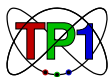


Semi-Leptonic Theory

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Neckarzimmern Workshop 2016, 17.3.2016

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- $B \rightarrow \pi l \bar{\nu}$ and $B \rightarrow \rho l \bar{\nu}$
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- $B \rightarrow D^{**} l \bar{\nu}$

2 Inclusive Decays

- $b \rightarrow c$: Heavy Quark Expansion
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- Semi-Tauonics
- Λ_b Semi-Leptonics

Introduction

Levels of complexity in B decays

- Purely leptonic f_B
- Inclusive semileptonic: Heavy Quark Expansion (HQE)
- Inclusive Nonleptonic (Lifetimes, Mixing): HQE
- Exclusive semileptonic: $F^{B \rightarrow M}(q^2)$
- Inclusive FCNC $b \rightarrow sll$ and $b \rightarrow s\gamma$: (HQE + ...)
- Exclusive FCNC $b \rightarrow sll$ and $b \rightarrow s\gamma$: $F^{B \rightarrow M}(q^2) + \dots$
- Two-Body Non-leptonic: QCD Factorization (QCD-F)
- Multi-Body Non-Leptonic: To be developed

Make Use of the fact that $\alpha_s(m_b) \ll 1$

Introduction

Levels of complexity in B decays

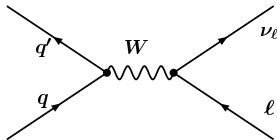
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Semi-Leptonic Quark Transitions

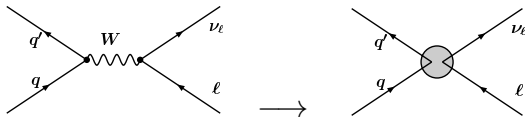
- In the Standard Model:

$q \rightarrow q' \ell \bar{\nu}$ or $b \rightarrow u \ell \bar{\nu}$ with $q = b$ and $q' = c, u$



- The W is much heavier than the b quark:

$$\langle 0 | T [W_\mu(x) W_\nu^*(0)] | 0 \rangle \sim \frac{1}{M_W^2} \delta^4(x)$$



Effective Hamiltonian

It is useful to define the up and down quark fields

$$\mathcal{U}_{L/R} = \begin{bmatrix} u_{L/R} \\ c_{L/R} \\ t_{L/R} \end{bmatrix} \quad \mathcal{D}_{L/R} = \begin{bmatrix} d_{L/R} \\ s_{L/R} \\ b_{L/R} \end{bmatrix}$$

For the semi-leptonic effective Hamiltonian we get

$$H_{\text{eff}}^{(sl)} = \frac{4G_F}{\sqrt{2}} (\bar{u}_L \gamma_\mu V_{CKM} \mathcal{D}_L) \\ \times (\bar{e}_L \gamma_\mu \bar{\nu}_{e,L} + \bar{\mu}_L \gamma_\mu \bar{\nu}_{\mu,L} + \bar{\tau}_L \gamma_\mu \bar{\nu}_{\tau,L}) + \text{h.c.} ,$$

with

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad G_F = \frac{g^2}{2\sqrt{2}M_W^2}$$

For the cases at hand: ($l = e, \mu, \tau$)

$$H_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} (\bar{c}_L \gamma_\mu b_L) (\bar{\ell}_L \gamma^\mu \nu_{\ell,L})$$

$$H_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{ub} (\bar{u}_L \gamma_\mu b_L) (\bar{\ell}_L \gamma^\mu \nu_{\ell,L})$$

- This is correct up to term of order m_b^2/M_W^2
- Largest QED corrections are of order (Sirlin)

$$\frac{\alpha}{\pi} \log(M_W^2/m_b^2)$$

- No QCD corrections of order

$$\frac{\alpha_s}{\pi} \log(M_W^2/m_b^2)$$

- ... unlike in non-leptonic decays.

Exclusive Decays

Matrix Elements and Form Factors

- Leptonic part is as in the textbook
- **Hadronic Matrix Elements:** In general parametrized by scalar functions (“Form Factors”) of $q^2 = (p_B - p')^2$
- For a pseudoscalar final state $P(p_P)$ ($p' = p_P$)

$$\begin{aligned} \langle P(p_P) | \bar{q} \gamma^\mu b | B(p_B) \rangle &= f_+(q^2) \left(p_B^\mu + p_P^\mu - \frac{m_B^2 - m_P^2}{q^2} q^\mu \right) \\ &+ f_0(q^2) \frac{m_B^2 - m_P^2}{q^2} q^\mu \end{aligned}$$

$$\langle P(p_P) | \bar{q} \gamma^\mu \gamma_5 b | B(p_B) \rangle = 0$$

- Vector final state $V(p_V, \epsilon)$ with polarization ϵ ($p' = p_V$)

$$\langle V(p_V, \epsilon) | \bar{q} \gamma^\mu b | B(p_B) \rangle = V(q^2) \epsilon^{\mu\sigma} \epsilon_\sigma^* \frac{2p_B^\nu p_V^\rho}{m_B + m_V},$$

$$\langle V(p_V, \epsilon) | \bar{q} \gamma^\mu \gamma^5 b | B(p_B) \rangle = i \epsilon_\nu^* \left[A_0(q^2) \frac{2m_V q^\mu q^\nu}{q^2} + A_1(q^2) (m_B + m_V) \eta^{\mu\nu} - A_2(q^2) \frac{(p_B + p_V)_\sigma q^\nu}{m_B + m_V} \eta^{\mu\sigma} \right],$$

with $\epsilon p_V = 0$, and $\eta^{\mu\nu} = g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2}$

- For massless leptons, f_0 and A_0 don't contribute

$B \rightarrow \pi \ell \bar{\nu}$

Straightforward calculation ($|\vec{p}_\pi| = \frac{1}{2} \sqrt{M_B^2 - 4m_\pi^2}$)

$$\frac{d\Gamma(\bar{B}^0 \rightarrow \pi^+ \ell^- \nu)}{dq^2} = \frac{G_F^2 |V_{ub}|^2}{24\pi^3} |\vec{p}_\pi|^3 |f_{B\pi}^+(q^2)|^2$$

What do we know about the form factor?

