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# Experimental Status of Charm Physics

Sascha Stahl, CERN

Flavour-Workshop Neckarzimmern

21/03/2019

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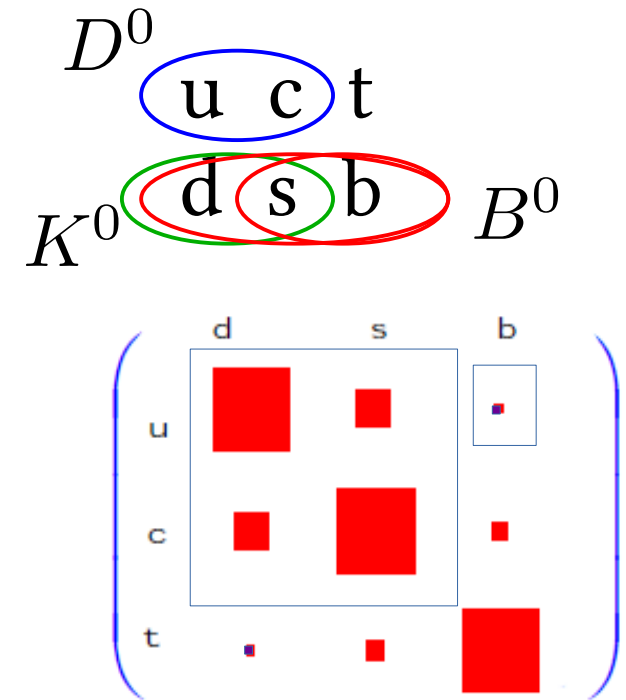
# Biased choice of measurements

➔ + one measurement published today!

TITLE	DOCUMENT NUMBER	JOURNAL	SUBMITTED ON	CITED
Measurement of the mass difference between neutral charm-meson eigenstates	PAPER-2019-001 arXiv:1903.03074 [PDF]	PRL	07 Mar 2019	
Search for $CP$ violation in $D_s^+ \rightarrow K_S^0 \pi^+$ , $D^+ \rightarrow K_S^0 K^+$ and $D^+ \rightarrow \phi \pi^+$ decays	PAPER-2019-002 arXiv:1903.01150 [PDF]	PRL	04 Mar 2019	
Dalitz Plot analysis of the $D^+ \rightarrow K^- K^+ K^+$ decay	PAPER-2018-039 arXiv:1902.05884 [PDF]	JHEP	15 Feb 2019	
Observation of the doubly Cabibbo-suppressed decay $\Xi_c^+ \rightarrow p \phi$	PAPER-2018-040 arXiv:1901.06222 [PDF]	JHEP	18 Jan 2019	1
Search for $CP$ violation through an amplitude analysis of $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ decays	PAPER-2018-041 arXiv:1811.08304 [PDF]	JHEP 02 (2019) 126	20 Nov 2018	
Measurement of the charm-mixing parameter $y_{CP}$	PAPER-2018-038 arXiv:1810.06874 [PDF]	Phys. Rev. Lett. 122 (2019) 011802	16 Oct 2018	2
Measurement of the branching fractions of the decays $D^+ \rightarrow K^- K^+ K^+$ , $D^+ \rightarrow \pi^- \pi^+ K^+$ and $D_s^+ \rightarrow \pi^- K^+ K^+$	PAPER-2018-033 arXiv:1810.03138 [PDF]	JHEP	07 Oct 2018	2
Measurement of the $\Omega_c^0$ baryon lifetime	PAPER-2018-028 arXiv:1807.02024 [PDF]	Phys. Rev. Lett. 121 (2018) 092003	05 Jul 2018	8
First observation of the doubly charmed baryon decay $\Xi_{cc}^{++} \rightarrow \Xi_c^+ \pi^+$	PAPER-2018-026 arXiv:1807.01919 [PDF]	Phys. Rev. Lett. 121 162002 (2018)	05 Jul 2018	20
Measurement of angular and CP asymmetries in $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ and $D^0 \rightarrow K^+ K^- \mu^+ \mu^-$ decays	PAPER-2018-020 arXiv:1806.10793 [PDF]	Phys. Rev. Lett. 121 (2018) 091801	28 Jun 2018	6
First measurement of the lifetime of the doubly charmed baryon $\Xi_{cc}^{++}$	PAPER-2018-019 arXiv:1806.02744 [PDF]	Phys. Rev. Lett. 121 052002	07 Jun 2018	26
Measurement of the time-integrated $CP$ asymmetry in $D^0 \rightarrow K_S^0 K_S^0$ decays	PAPER-2018-012 arXiv:1806.01642 [PDF]	JHEP 11 (2018) 048	05 Jun 2018	4
Measurement of $D_s^+$ production asymmetry in $pp$ collisions at $\sqrt{s} = 7$ and 8 TeV	PAPER-2018-010 arXiv:1805.09869 [PDF]	JHEP 08 (2018) 008	24 May 2018	5
Studies of the resonance structure in $D^0 \rightarrow K^{\mp} \pi^{\pm} \pi^{\pm} \pi^{\mp}$ decays	PAPER-2017-040 arXiv:1712.08609 [PDF]	Eur. Phys. J. C78 (2018) 443	22 Dec 2017	7
Search for the rare decay $\Lambda_c^+ \rightarrow p \mu^+ \mu^-$	PAPER-2017-039 arXiv:1712.07938 [PDF]	Phys. Rev. D 97, 091101	21 Dec 2017	9
A measurement of the $CP$ asymmetry difference in $\Lambda_c^+ \rightarrow p K^- K^+$ and $p \pi^- \pi^+$ decays	PAPER-2017-044 arXiv:1712.07051 [PDF]	JHEP 03 (2018) 182	19 Dec 2017	9
Updated determination of $D^0$ - $\bar{D}^0$ mixing and $CP$ violation parameters with $D^0 \rightarrow K^+ \pi^-$ decays	PAPER-2017-046 arXiv:1712.03220 [PDF]	Phys. Rev. D97 (2018) 031101	08 Dec 2017	7
Measurements of the branching fractions of $\Lambda_c^+ \rightarrow p \pi^- \pi^+$ , $\Lambda_c^+ \rightarrow p K^- K^+$ , and $\Lambda_c^+ \rightarrow p \pi^- K^+$	PAPER-2017-026 arXiv:1711.01157 [PDF]	JHEP 03 (2018) 043	03 Nov 2017	8
Observation of $D^0$ meson decays to $\pi^+ \pi^- \mu^+ \mu^-$ and $K^+ K^- \mu^+ \mu^-$ final states	PAPER-2017-019 arXiv:1707.08377 [PDF]	Phys. Rev. Lett. 119 (2017) 181805	26 Jul 2017	11
Observation of the doubly charmed baryon $\Xi_{cc}^{++}$	PAPER-2017-018 arXiv:1707.01621 [PDF]	Phys. Rev. Lett. 119 (2017) 112001	06 Jul 2017	173
Observation of five new narrow $\Omega_c^0$ states decaying to $\Xi_c^+ K^-$	PAPER-2017-002 arXiv:1703.04639 [PDF]	Phys. Rev. Lett. 118 (2017) 182001	14 Mar 2017	126
Measurement of the $CP$ violation parameter $A_{\Gamma}$ in $D^0 \rightarrow K^+ K^-$ and $D^0 \rightarrow \pi^+ \pi^-$ decays	PAPER-2016-063 arXiv:1702.06490 [PDF]	Phys. Rev. Lett. 118 (2017) 261803	21 Feb 2017	21

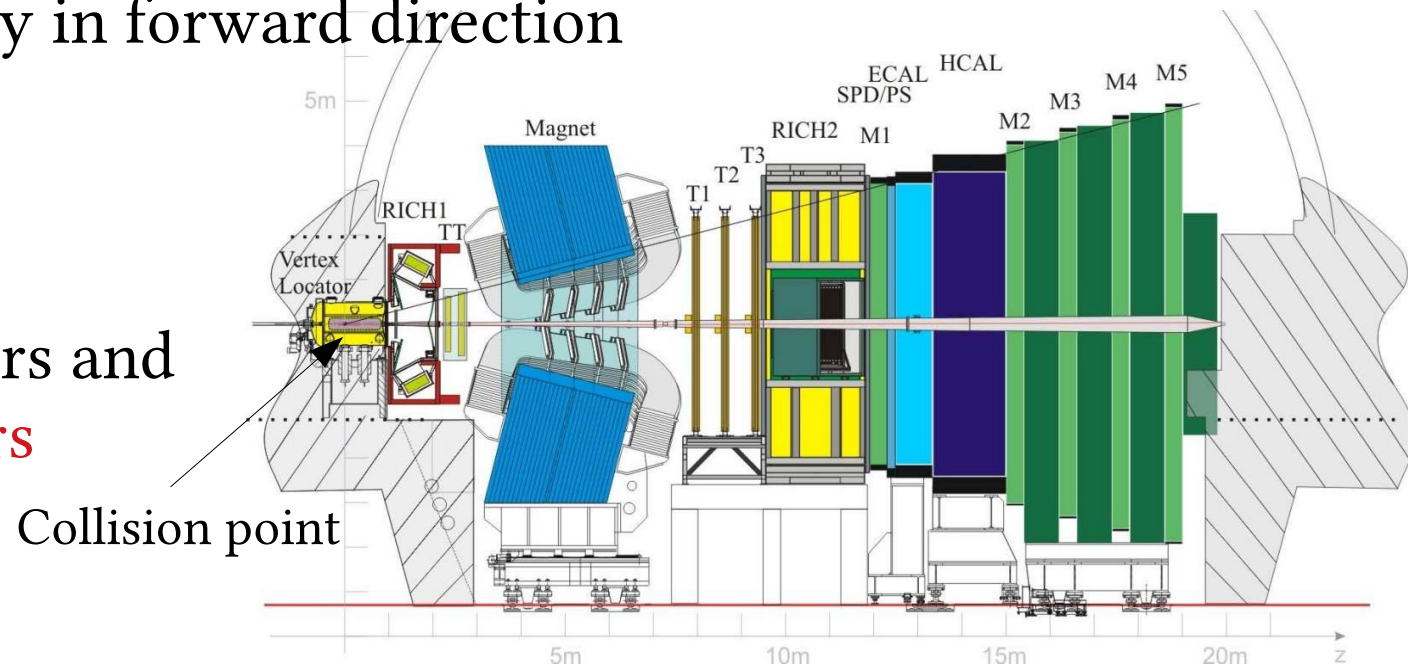
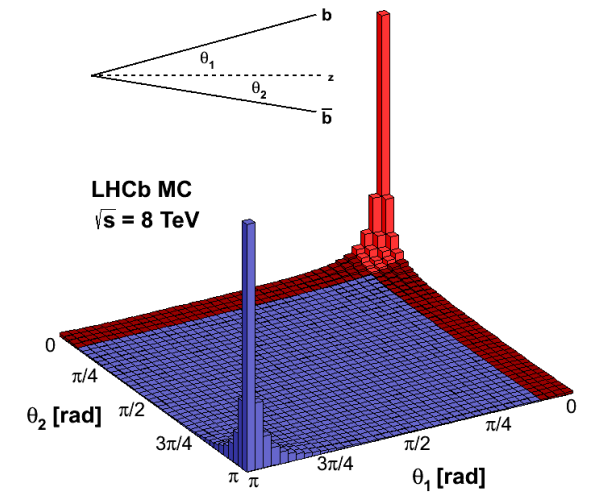
# CP violation in charm decays

- Charm quark of up-type:  
Complementary to kaon and B systems
- No CP violation observed yet
- CP violation in Standard Model suppressed:
  - Powerful probe to effects beyond Standard Model
  - Predictions difficult due to long-range effects
- High charm production rate in proton-proton collisions



# The LHCb experiment

- Records LHC proton-proton collisions
  - Run 1  $3 \text{ fb}^{-1}$  (7 and 8 TeV),  
Run 2  $6 \text{ fb}^{-1}$  (13 TeV)
- b and c quarks produced in pairs
  - Predominantly in forward direction
- Coverage  $2 < \eta < 5$ :
  - Boosted pairs
  - 45 kHz  $b\bar{b}$  pairs and  
**1 MHz  $c\bar{c}$  pairs**



# The challenge to collect charm\*

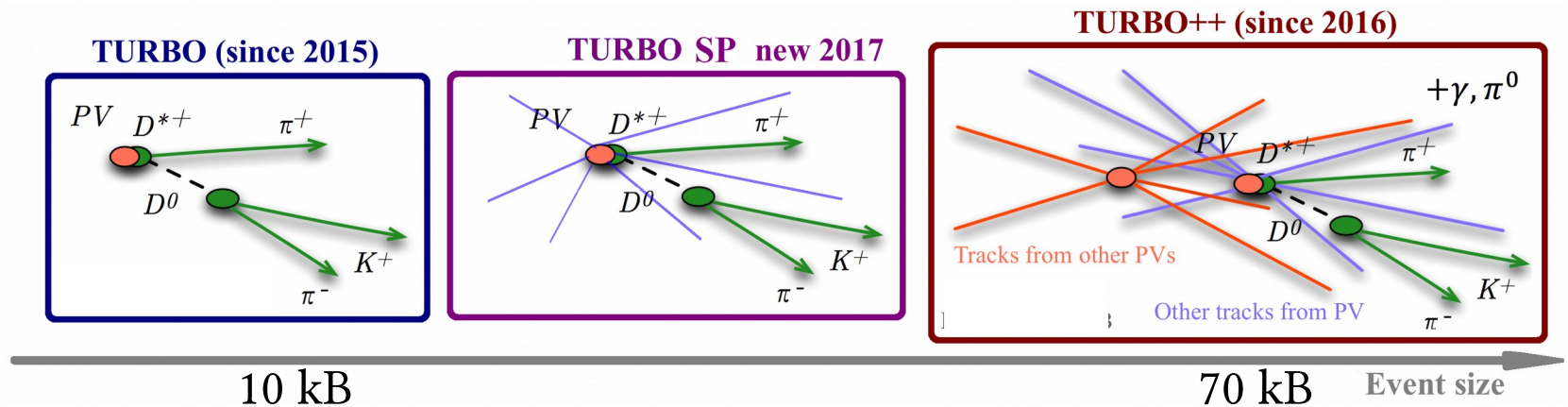
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~1 MHz  $c\bar{c}$  pairs!

- Assume 10 (5) % efficiency of full trigger selection chain
- Event size 70 kB
- → Beauty bandwidth =  $45 \text{ kHz} * 0.1 * 70 \text{ kB} = 0.32 \text{ GB/s}$ ,  
Charm bandwidth =  $1 \text{ MHz} * 0.05 * 70 \text{ kB} = 3.5 \text{ GB/s}$
- Limit to tape storage 1 GB/s  
→ Cannot write out full information of all signal events,  
even with a very pure trigger

\*very rough estimates

# Turbo stream in Run 2



- Persist reconstructed objects, discard raw information
- Analysts choose what to persist
  - Up to factor 10 reduction of event size
- **More events at same bandwidth → more physics**
- Big success story
  - 2/3 of triggered events persist raw detector information,  
1/3 of triggered events go to Turbo stream (almost all charm)

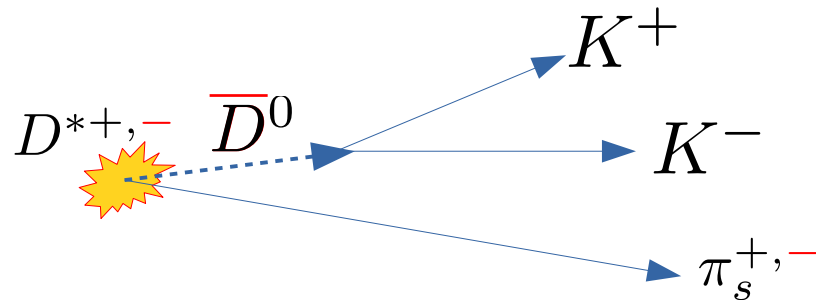


Some advertisement for LHCb people:  
A lot of (exciting) work to prepare  
the trigger for Run 3.

Feel free to get in contact with me or Stephanie.

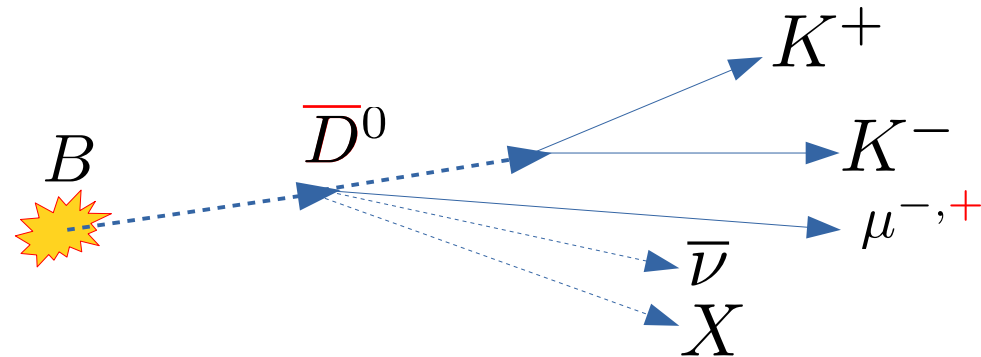
<https://twiki.cern.ch/twiki/bin/viewauth/LHCb/RealTimeAnalysis>

# Two charm samples at LHCb



## Prompt produced charm:

- (Slow) pion charge tags flavour
- Unambiguous tag due to full  $D^*$  reconstruction
- Hardware trigger: 40% triggered by D, 60% parasitically
- Higher statistics

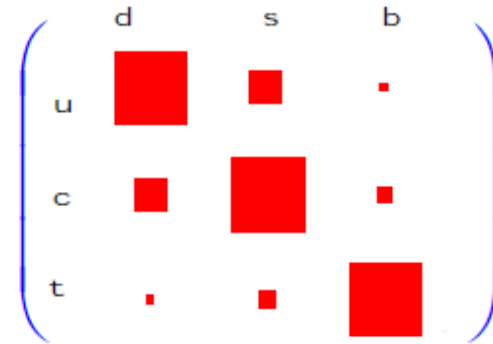


## Semileptonic B decays:

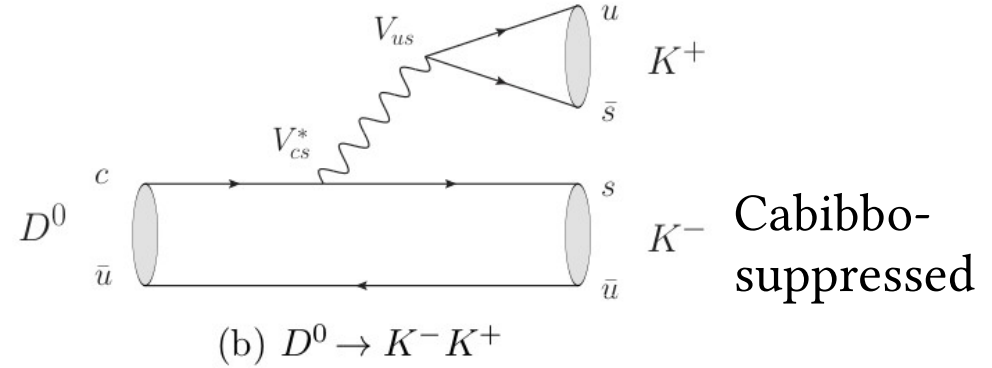
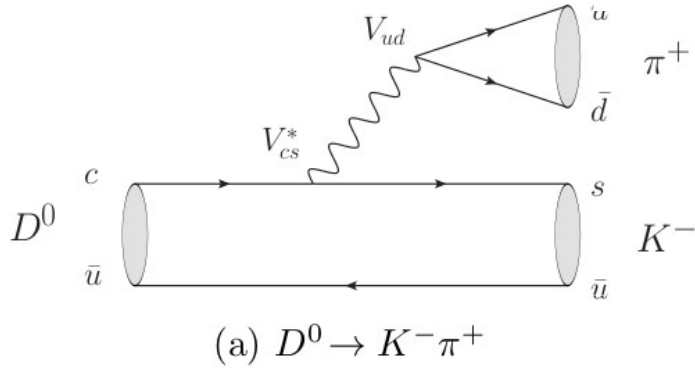
- Muon charge tags flavour
- B partially reconstructed
- Hardware trigger: High muon trigger efficiency
- Cross-checks and some other advantages



# Two-body modes

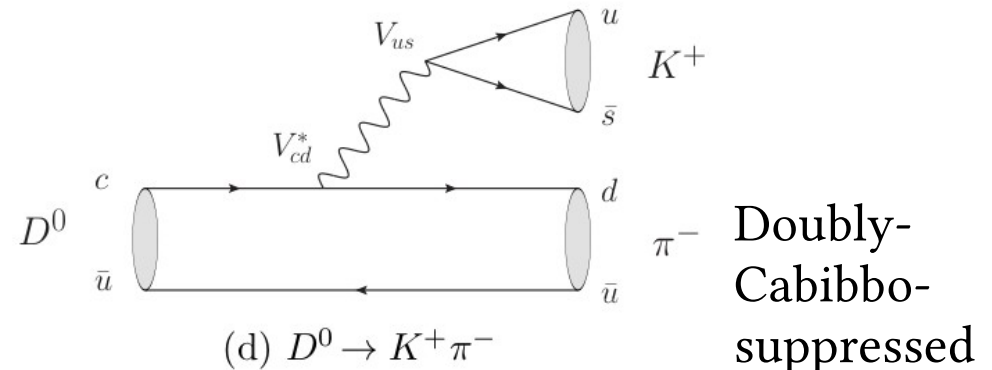
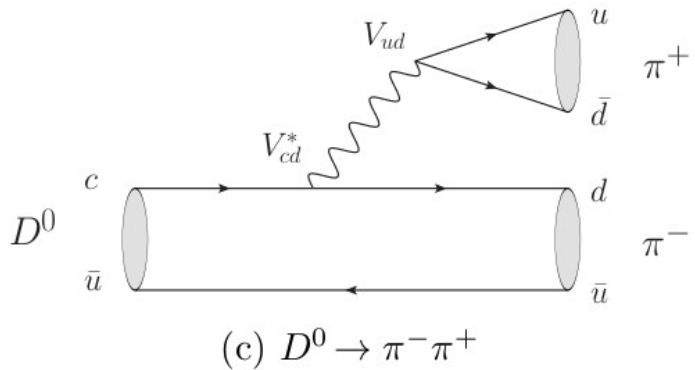


Cabibbo-favoured



Cabibbo-suppressed

Cabibbo-suppressed

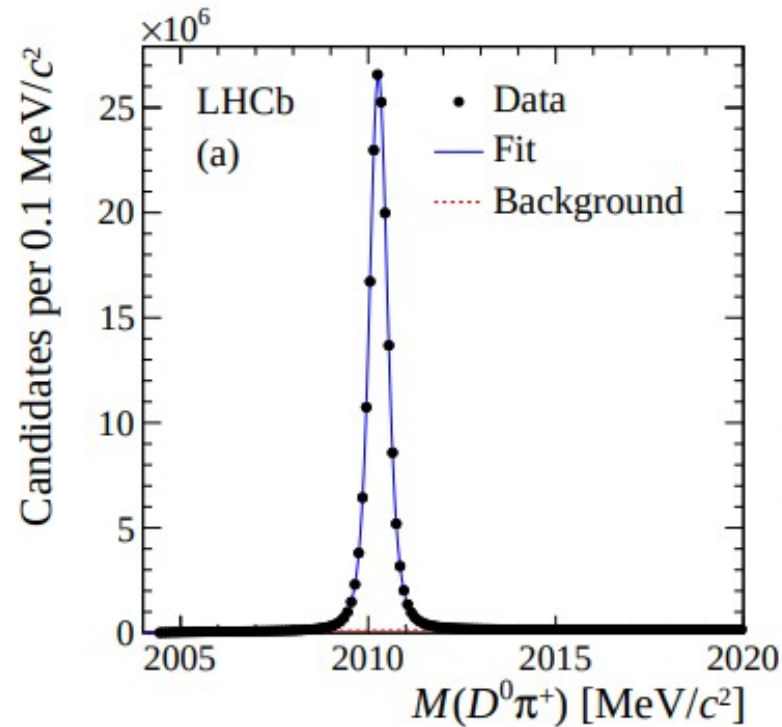


Doubly-Cabibbo-suppressed

# Two-body modes

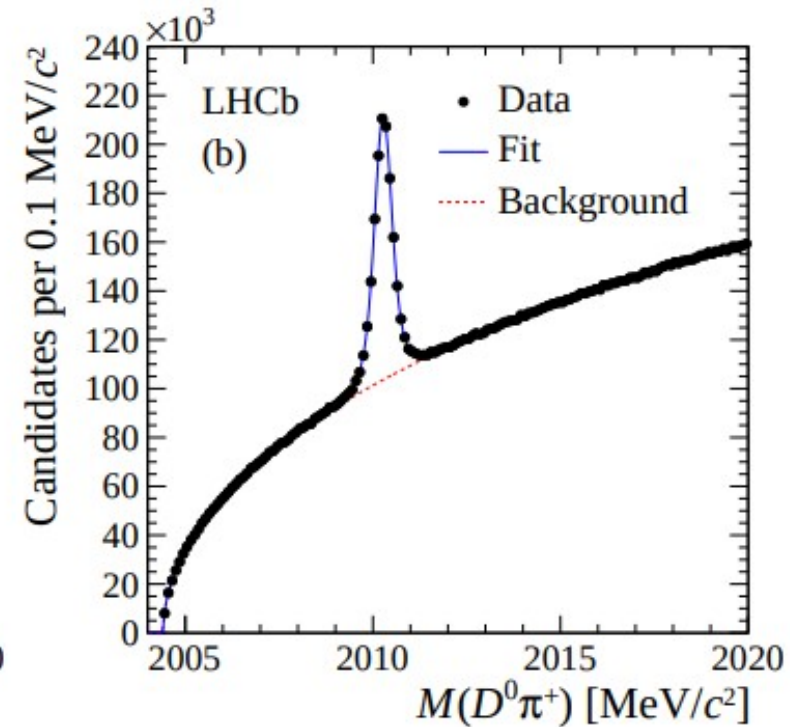
Run 1 + 2015 + 2016 (Run 2 Turbo)

$$D^{*+} \rightarrow D^0(\rightarrow K^- \pi^+) \pi^+$$



177 Million

$$D^{*+} \rightarrow D^0(\rightarrow K^+ \pi^-) \pi^+$$



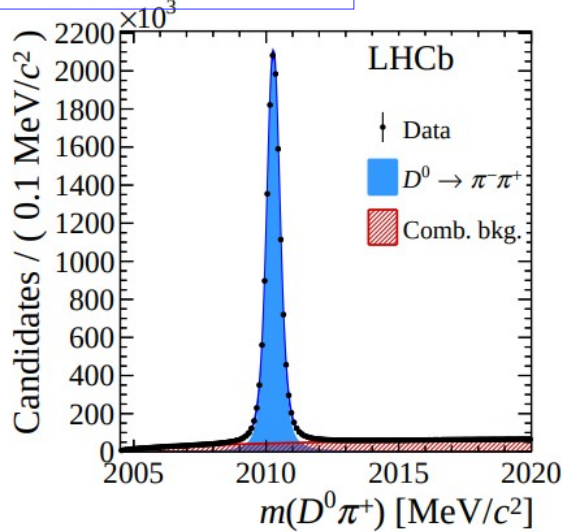
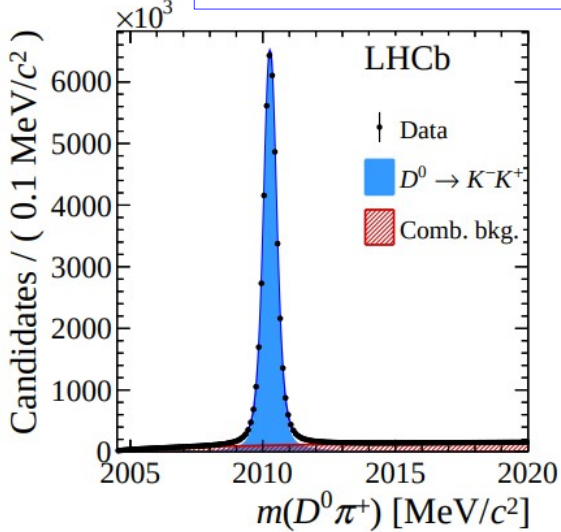
0.07 Million

