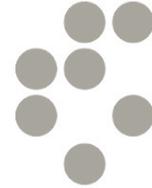


# Theory Aspects of Charm Physics



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## Hystory:

Predicted by Glashow, Iliopoulos, Miani (1070)

Discovered by Richter (SLAC1974) and Thing (1974)  
(Nobel prize in 1976)

## Properties:

Mass 1.29 GeV

Decays into s quark (~95%)  
and d quark (~5%)

Electric charge  $2/3 e$

Spin  $1/2$

color: 3

weak isospin: left-handed  $1/2$ . Right-handed 0

weak hypercharge: left-handed  $+1/3$ , Right-handed  $+4/3$   
( $Q=I_3+Y/2$ )





## Charm

In 1964, Sheldon L. Glashow had written an article with James Bjorken suggesting a possible fourth quark. "We called our construct the 'charmed quark,'" recalled Glashow, "for we were fascinated and pleased by the symmetry it brought to the subnuclear world." Later in 1974 Glashow was pushing scientists to find the charm. In doing so, he stated at a conference that there were three possibilities: "One, charm is not found, and I eat my hat. Two, charm is found by spectroscopists, and we celebrate. Three, charm is found by 'outlanders' (other kinds of physicists who did neutrino scattering or measured electron-positron collisions in storage rings), and you eat your hats."

In 1976, charm was found by "outlanders", and a year later Glashow gave a rabble-rousing talk titled "Charm Is Not Enough." Then they all had a big laugh as the spectroscopists present finally ate their hats – Mexican candy hats were supplied by the organizers.

On Sunday, November 10, 1974, after searching for the charm quark around 3 GeV, Richter's group found a peak at 3.105 GeV. Richter called one of his friends, James Bjorken, just as he had sat down to dinner and gave him the startling news. "I couldn't believe such a crazy thing was so low in mass, was so narrow, and had such a high peak cross-section," Bjorken recalled. "It was sensational." He returned to the table a few minutes later, seemingly in a daze. His wife and children then watched open-mouthed as he unthinkingly heaped a large tablespoon of horseradish onto his baked potato and quietly began munching away, staring absentmindedly off into space. "BJ," his wife finally counseled, "I think you'd better go down to the lab now."



### **J/ $\Psi$ particle**

The J/ $\Psi$  particle is a combination of two names. J was the name given to the particle by Samuel Ting's group from MIT and Brookhaven.  $\Psi$  was the name given to the particle by Burton Richter's group at SLAC. Each group had found the particle independently and almost simultaneously. As the story goes. . .

Nobel Prize in Physics 1976



Do not forget Nicola Cabibbo (1963)!

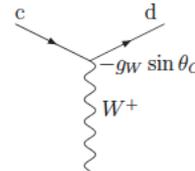
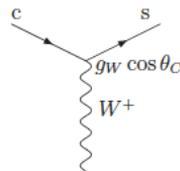
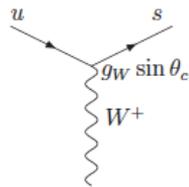
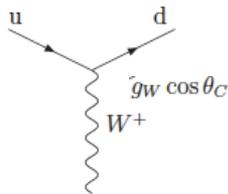
$$BR(K^- \rightarrow \mu^- \bar{\nu}_\mu) = 63.5\% \quad BR(\pi^- \rightarrow \mu^- \bar{\nu}_\mu) = 99.9\%$$

$$\theta_c = 13.04^\circ$$

$$\begin{pmatrix} u \\ d' \end{pmatrix} = \begin{pmatrix} u \\ d \cos \theta_c + s \sin \theta_c \end{pmatrix} \quad \begin{pmatrix} c \\ s' \end{pmatrix} = \begin{pmatrix} c \\ s \cos \theta_c - d \sin \theta_c \end{pmatrix}$$

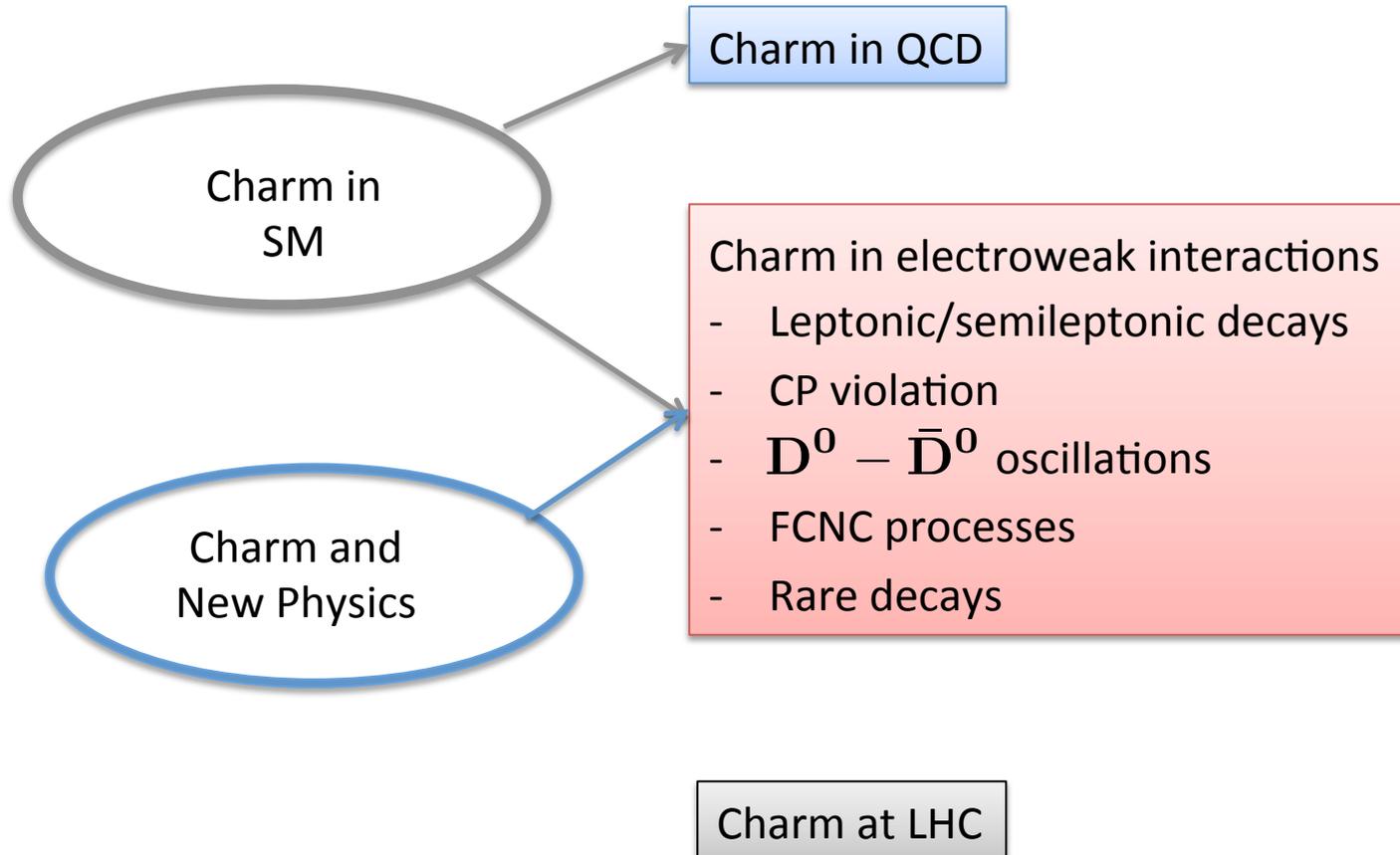
$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

$$\frac{BR(K^0 \rightarrow \mu^+ \mu^-)}{BR(K^+ \rightarrow \mu^+ \nu_\mu)} = \frac{7 \times 10^{-9}}{0.64} \approx 10^{-8}$$



Mass of c quark predicted from  $\Delta m_K$ !

# Outline

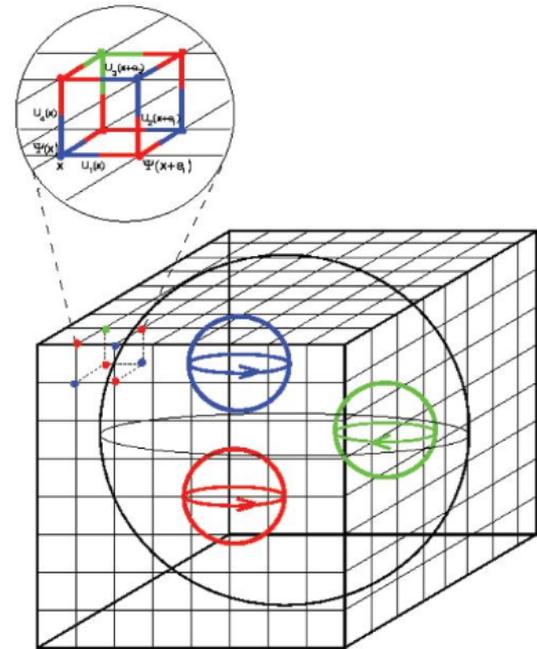


# Theory goals

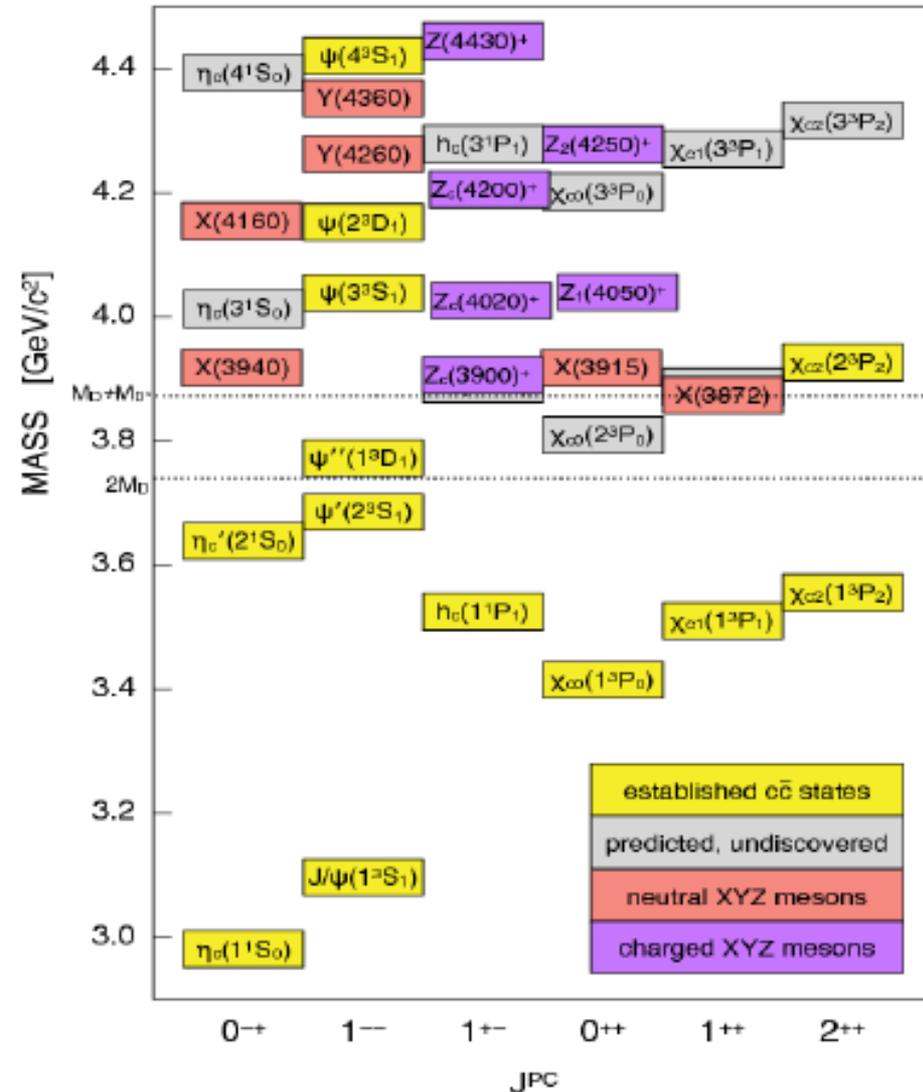
Deepening our knowledge of SM  $\longrightarrow$  QCD

Charm spectroscopy- tetraquark states  
decay constants, form-factors, mixing  
parameters...

QCD (lattice) in action!



# QCD in action: Charmonium and Exotic Spectroscopy with Charm Quarks in Lattice QCD



- Plethora of unexpected charmonium-like (X , Y , Z ) states discovered experimentally
- Masses and widths of some D<sub>s</sub> states significantly lower than those expected from quark model.
- Tetraquarks? Molecules? Cusps? Hybrids?
- First principles calculations using lattice QCD to understand these states.

## Search for New Physics

B meson puzzles

Solution by New Physics

Tests of Lepton flavour universality

$(g-2)_\mu$  discrepancy SM prediction  
and experimental result

How about charm?

- Unique tests of CP violation in the up sector;
- Charm offers tests of possible NP in up sector at low-energies;
- If NP couples to weak doublets of quarks, CKM connects it with charm sector.
- Can one see NP in charm decays not being present in B meson ?

# New Physics in charm processes

Two possibilities

- Effective Lagrangian approach
- Model of NP (hopefully UV complete)



NP in charm

Constraints from K, B physics

Constraints from EW physics,  
oblique corrections,  $Z \rightarrow b\bar{b}$

Constraints from LHC

Up quark in weak doublet “talks” to down quark via CKM!

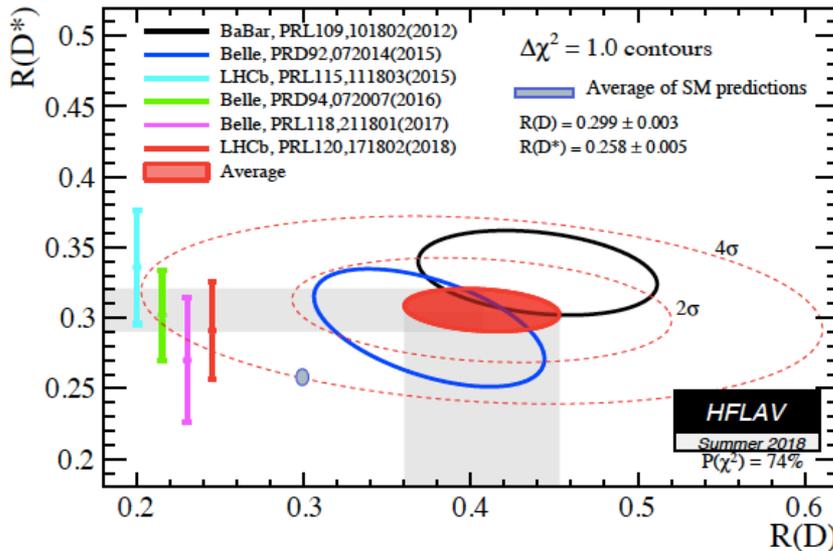
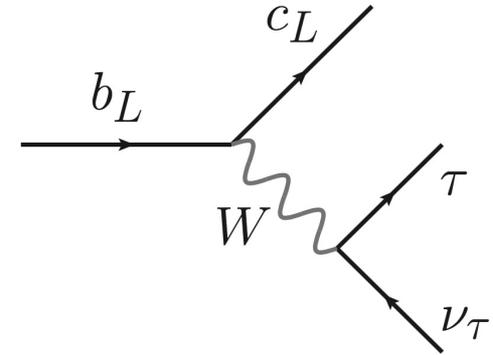
Effects of NP in charm suppressed by  $V_{cb}^* V_{ub}$ .

$$Q_{iL} = \begin{bmatrix} V_{il}^* u_j \\ d_i \end{bmatrix}_L$$

# B physics anomalies: experimental results $\neq$ SM predictions!

charged current (SM tree level)

$$R_{D^{(*)}} = \frac{BR(B \rightarrow D^{(*)} \tau \nu_\tau)}{BR(B \rightarrow D^{(*)} \mu \nu_\mu)} \quad 3.8\sigma$$



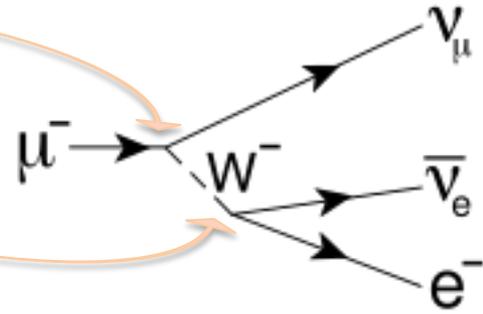
Freytsis, et al., 1506.08896, S.F. et al., 1206.1872;

Di Luzio & Nardecchia, 1706.01868, Bernlochner et al., 1703.05330, F. Feruglio et al., 1806.10155, 1606.00524.

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} V_{cb} [(1 + g_{V_L})(\bar{c}_L \gamma_\mu b_L)(\bar{l}_L \gamma^\mu \nu_L) + g_{V_R}(\bar{c}_R \gamma_\mu b_R)(\bar{l}_L \gamma^\mu \nu_L) + g_{S_R}(\bar{c}_L b_R)(\bar{l}_R \nu_L) + g_{T_R}(\bar{c}_L \sigma_{\mu\nu} b_R)(\bar{l}_R \sigma^{\mu\nu} \nu_L)]$$

# Lepton Flavour Universality (LFU)

the same coupling of lepton and its neutrino with  $W$  for all three lepton generations!



$$\begin{pmatrix} \nu_e \\ e^- \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix} \quad \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix} \quad \Gamma(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau) = \Gamma(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau)$$

valid for quarks too!