- Lattice QCD: $q^2 \sim (M_B - m_\pi)^2$
- QCD Sum rules estimates: $q^2 \sim 0$
- Interpolation between these regions.
- Form Factor Bounds from Analyticity and Unitarity

Form Factor Parametrizations

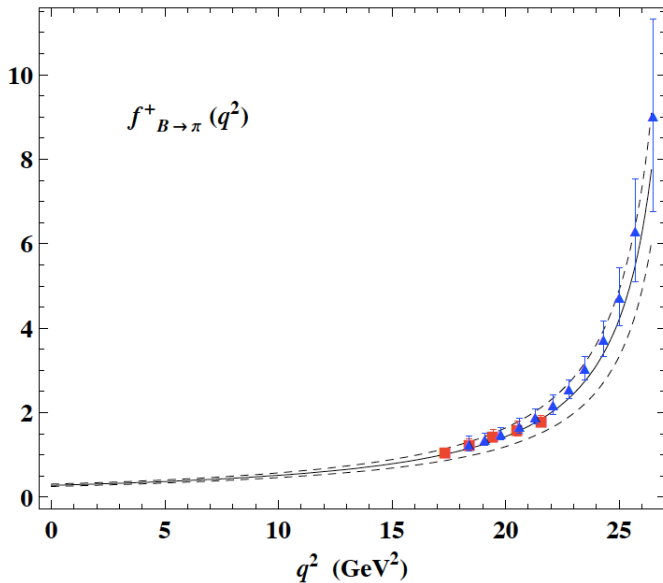
Aside form models, one uses the **z parametrization**

(Bourrely, Caprini Lellouch)

$$z(q^2, t_0) = \frac{\sqrt{(M_B + m_\pi)^2 - q^2} - \sqrt{(M_B + m_\pi)^2 - t_0}}{\sqrt{(M_B + m_\pi)^2 - q^2} + \sqrt{(M_B + m_\pi)^2 - t_0}}$$

$$f_{B\pi}^+(q^2) = \frac{1}{1 - q^2/M_{B^*}^2} \sum_{k=0}^K b_k(t_0) (z(q^2, t_0))^k$$

very few terms (~ 2) in this expansion are sufficient for the interpolation



$B \rightarrow \rho \ell \bar{\nu}$

Only a few remarks:

- Can be computed in terms of the form factors V, A_1, A_2
- Not much is known about these form factors: Models
- Lattice as well as QCD SR fail,
since the ρ is not a stable particle
- Study instead $B \rightarrow \pi \pi \ell \bar{\nu}$ (Faller et al.)

Decomposition into Form Factors:

$$\langle \pi^+(k_1) \pi^-(k_2) | \bar{u} \gamma^\mu b | B^-(p) \rangle = i F_\perp \frac{1}{\sqrt{k^2}} \bar{q}_{(\perp)}^\mu$$

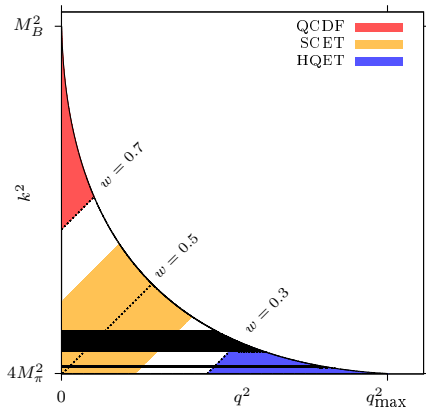
$$\langle \pi^+(k_1) \pi^-(k_2) | \bar{u} \gamma^\mu \gamma_5 b | B^-(p) \rangle = -F_t \frac{q^\mu}{\sqrt{q^2}}$$

$$+ F_0 \frac{2\sqrt{q^2}}{\sqrt{\lambda}} k_{(0)}^\mu + F_\parallel \frac{1}{\sqrt{k^2}} \bar{k}_{(\parallel)}^\mu.$$

Form factors depend on the variables

$$k = k_1 + k_2, \quad \bar{k} = k_1 - k_2 : \quad q^2, k^2, q \cdot \bar{k}$$

$$w = (\mathbf{v} \cdot \mathbf{k})/M_B$$



... work in progress

$B \rightarrow D \ell \bar{\nu}$ and $B \rightarrow D^* \ell \bar{\nu}$

- Useful Tool: **Heavy Quark Limit = $1/m_Q$ Expansion**
- Static Limit: $m_b, m_c \rightarrow \infty$ with fixed (four)velocity

$$v_Q = \frac{p_Q}{m_Q}, \quad Q = b, c$$

- In this limit we have

$$\left. \begin{aligned} m_{Hadron} &= m_Q \\ \rho_{Hadron} &= \rho_Q \end{aligned} \right\} v_{Hadron} = v_Q$$

- For $m_Q \rightarrow \infty$ the heavy quark does not feel any recoil from the light quarks and gluons (Cannon Ball)
- **This is like the H-atom in Quantum Mechanics II!**