# Two-body modes

Full Run 2 data set, only Turbo

Prompt

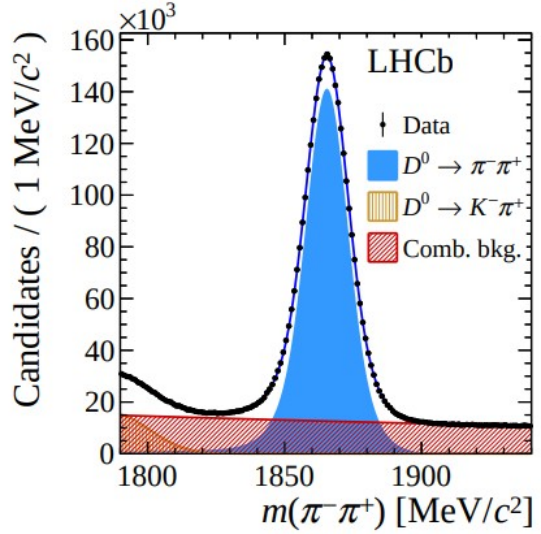
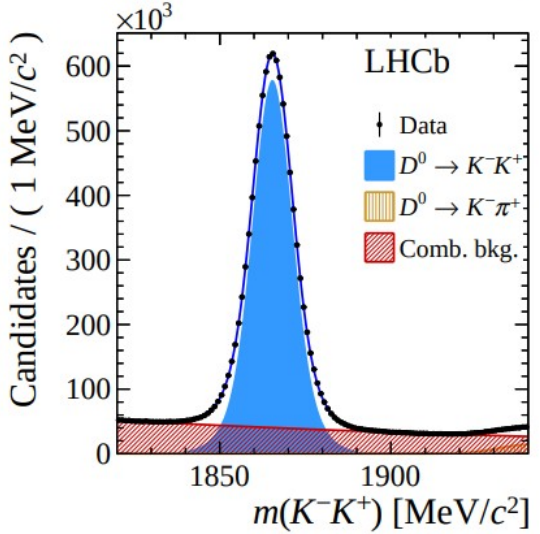
44 Million  
(Run 1 7.7)



14 Million  
(2.5)

Semileptonic

9 Million  
(2.2)



3 Million  
(0.77)

2 – 3 more yield per  $\text{fb}^{-1}$  in Run 2

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A lot of charm!

What do we measure?

What are the experimental challenges?

# Neutral meson mixing

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- Mass eigenstates unequal flavour eigenstates:

$$\begin{aligned}
 |D_1\rangle &= p |D^0\rangle + q |\bar{D}^0\rangle & \text{CPT:} \\
 |D_2\rangle &= p |D^0\rangle - q |\bar{D}^0\rangle & |q^2| + |p^2| = 1
 \end{aligned}$$

$$|D_{1,2}(t)\rangle = e^{-im_{1,2}t} e^{-\Gamma_{1,2}t/2} |D_{1,2}\rangle$$

- Mixing parameters:

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$$

$$x = \frac{m_1 - m_2}{\Gamma}$$

$$y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma}$$

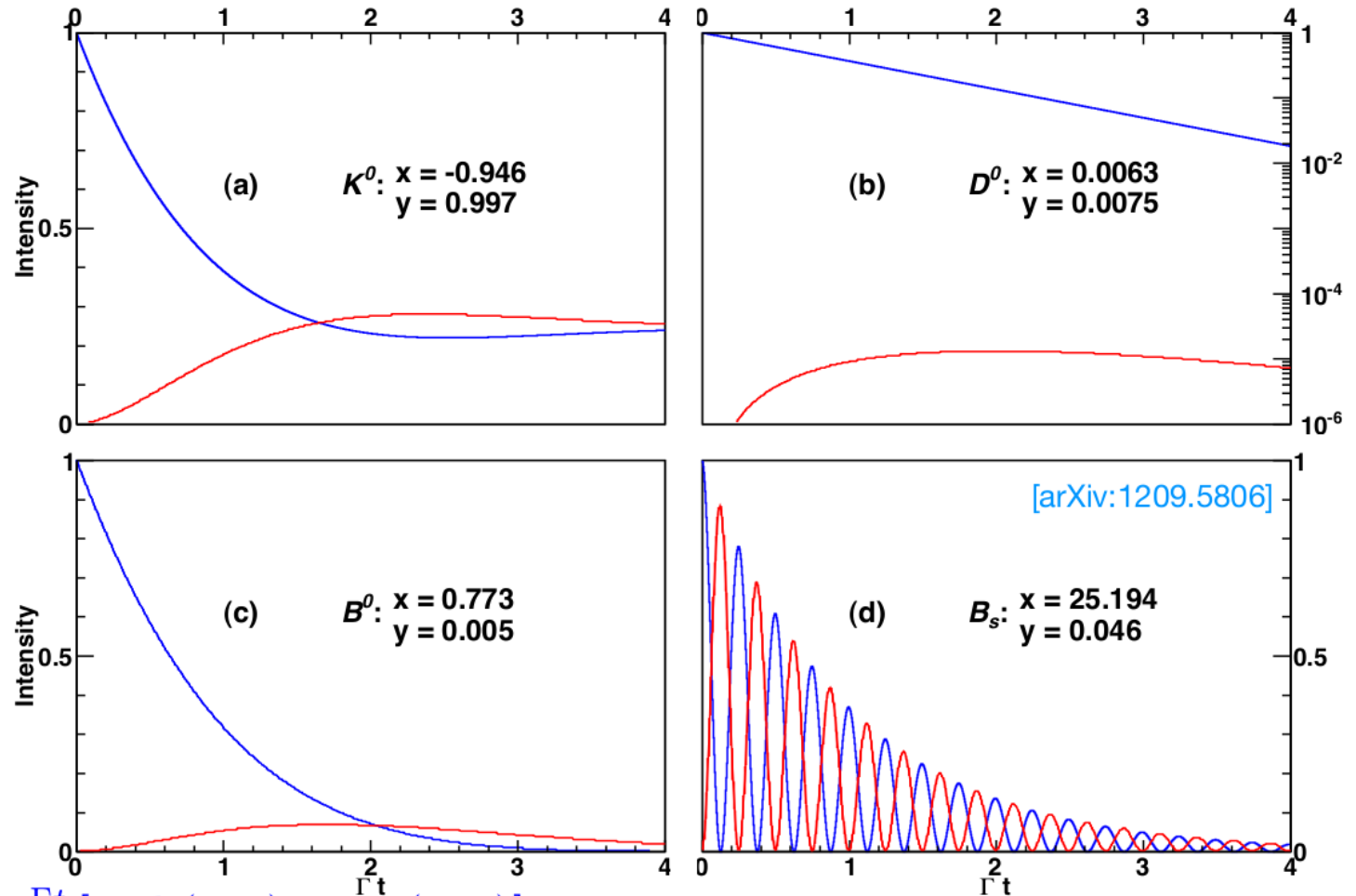
- CPV parameters:

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f} \approx \left| \frac{q}{p} \right| \left| \frac{\bar{A}_f}{A_f} \right| e^{i(\phi + \Delta_f)} \quad \text{Weak phase + strong phase}$$

# Time evolution of different mesons

Blue line:  
given a  $P^0$  at  $t=0$ ,  
the probability of  
finding a  $P^0$  at  $t$

Red Line:  
given a  $P^0$  at  $t=0$ ,  
the probability of  
finding a  $\bar{P}^0$  at  $t$



$$|\langle P^0(0) | P^0(t) \rangle|^2 \propto e^{-\Gamma t} [\cosh(y\Gamma t) + \cos(x\Gamma t)]$$

$$|\langle P^0(0) | \bar{P}^0(t) \rangle|^2 \propto e^{-\Gamma t} [\cosh(y\Gamma t) - \cos(x\Gamma t)]$$

8

# Time evolution of flavour states

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- Time evolution of initially produced  $D^0, \bar{D}^0$  meson

$$\Gamma(D^0(t) \rightarrow f) \propto \left| A(D^0 \rightarrow f)g_+(t) + \frac{q}{p}A(\bar{D}^0 \rightarrow f)g_-(t) \right|^2$$
$$\Gamma(\bar{D}^0(t) \rightarrow \bar{f}) \propto \left| A(\bar{D}^0 \rightarrow \bar{f})g_+(t) + \frac{p}{q}A(D^0 \rightarrow \bar{f})g_-(t) \right|^2$$

- Mixing parameters small for  $D^0$  mesons

$$z \equiv -(y + ix)$$

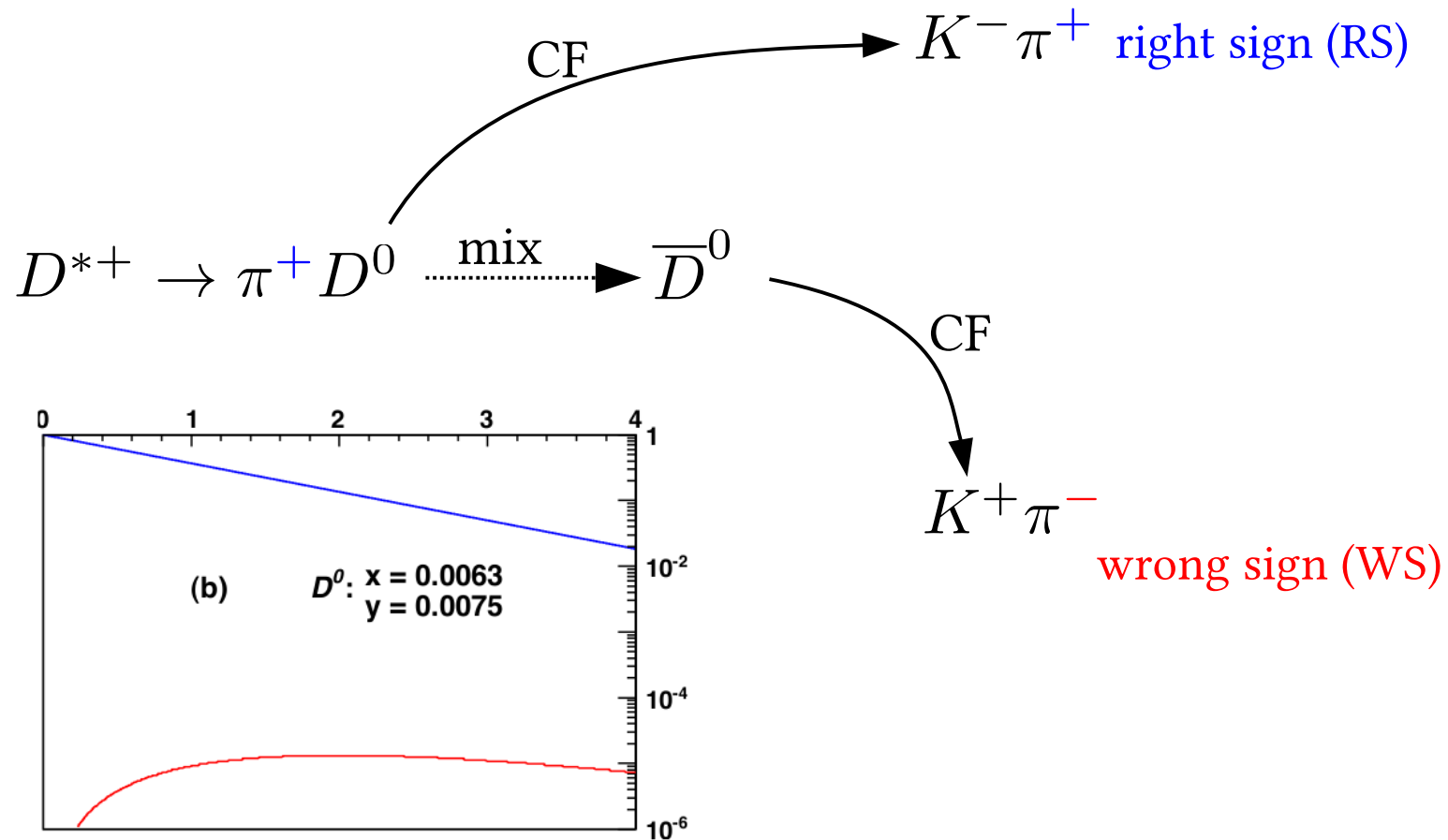
$$|g_+(t)|^2 \approx e^{-t} + \frac{1}{4}e^{-t}t^2 \operatorname{Re}(z^2) + \mathcal{O}(z^4)$$

$$|g_-(t)|^2 \approx \frac{1}{4}e^{-t}t^2|z|^2 + \mathcal{O}(z^4)$$

$$g_+^*(t)g_-(t) \approx \frac{1}{2}e^{-t}tz + \mathcal{O}(z^3)$$

# Measurement of D mixing

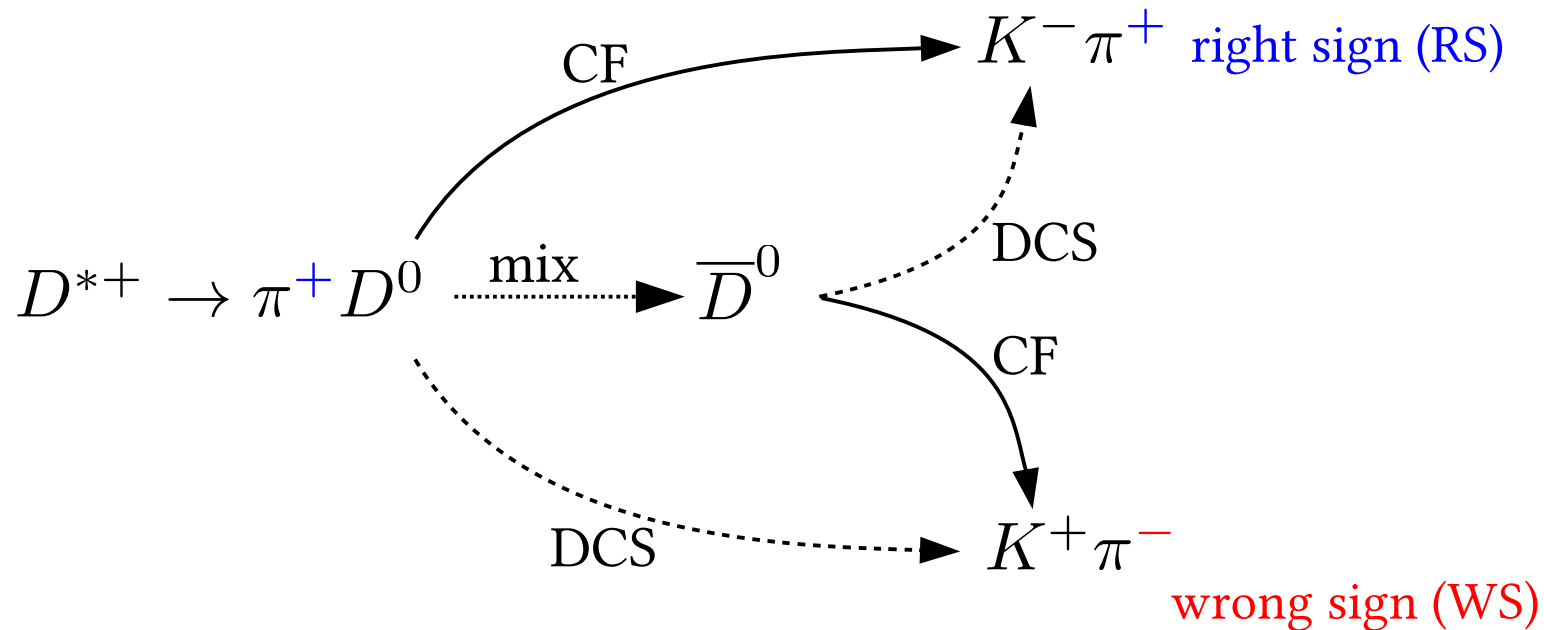
- Cabibbo-favoured  $D^0 \rightarrow K^- \pi^+$





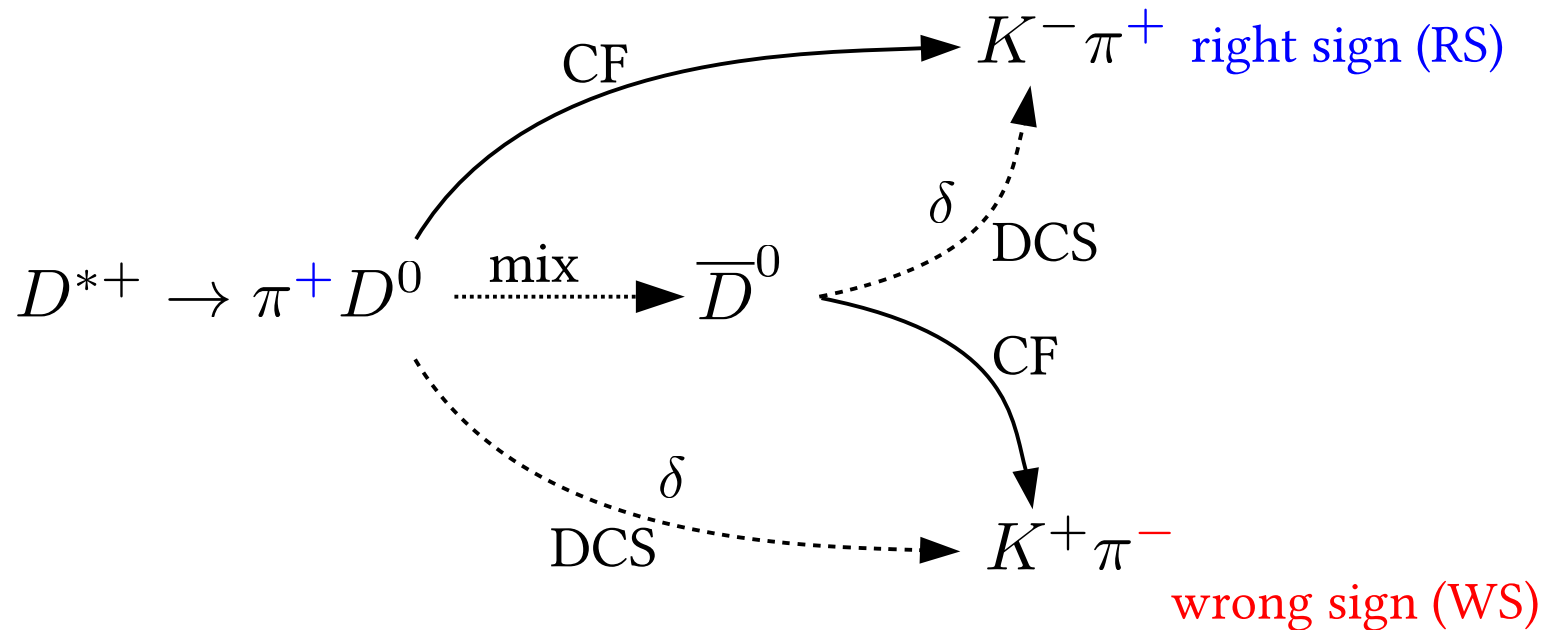
# Measurement of D mixing

- Cabibbo-favoured  $D^0 \rightarrow K^- \pi^+$  and doubly-Cabibbo-suppressed  $D^0 \rightarrow \pi^- K^+$  decays



# Measurement of D mixing

- Cabibbo-favoured  $D^0 \rightarrow K^- \pi^+$  and doubly-Cabibbo-suppressed  $D^0 \rightarrow \pi^- K^+$  decays



$$R(t) \approx R_D + \sqrt{R_D} y' \frac{t}{\tau} + \frac{x'^2 + y'^2}{4} \left(\frac{t}{\tau}\right)^2$$

(interference)                      (mixing)

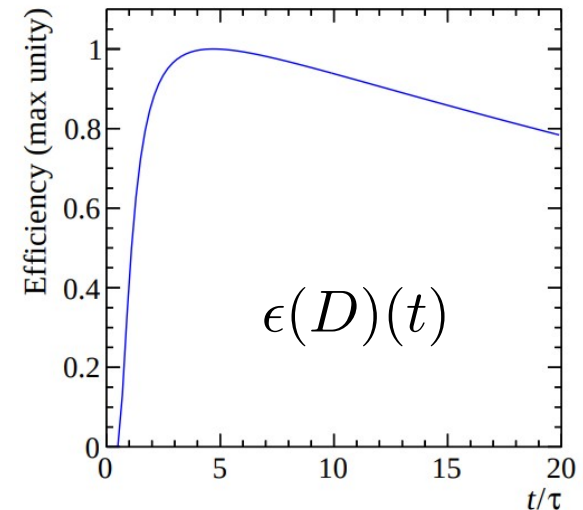
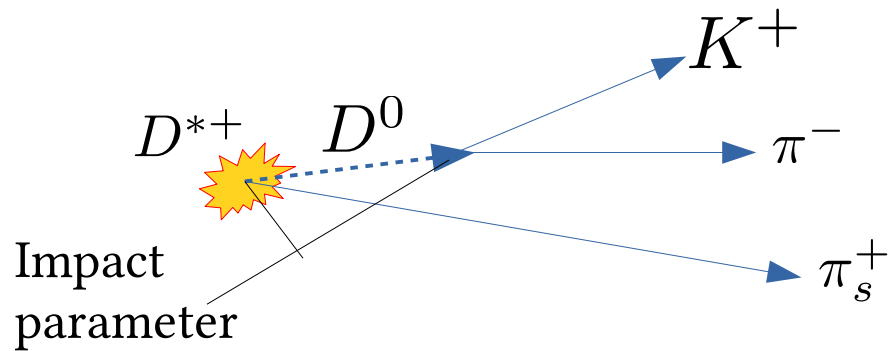
$$\frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} = -\sqrt{R_D} e^{-i\delta}$$

$$y' = y \cos \delta - x \sin \delta$$

$$x' = x \cos \delta + y \sin \delta$$

(strong phase)

# Observed ratios



$$R_{\text{obs}}(t) = \frac{N(D^{*+} \rightarrow [K^+ \pi^-]_D \pi_s^+)}{N(D^{*+} \rightarrow [K^- \pi^+]_D \pi_s^+)}(t) = R(t) \frac{\epsilon(\pi_s^+) \epsilon(D)(t)}{\epsilon(\pi_s^+) \epsilon(D)(t)} = R(t)$$

- Tight displacement cuts (in trigger) to reject background
- Detection efficiencies cancel in ratio of wrong sign over right sign

# Measurement of mixing and CP violation

$$R^\pm(t) \approx R_D^\pm + \sqrt{R_D^\pm} y'^\pm \frac{t}{\tau} + \frac{x'^{\pm 2} + y'^{\pm 2}}{4} \left(\frac{t}{\tau}\right)^2$$

Direct CP violation

$$A_D \equiv \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-}$$

CP violation in mixing and interference

$$y'^\pm = \left| \frac{q}{p} \right|^{\pm 1} (y' \cos \phi \mp x' \sin \phi)$$

$$x'^\pm = \left| \frac{q}{p} \right|^{\pm 1} (y' \cos \phi \pm x' \sin \phi)$$

$$\frac{q}{p} \frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} = - \left| \frac{q}{p} \right| \sqrt{R_D} e^{-i(\phi + \delta)}$$

$$R_{\text{obs}}^+(t) = \frac{N(D^{*+} \rightarrow [K^+ \pi^-]_D \pi_s^+)}{N(D^{*+} \rightarrow [K^- \pi^+]_D \pi_s^+)}(t) = R^+(t) \frac{\epsilon(K^+ \pi^-)}{\epsilon(K^- \pi^+)}$$

$$R_{\text{obs}}^-(t) = \frac{N(D^{*-} \rightarrow [K^- \pi^+]_D \pi_s^-)}{N(D^{*-} \rightarrow [K^+ \pi^-]_D \pi_s^-)}(t) = R^-(t) \frac{\epsilon(K^- \pi^+)}{\epsilon(K^+ \pi^-)}$$