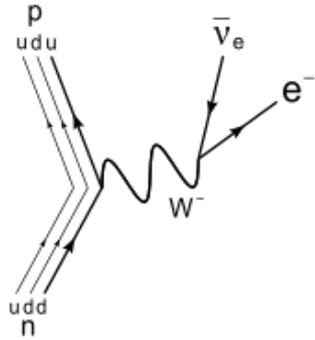
## Basic property of the SM: universal $g$

$$\mathcal{L}_f = \bar{f} i D_\mu \gamma^\mu f \quad f = l_L^i, q_L^i, \quad i = 1, 2, 3 \quad \text{for each of three generations in weak interactions}$$

$$D_\mu = \partial_\mu + ig \frac{1}{2} \vec{\tau} \cdot \vec{W}_\mu + ig' \frac{1}{2} Y_W B_\mu$$

the same for all SM fermions

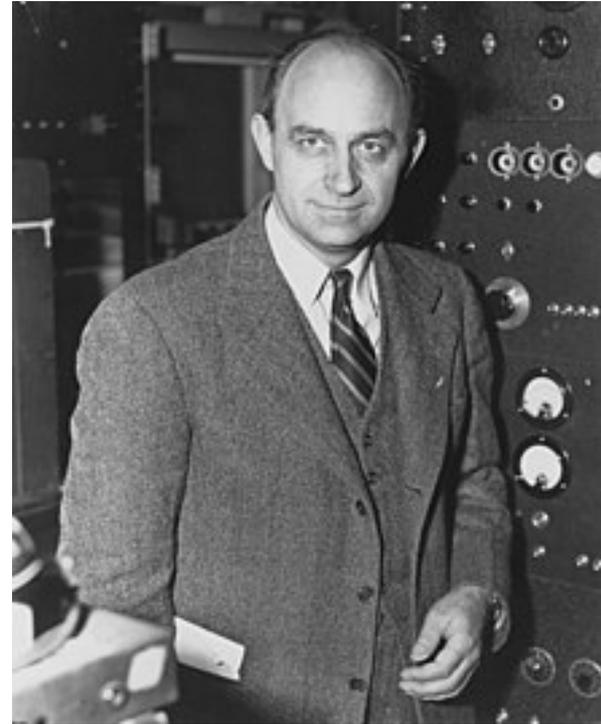
At low energies



$$\frac{1}{q^2 - m_W^2} \simeq \frac{1}{m_W^2} \left( 1 + \frac{q^2}{m_W^2} + \dots \right)$$

$$\frac{g^2}{8m_W^2} = \frac{G_F}{\sqrt{2}}$$

$$\mathcal{L}_{eff} = -\frac{G_F}{\sqrt{2}} J_\mu^\dagger J^\mu$$



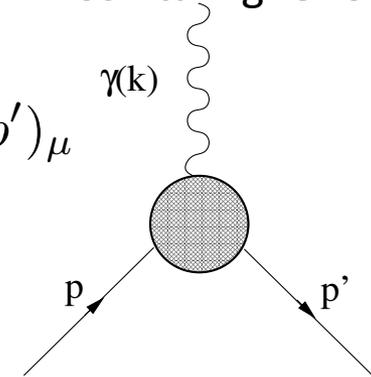
Fermi, 1933

## Muon anomalous magnetic moment

$$ie\bar{u}_\ell(p') \left[ \gamma^\mu - \frac{a_\ell}{2m_\ell} i\sigma^{\mu\nu} q_\nu \right] u_\ell(p) \epsilon_\mu^*, \quad q_\mu = (p - p')_\mu$$

Dirac equation predicts  $g=2$        $a = (g - 2)/2$

(Schwinger  $\alpha/\pi$ ,  
Kinoshita higher orders in  $\alpha$ )



$$a_\mu^{th} - a_\mu^{exp} = -(3.06 \pm 0.76) \times 10^{-8} \quad 4 \sigma$$

Theory: uncertainty in hadronic contributions to the muon  $g - 2$ , (Jägerlehner, 1809.07413 ).  
Lattice QCD great progress vacuum polarization and light-by-light study (RBC & UKQCD, 1801.07224, Wittig 1807.09370).

Fermilab and J-Park experiments are expected to clarify existing discrepancy!

Assuming NP at scale  $\Lambda_{NP}$  (Di Luzio, Nardecchia, 1706.01868)

$$\frac{4G_F}{\sqrt{2}} V_{cb} g_V \rightarrow \frac{2}{\Lambda_{NP}^2}$$

What is the scale of New Physics?

$$\Lambda_{NP} \simeq 3 \text{ TeV}$$

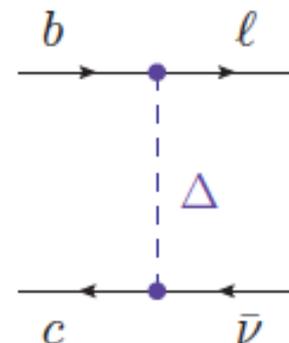
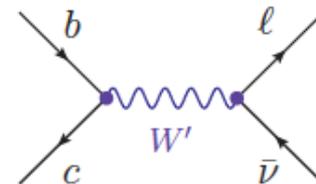
Perturbativity of NP

$$\mathcal{L}_{NP} \supset \frac{C_D}{\Lambda_{NP}^2} (\bar{c}_L \Gamma_\mu b_L) (\tau_L \gamma^\mu \nu_L)$$

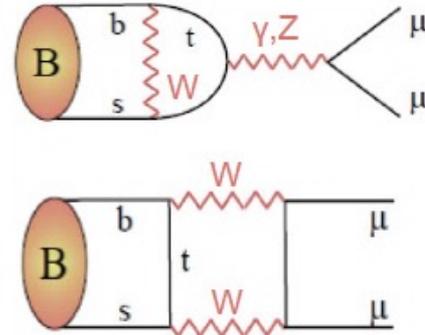
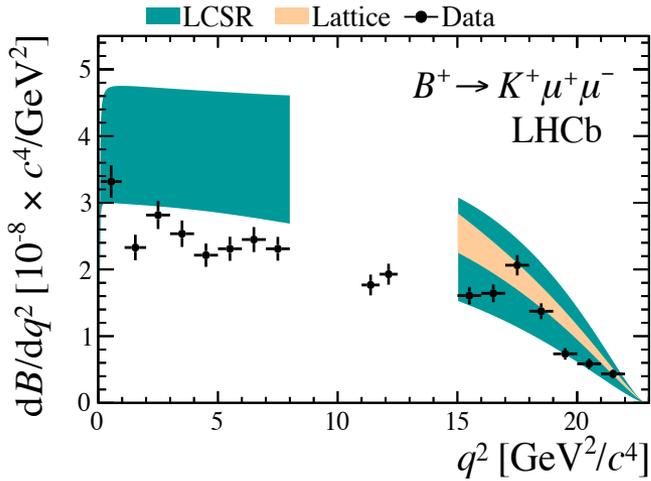
V-A form of NP

(current)(current) operators  
are invariant under QCD running

$$\Lambda_{NP} > 3 \text{ TeV} \quad C_D \text{ becomes non-perturbative!}$$



# FCNC - SM loop process



$$R_K = \frac{\mathcal{B}(B \rightarrow K \mu \mu)_{q^2 \in [1,6] \text{ GeV}^2}}{\mathcal{B}(B \rightarrow K e e)_{q^2 \in [1,6] \text{ GeV}^2}} = 0.745 \pm_{0.074}^{0.090} \pm 0.036 \quad 2.4\sigma$$

$$R_{K^*}^{\text{central}} = \frac{\mathcal{B}(B \rightarrow K \mu \mu)_{q^2 \in [1.1,6] \text{ GeV}^2}}{\mathcal{B}(B \rightarrow K e e)_{q^2 \in [1.1,6] \text{ GeV}^2}} = 0.685 \pm_{0.069}^{0.113} \pm 0.047,$$

Capdevila et al., 1704.05340,  
 Altmannshofer et al.,  
 1704.05435, D'Amico et al.,  
 1704.05438.

What is the scale of New Physics?

$$\mathcal{L}_{NP} = \frac{1}{\Lambda_{NP}^2} \bar{s}_L \gamma^\alpha b_L \bar{\mu}_L \gamma_\alpha \mu_L$$

$$\Lambda_{NP} \simeq 30 \text{ TeV}$$

NP explaining both B anomalies

$$R_{D^{(*)}}^{exp} > R_{D^{(*)}}^{SM}$$

$$\mathcal{L}_{NP} = \frac{1}{(\Lambda^D)^2} 2 \bar{c}_L \gamma_\mu b_L \bar{\tau} \gamma^\mu \nu_L$$

$$\Lambda^D \simeq 3 \text{ TeV}$$

$$R_{K^{(*)}}^{exp} < R_{K^{(*)}}^{SM}$$

$$\mathcal{L}_{NP} = \frac{1}{(\Lambda^K)^2} \bar{s}_L \gamma_\mu b_L \bar{\mu}_L \gamma^\mu \mu_L$$

$$\Lambda^K \simeq 30 \text{ TeV}$$

$$\Lambda^D \simeq \Lambda^K \equiv \Lambda$$

NP in FCNC  $B \rightarrow K^{(*)} \mu^+ \mu^-$   
has to be suppressed

$$\frac{1}{(\Lambda^K)^2} = \frac{C_K}{\Lambda^2} \quad C_K \simeq 0.01$$

suppression factor

## Charged current charm meson decays and New Physics

$$\mathcal{L}_{SM} = \frac{4G_F}{\sqrt{2}} V_{cs} \bar{s}_L \gamma^\mu c_L \bar{\nu}_l \gamma_\mu l$$

$$\mathcal{L}_{NP} = \frac{2}{\Lambda_c^2} \bar{s}_L \gamma^\mu c_L \bar{\nu}_l \gamma_\mu l$$

electro-magnetic correction 1-3%

1 % error in

$$\Gamma(D_s^+ \rightarrow l^+ \nu_l)$$


$$\Lambda_c \sim 2.5 \text{ TeV}$$

Message:

Even if there is NP at 3 TeV scale  
the effect on charm leptonic decay  
can be  $\sim 1\%$ !

# Charm weak decays and CKM

(Semi)leptonic charm inputs to the CKM fit

$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)$	$(1.08 \pm 0.21) \times 10^{-4}$
$\mathcal{B}(D_s^- \rightarrow \mu^- \bar{\nu}_\mu)$	$(5.57 \pm 0.24) \times 10^{-3}$
$\mathcal{B}(D_s^- \rightarrow \tau^- \bar{\nu}_\tau)$	$(5.55 \pm 0.24) \times 10^{-2}$
$\mathcal{B}(D^- \rightarrow \mu^- \bar{\nu}_\mu)$	$(3.74 \pm 0.17) \times 10^{-4}$
$\mathcal{B}(K^- \rightarrow e^- \bar{\nu}_e)$	$(1.581 \pm 0.008) \times 10^{-5}$
$\mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu)$	$0.6355 \pm 0.0011$
$\mathcal{B}(\tau^- \rightarrow K^- \bar{\nu}_\tau)$	$(0.6955 \pm 0.0096) \times 10^{-2}$
$\mathcal{B}(K^- \rightarrow \mu^- \bar{\nu}_\mu) / \mathcal{B}(\pi^- \rightarrow \mu^- \bar{\nu}_\mu)$	$1.3365 \pm 0.0032$
$\mathcal{B}(\tau^- \rightarrow K^- \bar{\nu}_\tau) / \mathcal{B}(\tau^- \rightarrow \pi^- \bar{\nu}_\tau)$	$(6.43 \pm 0.09) \times 10^{-2}$
$\mathcal{B}(B_s \rightarrow \mu\mu)$	$(2.8_{-0.6}^{+0.7}) \times 10^{-9}$
$ V_{cd}  f_+^{D \rightarrow \pi}(0)$	$0.148 \pm 0.004$
$ V_{cs}  f_+^{D \rightarrow K}(0)$	$0.712 \pm 0.007$

CKMFitter (using unitarity)

$$|V_{cd}| = 0.22529_{-0.00032}^{+0.00041}$$

$$|V_{cs}| = 0.973394_{-0.000096}^{+0.000074}$$

Direct extraction using lattice (HFAG+FLAG)

$$|V_{cd}| = 0.2164(63)$$

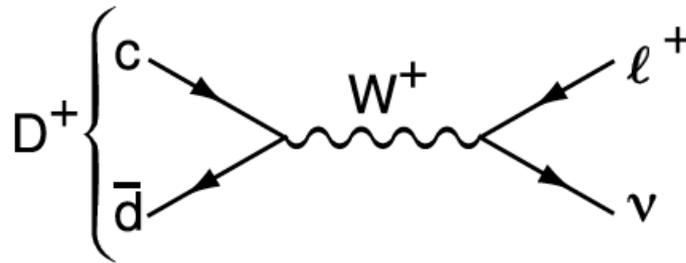
$$|V_{cs}| = 1.008(21)$$

Leptonic

$$|V_{cd}| = 0.214(12)$$

$$|V_{cs}| = 0.975(32)$$

Semileptonic



$$\langle 0 | \bar{q}_1 \gamma_\mu \gamma_5 q_2 | P(p) \rangle = i p_\mu f_P$$

$$f_\pi \approx 130 \text{ MeV}$$

$$\Gamma(P \rightarrow \ell \nu) = \frac{G_F^2}{8\pi} f_P^2 m_\ell^2 M_P \left( 1 - \frac{m_\ell^2}{M_P^2} \right)^2 |V_{q_1 q_2}|^2$$

electromagnetic correction

$$\Gamma(P \rightarrow \ell \nu) = \Gamma^{(0)} \left[ 1 + \frac{\alpha}{\pi} C_P \right]$$

$$\delta_P \equiv (\alpha/\pi) C_P$$

Lattice QCD

$$f_{D^+} = 211.9(1.1) \text{ MeV}$$

$$\delta_\pi = 0.0176(21)$$

$$f_{D_s} = 249.0(1.2) \text{ MeV}$$

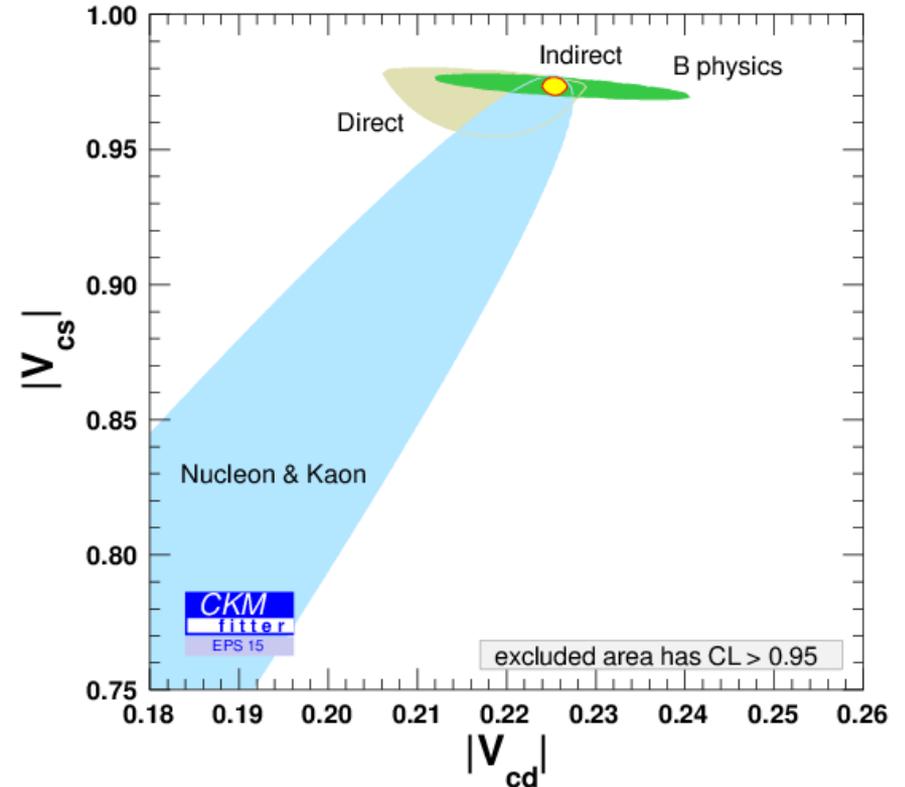
$$\delta_K = 0.0107(21)$$

$$\frac{f_{D_s}}{f_{D^+}} = 1.173(3)$$

- Great advance in lattice determination of decay constants and form factors enables progress in testing consistency of the SM

- Assuming unitarity of  $V_{CKM}$ , the values of  $V_{cs}$  and  $V_{cd}$  are dominated by  $V_{cb}$  measurement and nuclear & kaon data;

- $V_{cs}$  and  $V_{cd}$  values are largely driven by indirect constraints;



## Search for NP in charged current transitions (charm mesons)

➤ Effective Lagrangian approach describing NP in  $c \rightarrow sl\nu_l$  transition;

- Pseudoscalar operator  
- Scalar operator

} Wilson coefficients

➤ NP in branching ratios, forward-backward asymmetry transversal muon polarization;

1502.07488, S.F., I. Nišandžić, U. Rojec

1404.0454, J. Barranco et al.,

## Questions for theory:

- Can current precision on charm meson decay constants/form factors enables to search for New Physics in charm?
- What are the most appropriate observables?