Heavy Quark Symmetries

- The interaction of gluons is **identical for all quarks**
- Flavour enters QCD only through the mass terms
 - $m \rightarrow 0$: (Chiral) Flavour Symmetry (Isospin)
 - $m \rightarrow \infty$ **Heavy Flavour Symmetry**
 - Consider b and c heavy: Heavy Flavour SU(2)
- **Coupling of the heavy quark spin to gluons:**

$$H_{int} = \frac{g}{2m_Q} \bar{Q}(\vec{\sigma} \cdot \vec{B})Q \xrightarrow{m_Q \rightarrow \infty} 0$$

- Spin Rotations become a symmetry
 - Heavy Quark Spin Symmetry: SU(2) Rotations
- **Spin Flavour Symmetry Multiplets**

- HQS imply a “Wigner Eckart Theorem”

$$\langle H^{(*)}(\nu) | Q_{\nu} \Gamma Q_{\nu'} | H^{(*)}(\nu') \rangle = C_{\Gamma}(\nu, \nu') \xi(\nu \cdot \nu')$$

with $H^{(*)}(\nu) = D^{(*)}(\nu)$ or $B^{(*)}(\nu)$

- $C_{\Gamma}(\nu, \nu')$: Computable Clebsh Gordan Coefficient
- $\xi(\nu \cdot \nu')$: Reduced Matrix Element
- $\xi(\nu \cdot \nu')$: universal non-perturbative Form Faktor:
Isgur Wise Funktion
- Normalization of ξ at $\nu = \nu'$:

$$\xi(\nu \cdot \nu' = 1) = 1$$

Form Factors

Express the form factors in terms of velocities:

$$\frac{\langle D(v') | \bar{c} \gamma^\mu b | B(v) \rangle}{\sqrt{m_B m_D}} = h_+(w) (v + v')^\mu + h_-(w) (v - v')^\mu,$$

$$\frac{\langle D^*(v', \epsilon) | \bar{c} \gamma^\mu b | B(v) \rangle}{\sqrt{m_B m_{D^*}}} = h_V(w) \epsilon^{\mu\nu\rho\sigma} v_\nu v'_\rho \epsilon_\sigma^*,$$

$$\frac{\langle D^*(v', \epsilon) | \bar{c} \gamma^\mu \gamma^5 b | B(v) \rangle}{\sqrt{m_B m_{D^*}}} =$$

$$ih_{A_1}(w) (1 + w) \epsilon^{*\mu} - i [h_{A_2}(w) v^\mu + h_{A_3}(w) v'^\mu] (\epsilon^* \cdot v)$$

with $w = (v \cdot v')$

In the heavy quark limit:

$m_b \rightarrow \infty$ and $m_c \rightarrow \infty$ with m_c/m_b fixed

$$h_+(w) = h_V(w) = h_{A_1}(w) = h_{A_3}(w) = \xi(w)$$

$$h_-(w) = h_{A_2}(w) = 0$$

Only a single, normalized form factor!

Corrections to the HQL be discussed below.

$$\frac{d\Gamma^{B \rightarrow D \ell \bar{\nu}}}{dw} = \frac{G_F^2}{48\pi^3} |V_{cb}|^2 (m_B + m_D)^2 m_D^3 (w^2 - 1)^{3/2} |\eta_{\text{ew}} \mathcal{G}(w)|^2,$$

with

$$\mathcal{G}(w) = h_+(w) - \frac{m_B - m_D}{m_B + m_D} h_-(w)$$

- $\eta_{\text{ew}} = 1.007$: electroweak corrections
- in the Heavy Quark Limit: $\mathcal{G}(w) = \xi(w)$
- Corrections at the normalization point:
 (without QCD perturbative corrections)
 - $h_+(1) = 1 + \mathcal{O}(1/m_c^2)$ (Luke's Theorem)
 - $h_-(1) = 0 + \mathcal{O}(1/m_c)$

Remark on Short Distance QCD Corrections

Perturbative QCD for on-shell quarks with equal velocities:

$$\langle c(v) | \bar{c} \gamma^\mu b | b(v) \rangle = 1 + \frac{2\alpha_s}{3\pi} \left[\frac{3m_b^2 + 2m_c m_b + 3m_c^2}{2(m_b^2 - m_c^2)} \ln \left(\frac{m_b}{m_c} \right) - 2 \right]$$

$$\langle c(v) | \bar{c} \gamma^\mu \gamma_5 b | b(v) \rangle = 1 - \frac{\alpha_s}{\pi} \left[\frac{m_b + m_c}{m_b - m_c} \ln \left(\frac{m_c}{m_b} \right) + \frac{8}{3} \right]$$

Numerically (including also the known α_s^2 corrections):

$$\langle c(v) | \bar{c} \gamma^\mu b | b(v) \rangle = \eta_V = 1.022 \pm 0.004$$

$$\langle c(v) | \bar{c} \gamma^\mu \gamma_5 b | b(v) \rangle = \eta_A = 0.960 \pm 0.007$$

Estimates for $\mathcal{G}(w)$

- Estimate for the Normalization from the Lattice:
 $\mathcal{G}(1) = 1.074 \pm 0.024$ (Okamoto et al.)
- Estimate for the Normalization from continuum:
 $\mathcal{G}(1) = 1.04 \pm 0.02$ (Uraltsev)
- Extrapolation to $w \neq 1$: **z-expansion**
 (ρ_D : Slope Parameter)