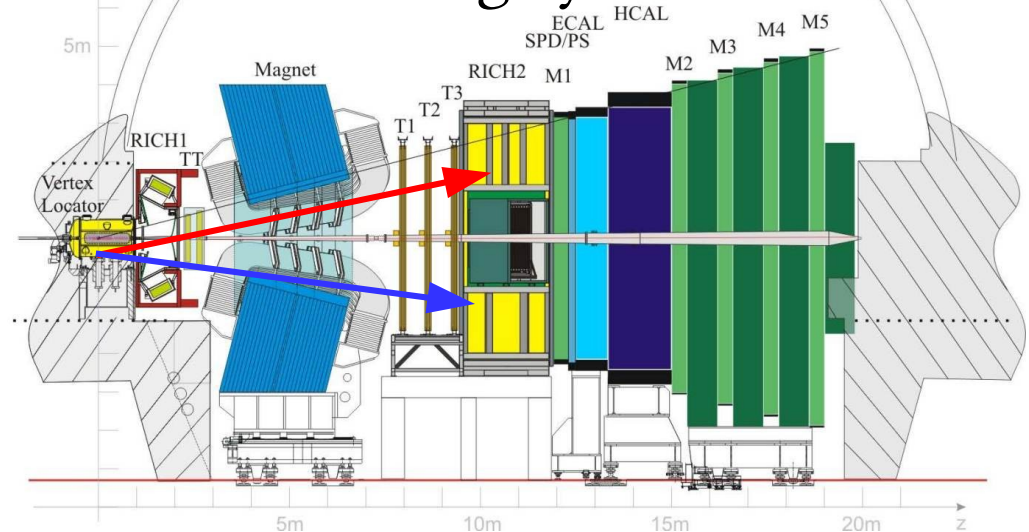
# Charged-kaon detection asymmetry

- Charged kaons have to traverse full tracking system to be reconstructed

$$K^- = (\bar{u}s)$$

$$K^+ = (u\bar{s})$$

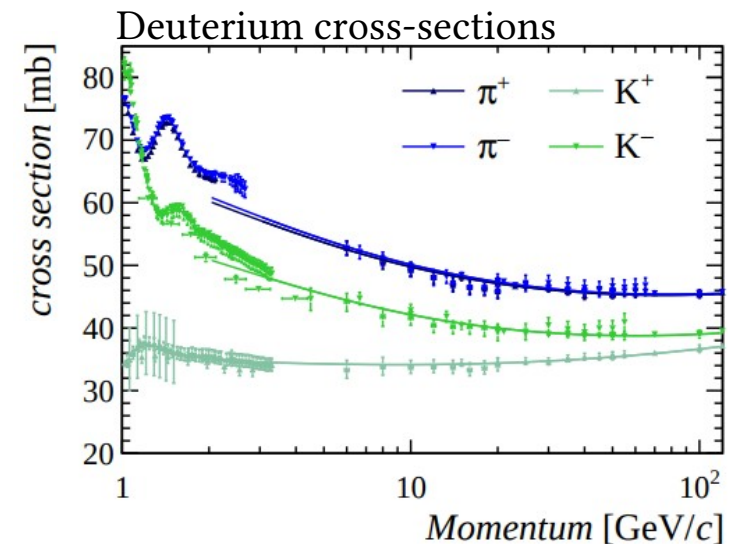
$$\text{''LHCb''} = (udq\bar{q})$$



- Different absorption rate for positive and negative kaons

$$A_D(K^- \pi^+) = \frac{\epsilon(K^- \pi^+) - \epsilon(K^+ \pi^-)}{\epsilon(K^- \pi^+) + \epsilon(K^+ \pi^-)}$$

→ Expected asymmetry about 1%



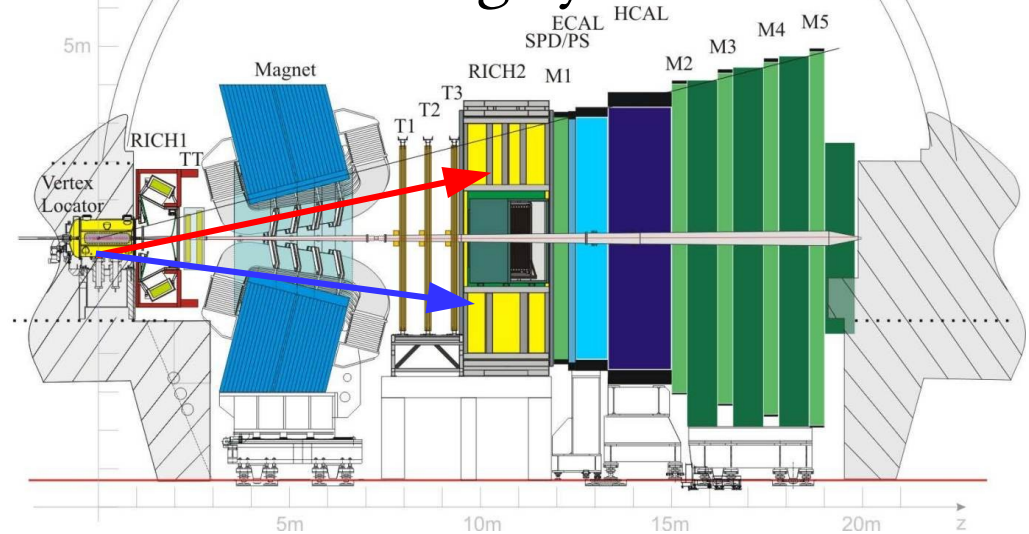
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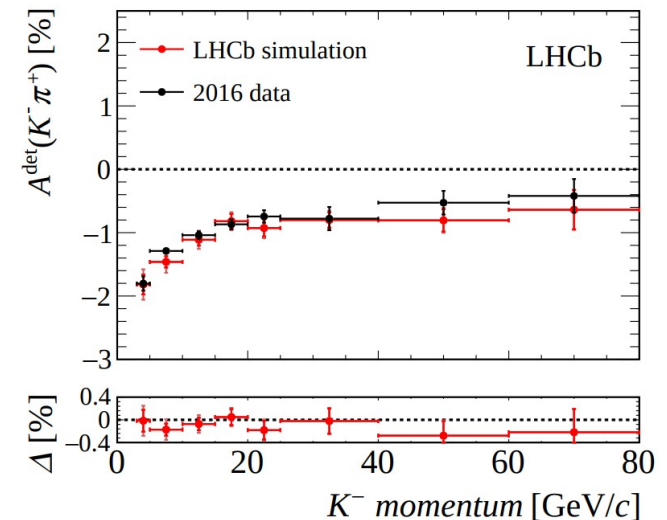
$$K^+ = (u\bar{s})$$

$$\text{''LHCb''} = (udq\bar{q})$$



- Different absorption rate for positive and negative kaons

$$A_D(K^- \pi^+) = \frac{\epsilon(K^- \pi^+) - \epsilon(K^+ \pi^-)}{\epsilon(K^- \pi^+) + \epsilon(K^+ \pi^-)}$$



# Measurement of mixing and CP violation

$$R^\pm(t) \approx R_D^\pm + \sqrt{R_D^\pm} y'^\pm \frac{t}{\tau} + \frac{x'^{\pm 2} + y'^{\pm 2}}{4} \left(\frac{t}{\tau}\right)^2$$

Direct CP violation

$$A_D \equiv \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-}$$

CP violation in mixing and interference

$$y'^\pm = \left| \frac{q}{p} \right|^{\pm 1} (y' \cos \phi \mp x' \sin \phi)$$

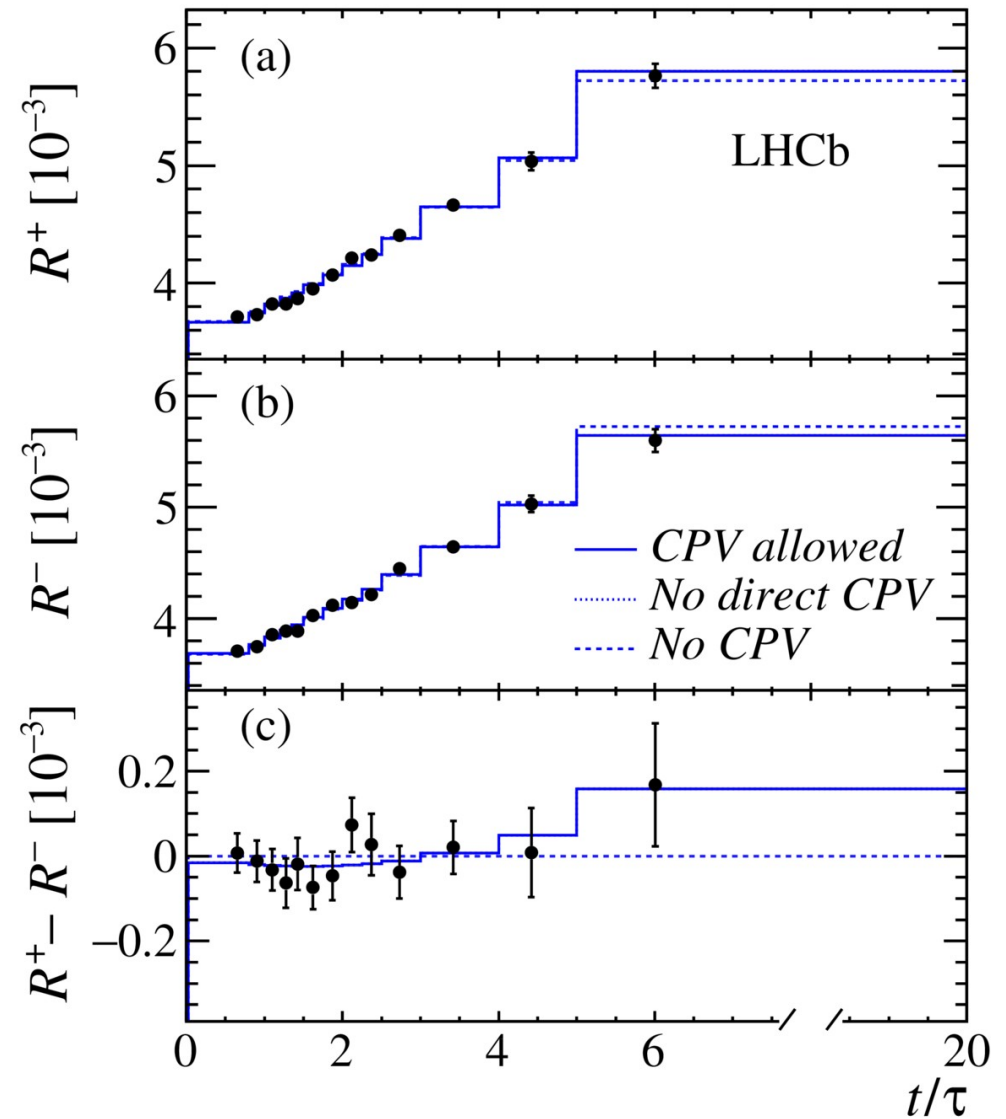
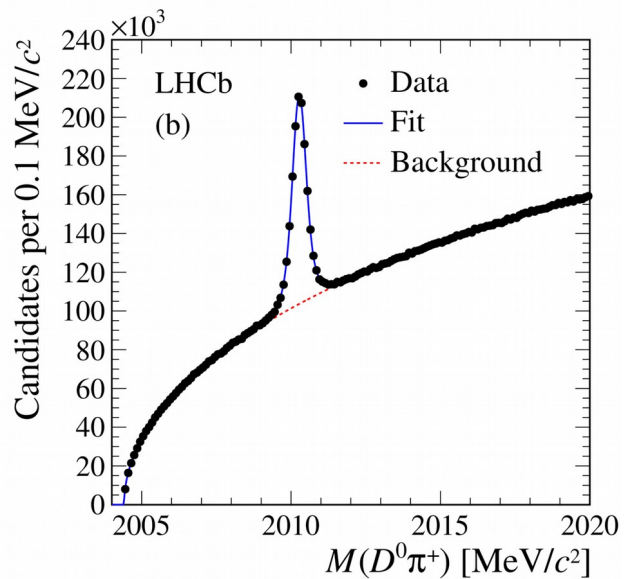
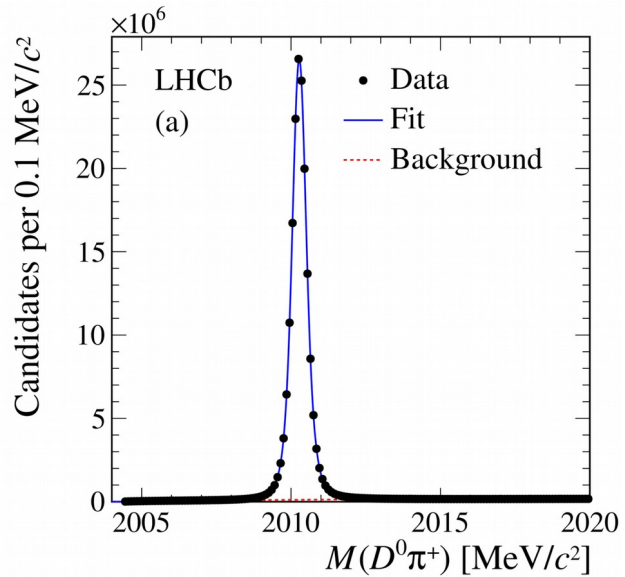
$$x'^\pm = \left| \frac{q}{p} \right|^{\pm 1} (y' \cos \phi \pm x' \sin \phi)$$

$$R_{\text{obs}}^+(t) = \frac{N(D^{*+} \rightarrow [K^+ \pi^-]_D \pi_s^+)}{N(D^{*+} \rightarrow [K^- \pi^+]_D \pi_s^+)}(t) = R^+(t) \frac{\epsilon(K^+ \pi^-)}{\epsilon(K^- \pi^+)}$$

$$R_{\text{obs}}^-(t) = \frac{N(D^{*-} \rightarrow [K^- \pi^+]_D \pi_s^-)}{N(D^{*-} \rightarrow [K^+ \pi^-]_D \pi_s^-)}(t) = R^-(t) \frac{\epsilon(K^- \pi^+)}{\epsilon(K^+ \pi^-)}$$

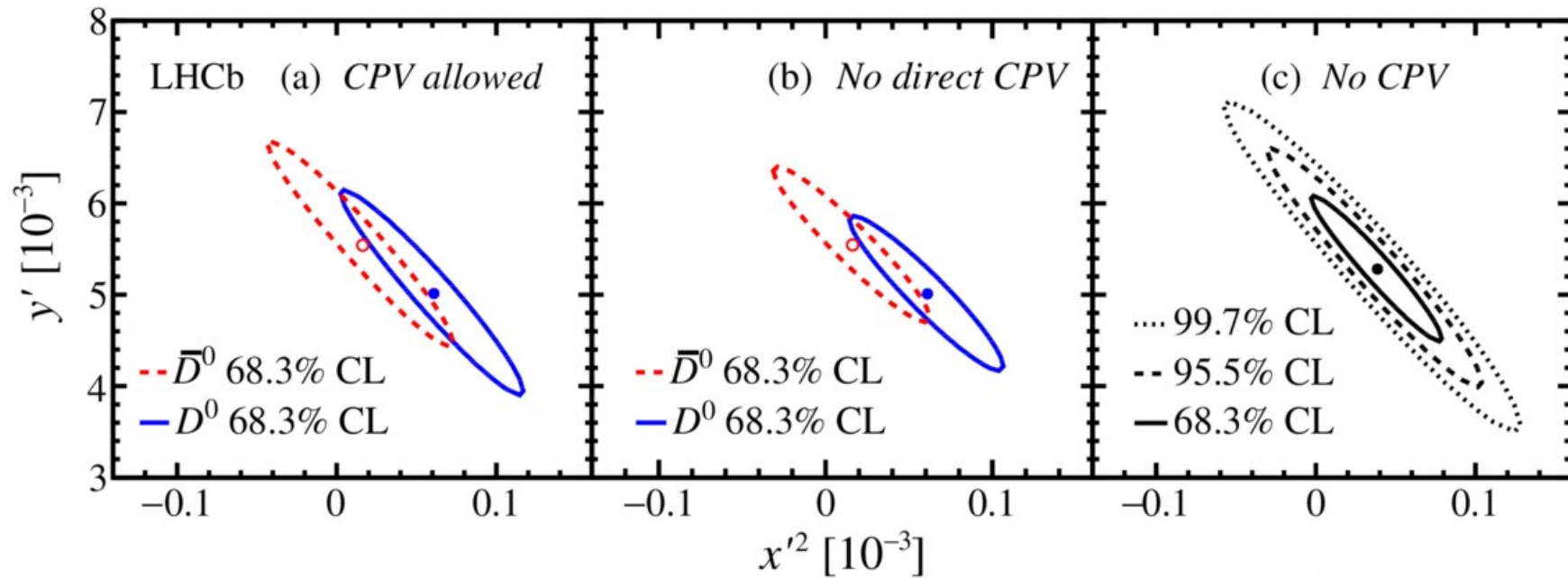
# Results

Run 1 + 2015 + 2016





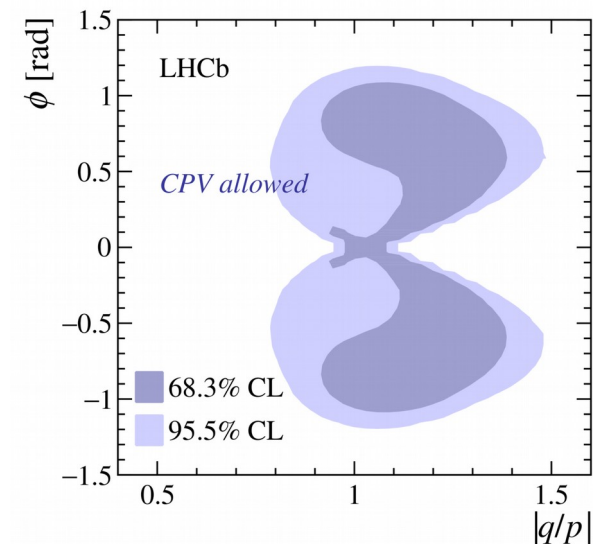
# Results



$$y' = (5.28 \pm 0.45 \pm 0.27) \times 10^{-3}$$

$$x'^2 = (0.039 \pm 0.023 \pm 0.014) \times 10^{-3}$$

$$1 < \left| \frac{q}{p} \right| < 1.35 \text{ at } 68.3\% \text{ CL}$$

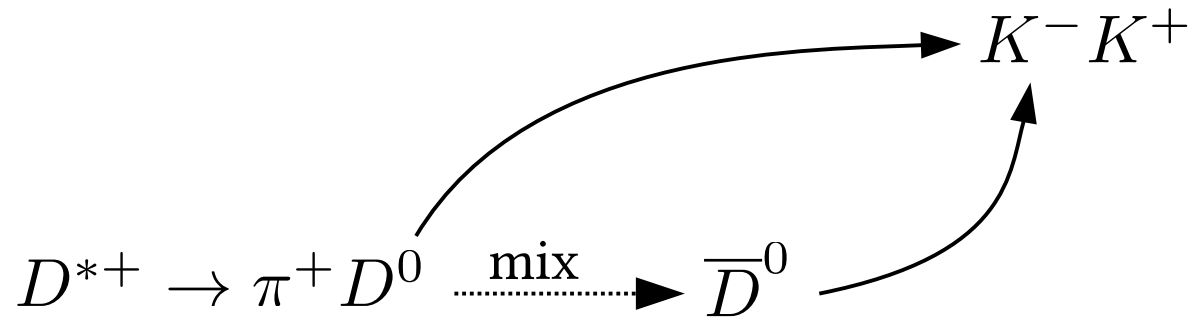


# CP asymmetry in mixing with $D^0 \rightarrow h^- h^+$

- Two-body decays to CP-even eigenstates

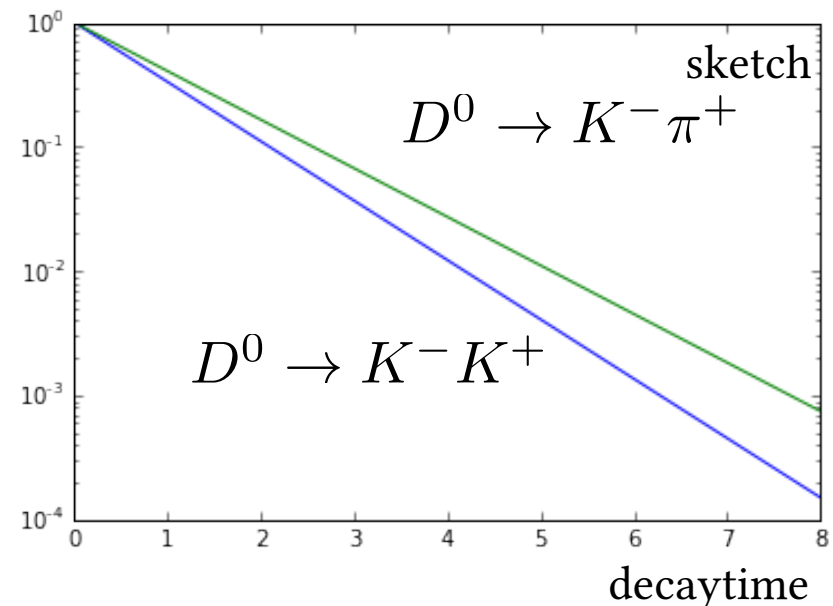
$$D^0 \rightarrow K^- K^+ \quad \text{and} \quad D^0 \rightarrow \pi^- \pi^+$$

S. Bergmann et al.,  
Phys. Lett. B486 (2000) 418,  
arXiv:hep-ph/0005181.



→ Mixing and CPV changes effective decay width

Effective decay width: Decay constant when fitting a pure exponential to the decay time distribution.

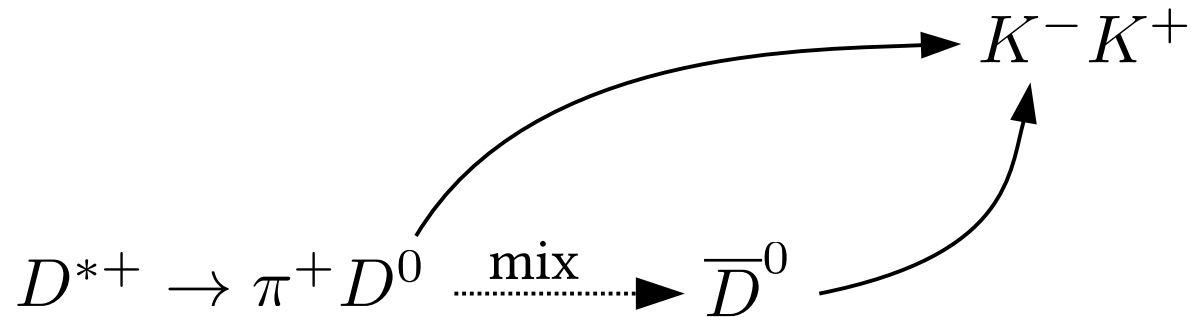


# CP asymmetry in mixing with $D^0 \rightarrow h^- h^+$

S. Bergmann et al.,  
Phys. Lett. B486 (2000) 418,  
arXiv:hep-ph/0005181.

- Two-body decays to CP-even eigenstates

$$D^0 \rightarrow K^- K^+ \quad \text{and} \quad D^0 \rightarrow \pi^- \pi^+$$



→ Mixing and CPV changes  
effective lifetime

$$y_{CP} = \frac{\Gamma_{CP+}}{\Gamma} - 1$$

$$y_{CP} = +\frac{1}{2} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) y \cos \phi - \frac{1}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) x \sin \phi$$

CP conservation implies:  $y_{CP} = y$

# Measurement of $y_{CP}$

---

- Two-body decays to CP-even eigenstates

$$D^0 \rightarrow K^- K^+, D^0 \rightarrow \pi^- \pi^+$$

- CP-mixed final state

$$D^0 \rightarrow K^- \pi^+$$

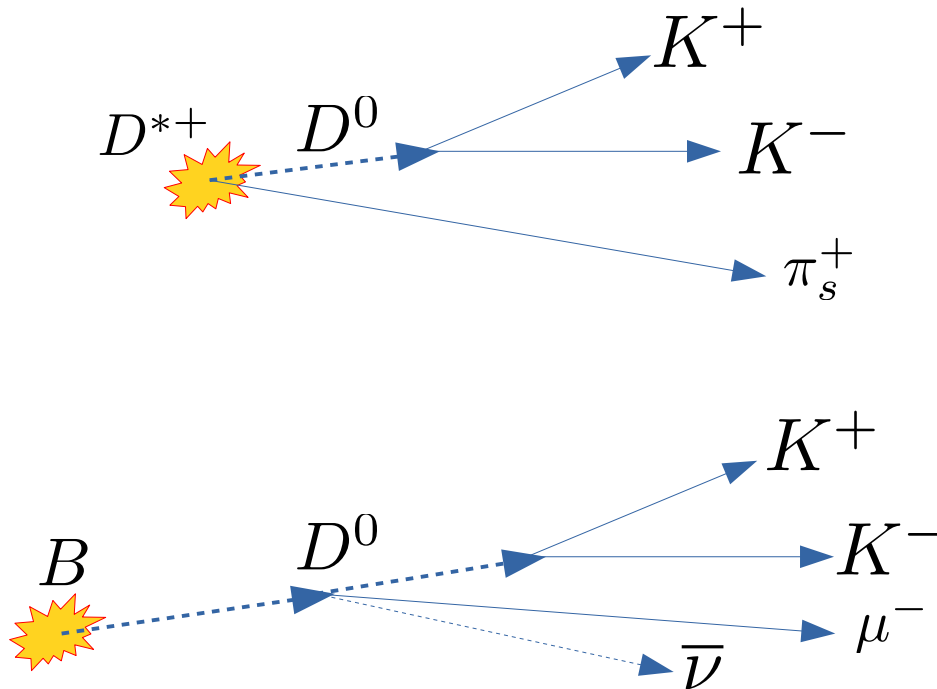
- Measure width difference, calculate  $y_{CP}$  from known lifetime

$$\Delta\Gamma = \Gamma_{CP+} - \Gamma \qquad y_{CP} = \frac{\Delta\Gamma}{\Gamma}$$