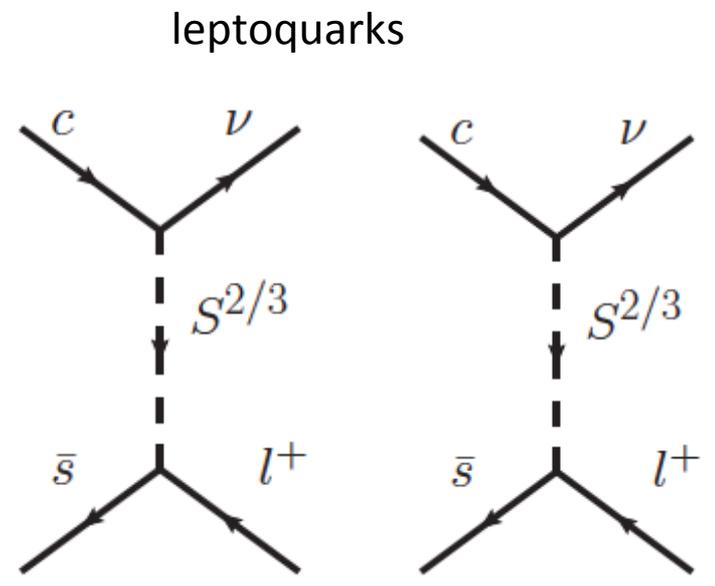
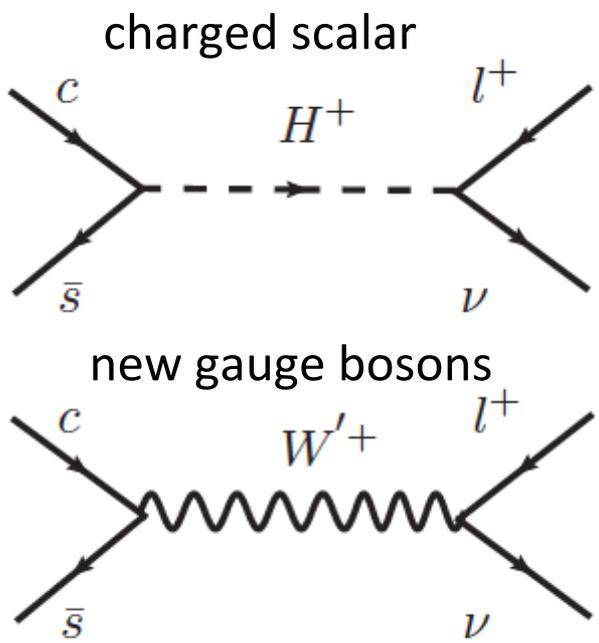
## Approach:

Effective Lagrangian to describe NP in  $c \rightarrow sl\nu_l$  transition

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}}V_{cs} \sum_{\ell=e,\mu,\tau} \sum_i c_i^{(\ell)} \mathcal{O}_i^{(\ell)} + \text{H.c.}$$

$$\mathcal{O}_{SM}^{(\ell)} = (\bar{s}\gamma_\mu P_L c) (\bar{\nu}_\ell \gamma^\mu P_L \ell) \quad c_{SM}^{(\ell)} = 1$$

NP proposals in  $c \rightarrow sl\nu_l$



e.g. I. Dorsner, S.F.J.F. Kamenik,  
 N. Kosnik, 0906.5585

J. Barranco et al. 1303.3896;  
 Akeroyd and Chen, hep-ph/0701078

~~R~~ SUSY A.G. Akeroyd, S. Recksiegel,  
 hep-ph/0210376.

Simplest proposal for NP - scalar/pseudoscalar operators:

$$\left\{ \begin{array}{l} \mathcal{O}_{L(R)}^{(\ell)} = (\bar{s} P_{L(R)} c) (\bar{\nu}_\ell P_R \ell) \\ \mathcal{O}_{V,R}^{(\ell)} = (\bar{s} \gamma_\mu P_R c) (\bar{\nu}_\ell \gamma^\mu P_L \ell) \end{array} \right.$$

Examples:

Two Higgs Doublet Models

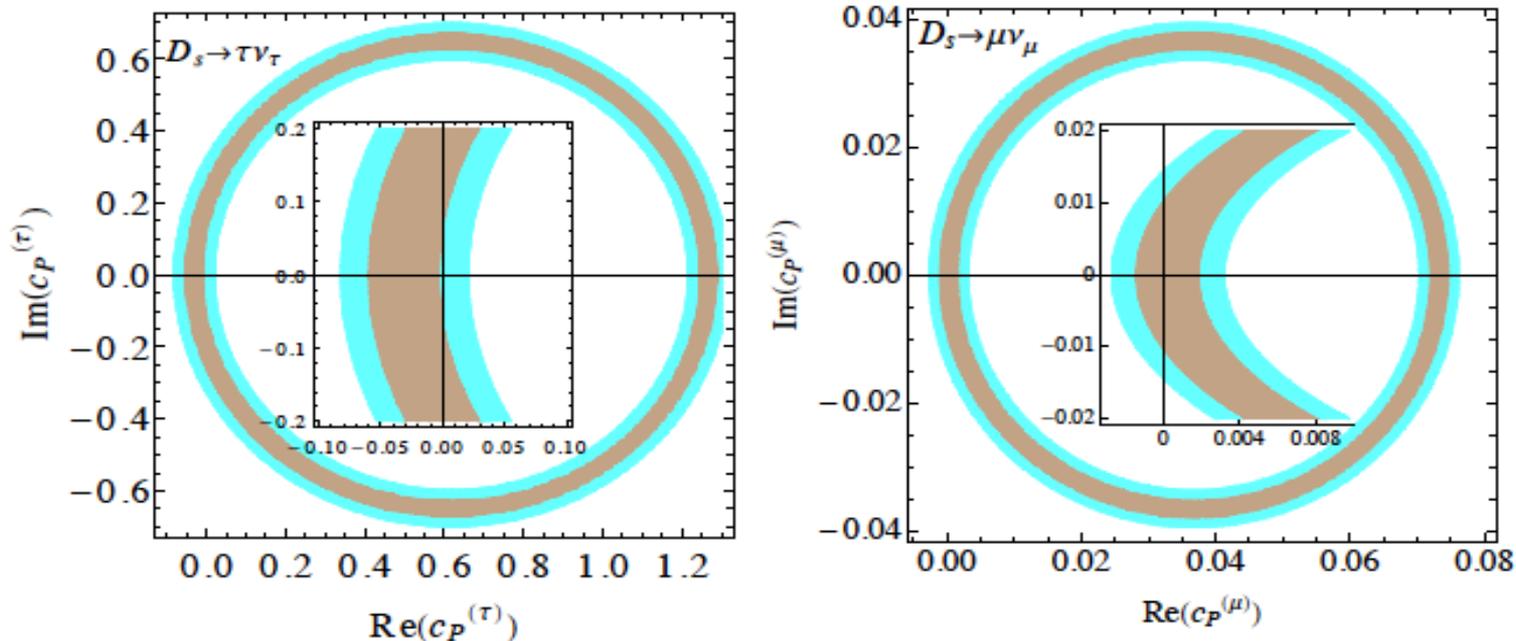
New physics might modify branching ratios

$$\mathcal{B}(D_s \rightarrow \ell \nu_\ell) = \tau_{D_s} \frac{m_{D_s}}{8\pi} f_{D_s}^2 \left( 1 - \frac{m_\ell^2}{m_{D_s}^2} \right)^2 G_F^2 |V_{cs}|^2 m_\ell^2 \left| 1 - c_P^{(\ell)} \frac{m_{D_s}^2}{(m_c + m_s) m_\ell} \right|^2$$

$$c_P^{(\ell)} \equiv c_R^{(\ell)} - c_L^{(\ell)}$$

$$\mathcal{B}(D_s \rightarrow \ell \nu_\ell) = \begin{cases} (5.7 \pm 0.21_{-0.3}^{+0.31})\%, & D_s \rightarrow \tau \nu_\tau, \\ (0.531 \pm 0.028 \pm 0.020)\%, & D_s \rightarrow \mu \nu_\mu, \\ < 1.0 \cdot 10^{-4}, \text{ 95\% C.L.}, & D_s \rightarrow e \nu_e. \end{cases}$$

For  $f_{D_s} = 249.0(0.3)({}_{-1.5}^{+1.1}) \text{ MeV}$ : (lattice, Fermilab & MILC)  
 and  $V_{cs} = 0.97317_{-0.00059}^{+0.00053}$  obtained from global CKM unitarity fit,  
 allowed parameter space of new physics coupling:



$$|c_P^{(e)}| < 0.005$$

$$D \rightarrow K^* l \nu_l$$

$c_P^{(1)}$  can contribute to  $D \rightarrow K^* l \nu_l$  (four form-factors necessary!)

Using helicity formalism:

$$D \rightarrow K^* W$$

polarization of W

$$H_{\pm}(q^2) = \mp \frac{\sqrt{\lambda(m_P^2, m_V^2, q^2)}}{m_P + m_V} V(q^2) + (m_P + m_V) A_1(q^2)$$

$$H_0(q^2) = \frac{1}{2m_V \sqrt{q^2}} \left[ (m_P + m_V)(m_P^2 - m_V^2 - q^2) A_1(q^2) - \frac{\lambda(m_P^2, m_V^2, q^2)}{m_P + m_V} A_2(q^2) \right]$$

$$H_t(q^2) = \left[ 1 - c_P^{(\ell)} \frac{q^2}{m_\ell(m_c + m_s)} \right] \frac{\sqrt{\lambda(m_P^2, m_V^2, q^2)}}{\sqrt{q^2}} A_0(q^2).$$

$c_P^{(1)}$  modifies  $H_t$   $H_t \rightarrow \left( 1 - c_P^{(\ell)} \frac{q^2}{m_\ell(m_c + m_s)} \right) H_t$

Rather weak knowledge of form-factors.

FOCUS performed non-parametric measurements of helicity amplitudes (errors too big), hep-ph /0509027;

BaBar (1012.1810) single pole parameterization

used in our fit: 
$$R_{L/T} = \frac{\Gamma_L}{\Gamma_T}$$

$$V(0)/A_1(0) = 1.463 \pm 0.035$$

$$A_2(0)/A_1(0) = 0.801 \pm 0.03$$

$$A_1(0) = 0.6200 \pm 0.0057.$$

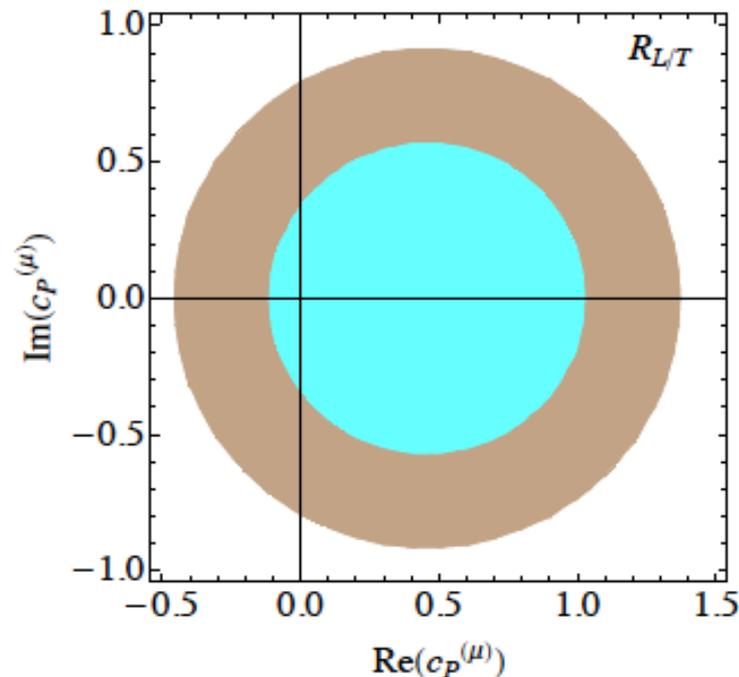
PDG:

$$R_{L/T} = 1.13 \pm 0.08$$

$$\frac{d\Gamma_L}{dq^2} = \mathcal{N}(q^2) \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left[ \left(1 + \frac{m_\ell^2}{2q^2}\right) |H_0|^2 + \frac{3m_\ell^2}{2q^2} |H_t|^2 \right] \quad \frac{d\Gamma_T}{dq^2} = \mathcal{N}(q^2) \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left[ \left(1 + \frac{m_\ell^2}{2q^2}\right) (|H_+|^2 + |H_-|^2) \right]$$

$$\mathcal{N}(q^2) = G_F^2 |V_{cs}|^2 q^2 |\mathbf{q}| / (96\pi^3 m_D^2)$$

Not competitive with the constraints coming from pure leptonic decay!



# The Wilson coefficient of the scalar operator

NP in  $D \rightarrow Kl\nu_l$

$$\langle K(k') | \bar{s} \gamma_\mu c | D(k) \rangle = f_+(q^2) \left( (k + k')_\mu - \frac{m_D^2 - m_K^2}{q^2} q_\mu \right) + f_0(q^2) \frac{m_D^2 - m_K^2}{q^2} q_\mu$$

$$f_+(0) = f_0(0)$$

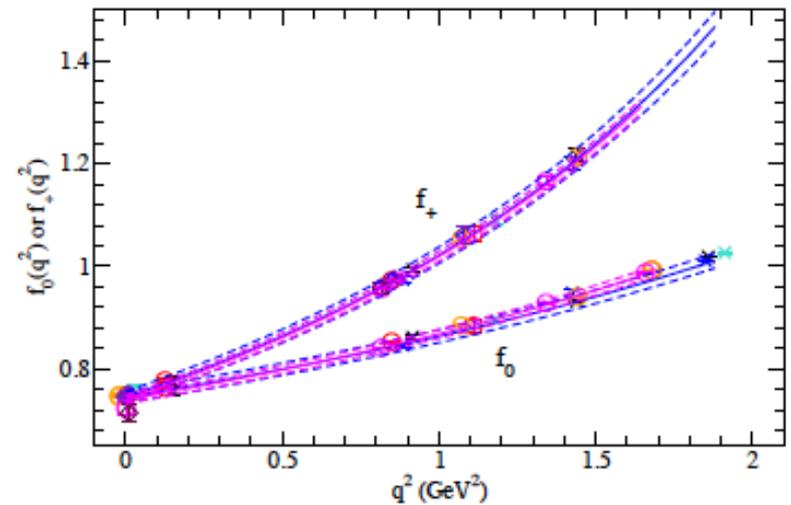
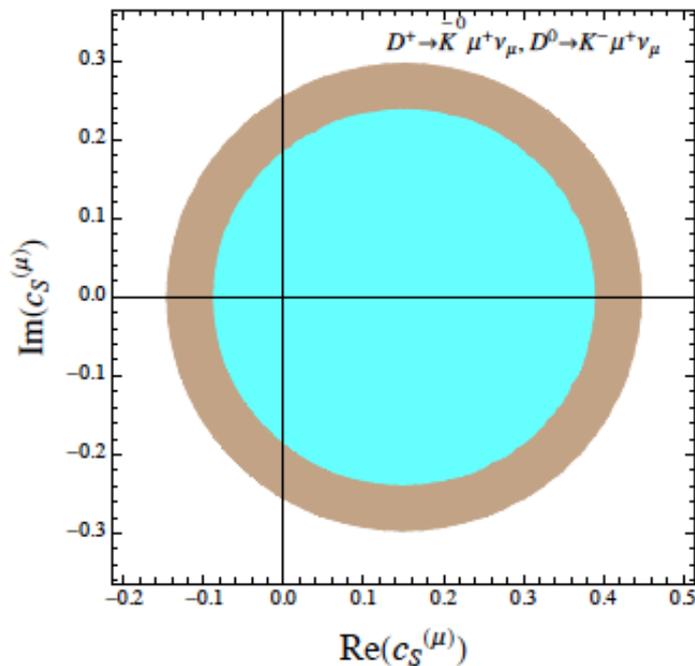
Helicity amplitudes

$$\left\{ \begin{array}{l} h_0(q^2) = \frac{\sqrt{\lambda(m_D^2, m_K^2, q^2)}}{\sqrt{q^2}} f_+(q^2) \\ h_t(q^2) = \left( 1 + c_S^{(l)} \frac{q^2}{m_\ell(m_s - m_c)} \right) \frac{m_D^2 - m_K^2}{\sqrt{q^2}} f_0(q^2) \end{array} \right.$$

$$\frac{d\Gamma^{(\ell)}}{dq^2} = \frac{G_F^2 |V_{cs}|^2 |\mathbf{q}| q^2}{96\pi^3 m_D^2} \left( 1 - \frac{m_\ell^2}{q^2} \right)^2 \left[ |h_0(q^2)|^2 \left( 1 + \frac{m_\ell^2}{2q^2} \right) + \frac{3m_\ell^2}{2q^2} |h_t(q^2)|^2 \right]$$