$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

$$\mathcal{G}(w) = \mathcal{G}(1) \left[1 - 8\rho_D^2 z + (51.\rho_D^2 - 10.)z^2 - (252.\rho_D^2 - 84.)z^3 \right]$$

(Caprini, Lellouch, Neubert)

$$\frac{d\Gamma^{B \rightarrow D^* \ell \bar{\nu}}}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (w^2 - 1)^{1/2} P(w) |\eta_{\text{ew}} \mathcal{F}(w)|^2$$

with the phase space function

$$P(w) = r^3 (1 - r)^2 (w + 1)^2 \left(1 + \frac{4w}{w + 1} \frac{1 - 2rw + r^2}{(1 - r)^2} \right).$$

with $r = m_{D^*}/m_B$ and

$$P(w) |\mathcal{F}(w)|^2 = |h_{A_1}(w)|^2 \left\{ 2 \frac{r^2 - 2rw + 1}{(1 - r)^2} \left[1 + \frac{w - 1}{w + 1} R_1^2(w) \right] + \left[1 + \frac{w - 1}{1 - r} (1 - R_2(w)) \right]^2 \right\}$$

and

$$R_1(w) = \frac{h_V(w)}{h_{A_1}(w)}, \quad R_2(w) = \frac{h_{A_3}(w) + r h_{A_2}(w)}{h_{A_1}(w)}$$

- In the heavy mass limit: $R_1(w) = R_2(w) = 1$
- In the heavy mass limit: $\mathcal{F}(1) = 1$
- Corrections to the normalization are known:
 - Lattice QCD: $\mathcal{F}(1) = 0.902 \pm 0.017$ (Bailey et al.)
 - QCD Sum rules: $\mathcal{F}(1) = 0.86 \pm 0.03$ (Gambino et al.)
- Extrapolation to $w \neq 1$: **z-Expansion**

$$\mathcal{F}(w) = \mathcal{F}(1) \times \left[1 - 8\rho_{A1}^2 z + (53.\rho_{A1}^2 - 15.)z^2 - (231.\rho_{A1}^2 - 91.)z^3 \right]$$

ρ_{A1} is the slope parameter of the form factor h_{A1}

- Extrapolation of R_1 and R_2 with QCD sum rules

Implications for V_{cb}

- V_{cb} determination proceeds via extrapolation to $w = 1$
- Theoretical uncertainties are at the level of 3%
- ... with a perspective of improvement from Lattice
- **However ...**

Numbers from Jochen ...

$B \rightarrow D^{**} \ell \bar{\nu} =$ Orbitally excited states

- $B \rightarrow D$ and $B \rightarrow D^*$ exhaust about 75% of the inclusive $b \rightarrow c$ rate
- Aside from non-resonant $B \rightarrow D\pi$:
Decays into D^{**} states
- ... mainly the orbitally excited states

Make use of Heavy Quark Symmetry:

- Spin Symmetry Doublets of orbitally excited states, labelled by the total j of the light degrees of freedom:

$$\left(\begin{array}{c} |D(0^+)\rangle \\ |D(1^+)\rangle \end{array} \right) \quad j = 1/2 \quad \text{and} \quad \left(\begin{array}{c} |D^*(1^+)\rangle \\ |D^*(2^+)\rangle \end{array} \right) \quad j = 3/2$$

- Masses in the $m_c \rightarrow \infty$ limit:

$$M(D(0^+)) = M(D(1^+)) = m_c + \bar{\Lambda}_{1/2}$$

$$M(D^*(1^+)) = M(D^*(2^+)) = m_c + \bar{\Lambda}_{3/2}$$

- $\bar{\Lambda}_{3/2} - \bar{\Lambda}_{1/2}$ does not scale with m_c !
- Each Doublet as a new Isgur Wise Function:
 $\tau_{1/2}(w)$ and $\tau_{3/2}(w)$

- Corrections to the HQL are sizable
- Theory is underway ...
- Experiment: Jochen ...

$B \rightarrow D^{**} \ell \bar{\nu}$

Channel	GI	VD	CCCN	ISGW
$m_c \rightarrow \infty$				
$\mathcal{B}(B^- \rightarrow D(0^+) \ell \bar{\nu})$	$4.7 \cdot 10^{-4}$	$1.8 \cdot 10^{-4}$	$3.7 \cdot 10^{-5}$	$1.0 \cdot 10^{-3}$
$\mathcal{B}(B^- \rightarrow D(1^+) \ell \bar{\nu})$	$6.4 \cdot 10^{-4}$	$2.5 \cdot 10^{-4}$	$4.9 \cdot 10^{-5}$	$1.4 \cdot 10^{-3}$
$\mathcal{B}(B^- \rightarrow D^*(1^+) \ell \bar{\nu})$	$4.4 \cdot 10^{-3}$	$2.9 \cdot 10^{-3}$	$4.0 \cdot 10^{-3}$	$4.7 \cdot 10^{-3}$
$\mathcal{B}(B^- \rightarrow D^*(2^+) \ell \bar{\nu})$	$7.4 \cdot 10^{-3}$	$4.9 \cdot 10^{-3}$	$6.7 \cdot 10^{-3}$	$8.0 \cdot 10^{-3}$
$\mathcal{B}(B^- \rightarrow D^{**} \ell \bar{\nu})$	1.3%	0.82%	1.1%	1.5%
$\frac{\mathcal{B}(B^- \rightarrow D^*(1^+) \ell \bar{\nu})}{\mathcal{B}(B^- \rightarrow D(1^+) \ell \bar{\nu})}$	6.9	11	80	3.4
m_c finite				
$\mathcal{B}(B^- \rightarrow D_L \ell \bar{\nu})$	$3.0 \cdot 10^{-3}$	$2.1 \cdot 10^{-3}$	$3.0 \cdot 10^{-3}$	$3.2 \cdot 10^{-3}$
$\mathcal{B}(B^- \rightarrow D_H \ell \bar{\nu})$	$2.3 \cdot 10^{-3}$	$1.3 \cdot 10^{-3}$	$1.3 \cdot 10^{-3}$	$3.1 \cdot 10^{-3}$
$\frac{\mathcal{B}(B^- \rightarrow D_L \ell \bar{\nu})}{\mathcal{B}(B^- \rightarrow D_H \ell \bar{\nu})}$	1.3	1.6	2.3	1.0