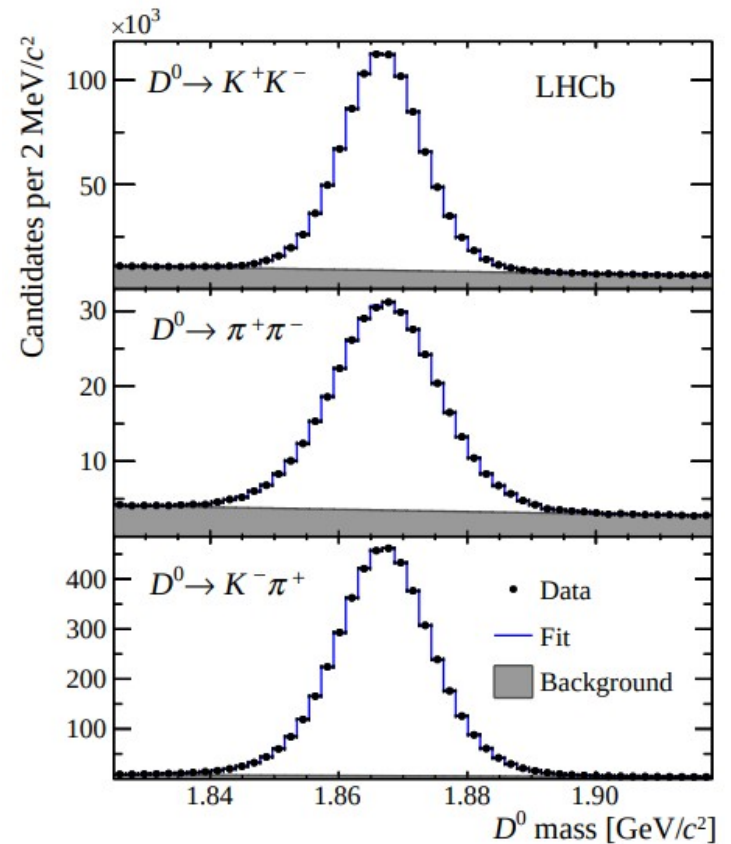
- Measure ratios of yields in decay-time bins
  - Need correction of decay-time acceptance effects

# Sample

- Analysis uses Run 1 Semileptonic sample
- Trigger on muon
- Looser selection on D decay products

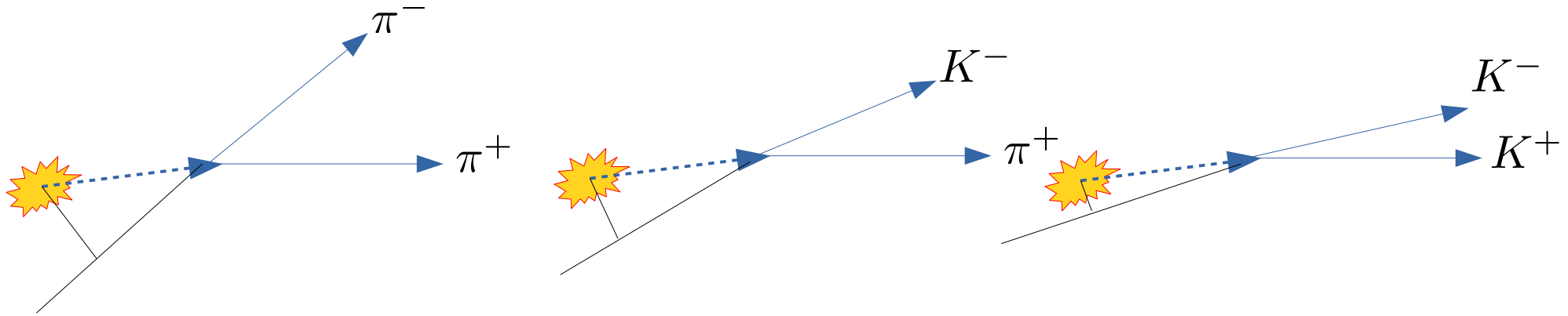


$$\bar{B} \rightarrow D^0 \mu^- \nu X$$



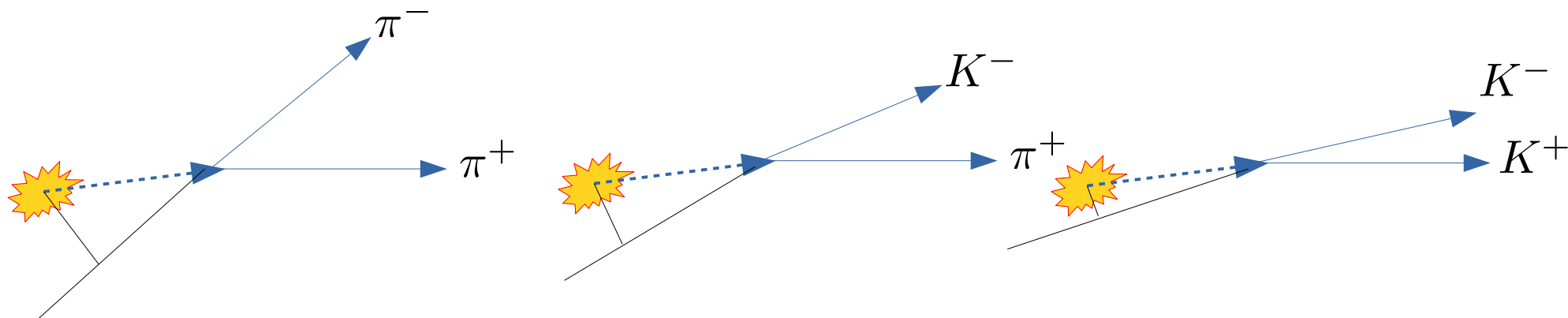
# Lifetime acceptance

- Opening angle depends on masses of decay products

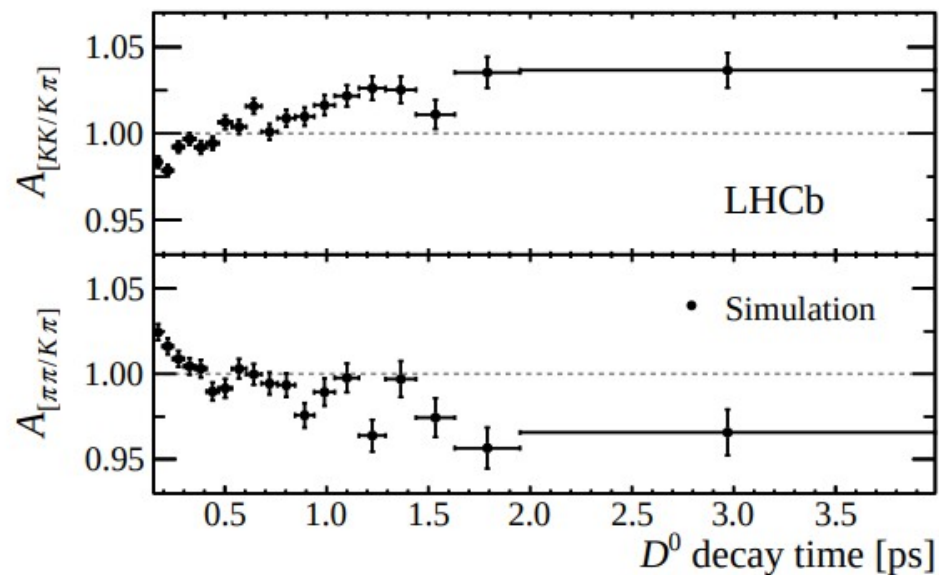


# Lifetime acceptance

- Opening angle depends on masses of decay products



Acceptance ratios:



# Robustness tests

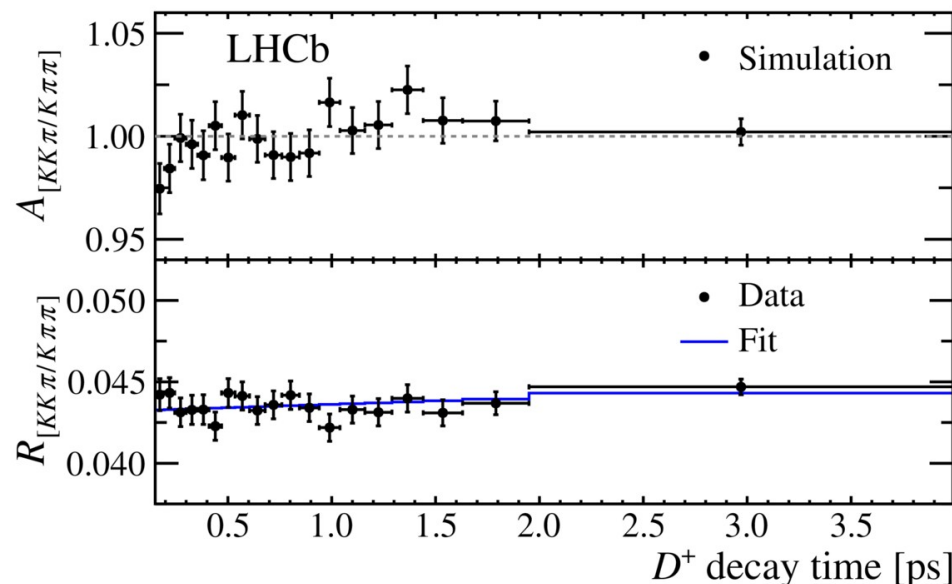
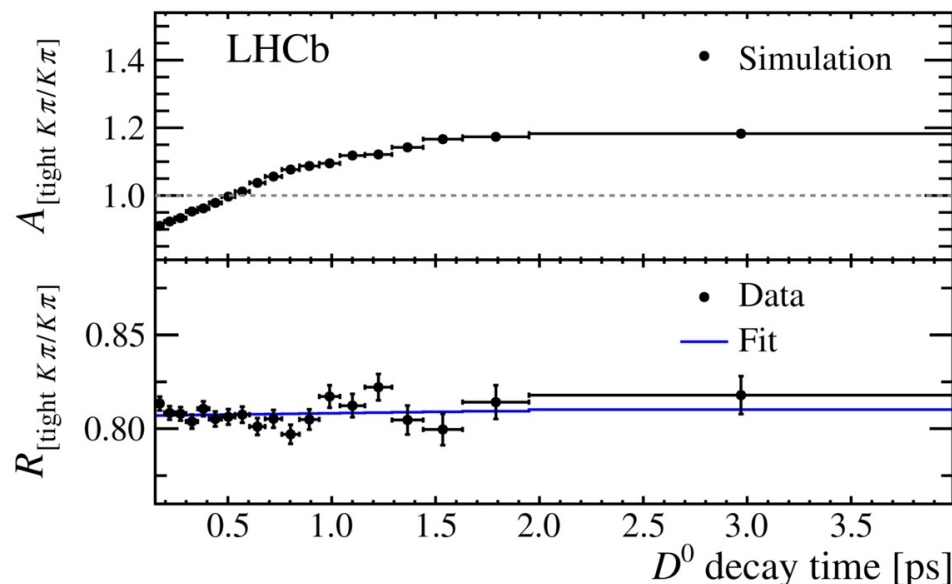
- Tighter impact parameter requirements

- Different decay-modes



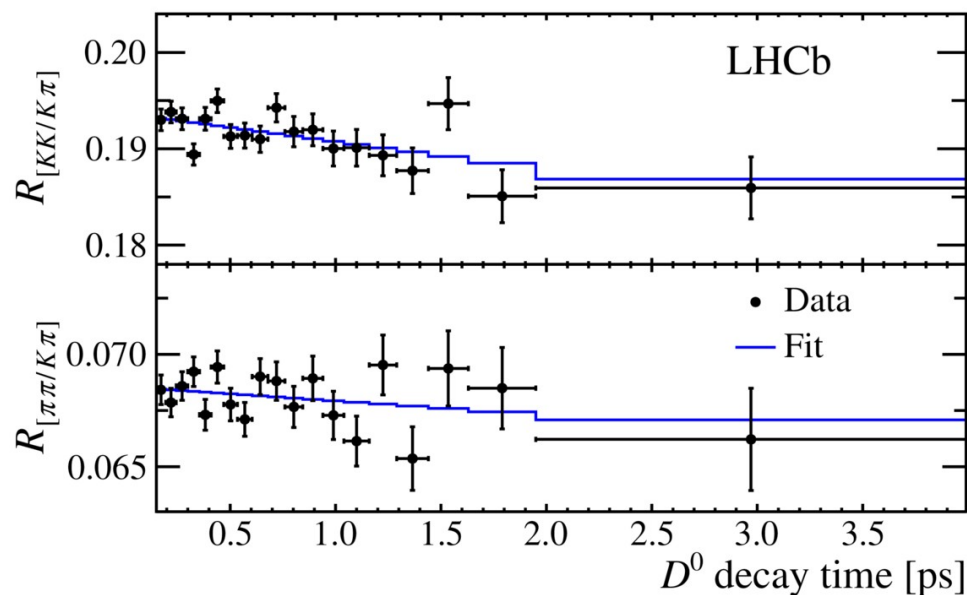
- Decay-width differences consistent with 0

- Biggest systematic from Monte Carlo statistics





# Result of $y_{CP}$ measurement



Decay	$\Delta\Gamma$ [ $\text{ps}^{-1}$ ]	$y_{CP}$ [%]
$D^0 \rightarrow K^+ K^-$	$0.0153 \pm 0.0036 \pm 0.0027$	$0.63 \pm 0.15 \pm 0.11$
$D^0 \rightarrow \pi^+ \pi^-$	$0.0093 \pm 0.0067 \pm 0.0038$	$0.38 \pm 0.28 \pm 0.15$

As precise as previous world average.

No evidence for CP violation.

$$y_{CP} = (0.57 \pm 0.13(\text{stat}) \pm 0.09(\text{syst}))\%$$

$$y_{CP,\text{HFLAV}} = (0.84 \pm 0.16)\%$$

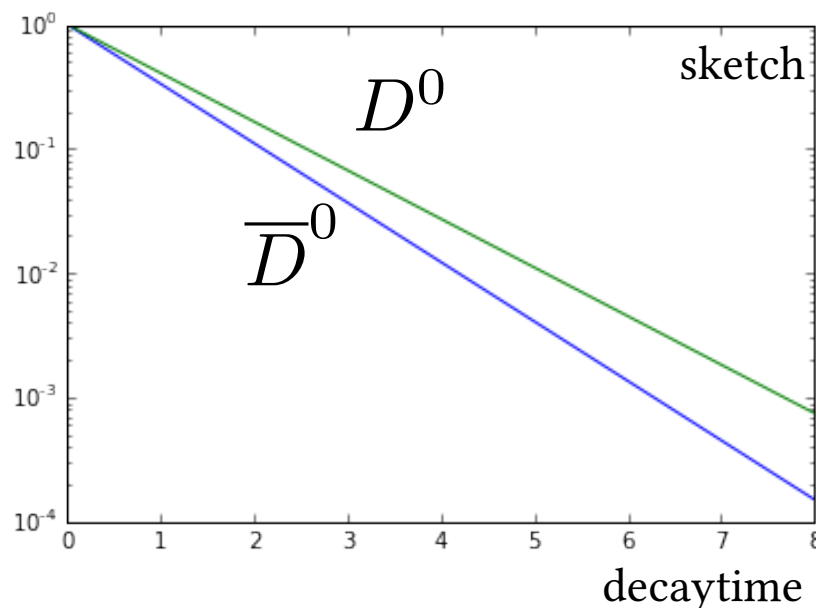
$$y_{\text{HFLAV}} = (0.62 \pm 0.07)\%$$

# Asymmetry of effective decay widths

- Asymmetry between  $D^0$  and  $\bar{D}^0$  effective decay widths

$$A_{CP}(t) = \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)} \approx a_{\text{dir}}^f - A_{\Gamma} \frac{t}{\tau_D}$$

$$A_{\Gamma} = +\frac{1}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi - \frac{1}{2} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) x \sin \phi$$

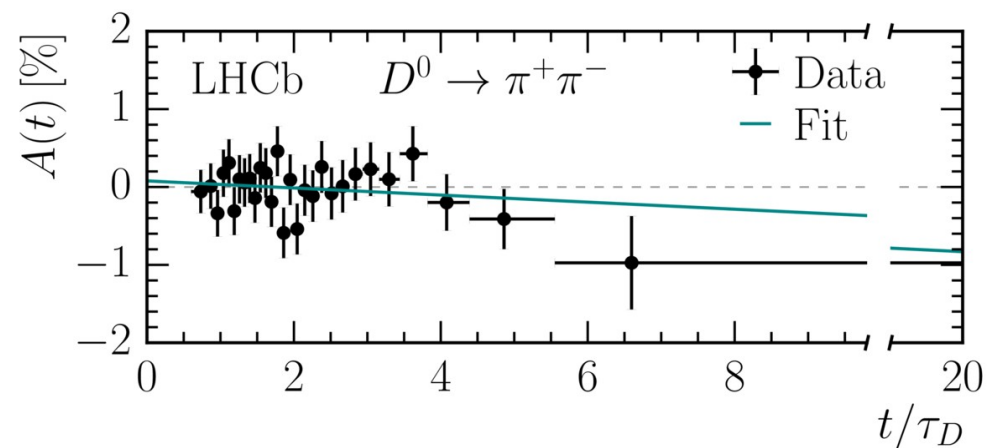
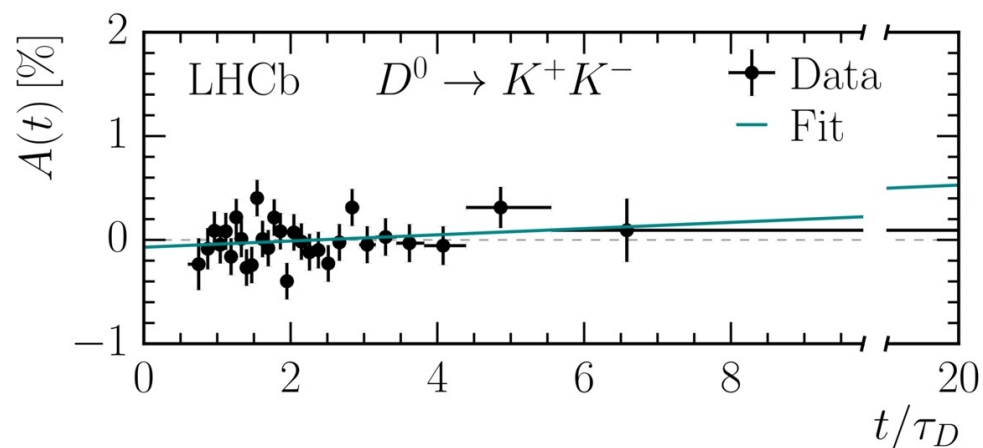


# Asymmetry of effective decay widths

- Asymmetry between  $D^0$  and  $\bar{D}^0$  effective decay widths

$$A_{CP}(t) = \frac{\Gamma(D^0(t) \rightarrow f) - \Gamma(\bar{D}^0(t) \rightarrow f)}{\Gamma(D^0(t) \rightarrow f) + \Gamma(\bar{D}^0(t) \rightarrow f)} \approx a_{\text{dir}}^f - A_{\Gamma} \frac{t}{\tau_D}$$

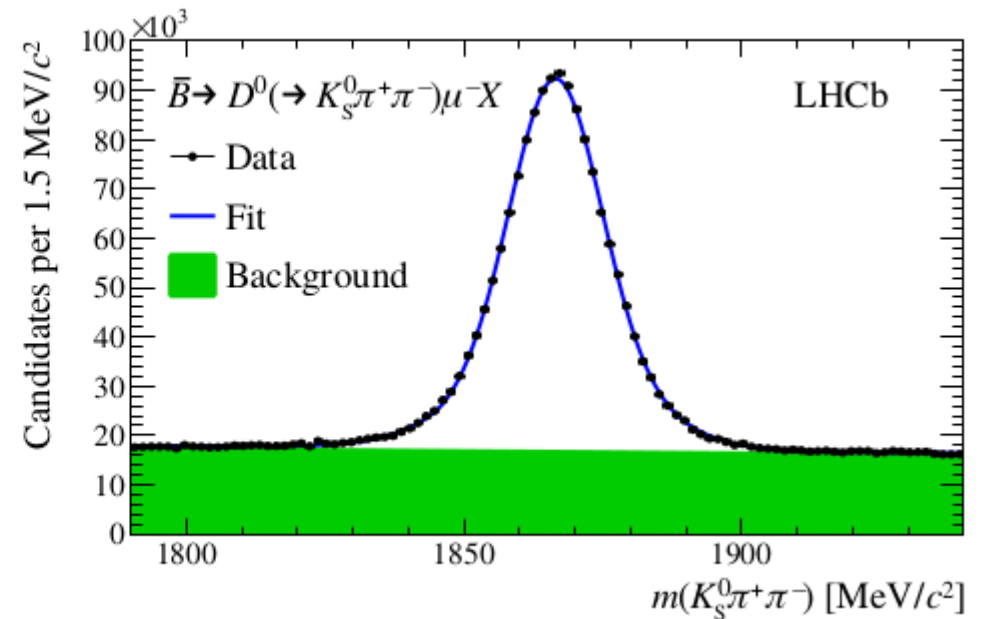
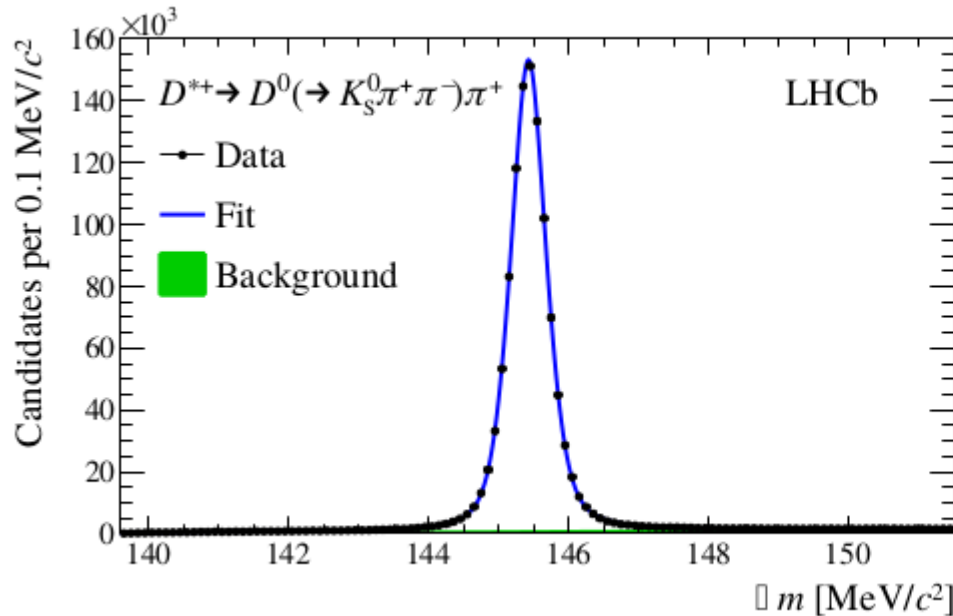
$$A_{\Gamma} = +\frac{1}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi - \frac{1}{2} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) x \sin \phi$$



$$A_{\Gamma} = (0.29 \pm 0.28) \times 10^3 \quad (\text{LHCb Run 1 data})$$

# Multi-body decays

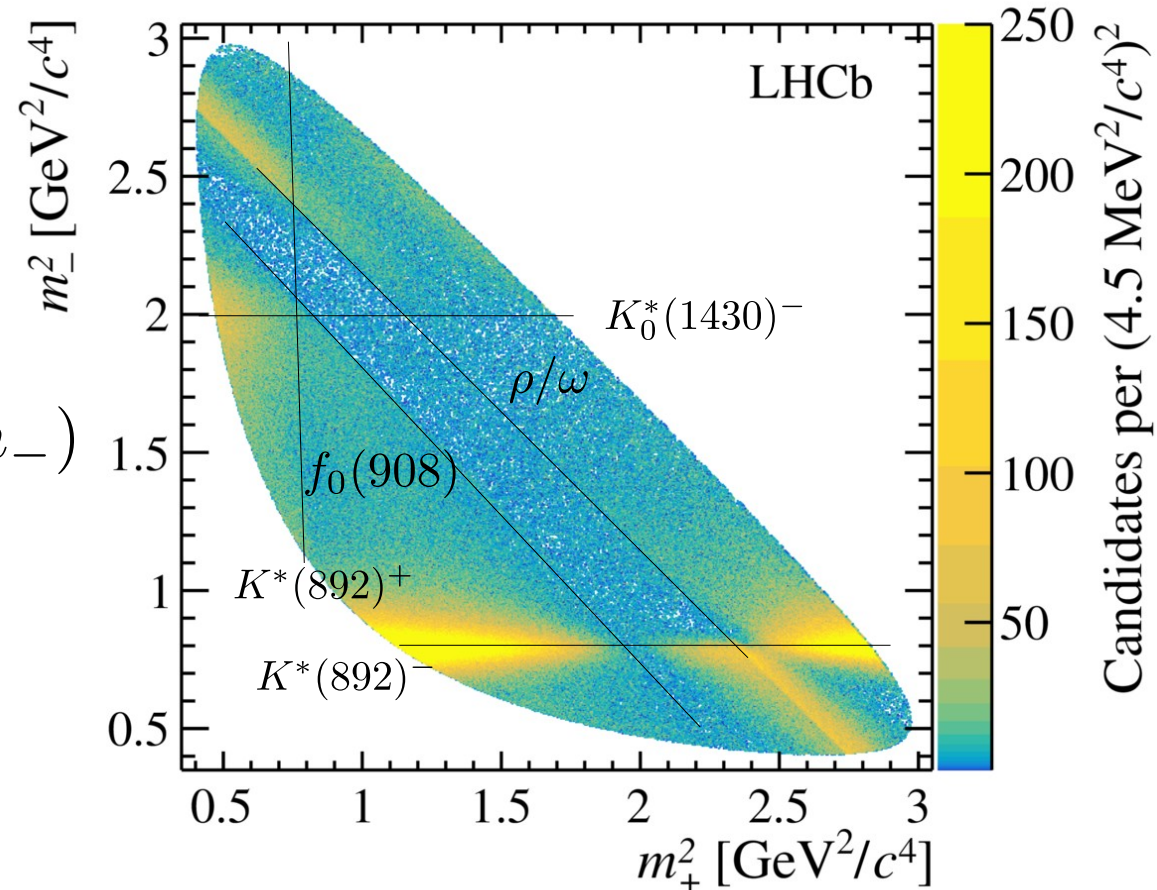
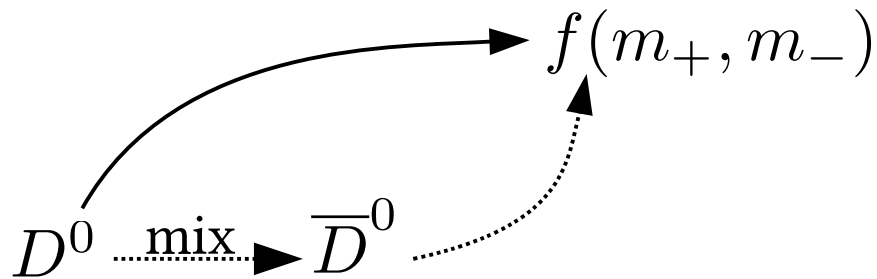
$$D^0 \rightarrow K_S^0 \pi^+ \pi^-$$