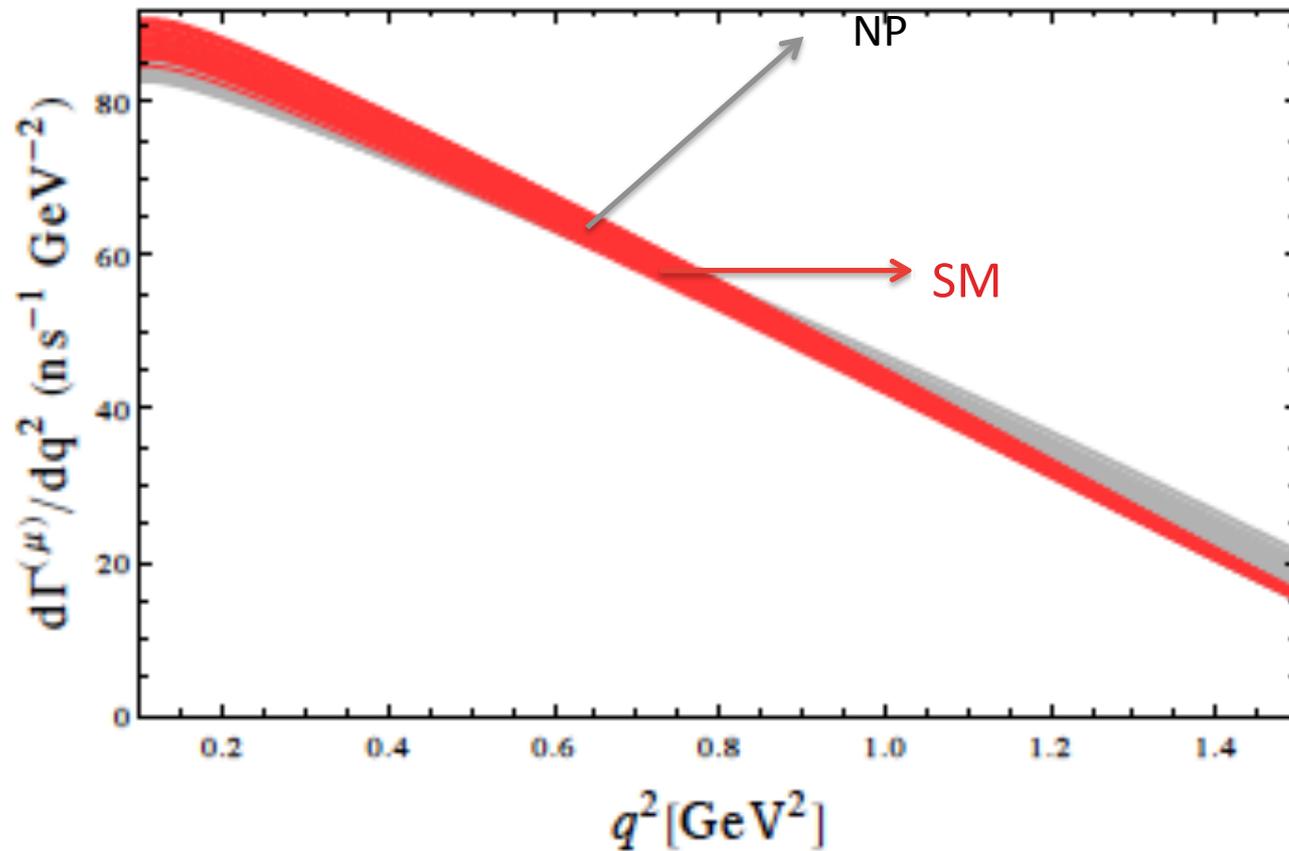
$$\mathcal{B}(D \rightarrow K l \nu_l) = \begin{cases} (8.83 \pm 0.22)\%, & D^+ \rightarrow \bar{K}^0 e^+ \nu_e, \\ (9.2 \pm 0.6)\%, & D^+ \rightarrow \bar{K}^0 \mu^+ \nu_\mu, \\ (3.55 \pm 0.04)\%, & D^0 \rightarrow K^- e^+ \nu_e, \\ (3.30 \pm 0.13)\%, & D^0 \rightarrow K^- \mu^+ \nu_\mu. \end{cases}$$

Form-factors calculated by lattice  
collaboration HPQCD (1305.1462)  
crosses  $D \rightarrow K$   
circles  $D_s \rightarrow \eta$



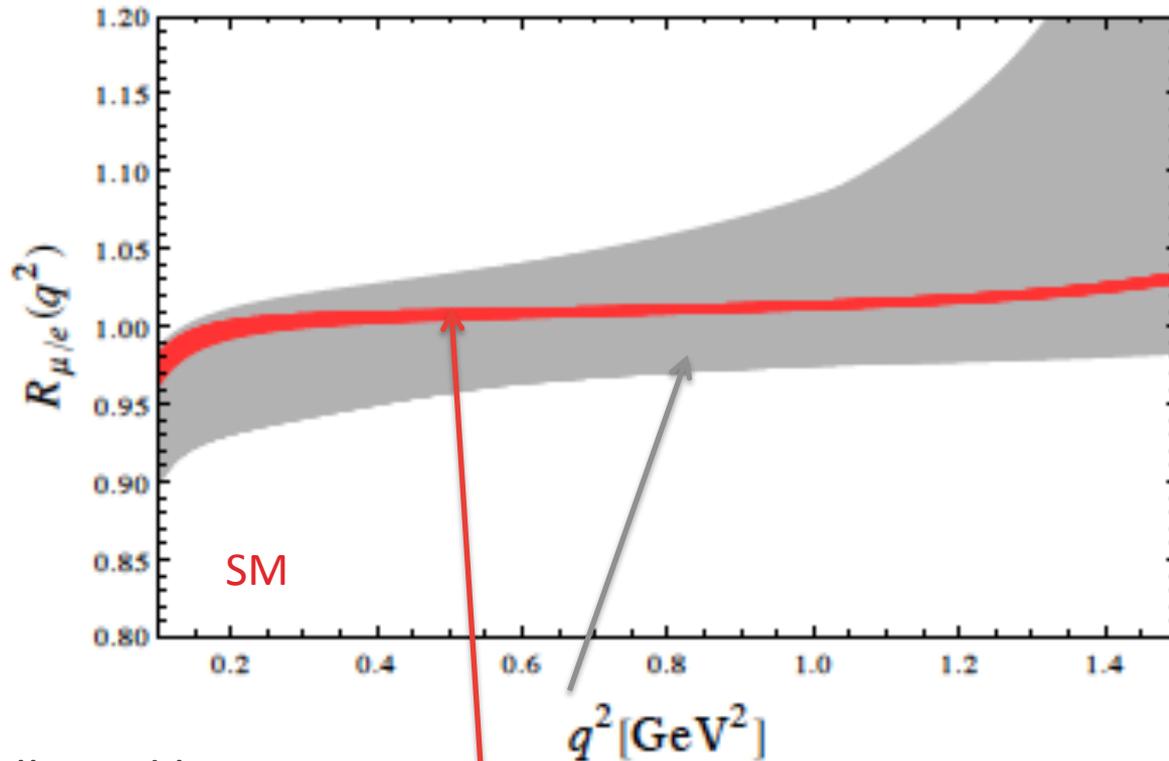
Allowed region for  $c_s$  from  
 $BR(D \rightarrow K l \nu_l)$

# NP in differential width distribution



NP, allowed by constraint from the fit of  $c_s$  from the branching ratio

## Check of lepton universality

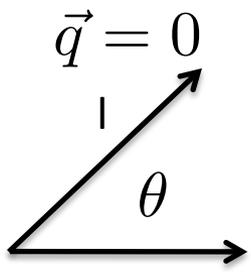


NP, allowed by constraint  
from the fit to the branching  
ratio which gives constraint  
on  $c_S$ , assuming  $c_S^{(e)} = 0$

$$R_{\mu/e}(q^2) \equiv \frac{d\Gamma^{(\mu)}}{dq^2} / \frac{d\Gamma^{(e)}}{dq^2}$$

$$R_{\mu/e} = 0.978 \pm 0.007_{\text{stat.}} \pm 0.012_{\text{sys.}}$$

Forward-backward asymmetry in  $D \rightarrow Kl\nu_l$



$$\frac{d^2\Gamma^{(\ell)}}{dq^2 d \cos \theta_\ell} = a_\ell(q^2) + b_\ell(q^2) \cos \theta_\ell + c_\ell(q^2) \cos^2 \theta_\ell.$$

$$b_\ell(q^2) = -\frac{G_F^2 |V_{cs}|^2 |q| q^2}{128\pi^3 m_D^2} \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \frac{m_\ell^2}{q^2} 2\text{Re}(h_0 h_t^*)$$

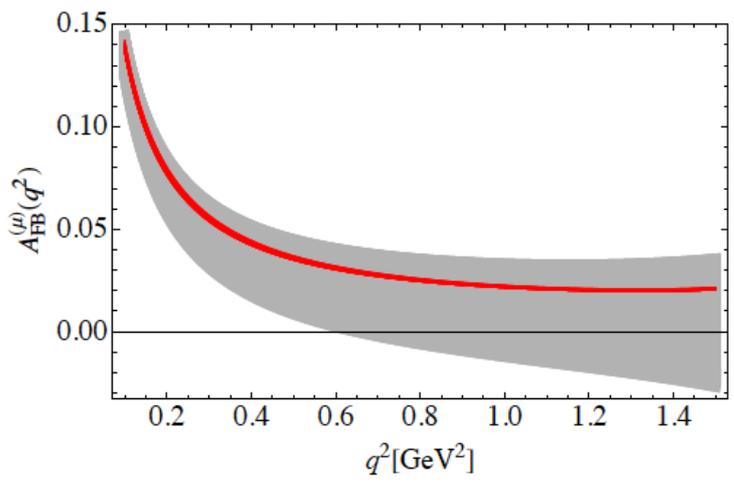
$$A_{FB}^{(\ell)}(q^2) \equiv \frac{\int_{-1}^0 \frac{d^2\Gamma^{(\ell)}(q^2)}{dq^2 d \cos \theta_\ell} d \cos \theta_\ell - \int_0^1 \frac{d^2\Gamma^{(\ell)}(q^2)}{dq^2 d \cos \theta_\ell} d \cos \theta_\ell}{d\Gamma^{(\ell)}/dq^2(q^2)} = -\frac{b_\ell(q^2)}{d\Gamma^{(\ell)}(q^2)/dq^2}$$

Sensitive on the real part of  $c_s$ !

SM value:  $\langle A_{FB}^{(\mu)} \rangle = 0.055(2)$

Forward-backward asymmetry would not show deviation from SM!

THDM with more general flavor structure might lead to different  $c_s$  and  $c_p$  and  $A_{FB}$  can differ from SM.



# LQ in charm charged current: Test of Lepton Flavour Universality

Triplet LQ  $S_3$  in charm leptonic decays decay

$$\mathcal{L}_{\bar{u}^i d^j \bar{\ell} \nu_k} = -\frac{4G_F}{\sqrt{2}} \left[ (V_{ij} U_{lk} + \underbrace{g_{ij;\ell k}^L}_{C_V \text{ modifies CKM}}) (\bar{u}_L^i \gamma^\mu d_L^j) (\bar{\ell}_L \gamma_\mu \nu_L^k) \right]$$

Test of lepton flavour universality (LFU)

$$R_{\tau,\mu}^c = \frac{\Gamma(D_s \rightarrow \tau \nu)}{\Gamma(D_s \rightarrow \mu \nu)}$$

$$\frac{R_{\tau,\mu,LQ}^c}{R_{\tau,\mu,SM}^c} = \left[ 1 - \frac{v^2}{2M_{S_3}^2} \text{Re}((V y^*)_{c\tau} y_{s\tau} - (V y^*)_{c\mu} y_{s\mu}) \right]$$

Comes from the fit of  $R_{K^{(*)}}$  with  $S_3$

Doršner, SF, Greljo, Kamenik Košnik,  
1603.04993;

$m_{S_3}$ [TeV]	$1 - R_{\tau,\mu,LQ}^c / R_{\tau,\mu,SM}^c$
1.0	3.2%
1.2	2.4%
1.5	1.5%

## NP in transversal muon polarization

The relative complex phase between nonstandard scalar Wilson coefficient and  $V_{cs}$  is a possible new source of the CP violation.

The measurement of the T-odd transverse polarization of charge lepton might give information on that effect. In SM it is vanishing effect.

$$P_{\perp}^{(\mu)} = \frac{|\mathcal{A}(\vec{s})|^2 - |\mathcal{A}(-\vec{s})|^2}{|\mathcal{A}(\vec{s})|^2 + |\mathcal{A}(-\vec{s})|^2}$$

$\mathcal{A}(\pm\vec{s})$  amplitude for spin projection along  $\vec{s}$

$$\vec{s} \equiv (\vec{p}_K \times \vec{p}_\ell) / |\vec{p}_K \times \vec{p}_\ell|$$

$$P_{\perp}^{(\mu)}(q^2, E_\mu) = \left( \frac{d\Gamma}{dq^2 dE_\mu} \right)^{-1} \kappa(q^2, E_\mu) \text{Im} (h_0(q^2) h_t^*(q^2))$$

For allowed value of  $c_S^{(\mu)} \simeq \pm 0.1 i$

$$\langle P_{\perp}^{(\mu)} \rangle \simeq \pm 0.2$$

## CP violation in charm

i) CP violation in the  $\Delta C = 1$  decay amplitudes,

$\delta_i$  strong phases

$\phi_i$  weak phases

$$A_f = |A_1| e^{i\delta_1} e^{i\phi_1} + |A_2| e^{i\delta_2} e^{i\phi_2}$$

$$A_{\bar{f}} = |A_1| e^{i\delta_1} e^{-i\phi_1} + |A_2| e^{i\delta_2} e^{-i\phi_2}$$

ii) CP violation in  $D^0 - \bar{D}^0$ ,  $\Delta C = 2$   $\left[ M - i\frac{\Gamma}{2} \right]_{ij} = \begin{pmatrix} A & p^2 \\ q^2 & A \end{pmatrix}$

iii) CP violation in the interference of decays with and without mixing.

The same final states to which both  $D^0$  and  $\bar{D}^0$  can decay

- CPV in  $D - \bar{D}$  mixing suppressed due to  $\mathcal{O}(V_{cb} V_{ub}^* / V_{cs} V_{us}^*) \sim 10^{-3}$
- direct CPV suppressed due to  $\mathcal{O}([V_{cb} V_{ub}^* / V_{cs} V_{us}^*] \alpha_s / \pi) \sim 10^{-4}$

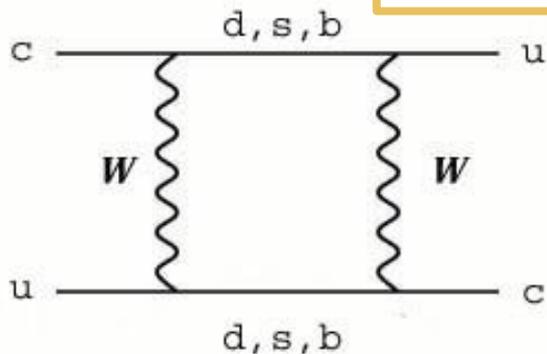
# Mixing and indirect CP violation

$$|D_{L,S}\rangle = p |D^0\rangle \pm q |\bar{D}^0\rangle \quad |p|^2 + |q|^2 = 1$$

If  $p = q$ , then CP eigenstates  $CP|D_{\pm}\rangle = \pm|D_{\pm}\rangle$

$$\Delta M \equiv m_{D_+} - m_{D_-}$$

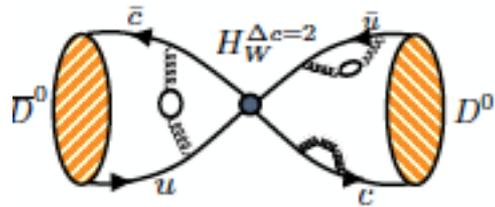
$$\Delta\Gamma \equiv \Gamma_{D_+} - \Gamma_{D_-}$$



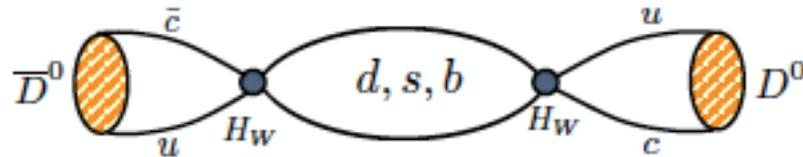
- intermediate down-type quarks;
- due to CKM contribution of b – quark negligible;
- in the SU(3) limit 0;
- Dominated by non-perturbative dynamics!
- $m_d, m_s \leq \Lambda_{\text{QCD}}$

$m_c$  not large enough for  $1/m_c$  expansion as in B physics

$$M_{12} - \frac{i}{2}\Gamma_{12} \propto \langle D^0 | H_W^{\Delta c=2} | \bar{D}^0 \rangle + \sum_n \frac{\langle D^0 | H_W^{\Delta c=1} | n \rangle \langle n | H_W^{\Delta c=1} | \bar{D}^0 \rangle}{M_D - E_n + i\epsilon}$$



Short distance  
Lattice QCD helps !



Long distance  
difficult to determine

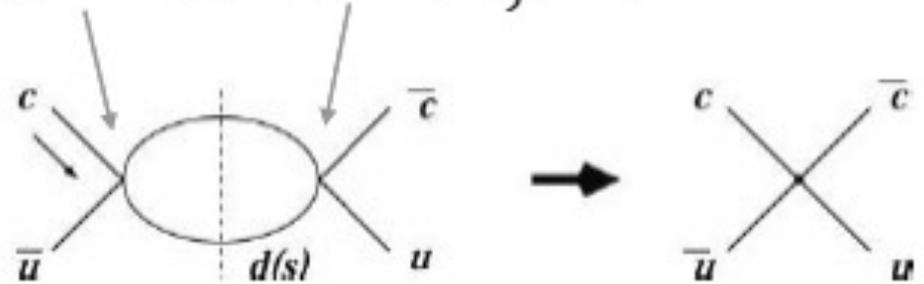
$$y_D = \frac{1}{2M_D\Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T \{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \} | D^0 \rangle$$

$$x_D = \frac{1}{2M_D\Gamma_D} \text{Re} \left[ 2 \langle \bar{D}^0 | H^{|\Delta C|=2} | D^0 \rangle + \langle \bar{D}^0 | i \int d^4x T \{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \} | D^0 \rangle \right]$$

How to approach to long distance contributions?

- operator product expansion

$$y_D = \frac{1}{2M_D \Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T \{ \mathcal{H}_w^{|\Delta C|=1}(x) \mathcal{H}_w^{|\Delta C|=1}(0) \} | D^0 \rangle$$



(D meson is not heavy enough) use of duality

Lattice determined

$$\langle \mathcal{O}_i \rangle \equiv \langle D^0 | \mathcal{O}_i | \bar{D}^0 \rangle(\mu) = e_i M_D^2 f_D^2 B_D^{(i)}(\mu)$$

One assumes that D transitions are dominated by a small number of exclusive processes, which are examined explicitly.