(R. Klein et al.)

- GI: Godfrey, Isgur (1985);
- VD: Veseli, Dunietz (1996);
- CCCN: Cea, Colangelo, Cosmai, Nardulli (1991);
- ISGW: Isgur, Scora, Grinstein, Wise (1989)

$$\frac{\mathcal{B}(B^- \rightarrow D^*(1^+) \ell \bar{\nu})}{\mathcal{B}(B^- \rightarrow D(1^+) \ell \bar{\nu})} \approx 2.2$$

Inclusive Decays

Heavy Quark Expansion

Heavy Quark Expansion = Operator Product Expansion

(Chay, Georgi, Bigi, Shifman, Uraltsev, Vainshtein, Manohar. Wise, Neubert, M,...)

$$\begin{aligned} \Gamma &\propto \sum_X (2\pi)^4 \delta^4(P_B - P_X) |\langle X | \mathcal{H}_{eff} | B(v) \rangle|^2 \\ &= \int d^4x \langle B(v) | \mathcal{H}_{eff}(x) \mathcal{H}_{eff}^\dagger(0) | B(v) \rangle \\ &= 2 \operatorname{Im} \int d^4x \langle B(v) | T \{ \mathcal{H}_{eff}(x) \mathcal{H}_{eff}^\dagger(0) \} | B(v) \rangle \\ &= 2 \operatorname{Im} \int d^4x e^{-im_b v \cdot x} \langle B(v) | T \{ \tilde{\mathcal{H}}_{eff}(x) \tilde{\mathcal{H}}_{eff}^\dagger(0) \} | B(v) \rangle \end{aligned}$$

- Last step: $b(x) = b_v(x) \exp(-im_v vx)$,
 corresponding to $p_b = m_b v + k$

Expansion in the residual momentum k

- Perform an “OPE”: m_b is much larger than any scale appearing in the matrix element

$$\int d^4x e^{-im_b vx} T\{\tilde{\mathcal{H}}_{\text{eff}}(x)\tilde{\mathcal{H}}_{\text{eff}}^\dagger(0)\} = \sum_{n=0}^{\infty} \left(\frac{1}{2m_Q}\right)^n C_{n+3}(\mu)\mathcal{O}_{n+3}$$

→ The rate for $B \rightarrow X_c \ell \bar{\nu}_\ell$ can be written as

$$\Gamma = \Gamma_0 + \frac{1}{m_Q}\Gamma_1 + \frac{1}{m_Q^2}\Gamma_2 + \frac{1}{m_Q^3}\Gamma_3 + \dots$$

- The Γ_i are power series in $\alpha_s(m_Q)$:
 → Perturbation theory!
- Works also for differential rates!

- Γ_0 is the decay of a free quark (“Parton Model”)
- Γ_1 vanishes due to Heavy Quark Symmetries
- Γ_2 is expressed in terms of two parameters

$$2M_H\mu_\pi^2 = -\langle H(v) | \bar{Q}_v (iD)^2 Q_v | H(v) \rangle$$

$$2M_H\mu_G^2 = \langle H(v) | \bar{Q}_v \sigma_{\mu\nu} (iD^\mu) (iD^\nu) Q_v | H(v) \rangle$$

μ_π : Kinetic energy and μ_G : Chromomagnetic moment

- Γ_3 two more parameters

$$2M_H\rho_D^3 = -\langle H(v) | \bar{Q}_v (iD_\mu) (ivD) (iD^\mu) Q_v | H(v) \rangle$$

$$2M_H\rho_{LS}^3 = \langle H(v) | \bar{Q}_v \sigma_{\mu\nu} (iD^\mu) (ivD) (iD^\nu) Q_v | H(v) \rangle$$

ρ_D : Darwin Term and ρ_{LS} : Spin-Orbit Term

- Γ_4 and Γ_5 have been computed Bigi, Uraltsev, Turczyk, TM, ...

Structure of the HQE

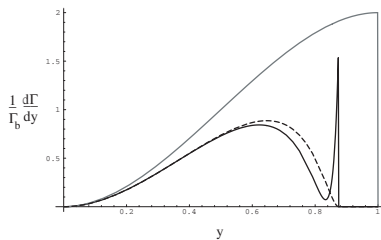
- Structure of the expansion (@ tree):

$$\begin{aligned}
 d\Gamma = & d\Gamma_0 + \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^2 d\Gamma_2 + \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^3 d\Gamma_3 + \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^4 d\Gamma_4 \\
 & + d\Gamma_5 \left(a_0 \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^5 + a_2 \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^3 \left(\frac{\Lambda_{\text{QCD}}}{m_c}\right)^2 \right) \\
 & + \dots + d\Gamma_7 \left(\frac{\Lambda_{\text{QCD}}}{m_b}\right)^3 \left(\frac{\Lambda_{\text{QCD}}}{m_c}\right)^4
 \end{aligned}$$