- Analysis with Run 1 data
- 1.3 Million prompt decays
- 1 Million semileptonic decays

# Dalitz plot and interference

$$m_{\pm}^2 \equiv \begin{cases} m^2(K_S^0 \pi^{\pm}) & \text{for } D^0 \\ m^2(K_S^0 \pi^{\mp}) & \text{for } \bar{D}^0 \end{cases}$$



Multiple interfering amplitudes:

$$\mathcal{P}_D(m_+, m_-) \propto e^{-\Gamma t} \left[ |A_D|^2 + \text{Re}(A_D^*(m_+, m_-) A_D(m_+, m_-)(z)\Gamma t) + \dots \right]$$

# Reminder WS method

- Remember WS method

$$R(t) \approx R_D + \sqrt{R_D} y' \frac{t}{\tau} + \frac{x'^2 + y'^2}{4} \left( \frac{t}{\tau} \right)^2$$

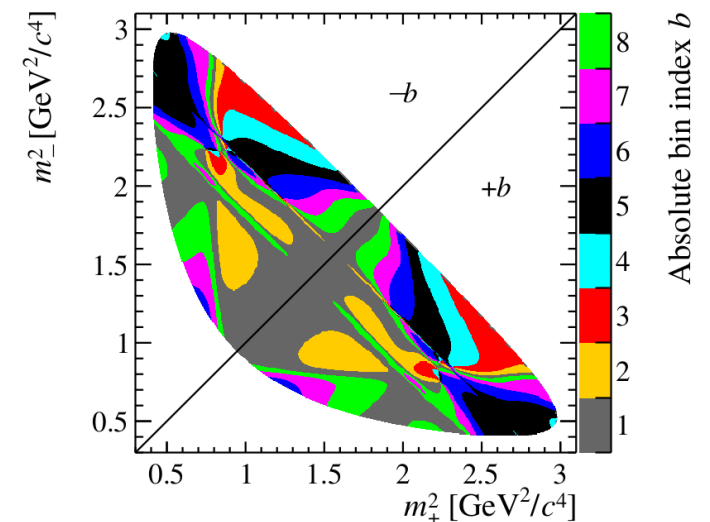
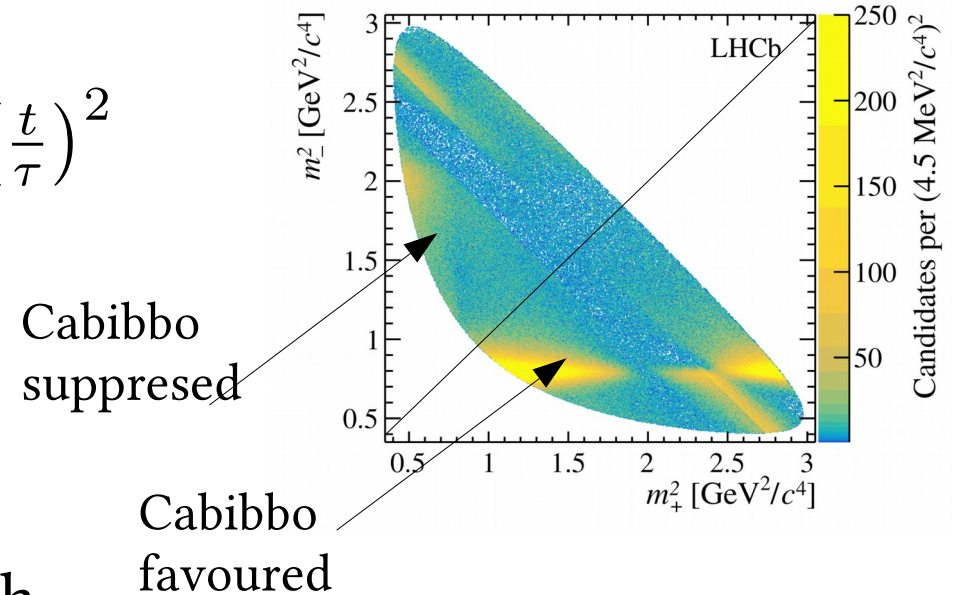
$$\frac{A(D^0 \rightarrow K^+ \pi^-)}{A(\bar{D}^0 \rightarrow K^+ \pi^-)} = -\sqrt{R_D} e^{-i\delta}$$

$$y' = y \cos \delta - x \sin \delta$$

$$x' = x \cos \delta + y \sin \delta$$

(strong phase)

- Here, strong phase varies in each point
- CLEO measured strong phases with correlated DD pairs in bins of Dalitz plot



# Binflip method

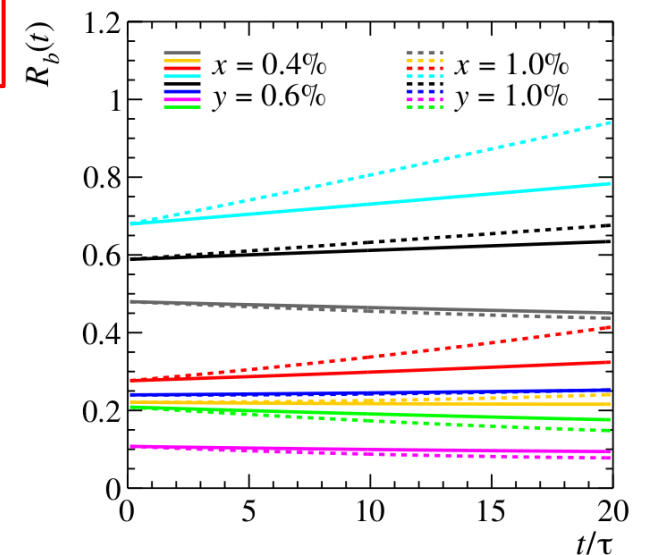
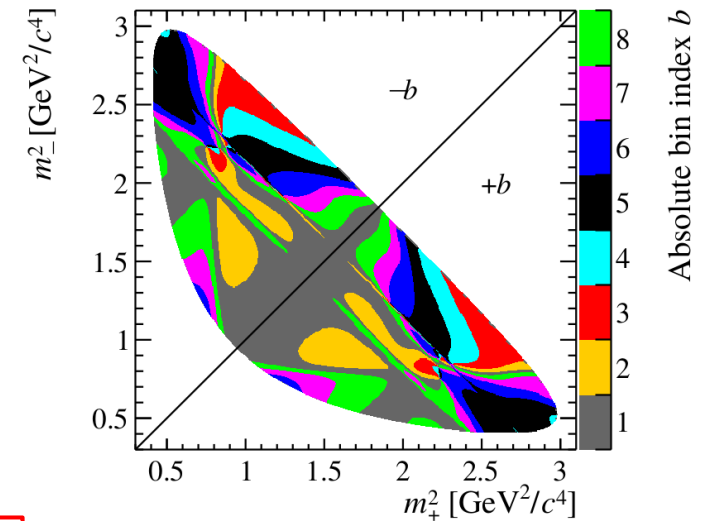
$$R_{bj} = \frac{N_{-bj}}{N_{bj}}$$

- Measure ratios of yields in Dalitz bins  $b$  and  $-b$  in decay-time bins  $j$

No CPV:

$$R_{bj} \approx r_b - \langle t \rangle_j \sqrt{r_b} [(1 - r_b)c_b y - (1 + r_b)s_b x],$$

- External input from CLEO  $c_b$ ,  $s_b$
- Nuisance parameter  $r_b$
- Use binning which minimizes strong phase variations



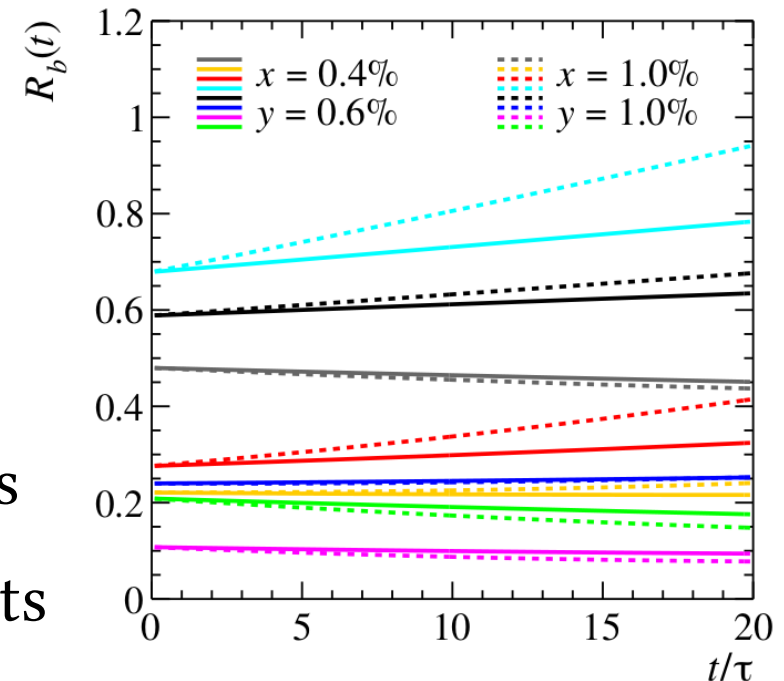
# Binflip method

$$R_{bj} = \frac{N_{-bj}}{N_{bj}}$$

$$R_{bj}^{\pm} \approx \frac{r_b + \frac{1}{4} r_b \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) + \frac{1}{4} \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re}[X_b^*(z_{CP} \pm \Delta z)]}{1 + \frac{1}{4} \langle t^2 \rangle_j \operatorname{Re}(z_{CP}^2 - \Delta z^2) + r_b \frac{1}{4} \langle t^2 \rangle_j |z_{CP} \pm \Delta z|^2 + \sqrt{r_b} \langle t \rangle_j \operatorname{Re}[X_b(z_{CP} \pm \Delta z)]}$$

$$X_b \equiv c_b - i s_b$$

- $r_b$  nuisance parameter
- $X_b$  external input from CLEO
- Avoids modeling of D decay dynamics
- Cancellation of **most** acceptance effects





# Binflip method – Expected Sensitivity

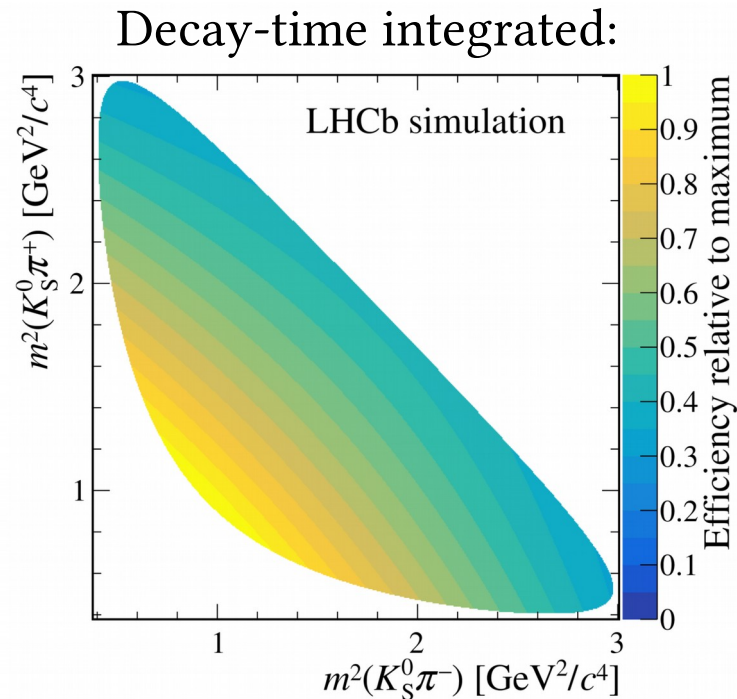
	Signal yield	$\sigma(x_{CP})$ [%]	$\sigma(y_{CP})$ [%]	$\sigma(\Delta x)$ [%]	$\sigma(\Delta y)$ [%]
Run 1 →	$1 \times 10^6$	0.22 (0.21 )	0.43 (0.41 )	0.16 (0.15 )	0.31 (0.29 )
	$5 \times 10^6$	0.10 (0.093)	0.24 (0.19 )	0.068 (0.065)	0.16 (0.13 )
Run 2 →	$1 \times 10^7$	0.085 (0.066)	0.16 (0.13 )	0.048 (0.046)	0.095 (0.091)
	$5 \times 10^7$	0.047 (0.030)	0.120 (0.059)	0.021 (0.021)	0.041 (0.041)
	$1 \times 10^8$	0.043 (0.021)	0.091 (0.042)	0.015 (0.015)	0.028 (0.028)
	$5 \times 10^8$	0.034 (0.009)	0.091 (0.018)	0.006 (0.006)	0.013 (0.013)

↑  
Hadronic parameters  
constrained (CLEO  
uncertainties)

↖ Hadronic parameters fixed

- CLEO uncertainties, marginal impact on precision for Run 1 data set
- For future measurements, improved input from BESIII needed

# Dalitz-plot acceptance



Measured by CLEO:

$$c_b \propto \int_b dm_+^2 dm_-^2 |A_f(m_+^2, m_-^2)| |A_f(m_-^2, m_+^2)| \cos[\Delta\delta(m_+^2, m_-^2)]$$

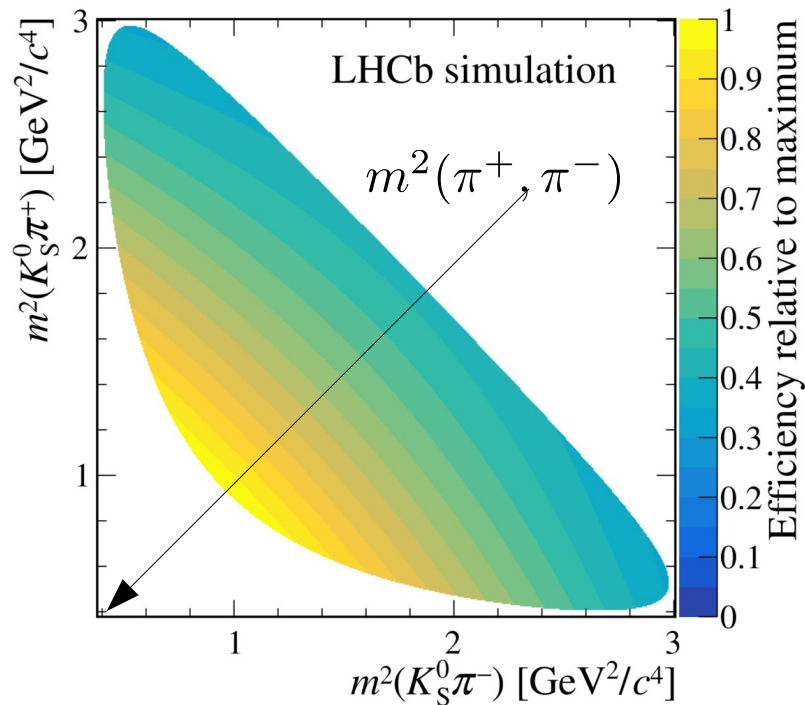
Measured by LHCb:

$$\tilde{c}_b \propto \int_b dm_+^2 dm_-^2 \epsilon(m_+^2, m_-^2) |A_f(m_+^2, m_-^2)| |A_f(m_-^2, m_+^2)| \cos[\Delta\delta(m_+^2, m_-^2)]$$

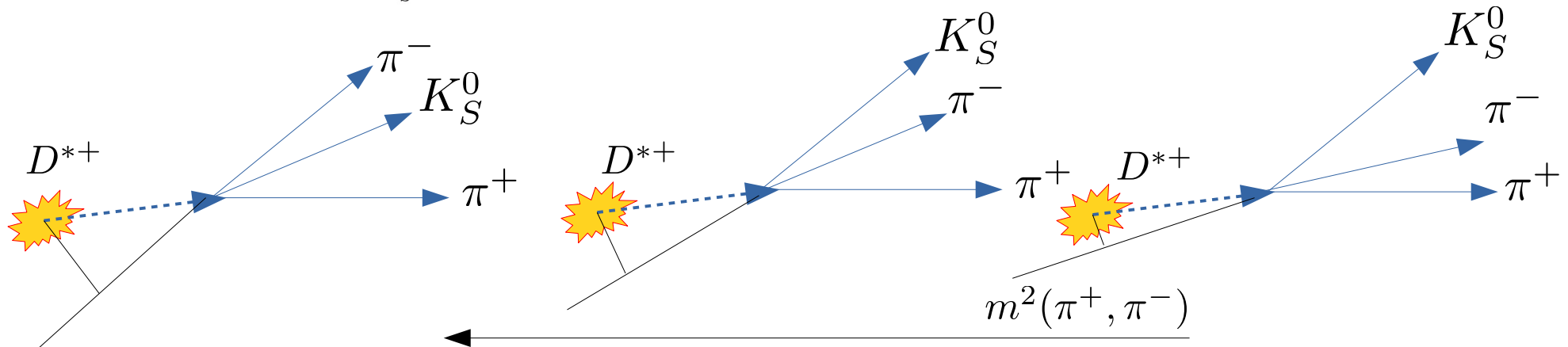
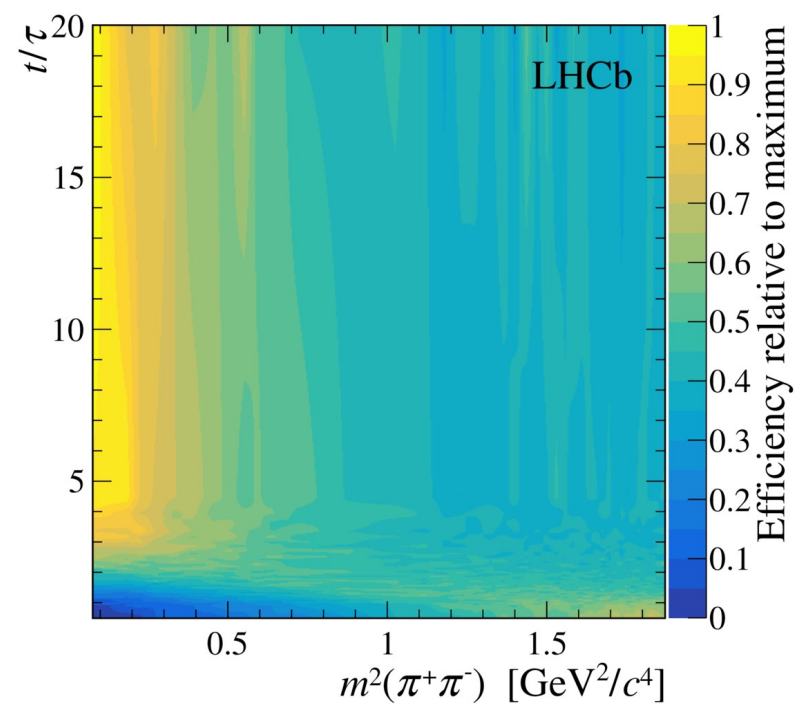
Pseudo experiments: Negligible bias if appropriate selection and binning.

# Dalitz-plot and decay-time acceptance

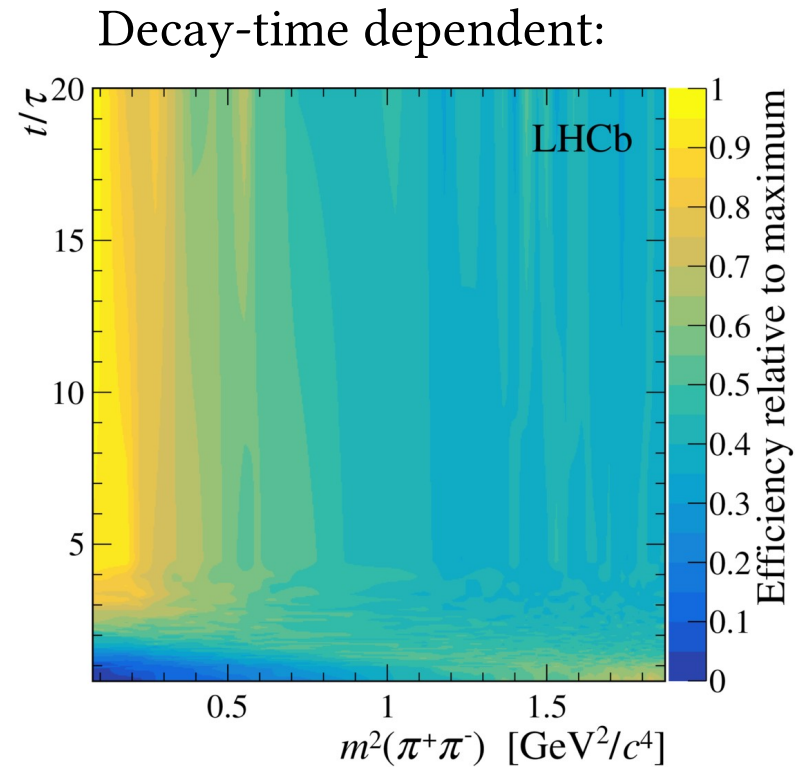
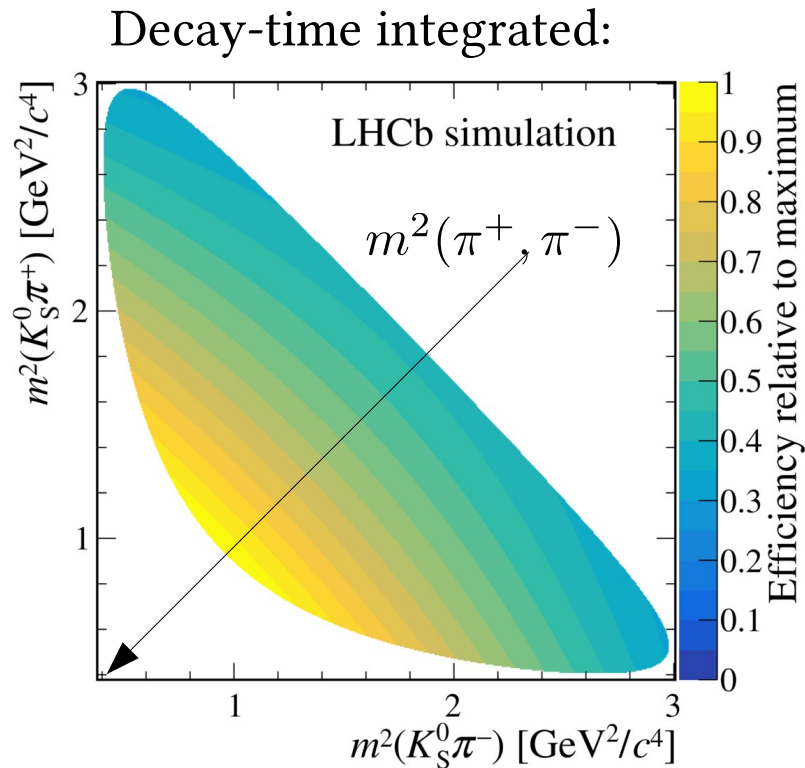
Decay-time integrated:



Decay-time dependent:



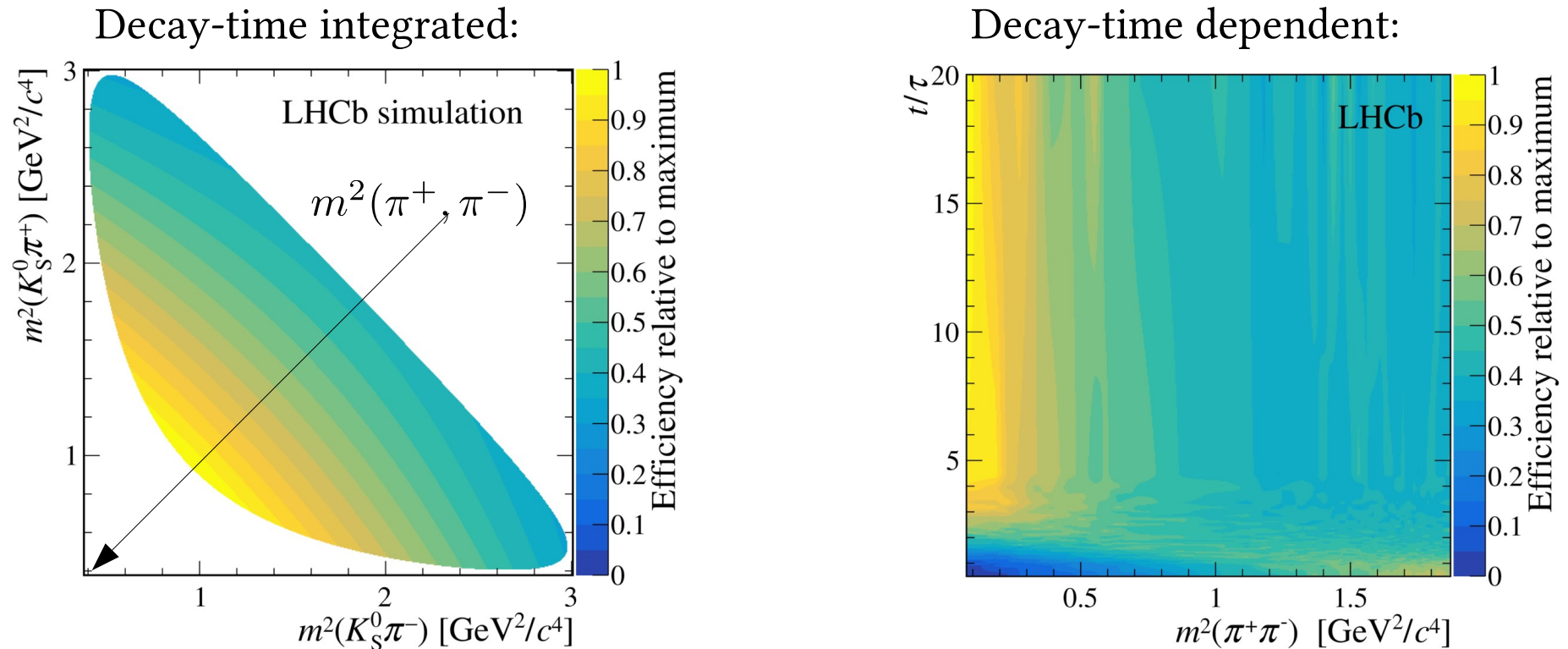
# Dalitz-plot and decay-time acceptance



$$\tilde{c}_b(t) \propto \int_b dm_+^2 dm_-^2 \epsilon(m_+^2, m_-^2, t) |A_f(m_+^2, m_-^2)| |A_f(m_-^2, m_+^2)| \cos[\Delta\delta(m_+^2, m_-^2)]$$

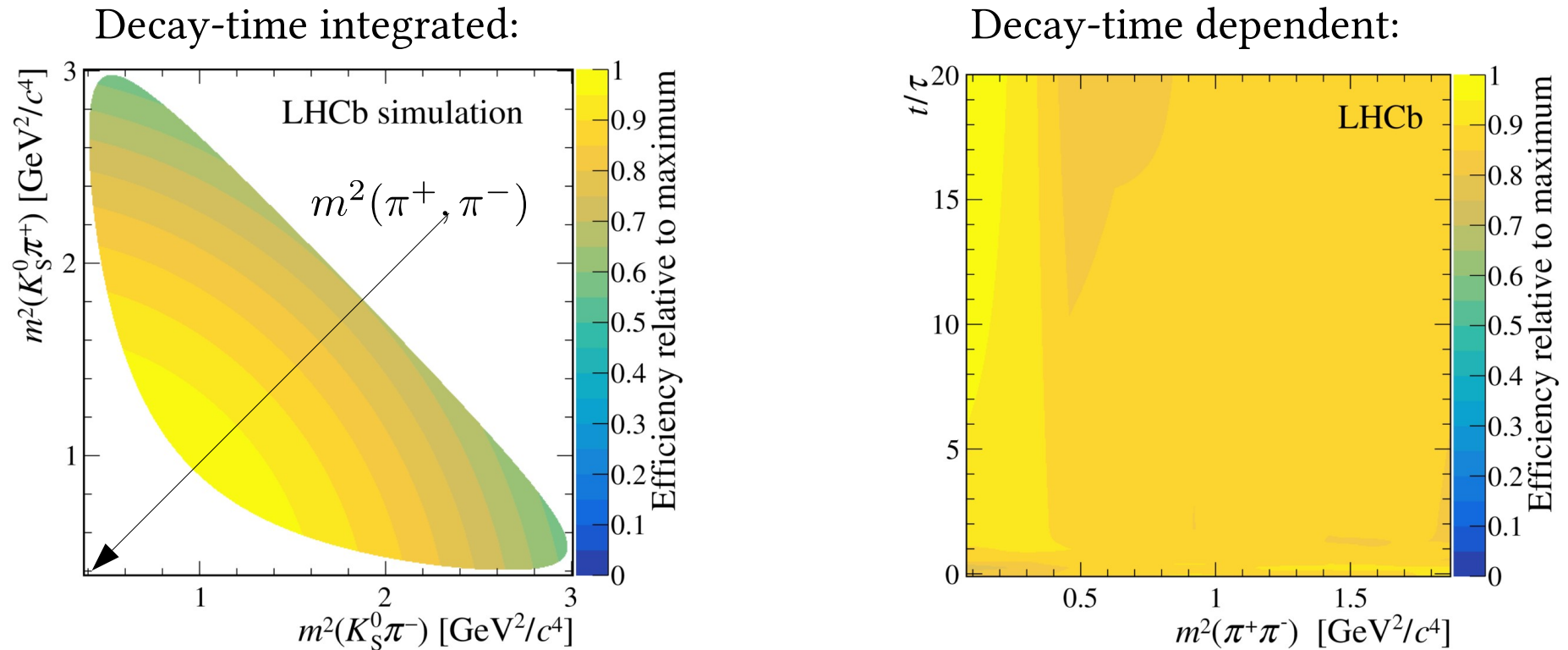
(Uncorrected) correlation  $(m^2(\pi^+, \pi^-), t)$  introduces bias in measurement.