Falk et al, hep-ph/0110317

$$y = \sum_n \eta_{CKM}(n) \eta_{CP}(n) \cos \delta_n \sqrt{\mathcal{B}(D^0 \rightarrow n) \mathcal{B}(\bar{D}^0 \rightarrow n)}$$

$$\eta_{CKM} = (-1)^{n_s}$$

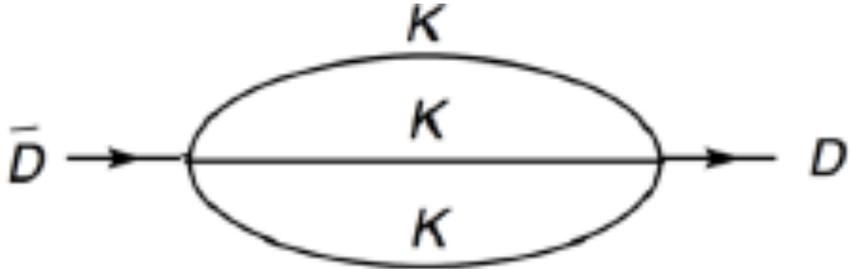
Strong phase

Number of s and bar s in the final state

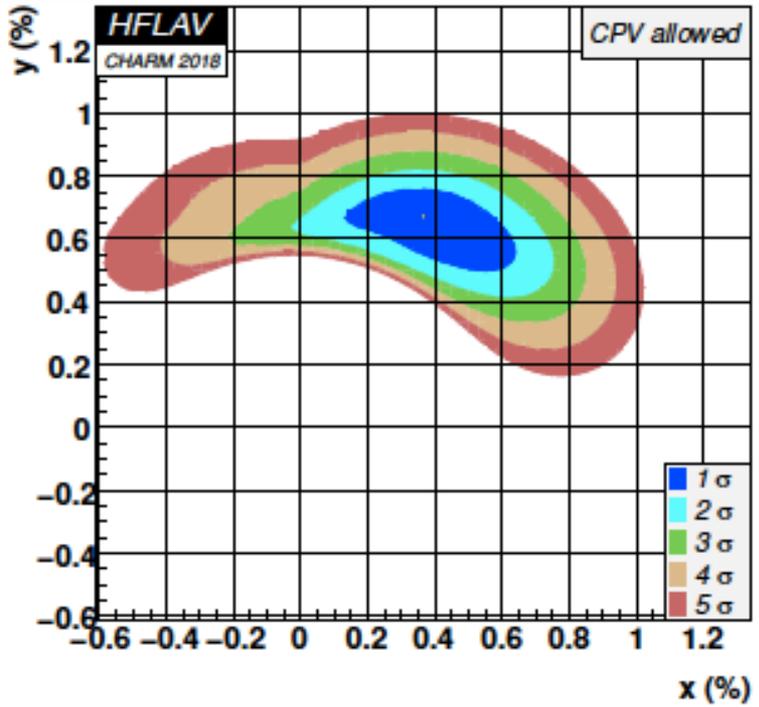
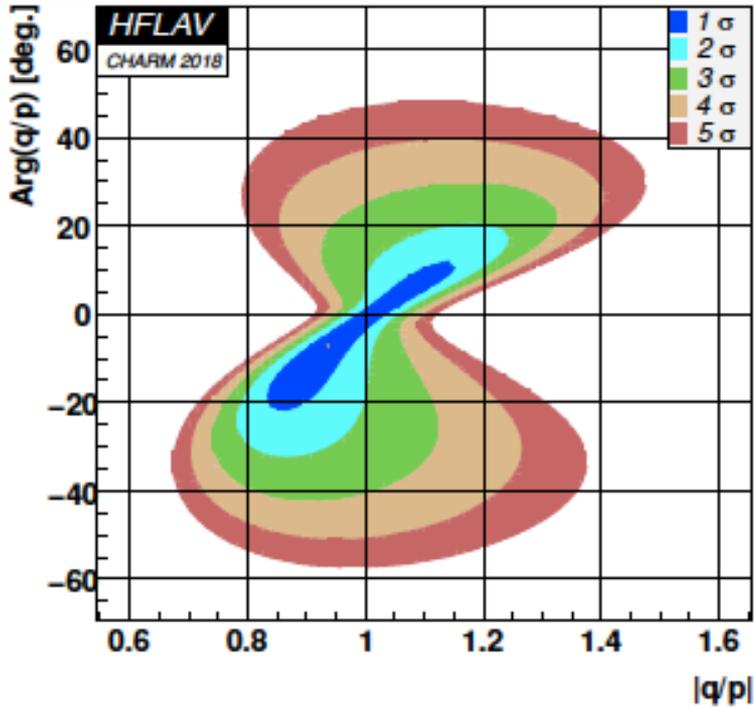
$$\eta_{CP} = \pm 1$$

$$\eta_{CKM}(K^+K^-) = +1 \quad \eta_{CKM}(K^+\pi^-) = -1$$

$$CP|f \rangle = \eta_{CP}|\bar{f} \rangle$$



- $|q/p| \neq 1$  would indicate CPV in mixing.
- $\text{Arg}(q/p) \neq 0$  would indicate CPV from interference mixing/decay.
- Mixing parameters  $x = \Delta m/\Gamma$  and  $y = \Delta\Gamma/(2\Gamma)$ .



if CP-violation is neglected...

$$x = 0.50^{+0.13}_{-0.14}\%$$

$$y = 0.63 \pm 0.08\%$$

if CP-violation is allowed

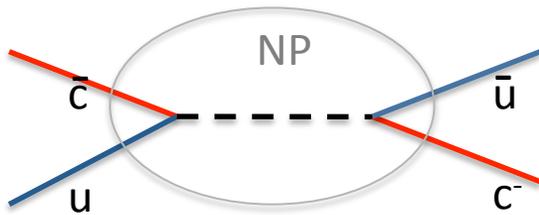
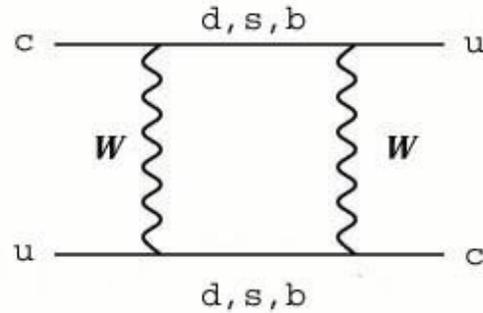
$$x = 0.36^{+0.21}_{-0.16}\%$$

$$y = 0.67^{+0.06}_{-0.13}\%$$

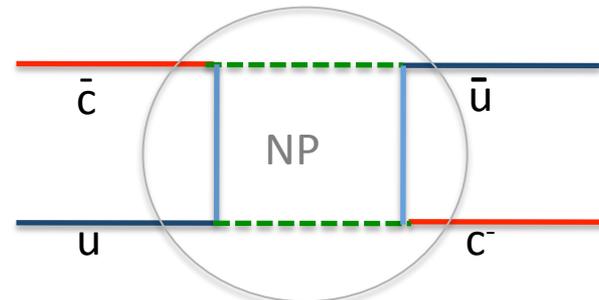
# New physics in charm FCNC processes

$$D^0 - \bar{D}^0$$

SM box contribution



NP at tree level

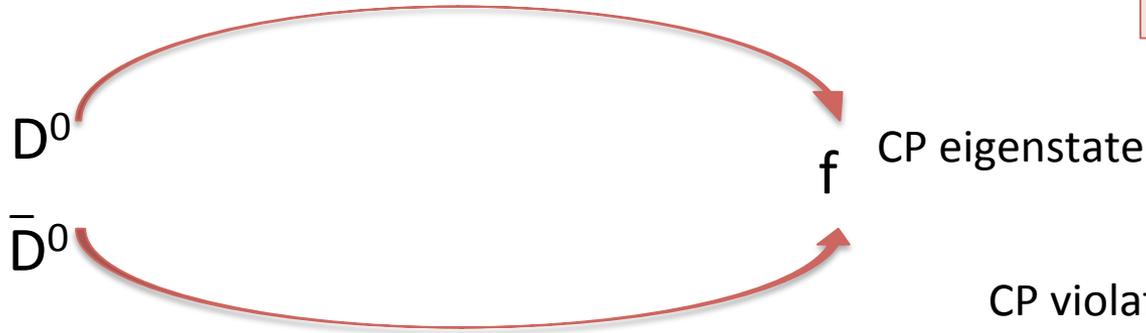


$$D^0 - \bar{D}^0$$

NP at loop level  
(box)

$$A \equiv A(D^0 \rightarrow f)$$

indirect CP violation



Result of CP violation in D mixing

CP violation  $\longrightarrow$  the interference between mixing and decay amplitude

$$\bar{A} \equiv A(\bar{D}^0 \rightarrow f)$$

$$A_{CP}(D^0 \rightarrow h^- h^+) \equiv \frac{\Gamma(D^0 \rightarrow h^- h^+) - \Gamma(\bar{D}^0 \rightarrow h^- h^+)}{\Gamma(D^0 \rightarrow h^- h^+) + \Gamma(\bar{D}^0 \rightarrow h^- h^+)}$$

$$\Delta A_{CP} = A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+), \quad \Delta A_{CP} = (-0.10 \pm 0.08 \pm 0.03)\%$$

direct CP violation

LHCb, 1602.03160

Nierste, Schaht 1508.00074

$$a_{CP}^{\text{dir}} \equiv \frac{|\mathcal{A}|^2 - |\bar{\mathcal{A}}|^2}{|\mathcal{A}|^2 + |\bar{\mathcal{A}}|^2}$$

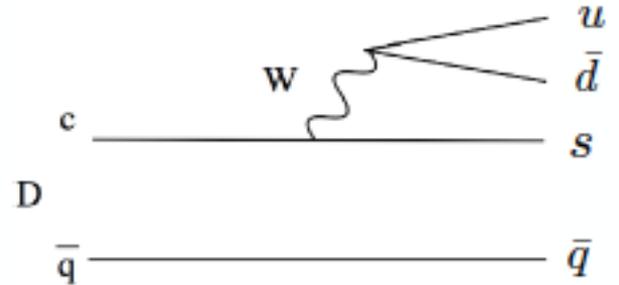
$$|a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)| \leq 1.1\% \quad @95\% \text{ CL}$$

Cabibbo-favored (CF) decay

-  $c \rightarrow s u \bar{d}$

- examples:  $D^0 \rightarrow K^- \pi^+$

$$V_{cs} V_{ud}^*$$



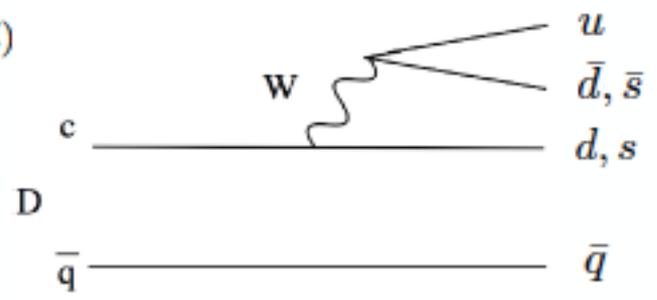
Singly Cabibbo-suppressed (SCS) decay

$c \rightarrow q u \bar{q}$

- examples:  $D^0 \rightarrow \pi^+ \pi^-$  and

-  $D^0 \rightarrow K^+ K^-$

$$V_{cs(d)} V_{us(d)}^*$$

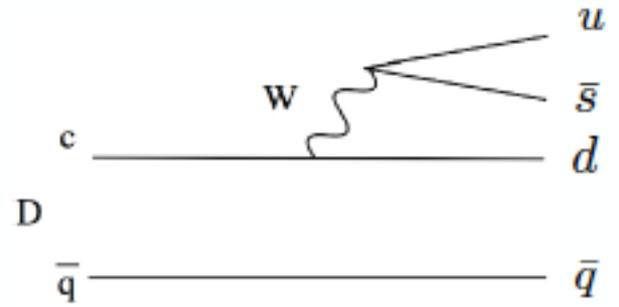


Doubly Cabibbo-suppressed (DCS) decay

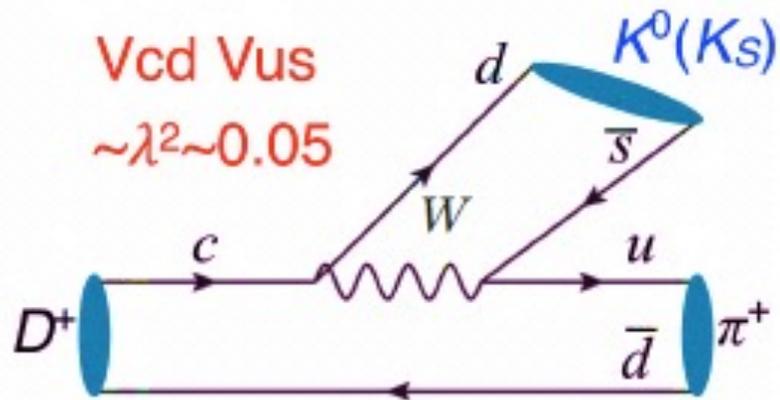
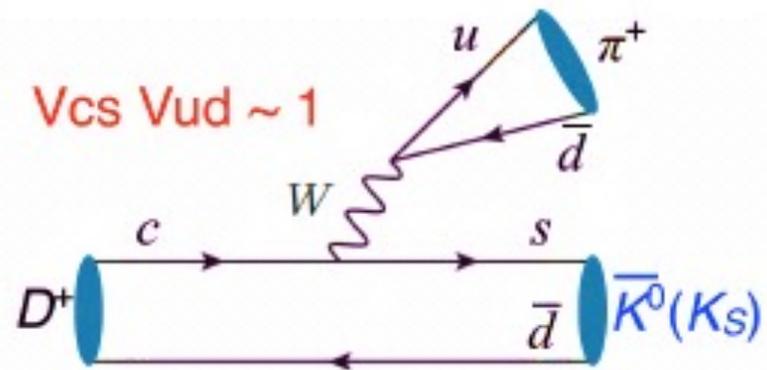
-  $c \rightarrow d u \bar{s}$

- Example  $D^0 \rightarrow K^+ \pi^-$

$$V_{cd} V_{us}^*$$



A measurement of  $D^0$ - $\bar{D}^0$  mixing and CP violation can be obtained by comparing the ratio of  $D^0 \rightarrow K^- \pi^+$  and  $D^0 \rightarrow K^+ \pi^-$  decay rates, as a function of the  $D^0$  decay time,



the interference CF and the DCS amplitudes with the K mixing, effect of the order  $10^{-3}$ .

# NP and CP violation in charm decays

$$a_f \equiv \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

sensitive to both indirect and direct

$$\Delta A_{CP} = A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+),$$

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff}} = \lambda_d \mathcal{H}_{|\Delta c|=1}^d + \lambda_s \mathcal{H}_{|\Delta c|=1}^s + \lambda_b \mathcal{H}_{|\Delta c|=1}^{\text{peng}} :$$

$$: \lambda_q = V_{cq}^* V_{uq} :$$

$$\mathcal{H}_{|\Delta c|=1}^q = \frac{G_F}{\sqrt{2}} \sum_{i=1,2} C_i^q Q_i^q + \text{H.c.}, \quad q = s, d,$$

$$Q_1^q = (\bar{u}q)_{V-A} (\bar{q}c)_{V-A},$$

$$Q_2^q = (\bar{u}_\alpha q_\beta)_{V-A} (\bar{q}_\beta c_\alpha)_{V-A},$$

}

SM

NP effective operators

$$\mathcal{H}_{|\Delta c|=1}^{\text{eff-NP}} = \frac{G_F}{\sqrt{2}} \sum_{i=1,2,5,6} \sum_q (C_i^q Q_i^q + C_i^{q'} Q_i^{q'}) + \frac{G_F}{\sqrt{2}} \sum_{i=7,8} (C_i Q_i + C_i' Q_i') + \text{H.c.},$$

$$Q_5^q = (\bar{u}c)_{V-A} (\bar{q}q)_{V+A},$$

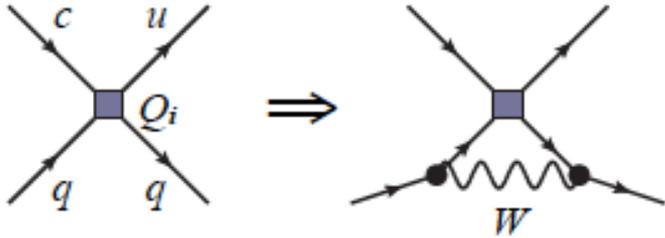
$$Q_6^q = (\bar{u}_\alpha c_\beta)_{V-A} (\bar{q}_\beta q_\alpha)_{V+A},$$

$$Q_7 = -\frac{e}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) F^{\mu\nu} c,$$

$$Q_8 = -\frac{g_s}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) T^a G_a^{\mu\nu} c,$$