- $d\Gamma_3 \propto \ln(m_c^2/m_b^2)$
- Power counting $m_c^2 \sim \Lambda_{\text{QCD}} m_b$

Determination of the HQE Parameters

- $m_b, m_c, \mu_\pi, \mu_G, \rho_D$ etc. are determined from data
- Spectra: Hadronic invariant mass, Charged lepton energy, Hadronic Energy
- **However: There are corners in Phase Space where the OPE breaks down**



Moments of the spectra can be computed in the HQE

m_b^{kin}	$\bar{m}_c(3\text{ GeV})$	μ_π^2	ρ_D^3	μ_G^2	ρ_{LS}^3	$\text{BR}_{cl\nu}$	$10^3 V_{cb} $
4.553	0.987	0.465	0.170	0.332	-0.150	10.65	42.21
0.020	0.013	0.068	0.038	0.062	0.096	0.16	0.78

**WITHOUT MASS
 CONSTRAINTS**

$$m_b^{kin}(1\text{ GeV}) - 0.85 \bar{m}_c(3\text{ GeV}) = 3.714 \pm 0.018 \text{ GeV}$$

Alberti, Healey, Nandi, Gambino arXiv 1411.6560

- Includes HQE parameters up to $1/m^3$ and full α_s/m_Q^2

QCD Corrections

For a massless final-state quark:

$$\Gamma_0 = \frac{G_F^2 |V_{cb}|^2}{192\pi^3} m_b^5 \left(1 + \frac{\alpha_s}{\pi} g_1 + \dots \right)$$

What is the mass m_b ?

- Start with the pole mass $m_b = m_b^{\text{pole}}$
- This yields a large g_1
- Problem for a precision calculation!
- Switch to a “proper mass” m_b^{kin} :

This has a perturbative relation to the pole mass

$$m_b^{\text{kin}}(\mu) = m_b^{\text{pole}} \left(1 + \frac{\alpha_s}{\pi} m_1(\mu) + \dots \right)$$

- Insert this

$$\Gamma_0 = \frac{G_F^2 |V_{cb}|^2}{192\pi^3} (m_b^{\text{kin}}(\mu))^5 \left(1 + \frac{\alpha_s}{\pi} (g_1 - m_1(\mu)) + \dots \right)$$

- m_b^{kin} is much better known as the pole mass
- The perturbative series converges better:
 $|g_1 - m_1| \ll g_1$

$b \rightarrow c$ also depends on the charm mass

- The rate and the moments depend on

$$m_b^{\text{kin}}(1 \text{ GeV}) - a m_c \quad \text{with} \quad a \sim 0,7 - 0,8$$

Present state of the $b \rightarrow c$ semileptonic Calculations

- Tree level terms up to and including $1/m_b^5$ known
Bigi, Zwicky, Uraltsev, Turczyk, TM, ...
- $\mathcal{O}(\alpha_s)$ and full $\mathcal{O}(\alpha_s^2)$ for the partonic rate known
Melnikov, Czarnecki, Pak
- $\mathcal{O}(\alpha_s)$ for the full $1/m_b^2$ is known
Becher, Boos, Lunghi, Gambino, Pivovarov, Rosenthal, Alberti
- In the pipeline:
 - α_s/m_b^3
 - Partial Resummations

We are getting at a TH-uncertainty of 1% in $V_{cb,incl}$!

Modified Heavy Quark Expansion: $B \rightarrow X_u \ell \bar{\nu}$

- Problem: **Cuts needed to suppress charmed decays**
- Forces us into corners of phase space, where the usual OPE breaks down
- Expansion parameter $\Lambda_{\text{QCD}}/(m_b - 2E_\ell)$
- Instead of HQE Parameters: **Shape Functions** $f(\omega)$

$$2M_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) | B(v) \rangle$$

- **Universal for all heavy-to-light decays**
- Systematics: $S_{\text{off}} C_{\text{ollinear}} E_{\text{effective}} T_{\text{theory}}$ calculation
 - Several subleading shape functions
 - perturbative QCD corrections

Shape Functions

- Shape function vs. local OPE: **Moment Expansion**

$$f(\omega) = \delta(\omega) + \frac{\mu_\pi^2}{6m_b^2} \delta''(\omega) - \frac{\rho_D^3}{18m_b^3} \delta'''(\omega) + \dots$$

- Perturbative “jetlike” contributions: Convolution

$$S(\omega, \mu) = \int dk C_0(\omega - k, \mu) f(k)$$

- Charged Lepton Energy Spectrum (H : hard QCD corrections)

$$\frac{d\Gamma}{dy} = \frac{G_F^2 |V_{ub}^2| m_b^5}{96\pi^3} \int d\omega \Theta(m_b(1 - y) - \omega) H(\mu) S(\omega, \mu)$$

Approaches

- Obtaining the Shape functions:
 - From Comparison with $B \rightarrow X_s \gamma$
 - From the knowledge of (a few) moments
 - From modeling
- QCD based:
 - BLNP (Bosch, Lange, Neubert, Paz)
 - GGOU (Gambino, Giordano, Ossola, Uraltsev)
 - SIMBA (Tackmann, Tackmann, Lacker, Liegti, Stewart ...)
- QCD inspired:
 - Dressed Gluon Exponentiation (Andersen, Gardi)
 - Analytic Coupling (Aglietti et al.)
- Attempts to avoid the shape functions (Bauer Ligeti, Luke ...)