# Dalitz-plot and decay-time acceptance



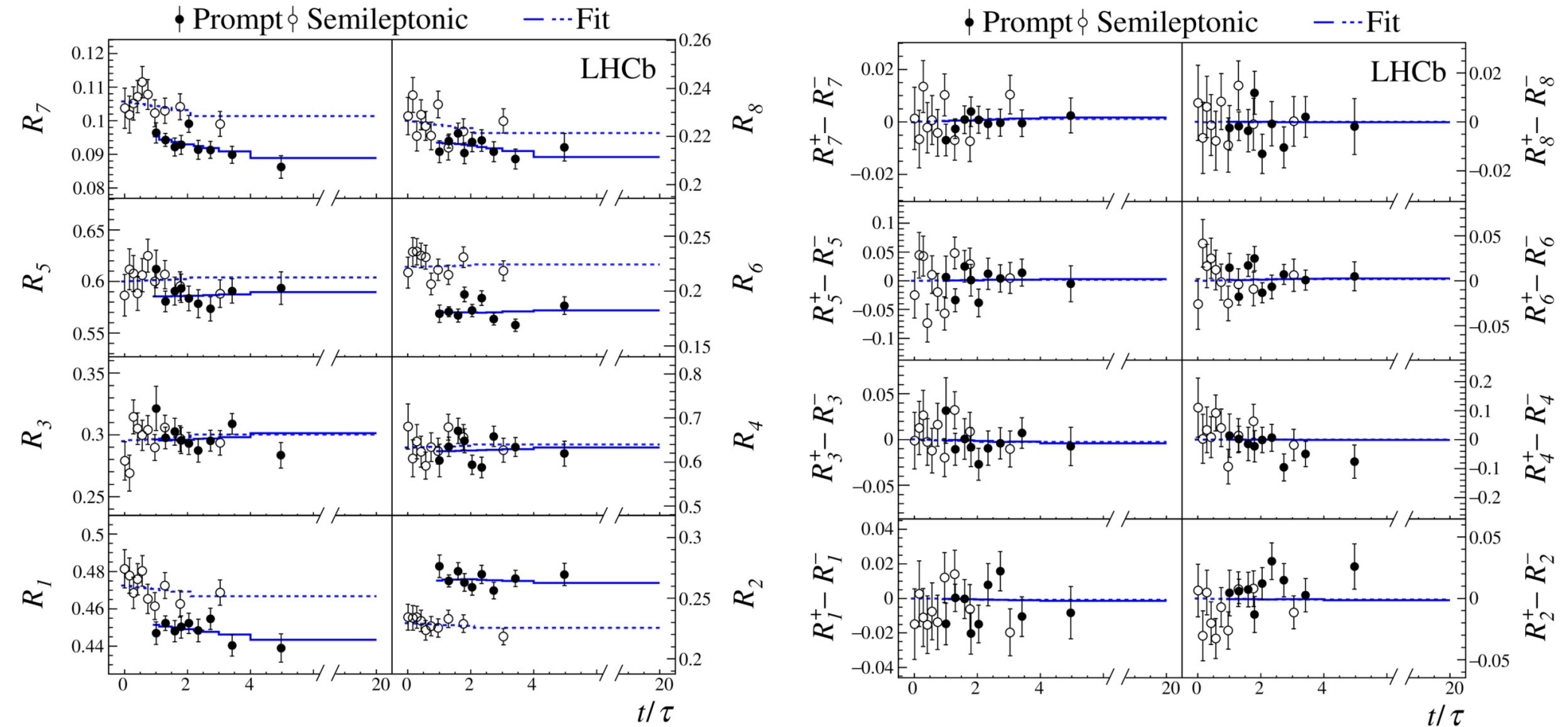
- Correlation  $(m^2(\pi^+, \pi^-), t)$  introduces bias in measurement
- Extract efficiency map from data, normalized to average decay-time distribution
- Assign per-candidate weight depending on  $(m^2(\pi^+, \pi^-), t)$
- Extensive pseudo-experiment studies to validate method

# Dalitz-plot and decay-time acceptance

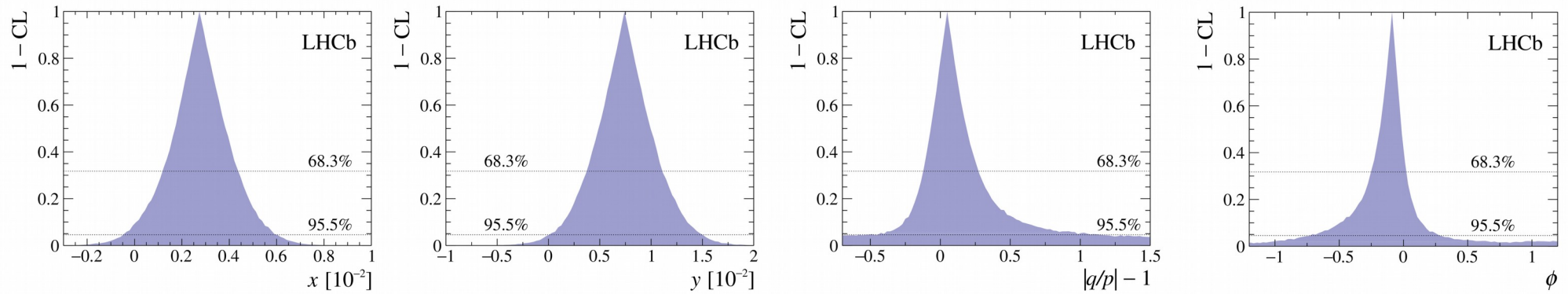


- D's from semileptonic decays show almost no correlation
- No correction applied
- Extensive pseudo-experiment studies to validate method

# Mixing and CPV fit



# Fit results



Parameter	Value	95.5% CL interval
$x [10^{-2}]$	$0.27^{+0.17}_{-0.15}$	$[-0.05, 0.60]$
$y [10^{-2}]$	$0.74 \pm 0.37$	$[0.00, 1.50]$
$ q/p $	$1.05^{+0.22}_{-0.17}$	$[0.55, 2.15]$
$\phi$	$-0.09^{+0.11}_{-0.16}$	$[-0.73, 0.29]$

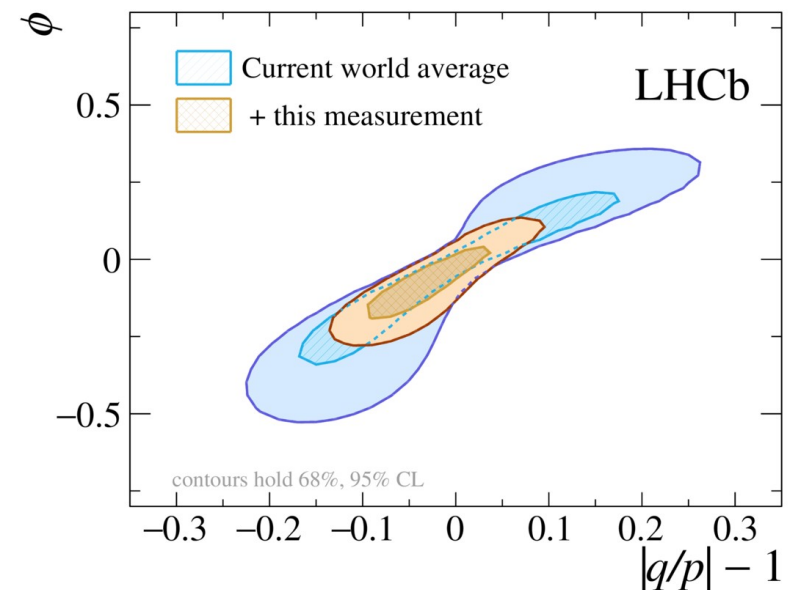
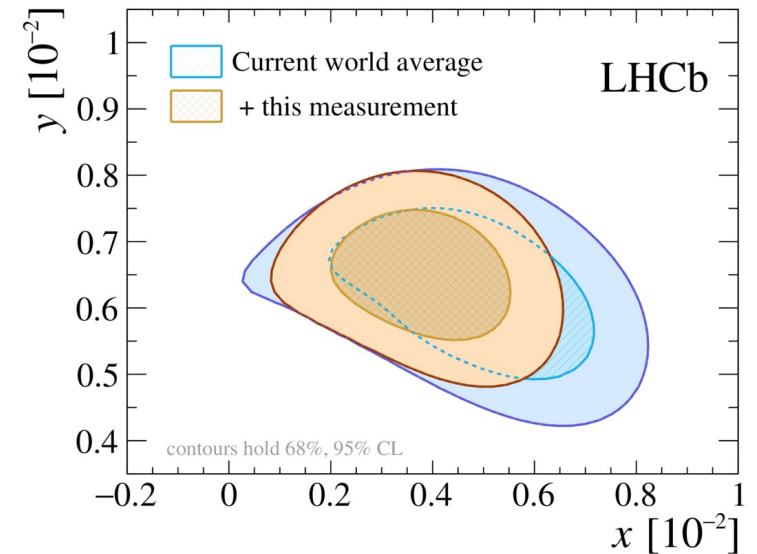
- Most precise measurement of  $x$  by a single experiment
- No sign of CP violation



# Impact on world average


Parameter	Value	Allowed interval		
		68.3% CL	95.5% CL	99.7% CL
$x [10^{-2}]$	$0.38 \pm 0.12$	[ 0.26 , 0.50 ]	[ 0.14, 0.61]	[ 0.02, 0.71]
$y [10^{-2}]$	$0.655^{+0.062}_{-0.067}$	[ 0.588, 0.717]	[ 0.52, 0.78]	[ 0.44, 0.84]
$ q/p $	$0.967^{+0.050}_{-0.045}$	[ 0.922, 1.017]	[ 0.88, 1.07]	[ 0.84, 1.13]
$\phi$	$-0.070^{+0.079}_{-0.081}$	[-0.151, 0.009]	[-0.24, 0.09]	[-0.33, 0.19]

- Includes all measurements shown today
- Significantly improved limits on CPV parameters
- First evidence of non-zero  $x$   
... 30 times more data in Run 2



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# Direct CP violation



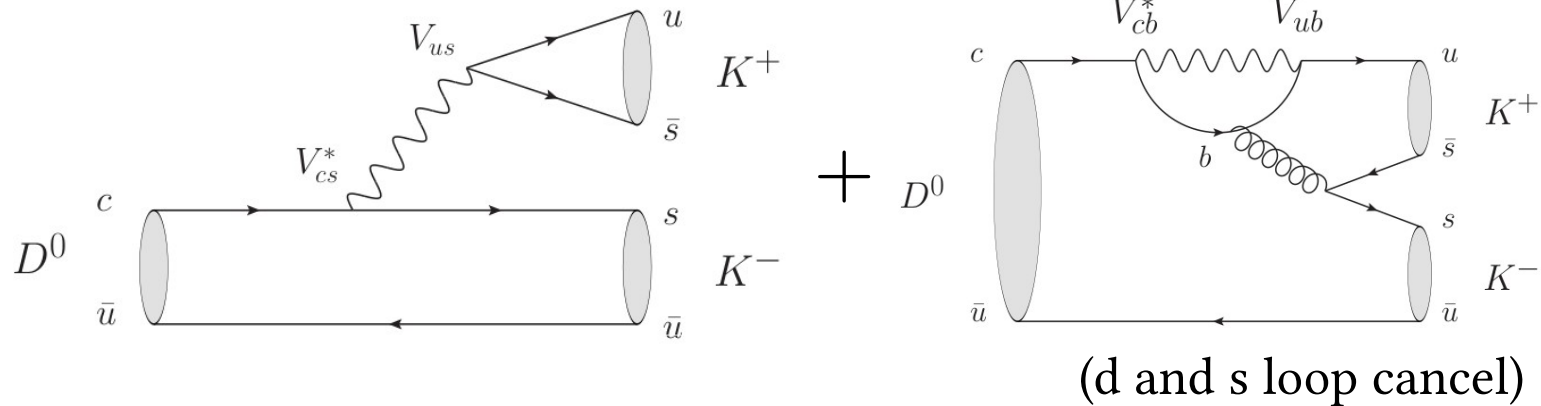
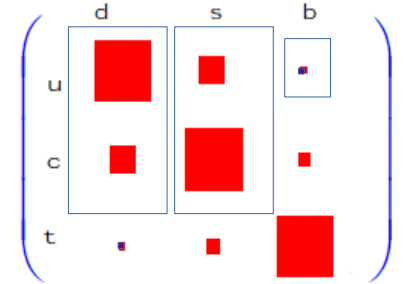
Measurement  
published  
today!

LHCb-PAPER-2019-006

# Direct CP violation in two-body charm decays

- Singly Cabibbo-suppressed decays:

$$D^0 \rightarrow K^- K^+ \quad \text{and} \quad D^0 \rightarrow \pi^- \pi^+$$

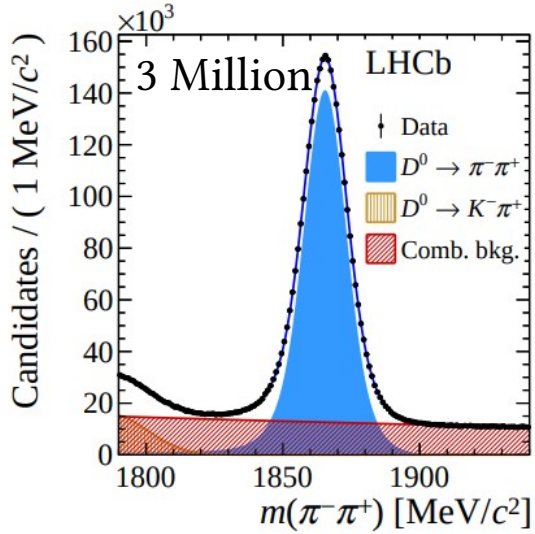
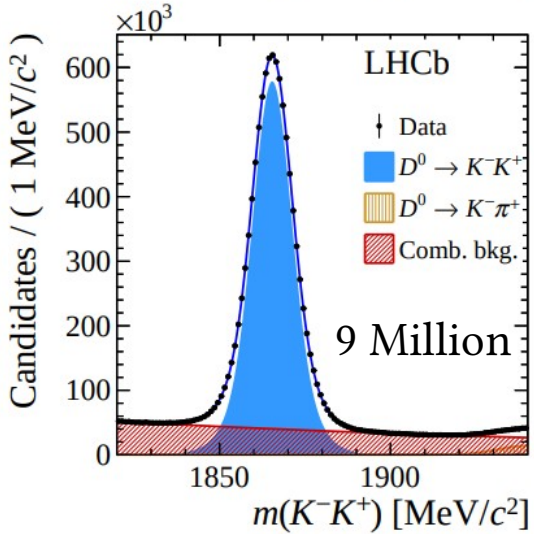
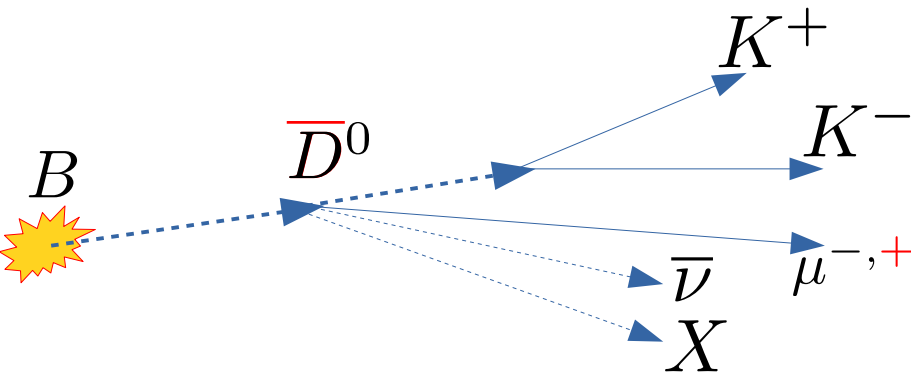
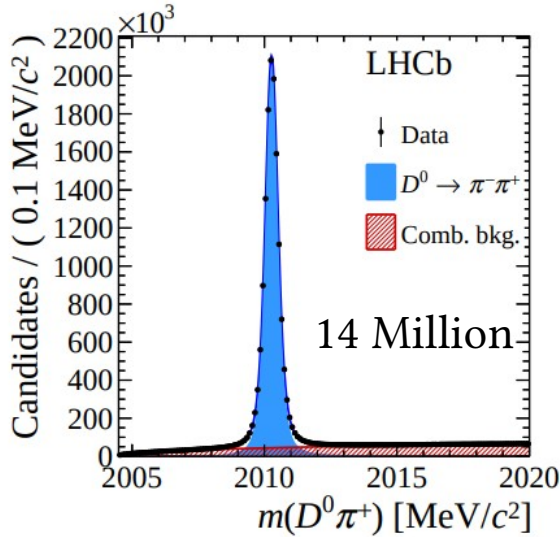
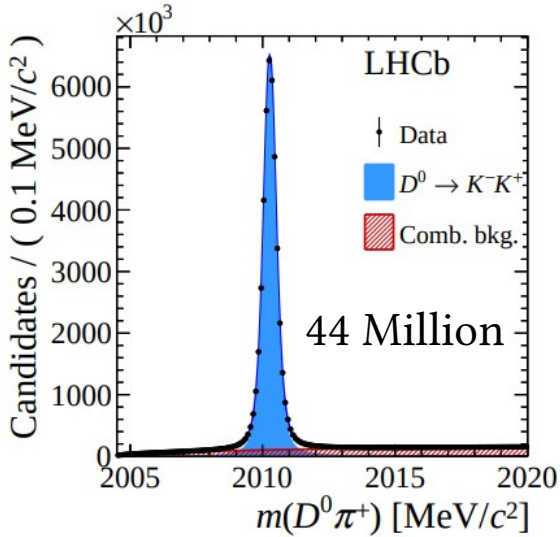
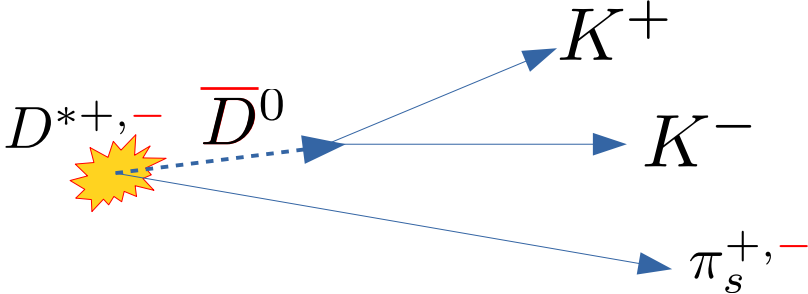


- Observable:

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)} \propto \left| \frac{V_{cb}^* V_{ub}}{V_{cs}^* V_{us}} \right| \lesssim \mathcal{O}(0.1 \%)$$

$$\text{Naively: } A_{CP}(K^- K^+) = -A_{CP}(\pi^- \pi^+)$$

# Two-body modes



Sub-per mille precision!

# Measured quantity: $\Delta A_{CP}$ (prompt)

Measured asymmetry:

$$A_{\text{raw}}(f) = \frac{N(D^0 \rightarrow f, \pi^+) - N(\bar{D}^0 \rightarrow f, \pi^-)}{N(D^0 \rightarrow f, \pi^+) + N(\bar{D}^0 \rightarrow f, \pi^-)}, \quad f = K^+ K^-, \pi^+ \pi^-$$

$$A_{\text{raw}}(f) = A_{CP}(f) + A_D(\pi^+) + A_P(D^{*+})$$

CP asymmetry

Detection asymmetry

Production asymmetry

All of order 1% or below.

Experimentally more robust:

$$\begin{aligned} \Delta A_{CP} &= A_{\text{raw}}(K^+ K^-) - A_{\text{raw}}(\pi^+ \pi^-) \\ &= A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-) \end{aligned}$$

Assumption: Detection and production asymmetry cancel.

# Measured quantity: $\Delta A_{CP}$ (SL)

Measured  
asymmetry:

$$A_{\text{raw}}(f) = \frac{N(D^0 \rightarrow f, \mu^-) - N(\bar{D}^0 \rightarrow f, \mu^+)}{N(D^0 \rightarrow f, \mu^-) + N(\bar{D}^0 \rightarrow f, \mu^+)}, \quad f = K^+ K^-, \pi^+ \pi^-$$

$$A_{\text{raw}}(f) = A_{CP}(f) + A_D(\mu^-) + A_P(B)$$

CP asymmetry

Detection  
asymmetry

Production  
asymmetry

All of order 1% or below.

Different nuisance asymmetries in both modes.

Experimentally  
more robust:

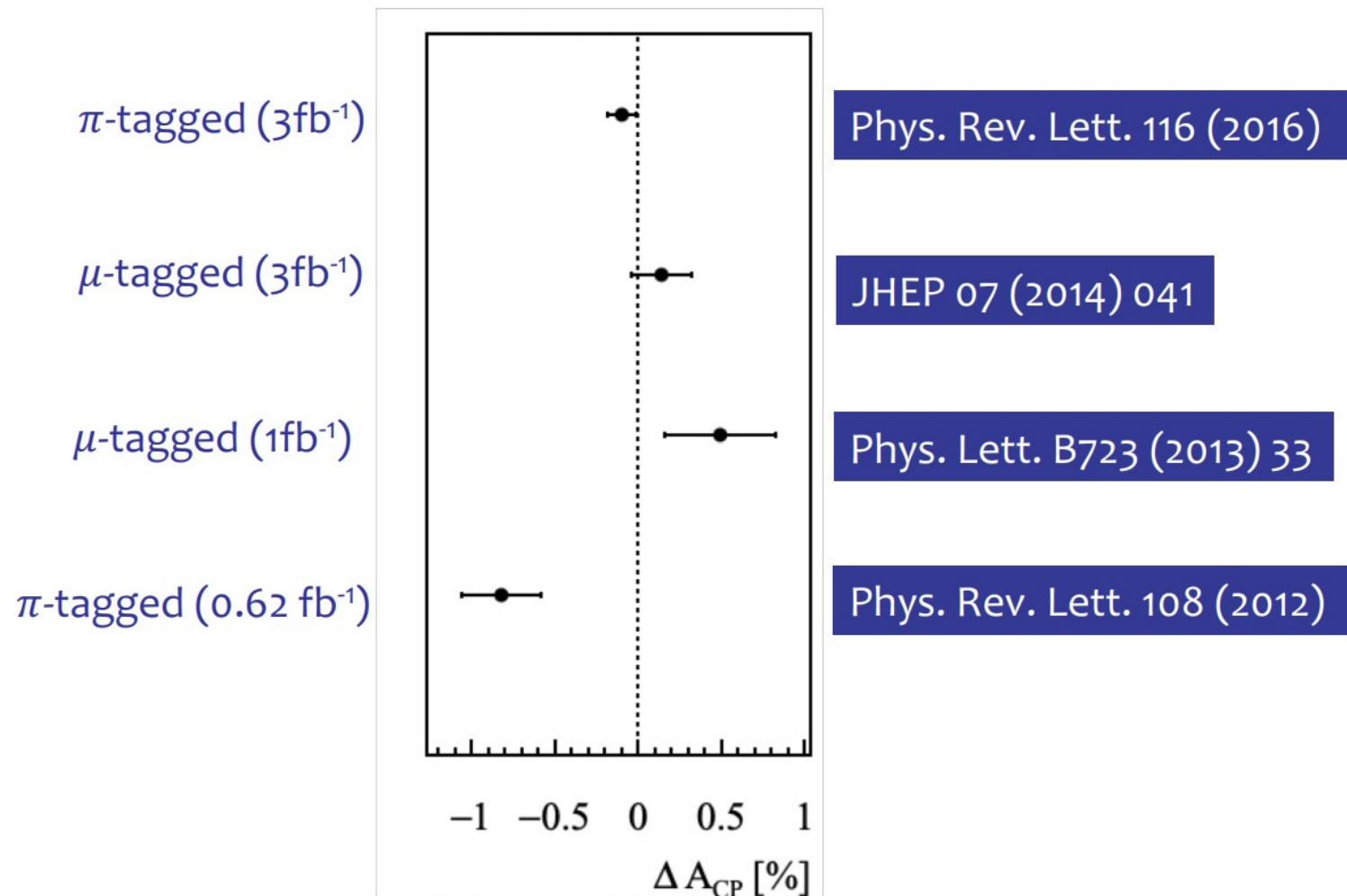
$$\begin{aligned} \Delta A_{CP} &= A_{\text{raw}}(K^+ K^-) - A_{\text{raw}}(\pi^+ \pi^-) \\ &= A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-) \end{aligned}$$

Assumption: Detection and production asymmetry cancel.