}

by integrating out heavy degrees of freedom  
 These operators contribute to D- mixing



$$\mathcal{H}_{|\Delta c|=2}^{\text{eff}} = \frac{G_F}{\sqrt{2}} \left( \sum_{i=1}^5 C_i^{cu} Q_i^{cu} + \sum_{i=1}^3 C_i^{cu'} Q_i^{cu'} \right)$$

$$\langle \bar{D}^0 | \mathcal{H}_{|\Delta c|=2}^{\text{eff}} | D^0 \rangle \sim \sum_i C_i^{cu}(\mu) \langle \bar{D}^0 | Q_i^{cu} | D^0 \rangle$$

$$Q_1^{cu} = (\bar{u}c)_{V-A} (\bar{u}c)_{V-A},$$

$$Q_2^{cu} = (\bar{u}c)_{S-P} (\bar{u}c)_{S-P},$$

$$Q_3^{cu} = (\bar{u}_\alpha c_\beta)_{S-P} (\bar{u}_\beta c_\alpha)_{S-P},$$

$$Q_4^{cu} = (\bar{u}c)_{S-P} (\bar{u}c)_{S+P},$$

$$Q_5^{cu} = (\bar{u}_\alpha c_\beta)_{S-P} (\bar{u}_\beta c_\alpha)_{S+P},$$

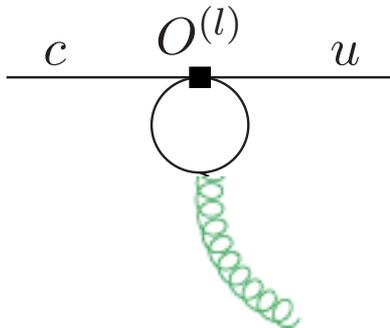
$$|C_1^{cu}| \lesssim 5.7 \times 10^{-8}, \quad \text{Im}(C_1^{cu}) \lesssim 1.6 \times 10^{-8},$$

$$|C_2^{cu}| \lesssim 1.6 \times 10^{-8}, \quad \text{Im}(C_2^{cu}) \lesssim 4.3 \times 10^{-9},$$

$$|C_3^{cu}| \lesssim 5.8 \times 10^{-8}, \quad \text{Im}(C_3^{cu}) \lesssim 1.6 \times 10^{-8},$$

$$|C_4^{cu}| \lesssim 5.6 \times 10^{-9}, \quad \text{Im}(C_4^{cu}) \lesssim 1.6 \times 10^{-9},$$

$$|C_5^{cu}| \lesssim 1.6 \times 10^{-8}, \quad \text{Im}(C_5^{cu}) \lesssim 4.5 \times 10^{-9}.$$



RGE running for  $C_i$

$$\left| \frac{\epsilon'}{\epsilon} \right|$$

Correlation to NP in kaon sector

CHARM quark electric (chromo-electric) dipole moment

$$\mathcal{L}_{\text{eff}} = d_q \frac{1}{2} (\bar{q} \sigma_{\mu\nu} i \gamma_5 q) F^{\mu\nu} + \tilde{d}_q \frac{1}{2} (\bar{q} \sigma_{\mu\nu} T^a i \gamma_5 q) g_s G_a^{\mu\nu} + w \frac{1}{6} f^{abc} \epsilon^{\mu\nu\lambda\rho} G_{\mu\sigma}^a G_{\nu}^{b\sigma} G_{\lambda\rho}^c$$

quark EDM
quark CEDM
Weingerg operator

mixing under RGE

$$w = \frac{g_s^3}{32\pi^2} \frac{\tilde{d}_q}{m_q}$$

Sala, 1312.2589

Considered charm quark EDM and CEDM

CEDM threshold correction to w

$|\tilde{d}_c| \lesssim 1.0 \times 10^{-22} \text{ cm}$

from neutron EDM

$|d_c| \lesssim 4.4 \times 10^{-17} e \text{ cm}$

from  $B \rightarrow X_s \gamma$

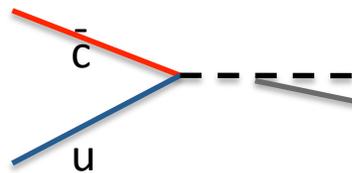
In 1809.09114, Dekens et al, NP from B anomalies creates c-quark EDM, which can be related to neutron (lattice computation of c -bar c content of neutron ~ 2%) or Hg EDM!

More studies of charm quark EDM(CEDM) – new source of CP violation!

## Properties of FCNC in charm physics

- conspiracy:  $d, s, b$  quarks are in the loops;
- very strong GIM suppression;
- $m_{s,d} \ll \Lambda_{QCD}$

long distance contribution dominant!



a weak singlet, doublet or triplet

$$Q_{iL} = \begin{bmatrix} V_{ij}^* u_j \\ d_i \end{bmatrix}_L$$

up quark weak doublet “talks” to down quark via CKM!

# SM effective Hamiltonian for rare charm decays -FCNC

$$\mathcal{H}_{\text{eff}} = \lambda_d \mathcal{H}^d + \lambda_s \mathcal{H}^s - \frac{4G_F \lambda_b}{\sqrt{2}} \sum_{i=3, \dots, 10, S, P, \dots} C_i \mathcal{O}_i$$

$$\lambda_q = V_{uq} V_{cq}^* \quad \bar{u} \gamma_\mu \frac{1}{2} (1 - \gamma_5) q \bar{q} \gamma_\mu \frac{1}{2} (1 - \gamma_5) c$$

Tree-level 4-quark operators

(Short-distance) penguin operators

1) At scale  $m_W$  all penguin contributions vanish due to GIM;

2) SM contributions to  $C_{7 \dots 10}$  at scale  $m_c$  entirely due to mixing of tree-level operators into penguin ones under QCD

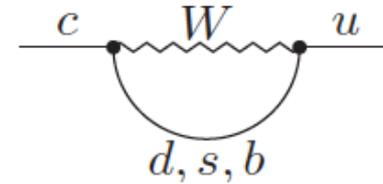
3) SM values at

$$C_7 = 0.12, \quad C_9 = -0.41$$

(recent results: de Boer, Hiller, 1510.00311, 1701.06392, De Boer et al, 1606.05521) 1707.00988 )

SM in  $c \rightarrow u\gamma$  and  $c \rightarrow ul^+l^-$

Rare charm decays much rarer than rare B decays.  
For same statistics much less events.



$$Q_7 = \frac{e}{8\pi^2} m_c F_{\mu\nu} \bar{u} \sigma^{\mu\nu} (1 + \gamma_5) c,$$

$$Q_9 = \frac{e^2}{16\pi^2} \bar{u}_L \gamma_\mu c_L \bar{\ell} \gamma^\mu \ell,$$

$$Q_{10} = \frac{e^2}{16\pi^2} \bar{u}_L \gamma_\mu c_L \bar{\ell} \gamma^\mu \gamma_5 \ell,$$

$Q_7$  contributes to  $c \rightarrow u\gamma$  and  
 $c \rightarrow ul^+l^-$

all three operators contribute to

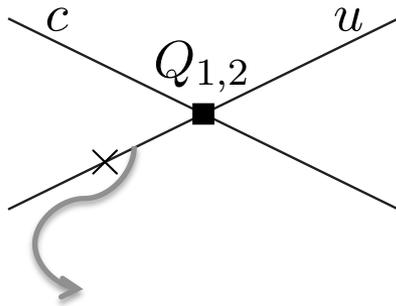
$c \rightarrow ul^+l^-$

GIM suppression

C. Greub et al., PLB 382 (1996) 415;

$$BR(D \rightarrow X_u \gamma) \sim 10^{-8}$$

branching ratio	$D^0 \rightarrow \rho^0 \gamma$	$D^0 \rightarrow \omega \gamma$	$D^0 \rightarrow \phi \gamma$	$D^0 \rightarrow \bar{K}^{*0} \gamma$
Belle [24] <sup>†</sup>	$(1.77 \pm 0.31) \times 10^{-5}$	–	$(2.76 \pm 0.21) \times 10^{-5}$	$(4.66 \pm 0.30) \times 10^{-4}$
BaBar [33] <sup>† a</sup>	–	–	$(2.81 \pm 0.41) \times 10^{-5}$	$(3.31 \pm 0.34) \times 10^{-4}$
CLEO [34]	–	$< 2.4 \times 10^{-4}$	–	–



photon emission

Hiller & De Boer 1701.06392

Note: all SM th. predictions for  
BR( $D^0 \rightarrow \rho^0 \gamma$ ) smaller than exp. rate!

previous works:

SF& Singer, hep-ph/9705327, SF, Prelovsek & hep-ph/9801279

S. F. P. Singer and J. Zupan, EPJC 27(2003) 201 Burdman et al. hep-ph/9502329,

Khodjamirian et al, hep-ph/9506242

## CP asymmetry in charm radiative decays

$$A_{CP}(D \rightarrow V\gamma) = \frac{\Gamma(D \rightarrow V\gamma) - \Gamma(\bar{D} \rightarrow \bar{V}\gamma)}{\Gamma(D \rightarrow V\gamma) + \Gamma(\bar{D} \rightarrow \bar{V}\gamma)}$$

$$|A_{CP}^{\text{SM}}| < 2 \cdot 10^{-3}$$

Belle, 1603.03257

Hiller& de Boer 1701. 06392

LQs give as large

contributions as SM

$$A_{CP}(D^0 \rightarrow \rho^0\gamma) = 0.056 \pm 0.152 \pm 0.006,$$

$$A_{CP}(D^0 \rightarrow \phi\gamma) = -0.094 \pm 0.066 \pm 0.001$$

$$A_{CP}(D^0 \rightarrow \bar{K}^{*0}\gamma) = -0.003 \pm 0.020 \pm 0.000$$

## Models of NP explaining B anomalies

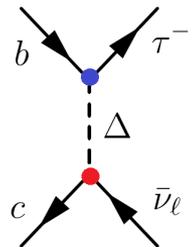
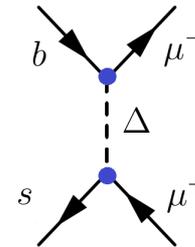
Spin	Color singlet	Color triplet
0	2HDM	Scalar LQ <del>R</del> parity - sbottom
1	$W', Z'$	Vector LQ  Dark matter?

Leptoquarks?

Nature of anomaly requires NP in quark and lepton sector!  
It seems that LQs are ideal candidates to explain all B anomalies at tree level!

2HDMII cannot explain  $R_{D^{(*)}}$

New gauge bosons,  $W', Z'$  -  
difficult to construct UV  
complete theory



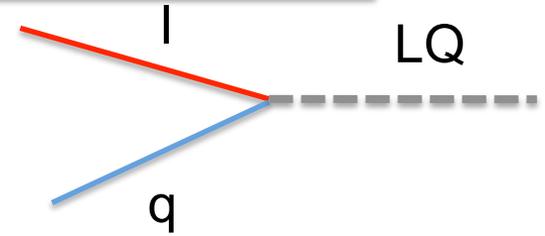
- Is charm physics sensitive on NP explaining B puzzles ?
- Can some NP be present in charm and not in beauty mesons?

Popular scenario: Leptoquarks as a resolution of B anomalies:

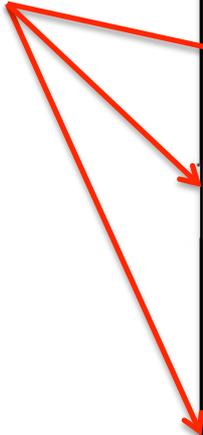
$$LQ = (SU(3)_c, SU(2)_L)_Y$$

$$\text{or } LQ = (SU(3)_c, SU(2)_L, Y)$$

$$Q = l_3 + Y$$



no proton decay  
at tree level



Model	$R_{D^{(*)}}$	$R_{K^{(*)}}$	$R_{D^{(*)}} \& R_{K^{(*)}}$
$S_1 = (\bar{3}, 1)_{1/3}$	✓	✗	✗
$R_2 = (3, 2)_{7/6}$	✓	✗*	✗
$S_3 = (\bar{3}, 3)_{1/3}$	✗	✓	✗
$U_1 = (3, 1)_{2/3}$	✓	✓	✓
$V_2 = (3, 1)_{2/3}$	✗	✗	✗
$\widetilde{V}_2 = (\bar{3}, 2)_{-1/6}$	✗	✗	✗
$U_3 = (3, 3)_{2/3}$	✗	✓	✗

Spin 0

Spin 1

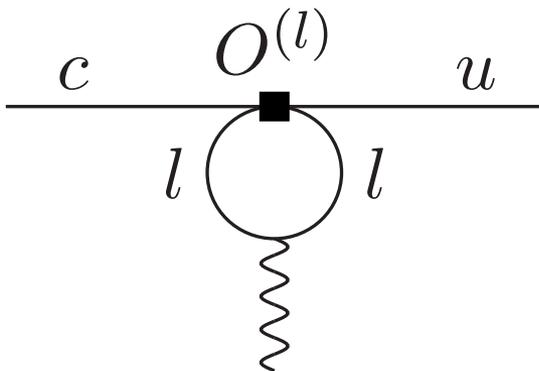
No single scalar LQ to solve simultaneously both anomalies! Doršner, SF, Greljo,  
 Scalar LQ  $\longrightarrow$  simpler UV completion; Kamenik, Košnik, 1603.04993

Only  $R_2$  and  $S_1$  might explain  $(g-2)_\mu$  (both chiralities are required with the enhancement factor  $m_t/m_\mu$ ) Muller 1801.0338.

# New Physics in FCNC charm decays

Leptoquarks in  $c \rightarrow uy$

Hiller & de Boer 1701.06392  
SF and Košnik, 1510.00965



Constraints from

$$\tau^- \rightarrow \pi^- \nu_\tau$$

$$\tau^- \rightarrow K^- \nu_\tau$$

$$\Delta m_D$$

$$D^+ \rightarrow \tau^+ \nu_\tau$$

$$D_s^+ \rightarrow \tau^+ \nu_\tau$$

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$

Even for  $\tau$  in the loop too small contribution!

Masses of  $m_{LQ} \approx 1$  TeV.

Within LQ models the  $c \rightarrow uy$  branching ratios are SM-like with CP asymmetries at  $O(0.01)$  for  $S_{1,2}$  and  $V_{\sim 2}$  and SM-like for  $S_3$ .

Vector LQ  $V_{\sim 1} A_{CP} \sim O(10\%)$ . The largest effects arise from  $\tau$ -loops.

$S_3$  can explain  $R_{K^{(*)}}$ !

NP in  $c \rightarrow ul^+l^-$

Most general dimension 6 effective Lagrangian for  $c \rightarrow ul^+l^-$

$$\begin{aligned}\mathcal{O}_7 &= \frac{em_c}{(4\pi)^2} (\bar{u}\sigma_{\mu\nu}P_{RC})F^{\mu\nu}, & \mathcal{O}_S &= \frac{e^2}{(4\pi)^2} (\bar{u}P_{RC})(\bar{\ell}\ell), \\ \mathcal{O}_9 &= \frac{e^2}{(4\pi)^2} (\bar{u}\gamma^\mu P_L c)(\bar{\ell}\gamma_\mu \ell), & \mathcal{O}_P &= \frac{e^2}{(4\pi)^2} (\bar{u}P_{RC})(\bar{\ell}\gamma_5 \ell), \\ \mathcal{O}_{10} &= \frac{e^2}{(4\pi)^2} (\bar{u}\gamma^\mu P_L c)(\bar{\ell}\gamma_\mu \gamma_5 \ell), & \mathcal{O}_T &= \frac{e^2}{(4\pi)^2} (\bar{u}\sigma_{\mu\nu}c)(\bar{\ell}\sigma^{\mu\nu}\ell), \\ & & \mathcal{O}_{T5} &= \frac{e^2}{(4\pi)^2} (\bar{u}\sigma_{\mu\nu}c)(\bar{\ell}\sigma^{\mu\nu}\gamma_5 \ell)\end{aligned}$$

$D^0 \rightarrow \mu^+ \mu^-$

SF, N. Kosnik, 1510.00965

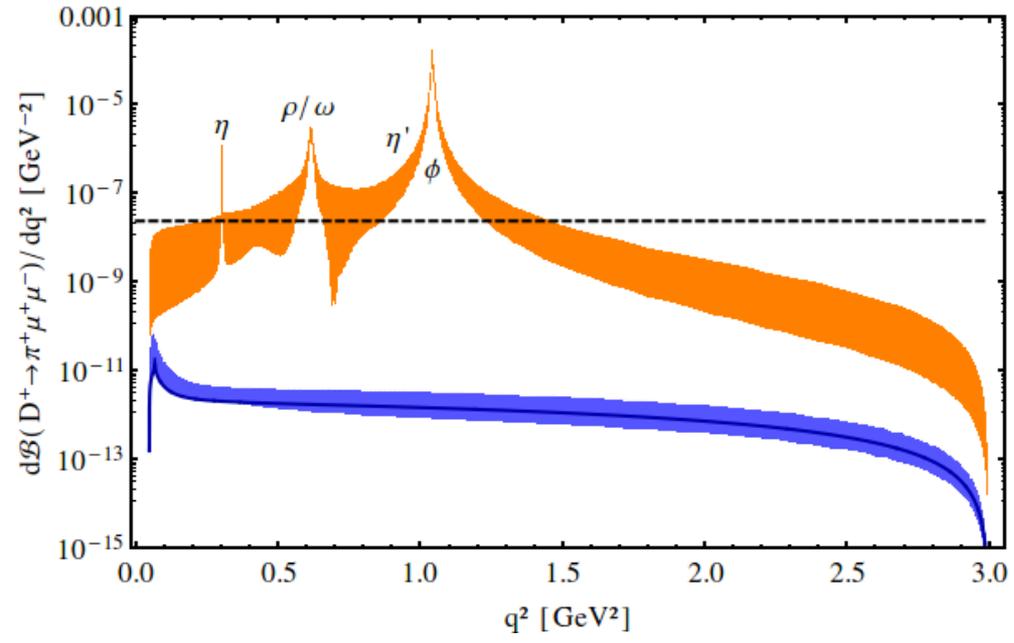
LHCb bound, 1305.5059

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \cdot 10^{-9} \text{ at CL}=90\%$$

Helicity suppressed decay!

$$|C_S - C'_S|^2 + |C_P - C'_P + 0.1(C_{10} - C'_{10})|^2 \lesssim 0.007$$

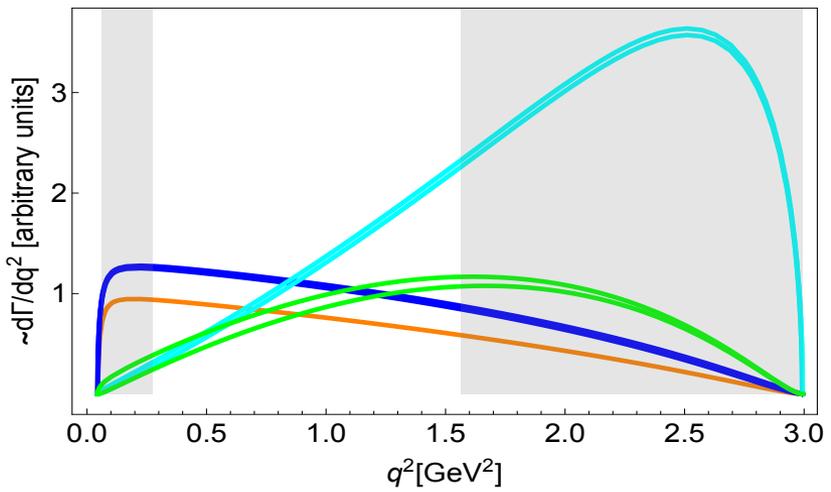
$$D \rightarrow \pi l^+ l^-$$



SM prediction: Long distance contributions most important!