Theo. uncertainty in $V_{ub, incl}$ is still (7 ... 10) %

Semi-Tauonic Decays

Inclusive $B \rightarrow X_c \tau \bar{\nu}$

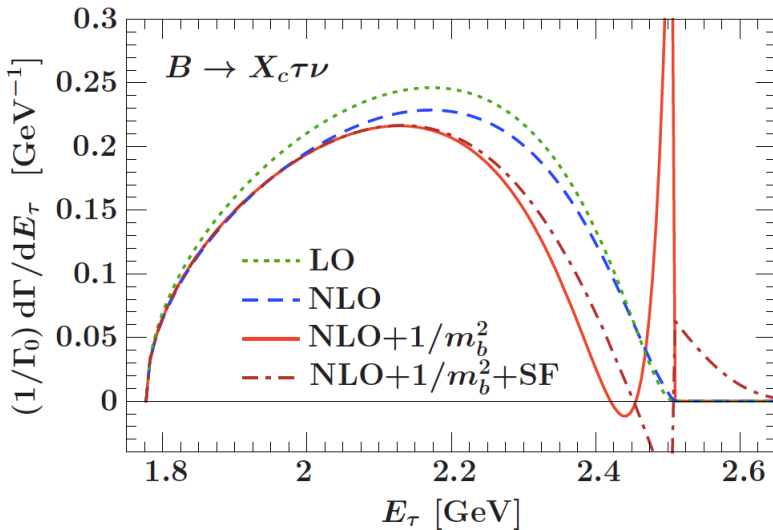
- One can use the HQE to compute $B \rightarrow X_c \tau \bar{\nu}$
 (Ligeti, Tackmann)

$$\text{Br}(B \rightarrow X_c \ell \bar{\nu}) = (2.42 \pm 0.06)\% \quad (\text{includes } \alpha_s \text{ and } 1/m_b^2)$$

- More precise calculations are under way (Shahriaran et al.)
- **Measurement:** (b-Admixture from LHC, LEP, Tevatron, SpS)

$$\text{Br}(b \rightarrow X_c \tau \bar{\nu}) = (2.41 \pm 0.23)\%$$

- seems fairly well under control



Inclusive $B \rightarrow X_c \tau \bar{\nu}$

- For $B \rightarrow D^{(*)} \tau \bar{\nu}$ we have the general form:

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2 |V_{cb}|^2 |\vec{p}_{D^{(*)}}|^2 q^2}{96\pi^3 m_B^2} \left(1 - \frac{m_\tau^2}{q^2}\right)^2$$

$$\times \left[(|H_+|^2 + |H_-|^2 + |H_0|^2) \left(1 + \frac{m_\tau^2}{2q^2}\right) + \frac{3m_\tau^2}{2q^2} |H_s|^2 \right]$$

- In particular: The rate depends on the form factors proportional to q_μ
- In the HQL: **Known in terms of $\xi(w)$**
- Additional factor m_τ^2/m_B^2 in front of these form factors

- Based on this, we get the SM predictions

$$\text{Br}(B \rightarrow D\tau\bar{\nu}) = (0.66 \pm 0.05)\%$$

$$\text{Br}(B \rightarrow D^*\tau\bar{\nu}) = (1.43 \pm 0.05)\%$$

and

$$R(D) = \frac{\Gamma(B \rightarrow D\tau\bar{\nu})}{\Gamma(B \rightarrow D\ell\bar{\nu})} = 0.297 \pm 0.017$$

$$R(D^*) = \frac{\Gamma(B \rightarrow D^*\tau\bar{\nu})}{\Gamma(B \rightarrow D^*\ell\bar{\nu})} = 0.252 \pm 0.003$$

$B \rightarrow D\tau\bar{\nu}$ and $B \rightarrow D^*\tau\bar{\nu}$

exhaust about 86% of the inclusive rate.

Problem: The measured values are significantly larger and overshoot the inclusive rate

$$\text{Br}(B \rightarrow D\tau\bar{\nu}) = (0.77 \pm 0.25)\%$$

$$\text{Br}(B \rightarrow D^*\tau\bar{\nu}) = (2.1 \pm 0.4)\%$$

$$\text{Br}(B \rightarrow D\tau\bar{\nu}) + \text{Br}(B \rightarrow D^*\tau\bar{\nu}) = (2.87 \pm 0.47)\%$$

Λ_b Semi-Leptonics

$\Lambda_b \rightarrow \Lambda_c$ form factors

- Recent V_{ub} determination from LHCb based on $\Lambda_b \rightarrow p \ell \bar{\nu}$ is normalized to $\Lambda_b \rightarrow \Lambda_c \ell \bar{\nu}$
- Relies heavily on Lattice QCD Form Factors for both $\Lambda_b \rightarrow p$ and $\Lambda_b \rightarrow \Lambda_c$ (W. Detmold, C. Lehner, S. Meinel (2015))
- Can we say something using continuum methods?
- \rightarrow Zero Recoil Sum Rule for $\Lambda_b \rightarrow \Lambda_c$ form factors

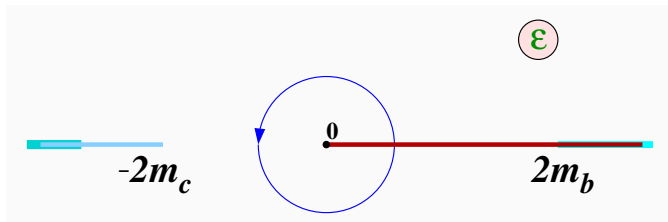
Zero Recoil Sum Rule

- Start from

$$T(\omega) = \frac{1}{3} \int d^4x e^{i(v \cdot x)\omega}$$

$$\langle \Lambda_b(P) | \mathcal{T} \{ \bar{b}_v(x) \gamma_\mu \gamma_5 c_v(x) \bar{c}_v(0) \gamma^\mu \gamma_5 b_v(0) \} | \Lambda_b(P) \rangle$$