# $\Delta A_{CP}$ history in LHCb

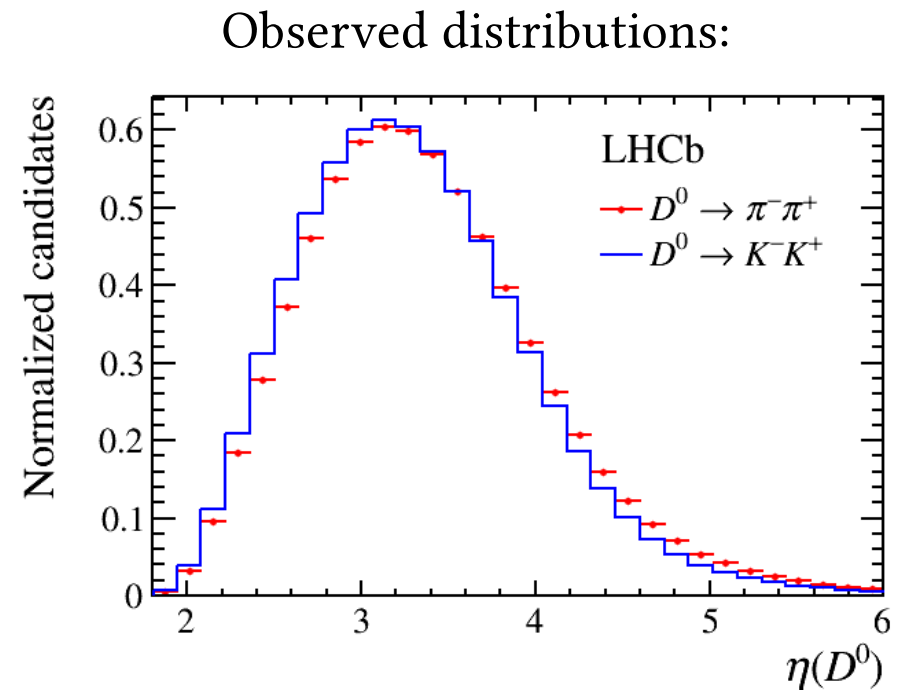
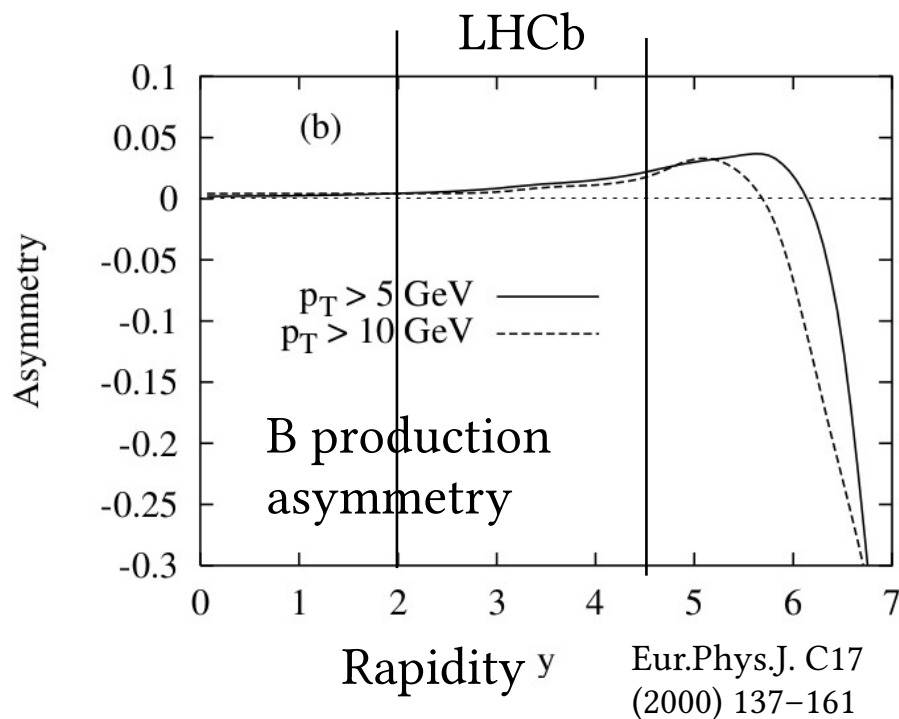
Picture by A. Carbone

$$\Delta A_{CP} = A_{CP}(K^+ K^-) - A_{CP}(\pi^+ \pi^-)$$



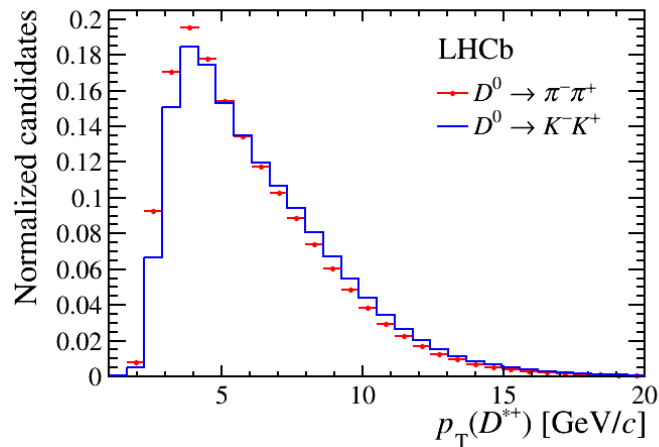
# Validity of the method

- Assumption: Cancellation of production and detection asymmetry in difference
  - Asymmetries depend on kinematics
  - Residual asymmetries in difference
- Remember sub-per mille precision

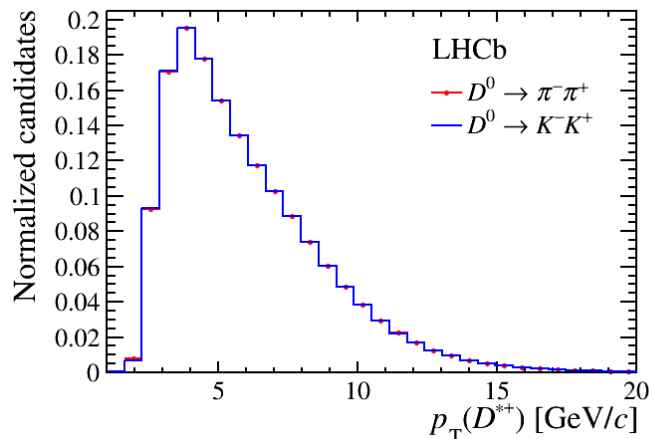




# Kinematic weighting



weight



- Small differences in kinematics
- Weights assigned to each KK event:

$$w(p_T^{D^*}, \eta^{D^*}, \phi^{D^*}) \propto \frac{n_{\pi^- \pi^+}(p_T^{D^*}, \eta^{D^*}, \phi^{D^*})}{n_{K^- K^+}(p_T^{D^*}, \eta^{D^*}, \phi^{D^*})}$$

- Production and detection asymmetries cancel in difference
- Effect on  $\Delta A_{CP}$  smaller than  $10^{-4}$

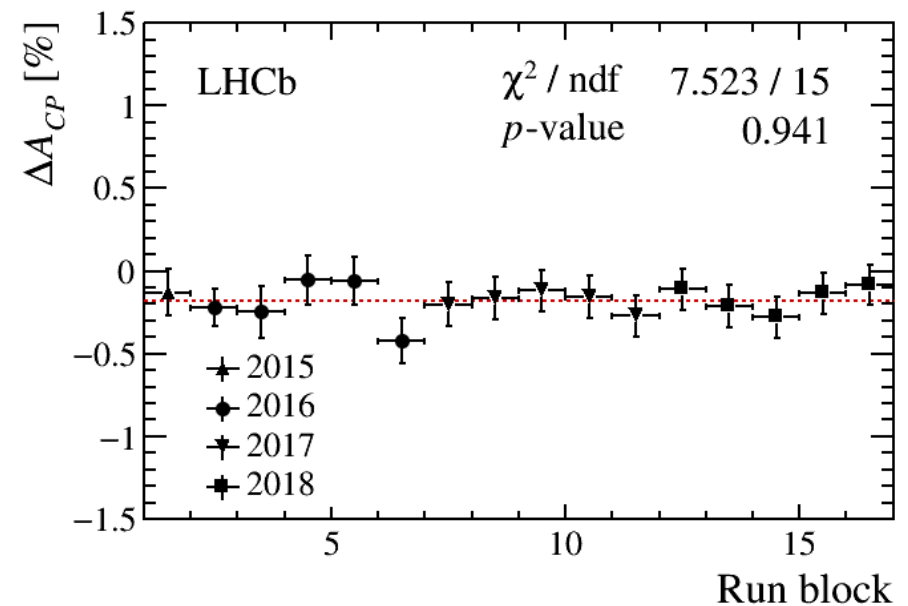
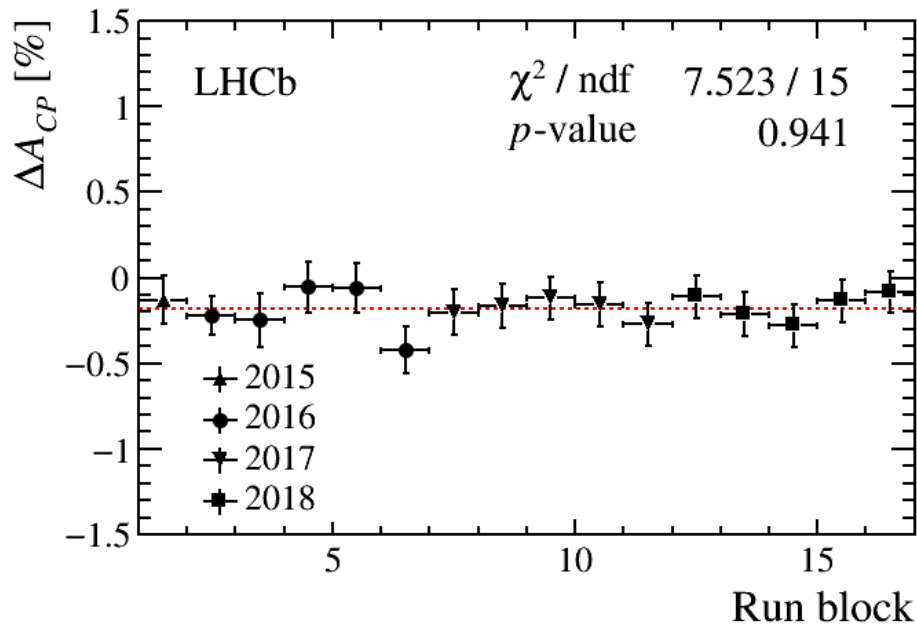
# Systematic uncertainties

in  $10^{-4}$

Source	$\pi$ -tagged	$\mu$ -tagged	
Fit model	0.6	2	
Mistag	–	4	Wrong tag due to random combination with muon.
Weighting	0.2	1	
Secondary decays	0.3	–	
$B$ fractions	–	1	
$B$ reco. efficiency	–	2	
Peaking background	0.5	–	
Total	0.9	5	Description of signal and background shape.
$\sigma_{\text{stat}}$	3.2	8	

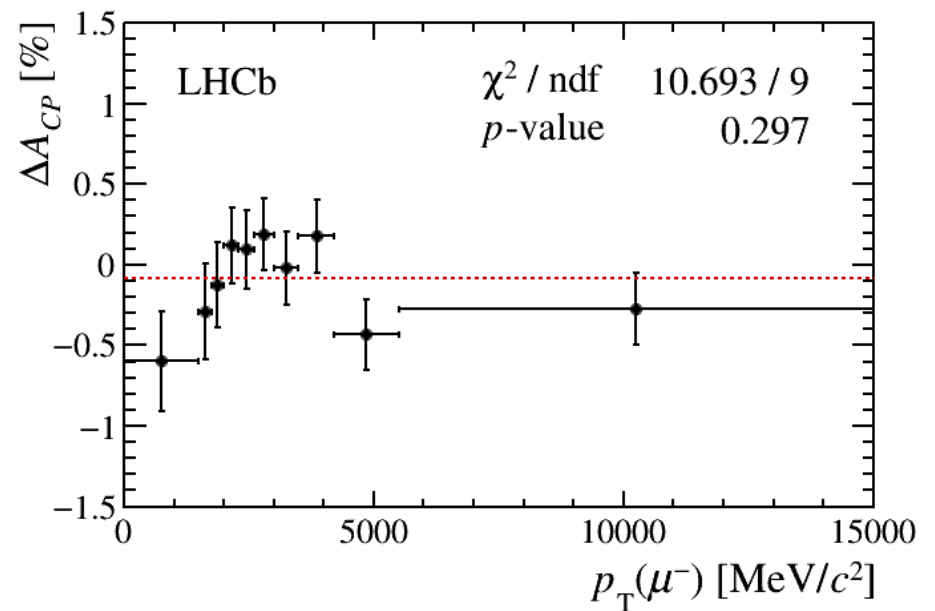
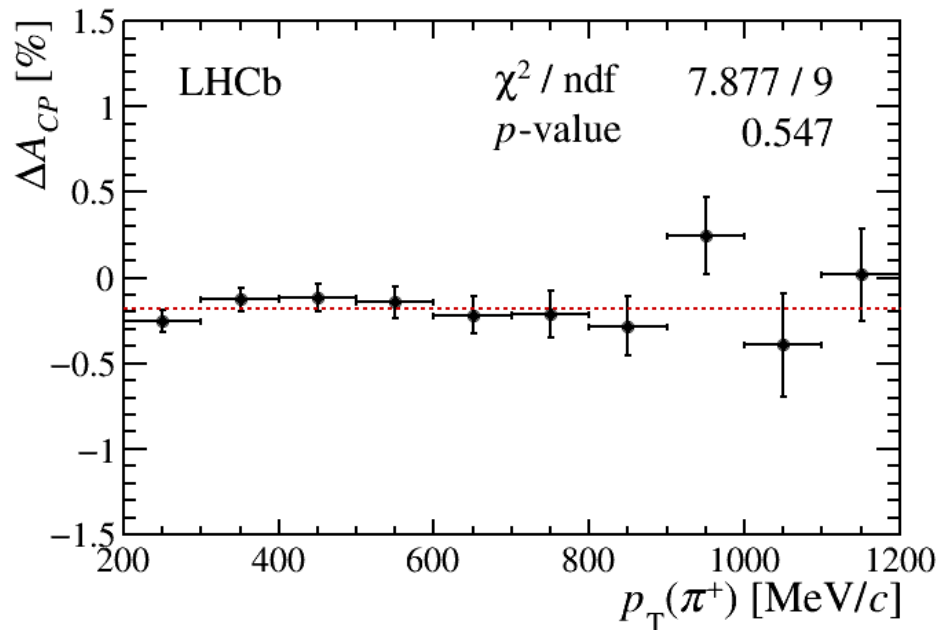
# Robustness checks

- Remember sub-per mille precision.
- Detection asymmetries can change over time, CP asymmetries should not.
- Results compatible for different run periods and magnet polarities.



# Robustness checks

- Remember sub-per mille precision.
- Detection asymmetries depend on kinematics, CP asymmetries should not.
- Huge number of tests



# Results

---

- Full Run 2 result:

$$\Delta A_{CP}^{\pi\text{-tagged}} = [-18.2 \pm 3.2 \text{ (stat.)} \pm 0.9 \text{ (syst.)}] \times 10^{-4}$$

$$\Delta A_{CP}^{\mu\text{-tagged}} = [-9 \pm 8 \text{ (stat.)} \pm 5 \text{ (syst.)}] \times 10^{-4}$$

- Full Run 1 result:

$$\Delta A_{CP}^{\pi\text{-tagged}} = [-10 \pm 8 \text{ (stat.)} \pm 3 \text{ (syst.)}] \times 10^{-4}$$

$$\Delta A_{CP}^{\mu\text{-tagged}} = [+14 \pm 16 \text{ (stat.)} \pm 8 \text{ (syst.)}] \times 10^{-4}$$

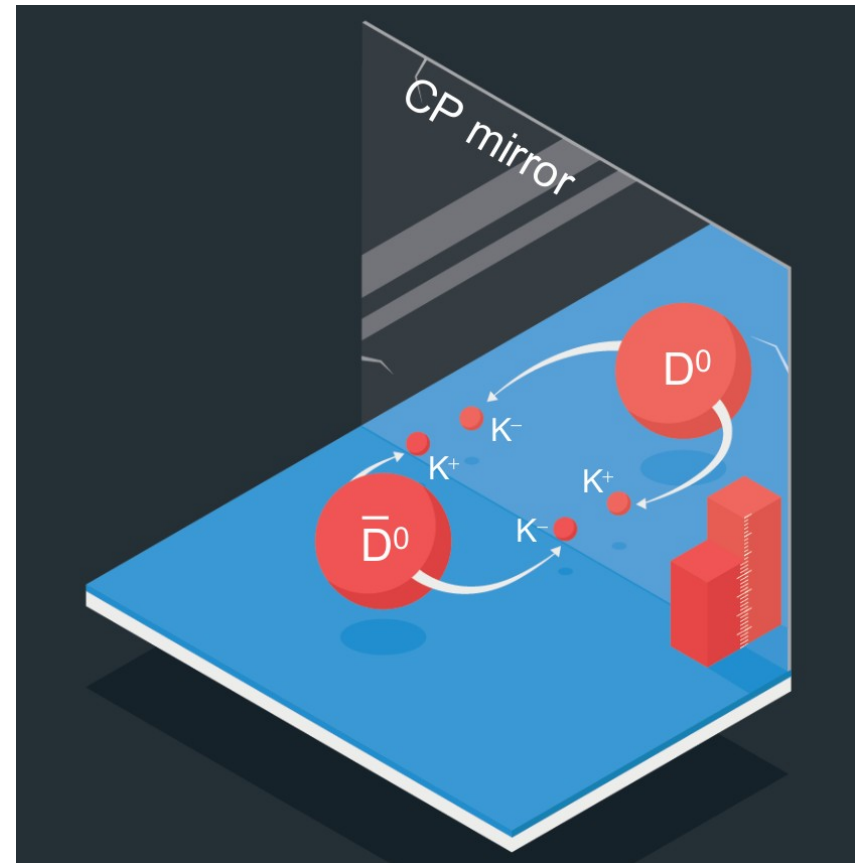
- All results compatible  $\rightarrow$  combined result:

$$\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

# Combined LHCb result

$$\Delta A_{CP} = (-15.4 \pm 2.9) \times 10^{-4}$$

- The significance of the deviation from zero corresponds to 5.3 standard deviations.
- This is the first observation of CP violation in the decay of charm hadrons.



# Interpretation of the results

---

- $\Delta A_{CP}$  mostly sensitive to direct CP violation.
- Small contribution from indirect CP violation.

$$\begin{aligned}\Delta A_{CP} &\equiv A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+) \\ &\approx \Delta a_{CP}^{\text{dir}} \left( 1 + \frac{\langle \bar{t} \rangle}{\tau(D^0)} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau(D^0)} a_{CP}^{\text{ind}},\end{aligned}$$

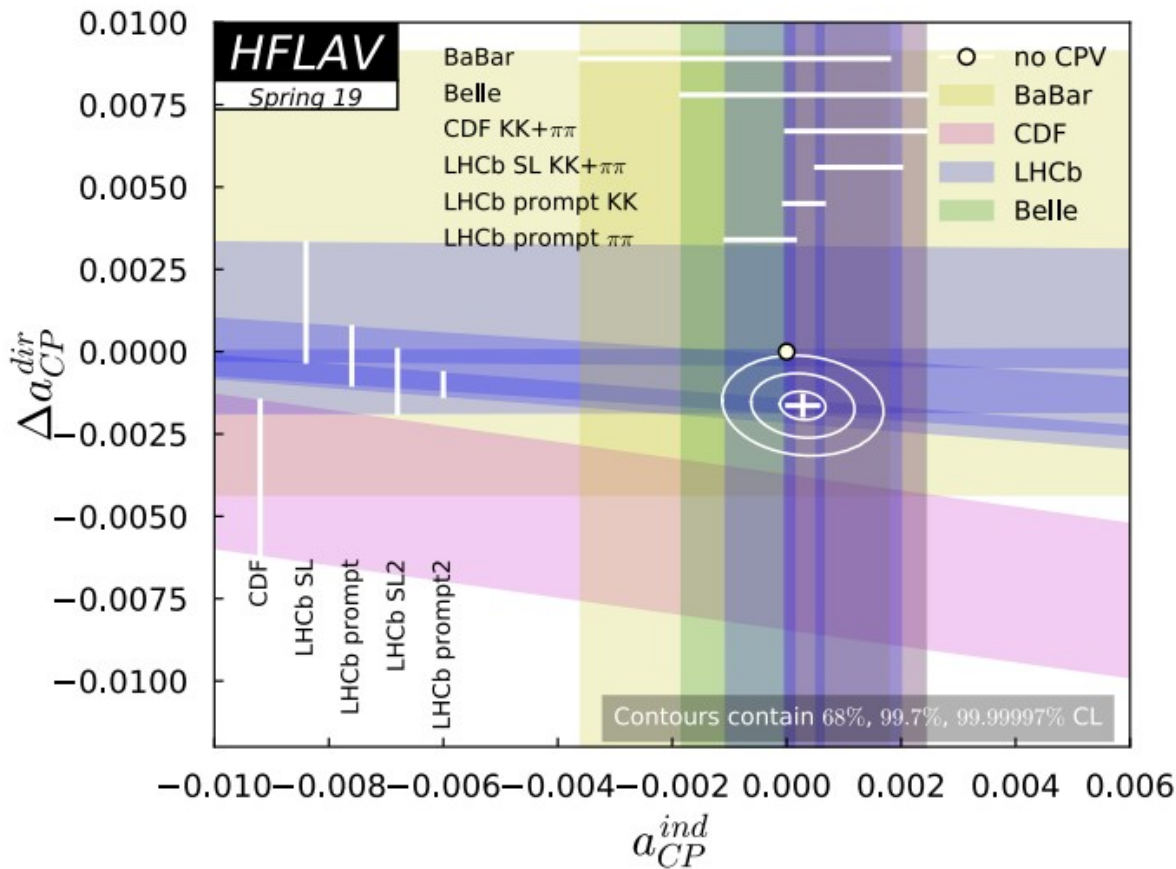
- Use average decay-times, their difference and the other results shown today:

$$\Delta a_{CP}^{\text{dir}} = (-15.6 \pm 2.9) \times 10^{-4}$$

$$a_{CP}^{\text{ind}} = -\frac{1}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) y \cos \phi + \frac{1}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) x \sin \phi$$

# HFLAV combination

[<https://hflav.web.cern.ch>]



HFLAV combination  
(dominated by LHCb):

$$a_{CP}^{ind} = (-0.028 \pm 0.026)\%$$

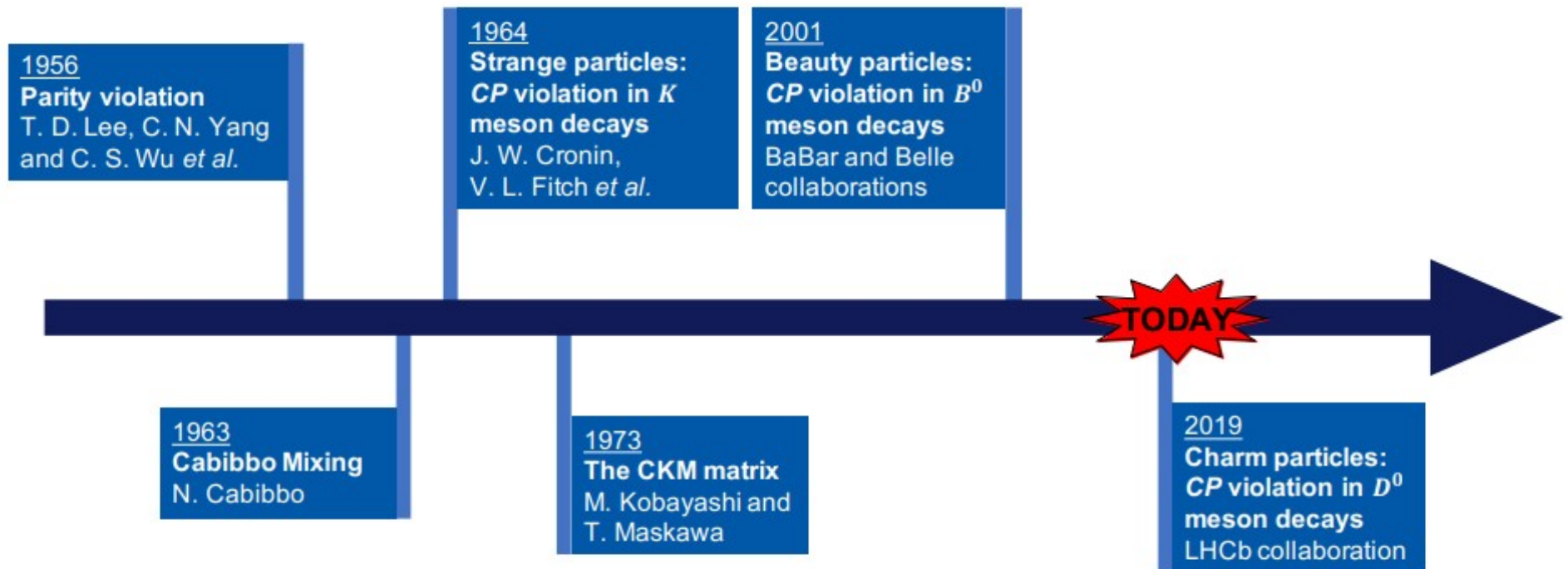
$$\Delta a_{CP}^{dir} = (-0.164 \pm 0.028)\%$$