$D \rightarrow \pi V \rightarrow \pi l^+ l^-$   
peaks at  $\rho, \omega, \phi$  and  $\eta$  resonances

de Boer, Hiller, 1510.00311,  
SF and Kosnik, 1510.00965



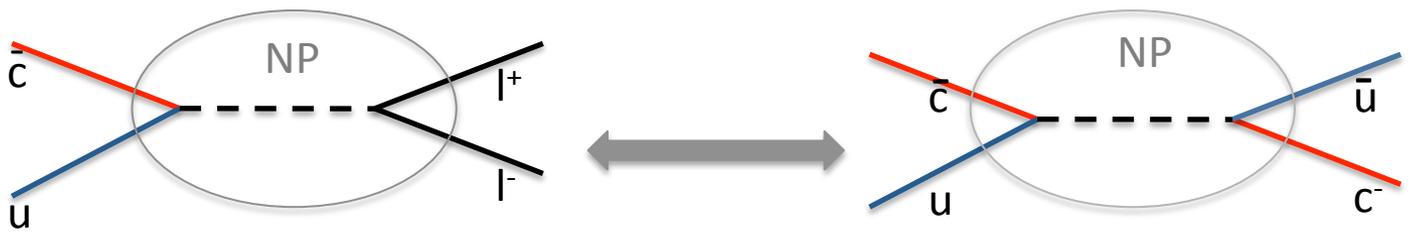
- $C_7^{(l)}$
- $C_{9,10}^{(l)}$
- $C_{S,P}^{(l)}$
- $C_{T,T5}^{(l)}$

Maximally allowed values of the Wilson coefficients in the low and high energy bins, according to LHCb 1304.6365 :

LHCb 1304.6365

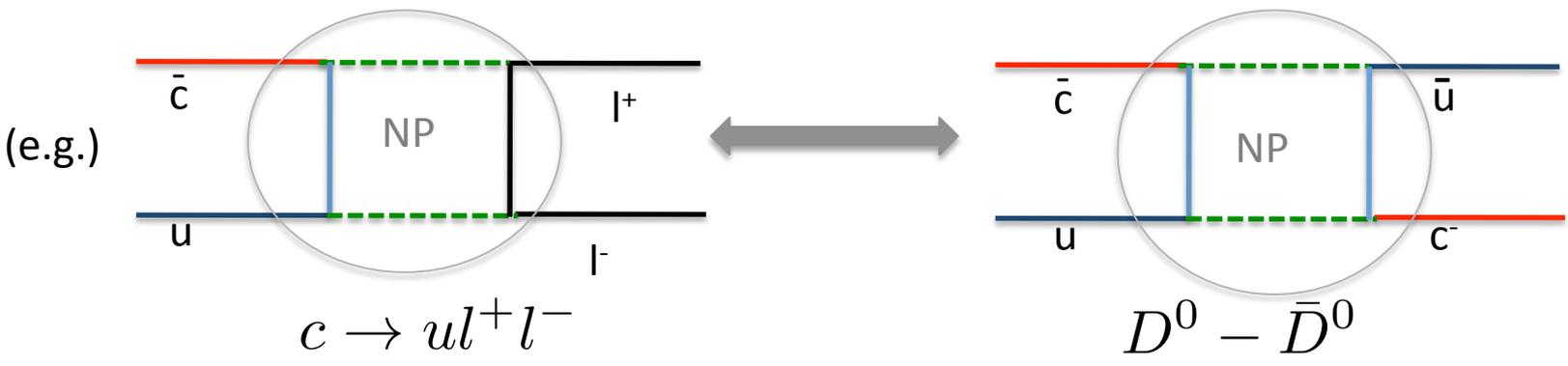
NP in  $c \rightarrow ul^+l^-$

Tree level FCNC



The same couplings immediately create contributions to  $D^0 - \bar{D}^0$

Loop level



	$ \tilde{C}_i _{\max}$		
	$\text{BR}(\pi\mu\mu)_{\text{I}}$	$\text{BR}(\pi\mu\mu)_{\text{II}}$	$\text{BR}(D^0 \rightarrow \mu\mu)$
$\tilde{C}_7$	2.4	1.6	-
$\tilde{C}_9$	2.1	1.3	-
$\tilde{C}_{10}$	1.4	0.92	0.56
$\tilde{C}_S$	4.5	0.38	0.043
$\tilde{C}_P$	3.6	0.37	0.043
$\tilde{C}_T$	4.1	0.76	-
$\tilde{C}_{T5}$	4.4	0.74	-
$\tilde{C}_9 = \pm\tilde{C}_{10}$	1.3	0.81	0.56

Best bounds  
from

$$D^0 \rightarrow \mu^+ \mu^-$$

$$|\tilde{C}_i| = |V_{ub} V_{cb}^* C_i|$$

0.043

region I

region II

$$q^2 \in [0.0625, 0.276] \text{ GeV}^2$$

$$q^2 \in [1.56, 4.00] \text{ GeV}^2$$

$$\text{BR}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \times 10^{-9}$$

# Test of lepton flavour universality violation in charm FCNC decays

$$R_{\pi}^I = \frac{\text{BR}(D^+ \rightarrow \pi^+ \mu^+ \mu^-)_{q^2 \in [0.25^2, 0.525^2] \text{ GeV}^2}}{\text{BR}(D^+ \rightarrow \pi^+ e^+ e^-)_{q^2 \in [0.25^2, 0.525^2] \text{ GeV}^2}} \quad R_{\pi}^{\text{II}} = \frac{\text{BR}(D^+ \rightarrow \pi^+ \mu^+ \mu^-)_{q^2 \in [1.25^2, 1.73^2] \text{ GeV}^2}}{\text{BR}(D^+ \rightarrow \pi^+ e^+ e^-)_{q^2 \in [1.25^2, 1.73^2] \text{ GeV}^2}}$$

$$R_{\pi}^{I, \text{SM}} = 0.87 \pm 0.09$$

	$ \tilde{C}_i _{\text{max}}$	$R_{\pi}^{\text{II}}$
SM	-	$0.999 \pm 0.001$
$\tilde{C}_7$	1.6	$\sim 6-100$
$\tilde{C}_9$	1.3	$\sim 6-120$
$\tilde{C}_{10}$	0.63	$\sim 3-30$
$\tilde{C}_S$	0.05	$\sim 1-2$
$\tilde{C}_P$	0.05	$\sim 1-2$
$\tilde{C}_T$	0.76	$\sim 6-70$
$\tilde{C}_{T5}$	0.74	$\sim 6-60$
$\tilde{C}_9 = \pm \tilde{C}_{10}$	0.63	$\sim 3-60$
$\tilde{C}'_9 = -\tilde{C}'_{10} _{\text{LQ}(3,2,7/6)}$	0.34	$\sim 1-20$

Assumptions:

- $e^+e^-$  modes are SM-like;
- NP enters in  $\mu^+\mu^-$  mode only;
- listed Wilson coefficients are maximally allowed by current LHCb data.

# Angular distributions in $D \rightarrow P_1 P_2 l^+ l^-$

LHCb, 1707.08377

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-)|_{[0.565-0.950] \text{ GeV}} = (40.6 \pm 5.7) \times 10^{-8},$$

$$\mathcal{B}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-)|_{[0.950-1.100] \text{ GeV}} = (45.4 \pm 5.9) \times 10^{-8},$$

$$\mathcal{B}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-)|_{[>0.565] \text{ GeV}} = (12.0 \pm 2.7) \times 10^{-8},$$

- study of angular distributions SM – null tests
- simpler than in B decays due to dominance of long distance physics (resonances)
- NP induced integrated CP asymmetries can reach few percent
- sensitive on  $C_{10}^{(\prime)}$

$$A_{\text{FB}}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = (3.3 \pm 3.7 \pm 0.6)\%,$$

$$A_{2\phi}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = (-0.6 \pm 3.7 \pm 0.6)\%,$$

$$A_{\text{CP}}(D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = (4.9 \pm 3.8 \pm 0.7)\%,$$

$$A_{\text{FB}}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) = (0 \pm 11 \pm 2)\%,$$

$$A_{2\phi}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) = (9 \pm 11 \pm 1)\%,$$

$$A_{\text{CP}}(D^0 \rightarrow K^+ K^- \mu^+ \mu^-) = (0 \pm 11 \pm 2)\%,$$

De Beor and Hiller, 1805.08516

Modes sensitive to NP

$$D^0 \rightarrow \pi^+ \pi^- l^+ l^-, \quad D^0 \rightarrow K^+ K^- l^+ l^-,$$

$$D^+ \rightarrow K^+ \bar{K}^0 l^+ l^-,$$

$$D_s \rightarrow K^+ \pi^0 l^+ l^-, \quad D_s \rightarrow K^0 \pi^+ l^+ l^-,$$

$$R_{\pi\pi}^{D \text{ SM}} = 1.00 \pm \mathcal{O}(\%)$$

$$R_{KK}^{D \text{ SM}} = 1.00 \pm \mathcal{O}(\%)$$

Tests of LFU

$$R_{P_1 P_2}^D = \frac{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 \mu^+ \mu^-)}{\int_{q_{\min}^2}^{q_{\max}^2} d\mathcal{B}/dq^2(D \rightarrow P_1 P_2 e^+ e^-)}$$

LHCb, 1806.10793  
consistent with SM

## Scalar LQ in charm FCNC processes

(3,3,-1/3)

$$\mathcal{L}_{\bar{c}u\bar{\ell}l} = -\frac{4G_F}{\sqrt{2}} \left[ c_{cu}^{LL} (\bar{c}_L \gamma^\mu u_L) (\bar{\ell}_L \gamma_\mu l_L) \right] + \text{h.c.},$$

$$C_{cu}^{LL} = -\frac{v^2}{2m_{S_3}^2} (V_{cs}^* g_{s\mu} + V_{cb}^* b_{b\mu}) (V_{us} g_{s\mu} + V_{ub} b_{b\mu})$$

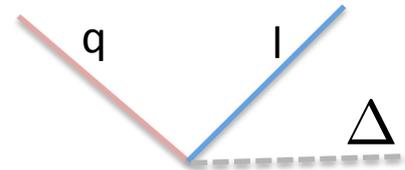
$C_{cu}^{LL}$  100 times smaller than current LHCb bound!

(3,1,-1/3)

(3,1,-1/3) introduced by Bauer and Neubert in 1511.01900 to explain both B anomalies. In 1608.07583, Becirevic et al., showed that model cannot survive flavor constraints:

$$K \rightarrow \mu\nu, B \rightarrow \tau\nu, \tau \rightarrow \mu\gamma$$

$$D_s \rightarrow \tau\nu, D \rightarrow \mu^+ \mu^-$$



## Scalar LQ (3,2,7/6)

In the case of  $\Delta C=2$  in  $D^0 - \bar{D}^0$  oscillation there is also a LQ contribution

Bound from  $\Delta C=2$  slightly stronger, but comparable to the bound coming from

$$D^0 \rightarrow \mu^+ \mu^-$$

$$\mathcal{H} = C_6 (\bar{u}_R \gamma^\mu c_R) (\bar{u}_R \gamma_\mu c_R)$$

$R_2$  (3,2,7/6) can explain  $R_{D^{(*)}}$  (Becirevic, Dorsner, SF, Faroughy, Kosnik, Sumensari, 1806.05689 and can generate c quark EDM)

## Vector LQ(3,1,5/3)

$$\mathcal{L} = Y_{ij} (\bar{\ell}_i \gamma_\mu P_R u_j) V^{(5/3)\mu} + \text{h.c.} .$$

not present in B physics at tree level!

$$D^0 - \bar{D}^0$$

(for loop effects in B Camargo-Molina, Celis, Faroughy 1805.04917 )

Model	Effect	Size of the effect
Scalar leptoquark (3,2,7/6)	$C_S, C_P, C_S', C_P', C_T, C_{T5},$ $C_9, C_{10}, C_9', C_{10}'$	$V_{cb} V_{ub}  C_9, C_{10}  < 0.34$
Vector leptoquark (3,1,5/3)	$C_9' = C_{10}'$	$V_{cb} V_{ub}  C_9', C_{10}'  < 0.24$
Two Higgs doublet Model type III	$C_S, C_P, C_S', C_P'$	$V_{cb} V_{ub}  C_S - C_S'  < 0.005$ $V_{cb} V_{ub}  C_P - C_P'  < 0.005$
Z' model	$C_9', C_{10}'$	$V_{cb} V_{ub}  C_9'  < 0.001$ $V_{cb} V_{ub}  C_{10}'  < 0.014$

# Lepton flavor violation

$$c \rightarrow u\mu^\pm e^\mp$$

1510.00311 (de Beor and Hiller)  
1705.02251 (Sahoo and Mohanta)

$$\mathcal{L}_{\text{eff}}^{\text{weak}}(\mu \sim m_c) = \frac{4G_F}{\sqrt{2}} \frac{\alpha_e}{4\pi} \sum_i \left( K_i^{(e)} O_i^{(e)} + K_i^{(\mu)} O_i^{(\mu)} \right)$$

$$O_9^{(e)} = (\bar{u}\gamma_\mu P_L c) (\bar{e}\gamma^\mu \mu) \quad O_9^{(\mu)} = (\bar{u}\gamma_\mu P_L c) (\bar{\mu}\gamma^\mu e)$$

LHCb bound, 1512.00322

$$BR(D^0 \rightarrow e^+ \mu^- + e^- \mu^+) < 2.6 \times 10^{-7}$$

$$BR(D^+ \rightarrow \pi^+ e^+ \mu^-) < 2.9 \times 10^{-6}$$

$$BR(D^+ \rightarrow \pi^+ e^- \mu^+) < 3.6 \times 10^{-6}$$

$$\left| K_{S,P}^{(l)} - K_{S,P}^{(l)'} \right| \lesssim 0.4,$$

$$\left| K_{9,10}^{(l)} - K_{9,10}^{(l)'} \right| \lesssim 6, \quad \left| K_{T,T5}^{(l)} \right| \lesssim 7,$$

$$BR(D^0 \rightarrow e^\pm \tau^\mp) < 7 \times 10^{-15}$$

$$l = e, \mu$$

# Dark Matter in charm decays

Belle collaboration 1611.09455

$$\text{BR}(D^0 \rightarrow \text{invisible}) < 9.4 \times 10^{-5}$$

$$\text{SM: BR}(D^0 \rightarrow \nu\bar{\nu}) = 1.1 \times 10^{-30}$$

Badin & Petrov 1005.1277 suggested to search for processes with missing energy  $\cancel{E}$  in

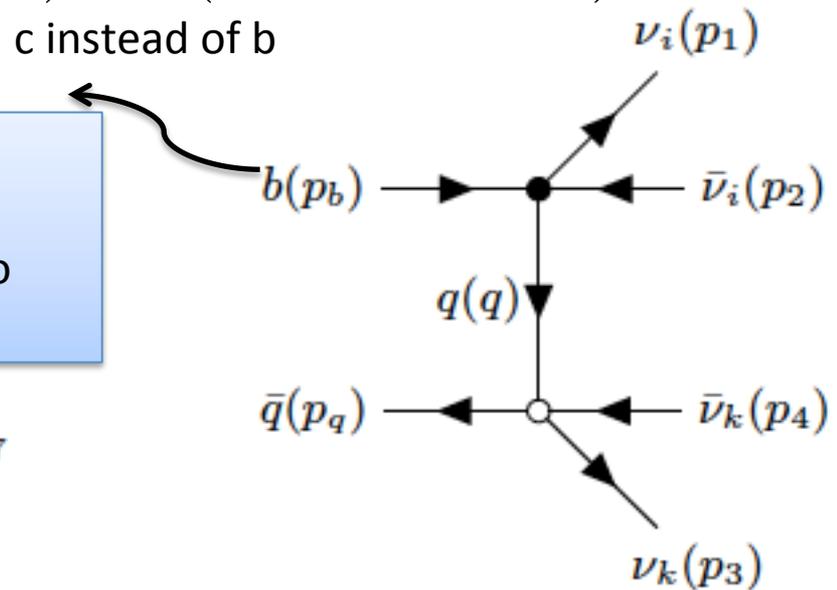
$$D^0 \rightarrow \gamma \cancel{E} \longrightarrow \text{could be SM neutrinos or DM!}$$