- compute the contour integral $I_0(\mu) = -\frac{1}{2\pi i} \oint_{|\varepsilon|=\mu} T(\varepsilon) d\varepsilon$



- Inserting a complete set of states:

Lowest state is Λ_c

- Form factor definition: (T. Feldmann, M. Yip (2011))

$$\begin{aligned} \langle \Lambda_c(v', s') | \bar{c} \gamma_5 \gamma_\mu b | \Lambda_b(v, s) \rangle &= \bar{u}_{\Lambda_c}(v', s') \gamma_5 \left[g_0(w) (M_{\Lambda_b} + M_{\Lambda_c}) \frac{q^\mu}{q^2} \right. \\ &+ g_+(w) \frac{M_{\Lambda_b} - M_{\Lambda_c}}{s_-} \left(M_{\Lambda_b} v_\mu + M_{\Lambda_c} v'_\mu - (M_{\Lambda_b}^2 - M_{\Lambda_c}^2) \frac{q^\mu}{q^2} \right) \\ &\left. + g_\perp(w) \left(\gamma_\mu - \frac{2M_{\Lambda_c} M_{\Lambda_b}}{s_+} (v_\mu - v'_\mu) \right) \right] u_{\Lambda_b}(v, s) \end{aligned}$$

- **Zero Recoil Sum Rule:**

$$\begin{aligned} I_0(\epsilon_M) &= \frac{1}{3} [2|g_\perp(1)|^2 + |g_+(1)|^2] + \text{inelastic} \\ &= \zeta^{\text{pert}}(\epsilon_M, \mu) - \Delta_{1/m^2}(\epsilon_M, \mu) - \Delta_{1/m^3}(\epsilon_M, \mu) + \dots \end{aligned}$$

- Perturbative Contribution

($\mathcal{O}(\alpha_s)$, computed in Wilsonian Cut Off Scheme)

$$\xi^{\text{pert}}(\epsilon_M = \mu = 0.75 \text{ GeV}) = 0.970 \pm 0.02$$

- Nonperturbative Contributions

$$\Delta_{1/m^2} = \frac{\mu_\pi^2(\Lambda_b)}{4} \left(\frac{1}{m_c^2} + \frac{1}{m_b^2} + \frac{2}{3m_b m_c} \right)$$

$$\Delta_{1/m^3} = \frac{\rho_D^3(\Lambda_b)}{4m_c^3} + \frac{\rho_D^3(\Lambda_b)}{12m_b} \left(\frac{1}{m_c^2} + \frac{3}{m_b^2} + \frac{1}{m_b m_c} \right)$$

- Note $\mu_G = \rho_{LS} = 0$ and $\mu_\pi^2(\Lambda_b) \sim \mu_\pi^2(B)$

- ... or as an inequality

$$\frac{1}{3} [2|g_{\perp}(1)|^2 + |g_{+}(1)|^2] \leq \xi^{\text{pert}}(\epsilon_M, \mu) - \Delta_{1/m^2}(\epsilon_M, \mu) - \Delta_{1/m^3}(\epsilon_M, \mu) + \dots$$

- Numerically we have (**Preliminary**)

$$\frac{1}{3} [2|g_{\perp}(1)|^2 + |g_{+}(1)|^2] \leq 0.86$$

- ... to be compared to the lattice number

(W. Detmold, C. Lehner, S. Meinel (2015))

$$\frac{1}{3} [2|g_{\perp}(1)|^2 + |g_{+}(1)|^2] = 0.824 \pm 0.020$$

Some Personal Conclusions

The V_{cb} "Problem"

$B \rightarrow D\ell\bar{\nu}$

- Exclusive V_{cb} from the lattice
 - Extrapolation to $w = 1$: $V_{cb} = (39.80 \pm 1.1) \times 10^{-3}$
 - Calculation of full $\mathcal{G}(w)$: $V_{cb} = (41.08 \pm 0.95) \times 10^{-3}$
- Continuum methods are close to the second value

$B \rightarrow D^*\ell\bar{\nu}$

- Extrapolation, lattice $\mathcal{F}(1)$: $V_{cb} = (39.04 \pm 0.85) \times 10^{-3}$
- Extrapolation, QCD-SR $\mathcal{F}(1)$: $V_{cb} = (41.3 \pm 1.1) \times 10^{-3}$

$B \rightarrow X_c\ell\bar{\nu}$

- From the HQE: $V_{cb} = (42.21 \pm 0.78) \times 10^{-3}$

The V_{ub} Problem

$$B \rightarrow \pi \ell \bar{\nu}$$

- Lattice \otimes QCD Sum Rules: $V_{ub} = (3.72 \pm 0.19) \times 10^{-3}$

$$B \rightarrow X_u \ell \bar{\nu}$$

- Average over shape function parametrizations:
 $V_{ub} = (4.49 \pm 0.21) \times 10^{-3}$

Other decays:

- $B \rightarrow \tau \bar{\nu}$ is not yet precise enough
- Up to now, $\Lambda_b \rightarrow p \ell \bar{\nu}$ only measures V_{ub}/V_{cb}

This still looks like a problem