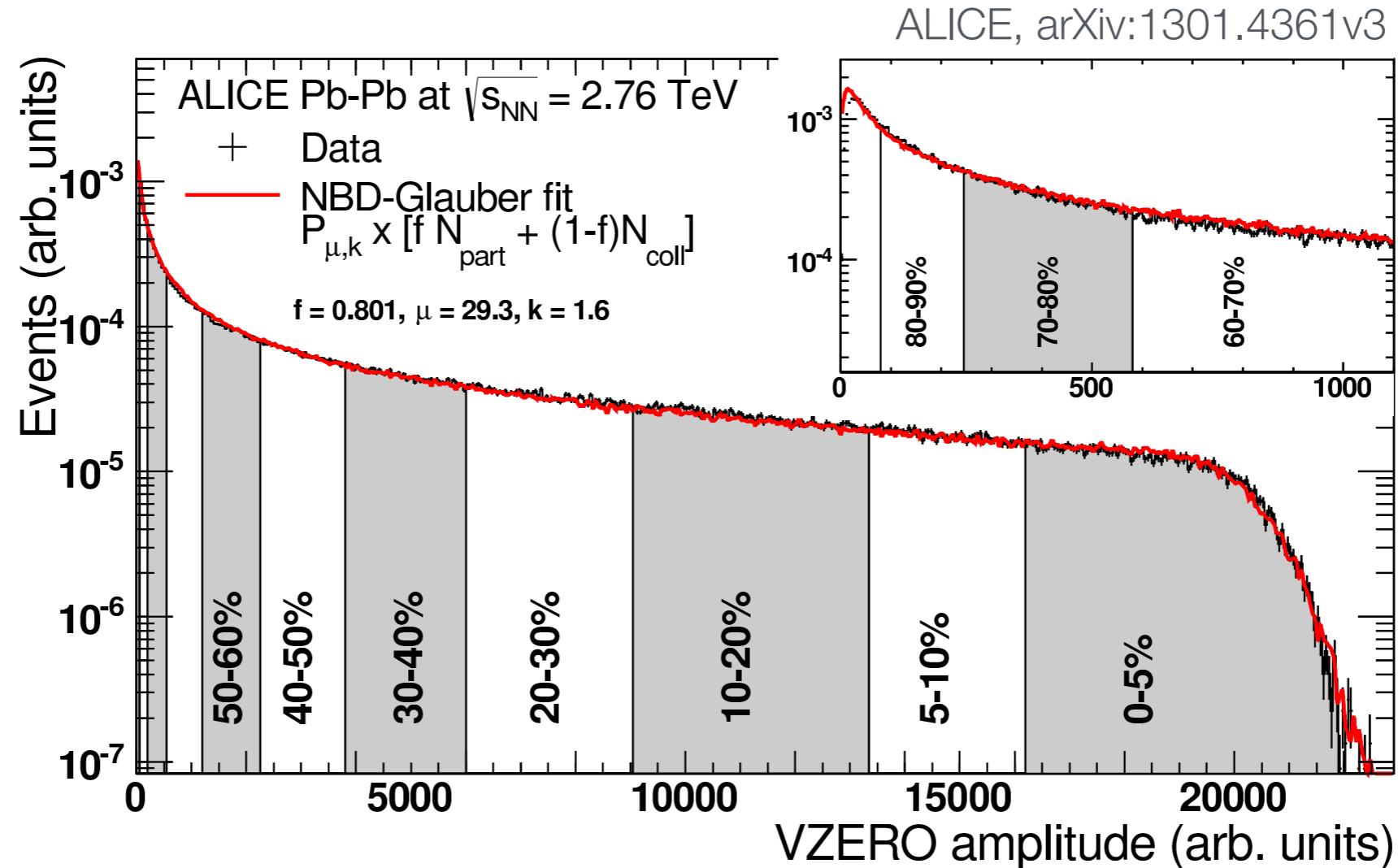
# Centrality selection: Forward and transverse energy

Example: Pb-Pb, fixed-target experiment (WA98, CERN SPS)