Bhattacharya, Grant and Petrov 1809.04606

$$\mathcal{B}(D \rightarrow \text{invisibles}) = \mathcal{B}(D \rightarrow \nu\bar{\nu}) + \mathcal{B}(D \rightarrow \nu\bar{\nu} + \nu\bar{\nu}) + \dots$$

The SM contributions to invisible widths of heavy mesons  $\Gamma(D^0 \rightarrow \text{missing energy})$  are completely dominated by the four-neutrino transitions  $D^0 \rightarrow \nu\bar{\nu}\nu\bar{\nu}$ .

$$\mathcal{B}(D^0 \rightarrow \nu\bar{\nu}\nu\bar{\nu}) = (2.96 \pm 0.39) \times 10^{-27}$$



## U(1)<sub>X</sub> dark sector

Gauge group SU(3) × SU(2) × U(1)<sub>Y</sub> × U(1)<sub>X</sub>

F. C. Correia, SF, 1609.0860,  
Batell et al.1103.0721

F. C. Correia, SF, in preparation

➤ Request anomalies cancelled:

$U(1)_X^3$ ,  $U(1)_X^2 U(1)_Y$ ,  $U(1)_X U(1)_Y^2$  and  $SU(3)^2 U(1)_X$

➤ Higgs sector: 2 doublets, one singlet

$$\phi_0 = \left( \begin{array}{c} \varphi_0^+ \\ \frac{v_0 + H_0 + i\chi_0}{\sqrt{2}} \end{array} \right); \quad \phi_X = \left( \begin{array}{c} \varphi_X^+ \\ \frac{v_X + H_X + i\chi_X}{\sqrt{2}} \end{array} \right); \quad s = \frac{v_s + H_s + i\chi_s}{\sqrt{2}}$$

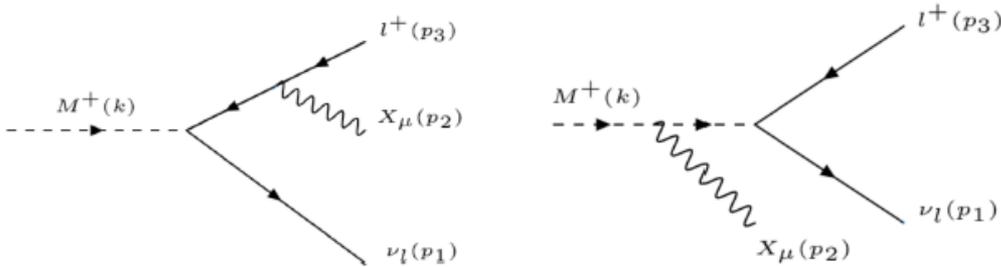
$$v^2 \equiv (v_0^2 + v_X^2), \quad \bar{v}^2 \equiv (v_s^2 + v_X^2), \quad c_\beta^2 = \frac{v_X^2}{v^2}$$

➤ invisible fermions necessary  
for anomaly cancellation

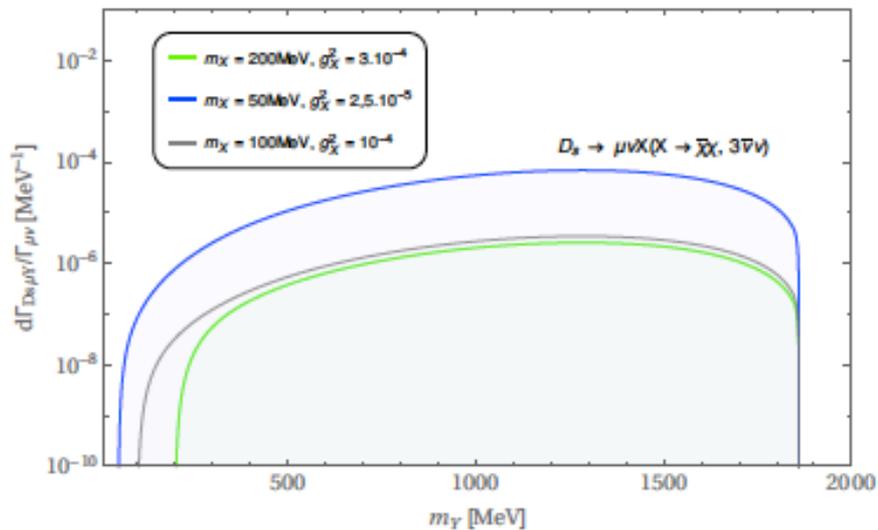
$$\mathcal{L} : \longrightarrow -Y_s \bar{\chi}_L \chi_{RS} - Y_s^* \bar{\chi}_R \chi_{LS}^* .$$

$A_\mu$  and  $X_\mu$  mix via  $\kappa$

$$M^+ \rightarrow \mu^+ \cancel{X}$$



Radiative - not  $\gamma$  but  $X$



Is it possible to search for decay  
 $D \rightarrow \mu X$

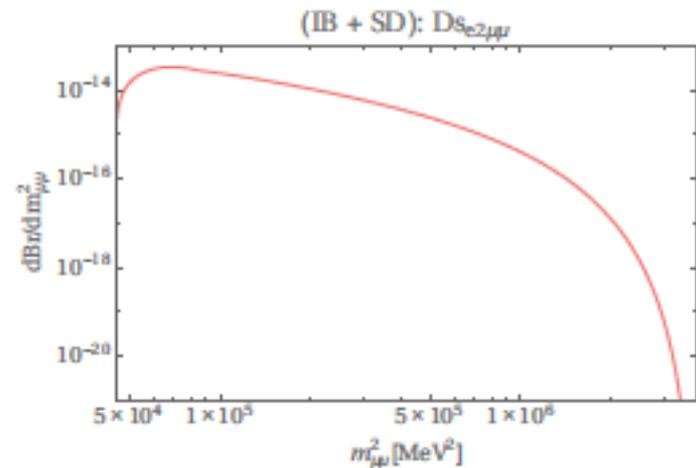
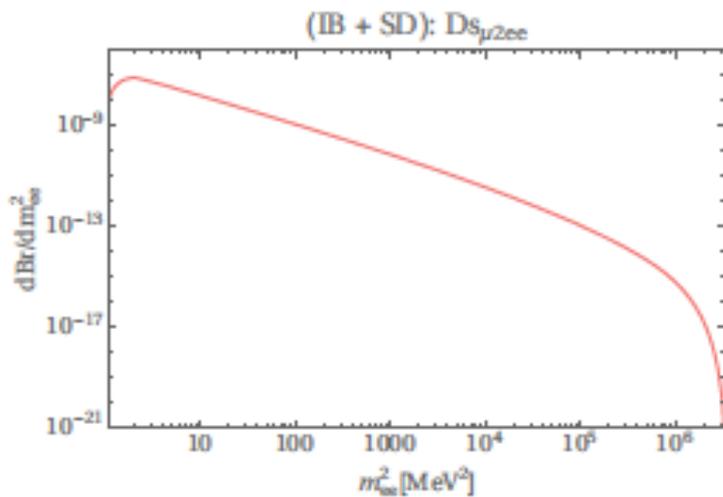
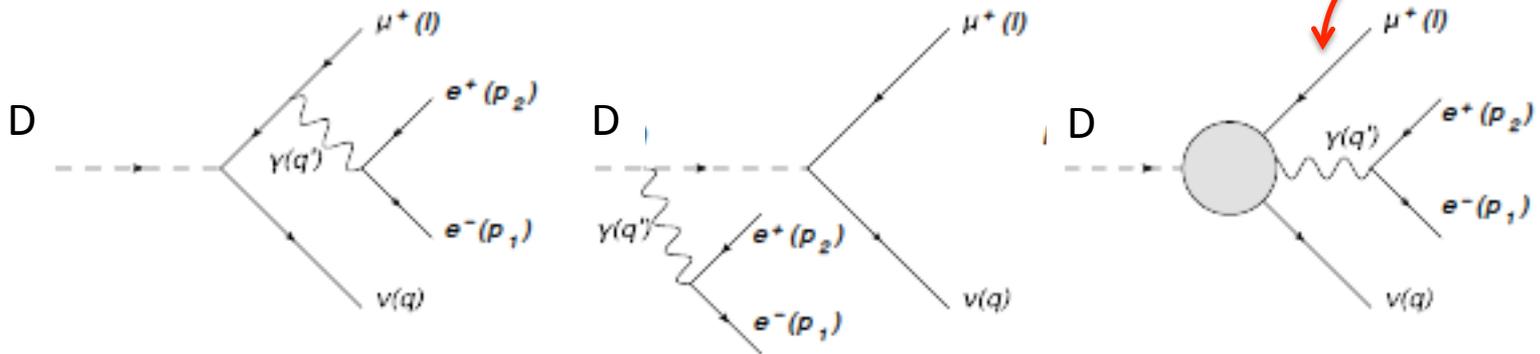
$X$  is SM  $\nu_\mu$  + DM gauge boson  $\rightarrow$   
 invisible fermions

Exp:  $D \rightarrow \tau \bar{\nu}_\tau \rightarrow \mu \bar{\nu}_\mu \nu_\tau \bar{\nu}_\tau$

Difficult to differentiate

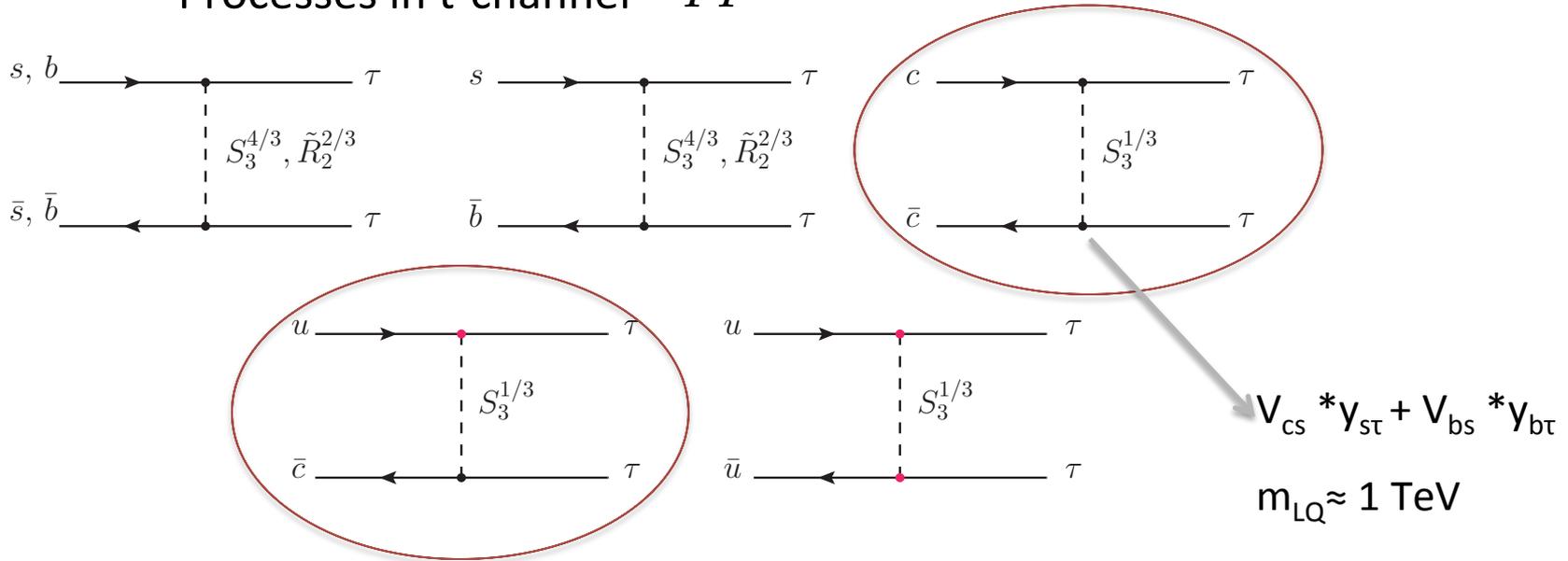
- There is a possibility that  $X \rightarrow e^+e^-$
- Can one see it in the decays  $P \rightarrow \mu \nu X \rightarrow \mu \nu e^+e^-$
- First one should calculate SM values

Thanks D. Melikhov for providing us with  $\langle \gamma^* | J_\mu | D_s \rangle$



# LHC constraints in high-mass $\tau$ production

Processes in t-channel  $pp \rightarrow \tau^+ \tau^-$



Flavour anomalies generate  $s\tau$ ,  $b\tau$  and  $c\tau$  relatively large couplings.

$s$  quark pdf function for protons are  $\sim 3$  times larger contribution than for  $b$  quark.

1706.07779, Doršner, SF, Faroughy, Košnik  
 1812.06851, Kowalska et al.

$$\sigma_{s\bar{s}}(y_{s\tau}) = 12.042 y_{s\tau}^4 + 5.126 y_{s\tau}^2,$$

$$\sigma_{s\bar{b}}(y_{s\tau}, y_{b\tau}) = 12.568 y_{s\tau}^2 y_{b\tau}^2,$$

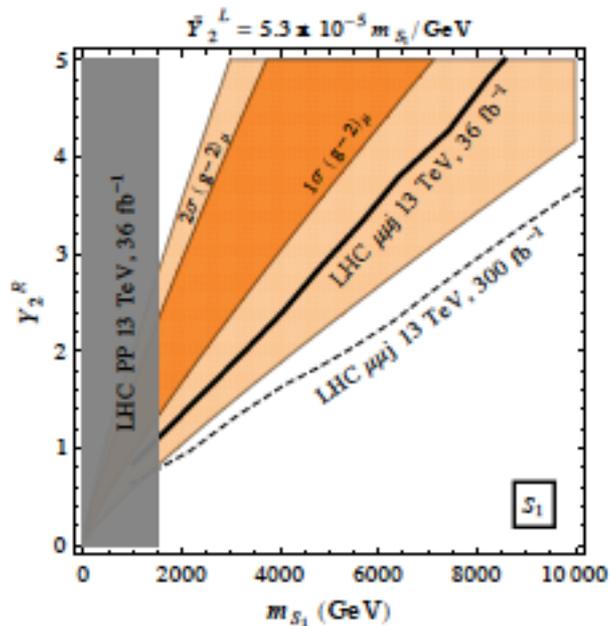
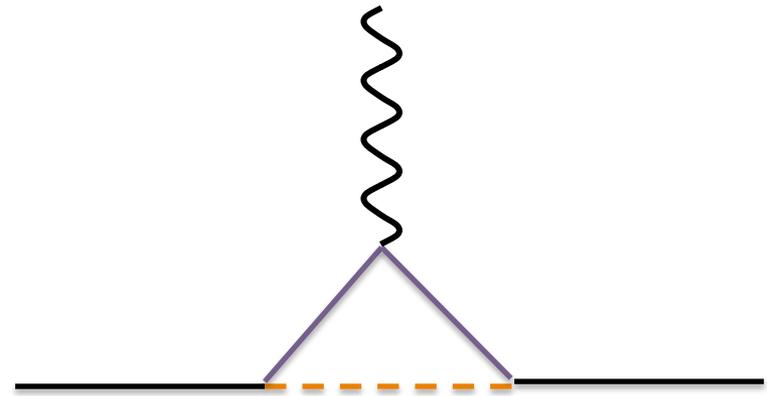
$$\sigma_{b\bar{b}}(y_{b\tau}) = 3.199 y_{b\tau}^4 + 1.385 y_{b\tau}^2,$$

$$\sigma_{c\bar{c}, u\bar{u}, u\bar{c}}(y_{s\tau}) = 3.987 y_{s\tau}^4 - 5.189 y_{s\tau}^2.$$

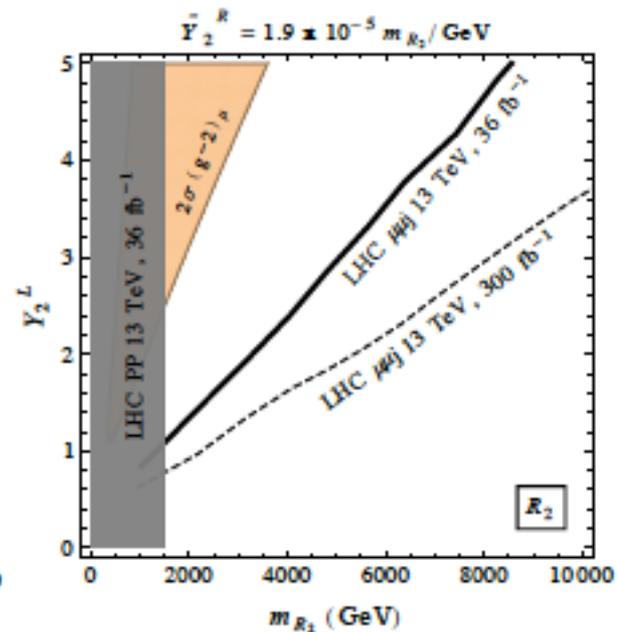
1812.06851, Kowalska et al.

Charm quark in  $(g-2)_\mu$  and  $S_1$  or  $R_2$

and  $\text{BR}(D \rightarrow \mu\mu) < 7.6 \cdot 10^{-9}$



(a)



(b)

## Summary and outlook

- QCD (lattice) a lot of open issues in Charm spectroscopy!  
Improvement on decay constants and form-factors!
- CP-violation in up sector (NP search) more studies on direct CP violation and (C)EDM of c-quark ;
- New physics explaining B anomalies, leads to rather small effects in charge current transitions ;
- FCNC transition small contribution of Leptoquarks in charm decays observables;
- To perform all possible test of LFU;
- Few proposals to test DM in charm physics;
- Search for NP in charm physics requires high precision theoretical and experimental studies!!