Consistency with NO CPV  
Hypothesis:

$$5 \times 10^{-8}$$



Picture by A. Carbone

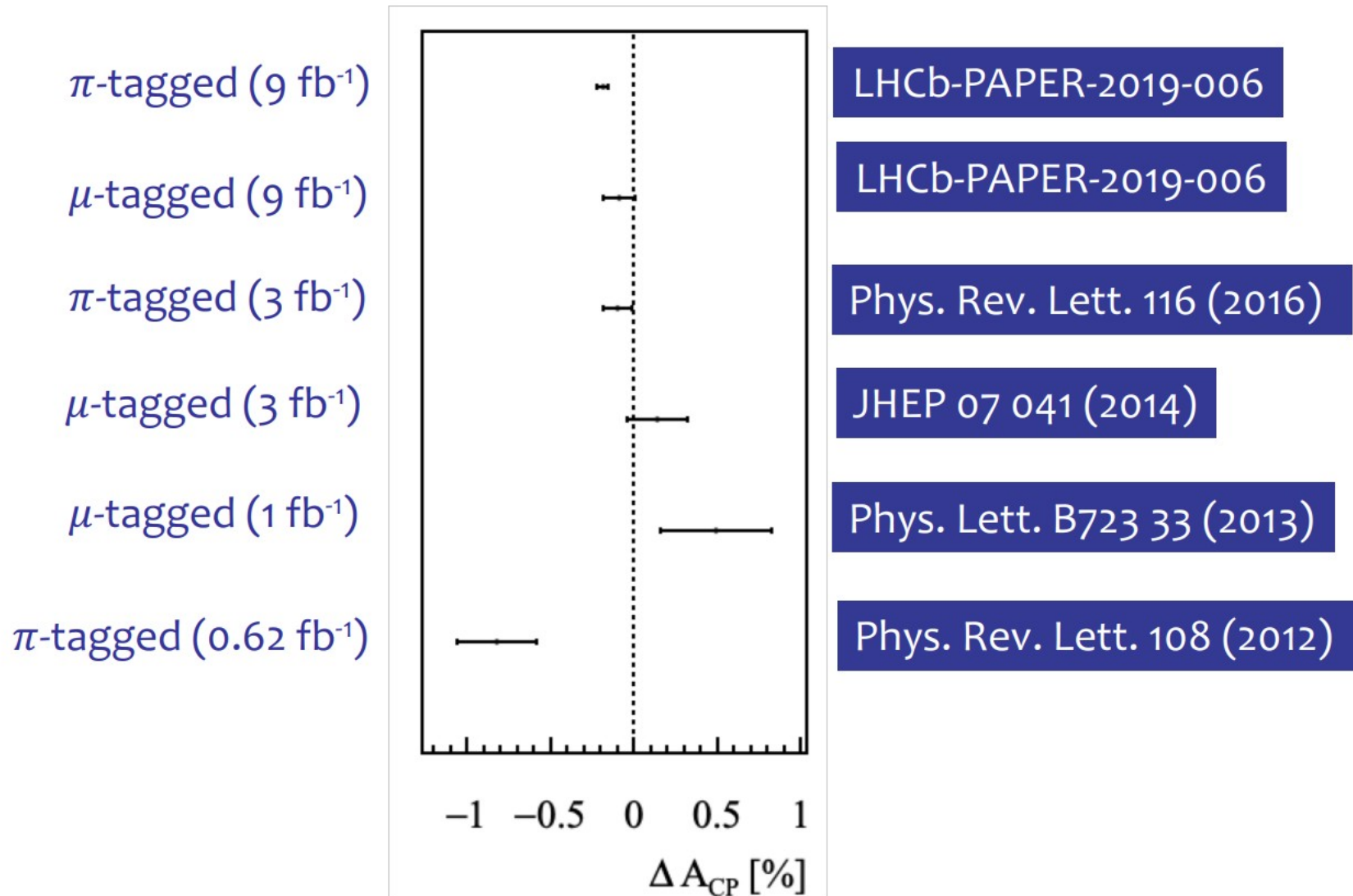


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Credits:  
Slides inspired by:  
"Charm physics at LHCb",  
Angelo Di Canto,  
LHCb UK Student Meeting,  
December 14th, 2015

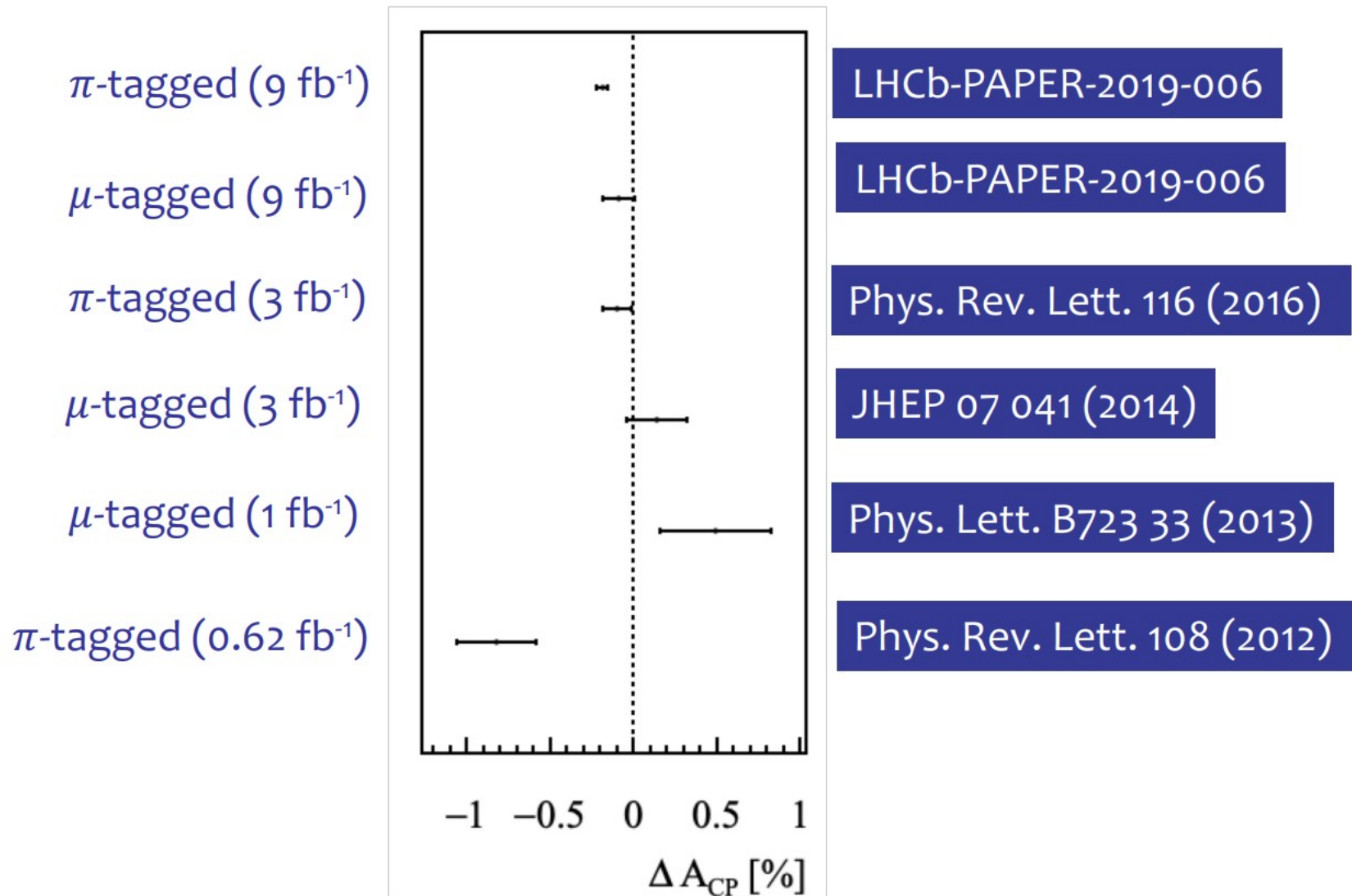
# $\Delta A_{CP}$ history in LHCb since today

Picture by A. Carbone



# $\Delta A_{CP}$ history in LHCb since today

Picture by A. Carbone



# Systematic uncertainties

Source	$x_{CP}$	$y_{CP}$	$\Delta x$	$\Delta y$
Secondary charm decays	0.24 (0.44) (0.00)	0.36 (0.65) (0.00)	< 0.01	< 0.01
Unrelated $D^0\mu^-$ combinations	0.34 (0.00) (0.94)	0.31 (0.00) (0.60)	< 0.01	< 0.01
Reconstruction and selection biases	0.08 (0.24) (0.08)	0.94 (1.37) (0.21)	0.22 (0.24) (0.28)	0.25 (0.29) (0.22)
Mass-fit model	0.04 (0.02) (0.10)	0.03 (0.08) (0.15)	< 0.01	0.03 (0.04) (< 0.01)
VELO length scale	< 0.01	< 0.01	< 0.01	< 0.01
Input $D^0$ lifetime	< 0.01	< 0.01	< 0.01	< 0.01
Total systematic	0.43 (0.50) (0.95)	1.05 (1.52) (0.65)	0.22 (0.24) (0.28)	0.25 (0.29) (0.22)
CLEO inputs	0.70 (0.65) (0.87)	1.22 (1.54) (1.35)	0.19 (0.25) (0.28)	0.26 (0.36) (0.65)
Statistical (w/o CLEO inputs)	1.46 (1.76) (2.64)	3.35 (4.02) (6.12)	0.68 (0.74) (1.67)	1.58 (1.76) (3.93)
Statistical	1.62 (1.87) (2.78)	3.57 (4.30) (6.27)	0.70 (0.78) (1.69)	1.60 (1.80) (3.98)

└

# Effect of direct CPV on CPV parameters

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M Gersabeck et al.  
<http://arxiv.org/abs/1111.6515v2>

$$|\lambda_f^{\pm 1}|^2 \approx (1 \pm A_m)(1 \pm A_d),$$

$$y_{CP} \approx \eta_{CP} \left[ \left( 1 - \frac{1}{8} A_m^2 \right) y \cos \phi - \frac{1}{2} (A_m) x \sin \phi \right], \quad (7)$$

and

$$A_\Gamma \approx \left[ \frac{1}{2} (A_m + A_d) y \cos \phi - x \sin \phi \right] \frac{\eta_{CP}}{1 + y_{CP}} \approx \eta_{CP} \left[ \frac{1}{2} (A_m + A_d) y \cos \phi - x \sin \phi \right]. \quad (8)$$

# One step further: $A_{CP}(K^-K^+)$

- Similar strategy as  $\Delta A_{CP}$ , just more complicated

$$A_{\text{raw}}(K^-K^+, \text{SL}) = A_{CP}(K^-K^+) + A_D(\mu^-) + A_P(B)$$

- Cabibbo-favoured decays  $B \rightarrow (D^0 \rightarrow K^- \pi^+) \mu^- X$

$$A_{\text{raw}}(K^- \pi^+, \text{SL}) = A_D(\mu^-) + A_P(B) + A_D(K^- \pi^+)$$

- Charged kaon asymmetry from Cabibbo-favoured  $D^+$  decays

$$A_{\text{raw}}(K^- \pi^+ \pi^+, \text{Pr}) = A_D(K^- \pi^+) + A_D(\pi^+) + A_P(D^+)$$

$$A_{\text{raw}}(\bar{K}^0 \pi^+, \text{Pr}) = A_D(\pi^+) + A_P(D^+) + A_D(K^0)$$

(small)

$$A_D(K^- \pi^+) = A_{\text{raw}}(K^- \pi^+ \pi^+) - A_{\text{raw}}(\bar{K}^0 \pi^+) - A_D(K^0)$$

$$A_{CP}(K^-K^+) = A_{\text{raw}}(K^-K^+) - A_{\text{raw}}(K^- \pi^+) + A_D(K^- \pi^+)$$

# One step further: $A_{CP}(K^-K^+)$

- Similar strategy as  $\Delta A_{CP}$ , just more complicated

$$A_{\text{raw}}(K^-K^+, \text{SL}) = A_{CP}(K^-K^+) + A_D(\mu^-) + A_P(B)$$

- Cabibbo-favoured decays  $B \rightarrow (D^0 \rightarrow K^- \pi^+) \mu^- X$

More challenging to control systematics due to detection efficiencies.

- Charged kaon decays  $B \rightarrow (D^+ \rightarrow K^- \pi^+) \mu^- X$

$$A_{\text{raw}}(K^- \pi^+ \pi^+, \text{Pr}) = A_D(K^- \pi^+) + A_D(\pi^+) + A_P(D^+)$$

$$A_{\text{raw}}(\bar{K}^0 \pi^+, \text{Pr}) = A_D(\pi^+) + A_P(D^+) + A_D(K^0)$$

(small)

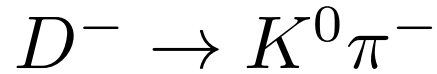
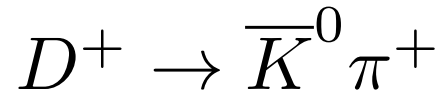
$$A_D(K^- \pi^+) = A_{\text{raw}}(K^- \pi^+ \pi^+) - A_{\text{raw}}(\bar{K}^0 \pi^+) - A_D(K^0)$$

$$A_{CP}(K^-K^+) = A_{\text{raw}}(K^-K^+) - A_{\text{raw}}(K^- \pi^+) + A_D(K^- \pi^+)$$



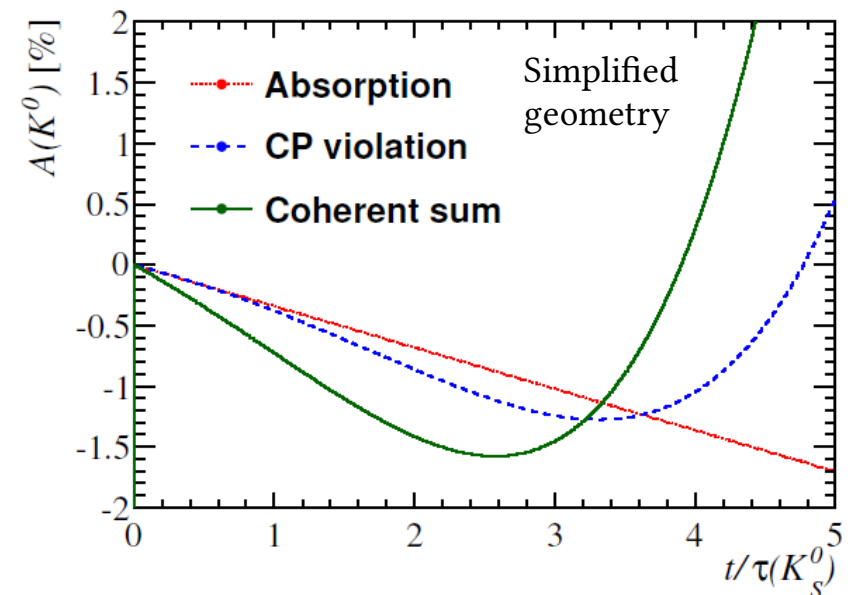
# Neutral kaon asymmetry

- Flavour of kaon at production defined



- At decay we measure  $\pi\pi$  final state (almost pure  $K_S^0$ )
- Feature 1: Neutral Kaons mix and decay is CP violating
- Feature 2: Different matter interaction rates for  $K^0/\bar{K}^0$
- Feature 3: Both effects interfere

Thesis,  
S. Stahl



# Neutral kaon asymmetry

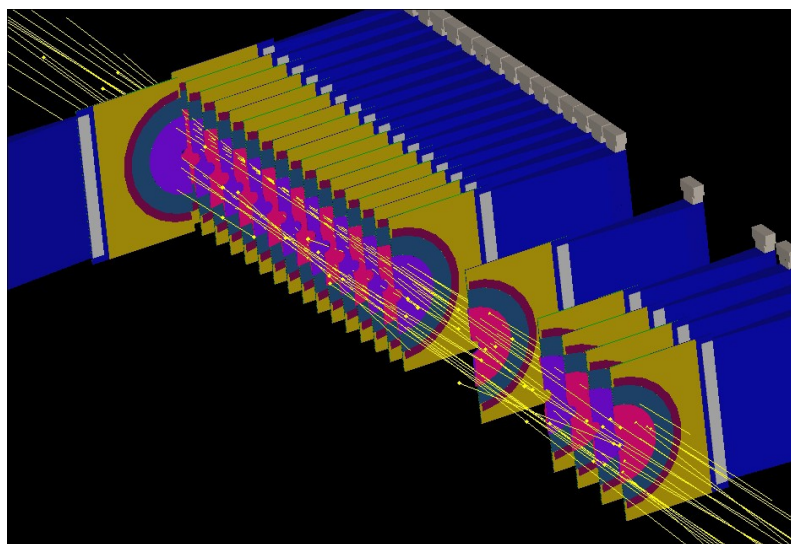
- Formalism well known:

$$\alpha_{L,S}(t) = e^{-i\Sigma \cdot t} \left[ \alpha_{L,S}^0 \cos(\Omega t) \mp i \frac{\Delta\lambda\alpha_{L,S}^0 \pm \Delta\chi\alpha_{S,L}^0}{2\Omega} \sin(\Omega t) \right]$$

Mixing and  
CP violation

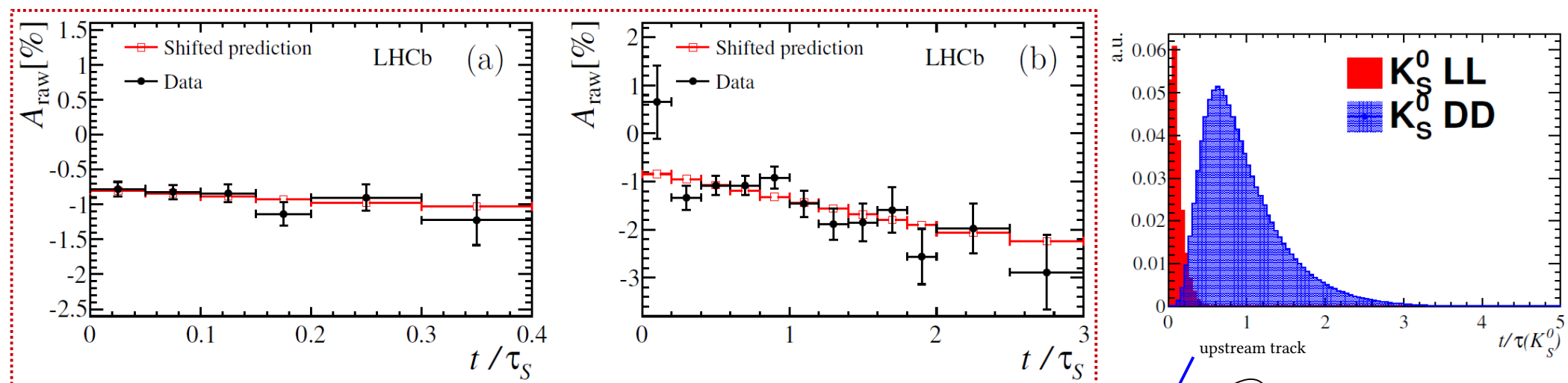
Coherent scattering in  
material (regeneration)

$$|K^0\rangle, |\bar{K}^0\rangle = \frac{\sqrt{1 + |\epsilon|^2}}{2} \frac{1}{1 \pm \epsilon} [ |K_L^0\rangle \pm |K_S^0\rangle ]$$



Propagate particles step wise  
through material map.  
→ Extract expected asymmetry

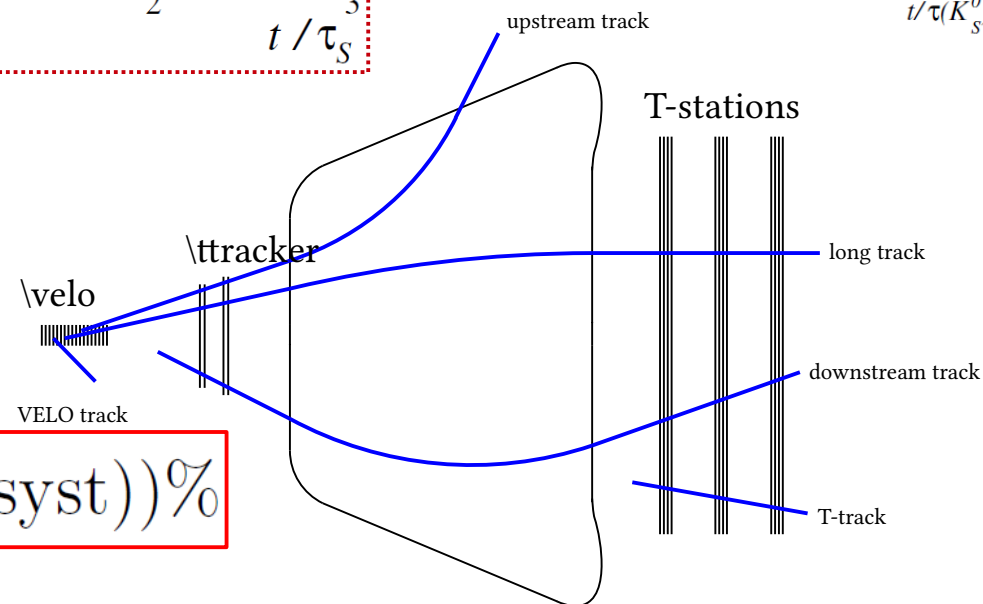
# Neutral kaon asymmetry



- Model describes data well
- Assigned correction to LL sample

$$A_D(K^0) = (0.054 \pm 0.014 (\text{syst}))\%$$

- DD only used to derived systematic
  - effect much bigger

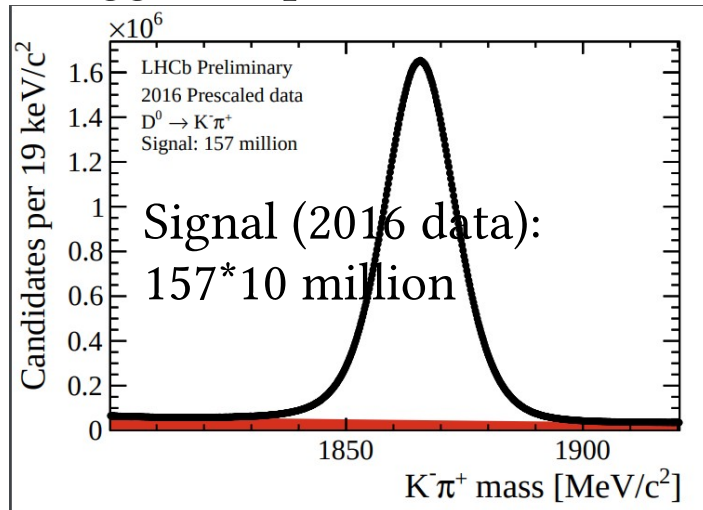


JHEP07(2014)041, S. Stahl PhD thesis

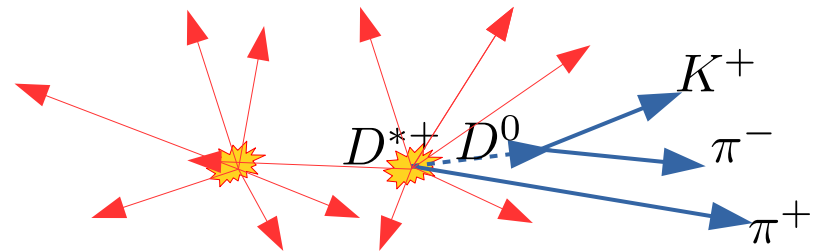
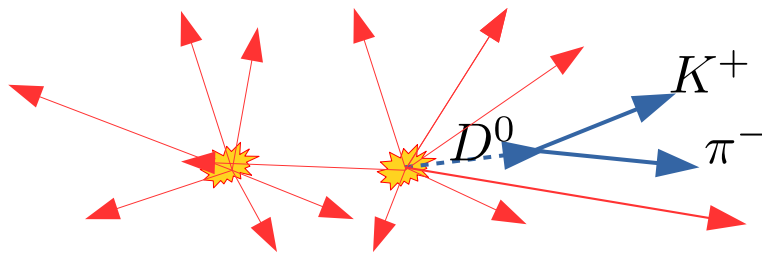
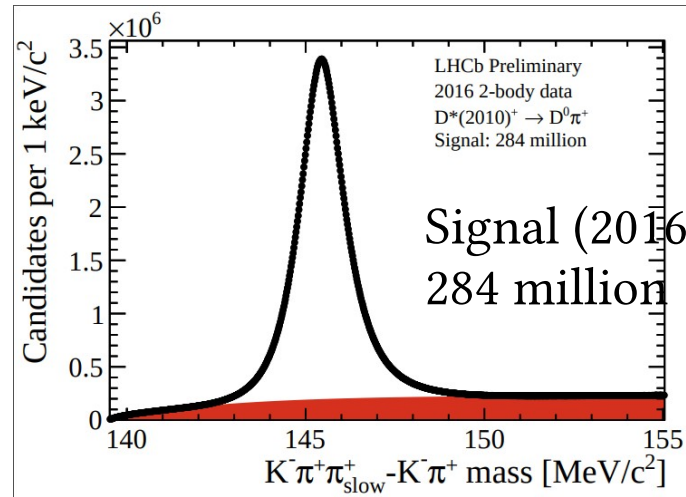
# Charm at LHCb (Run 2)

$\sim 1$  MHz  $c\bar{c}$  pairs!

Trigger output:



Trigger output:



Huge event rates  $\rightarrow$  limited off-line storage.

# $\Delta A_{CP}$ history in LHCb since today

Picture by A. Carbone