Both  $E_T$  and  $E_{ZDC}$  can be used to define centrality classes

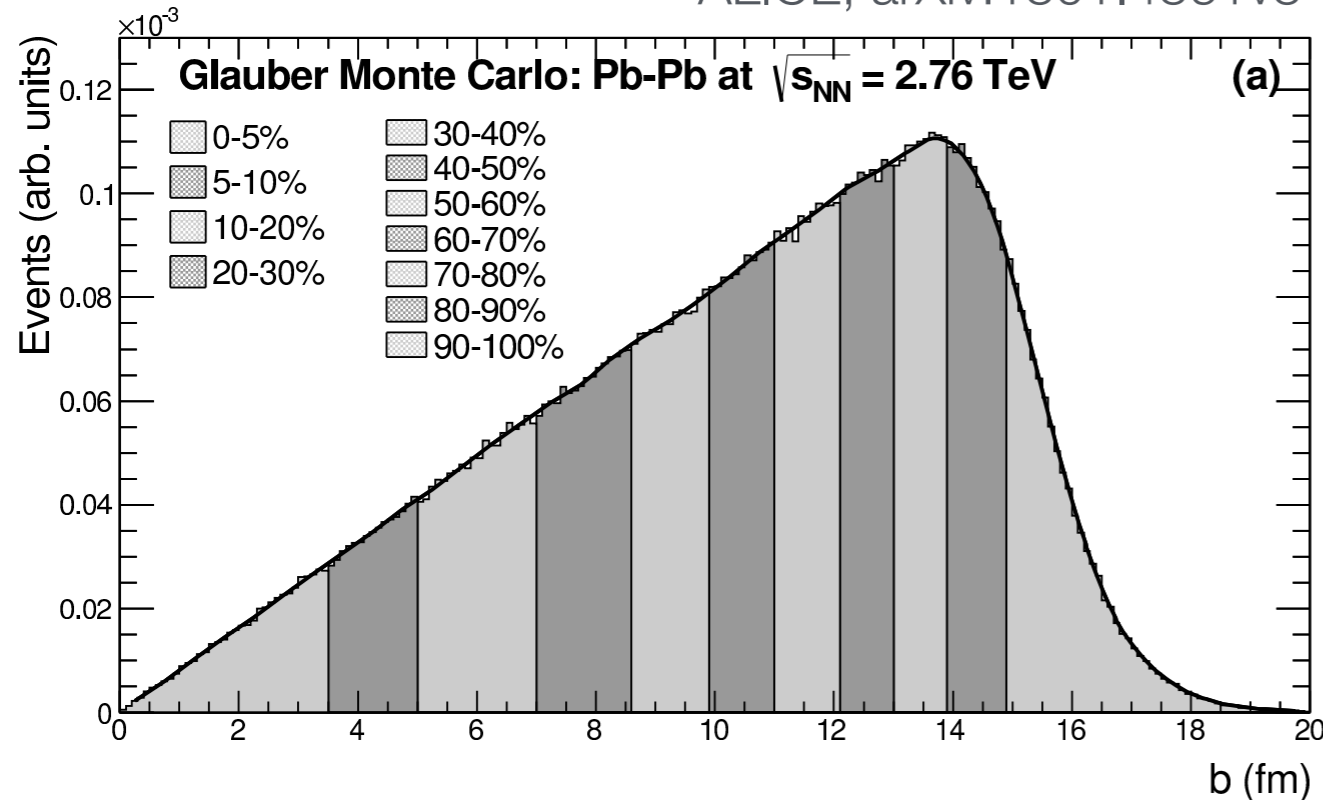
# Centrality selection: Charged-particle multiplicity



- Measure charged particle multiplicity
  - ▶ ALICE: VZERO detectors ( $2.8 < \eta < 5.1$  and  $-3.7 < \eta < -1.7$ )
  - ▶ Assumption:  $\langle N_{ch} \rangle(b)$  increases monotonically with decreasing  $b$
- Define centrality class by selecting a percentile of the measured multiplicity distribution (e.g. 0-5%)
  - ▶ Need Glauber fit to define “100%” (background at low multiplicities)

# How $\langle N_{\text{part}} \rangle$ , $\langle N_{\text{coll}} \rangle$ , and $\langle b \rangle$ are assigned to an experimental centrality class?

ALICE, arXiv:1301.4361v3



## ■ Glauber Monte Carlo

- ▶ Find impact parameter interval  $[b_1, b_2]$  which corresponds to the same percentile
- ▶ Average  $N_{\text{part}}(b)$ ,  $N_{\text{coll}}(b)$ , etc over this interval

## ■ Example:

Pb-Pb at  $\sqrt{s_{\text{NN}}} = 2.76$  TeV

- ▶  $\sigma_{\text{NN}}(\text{inel}) = (64 \pm 5)$  mb

Centrality	$b_{\text{min}}$ (fm)	$b_{\text{max}}$ (fm)	$\langle N_{\text{part}} \rangle$	RMS	( <i>sys.</i> )	$\langle N_{\text{coll}} \rangle$	RMS	( <i>sys.</i> )	$\langle T_{\text{AA}} \rangle$ 1/mbarn	RMS	( <i>sys.</i> )	RMS	( <i>sys.</i> )
0-5%	0.00	3.50	382.7	17	3.0	1685	140	190	26.32	2.2	0.85		
5-10%	3.50	4.94	329.4	18	4.3	1316	110	140	20.56	1.7	0.67		
10-20%	4.94	6.98	260.1	27	3.8	921.2	140	96	14.39	2.2	0.45		
20-40%	6.98	9.88	157.2	35	3.1	438.4	150	42	6.850	2.3	0.23		
40-60%	9.88	12.09	68.56	22	2.0	127.7	59	11	1.996	0.92	0.097		
60-80%	12.09	13.97	22.52	12	0.77	26.71	18	2.0	0.4174	0.29	0.026		
80-100%	13.97	20.00	5.604	4.2	0.14	4.441	4.4	0.21	0.06939	0.068	0.0055		