

## Neutrino masses and global symmetries of SM

\* SM Lagrangian is defined as the set of gauge invariant, renormalisable couplings between

$$\begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, e_R; \begin{pmatrix} u \\ d \end{pmatrix}_L, u_R, d_R$$

⇒ In SM neutrino masses are absent.

\* This Lagrangian exhibits ungauged continuous global symmetries = accidental symmetries (not built in by construction)

$$\underbrace{L_e, L_\mu, L_\tau}_{\text{individual lepton numbers}}, \quad \underbrace{B}_{\text{baryon number}}$$

(see examples sheet 10)

But only  $L_e - L_\mu, L_\mu - L_\tau, (B - L)$  are non-anomalous  
— remaining ones have chiral anomalies (see ex. 70)

↳ In addition to tree-level Lagrangian of renorm. couplings, one must consider higher-dimension, non-renormalisable interactions. These constitute the full effective Lagrangian.

Rule:  $\mathcal{L}_{\text{eff}}$  is invariant under all non-anomalous symmetries of original Lagrangian.

The anomalous symmetries are preserved in perturbation theory, but can be violated non-perturbatively.

\* Observe:  $\exists$  only 1 possible dim-5 operator that is gauge invariant under SM gauge group:

## Weinberg operator

$$\Theta_w = \frac{\lambda}{M_*} (L\phi)^T C^{-1} (L\phi)$$

where  $L\phi \equiv \varepsilon^{ij} L_i \phi_j$   $i, j$ :  $SU(2)$  indices

But:  $\Theta_w$  violates  $(B-L)$  & can therefore not be present within SM effective Lagrangian in above sense.

Note:  $\Theta_w$  would generate neutrino Majorana masses of order  $\frac{\lambda}{M_*} v^2$  after SSB (where  $\underline{\Phi} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$  after SSB)

\* See-Saw mechanism = attempts to create this Weinberg operator. But this requires introduction of new degrees of freedom & their renormalisable couplings  $\Rightarrow$  accidental symmetries change.

## Type I See-Saw

$\rightarrow$  new fermions  $\nu_R$  (singlets)

$\rightarrow L\phi\nu_R, \nu_R^c\nu_R \rightarrow B-L$  not accidental symmetry

## Type II See-Saw

$\rightarrow$  new extra Higgs fields (bosons) whose couplings break Lepton number explicitly or spontaneously (Ex. II)

Remark: Some authors define SM as set of all gauge-inv. couplings — renorm. or not. Then neutrino masses via  $\Theta_w$  are not "beyond" SM by definition.

# Quantum Chromodynamics (QCD)

- \* Based on unbroken gauge group  $SU(3)$   
 $\rightarrow$  SM:  $SU(3) \times SU(2) \times U(1)_Y$
- \* Leptons, Higgs, EW bosons uncharged under  $SU(3)$
- \*  $SU(3)$ : 8 massless gauge bosons (gluons)  $\{A_{\mu a}\}$   
 $a = 1, \dots, 8 = \dim(\text{adj.})$
- \* Quarks are in fundamental repr. of  $SU(3)$  [in addition to  $SU(2) \times U(1)_Y$ ]  
 "coloured"
- \* coupling  $g_s$  strongly scale dependent  
 $\alpha_s = \frac{g_s^2}{4\pi} \equiv \alpha_s(\mu)$
- $\mu \gg \Lambda_{\text{QCD}} \sim 1 \text{ GeV}$ :  $\alpha_s \ll 1$   $\leftarrow$  perturbative definition  
 UV "quark-gluon" phase
- $\mu \lesssim \Lambda_{\text{QCD}}$ : non-perturbative regime  
 "hadronisation"  $\leftarrow$  mesons, baryons

## 1) Symmetries & Interactions in the UV

Consider the "fundamental" "quark-gluon" phase at  $\mu > \Lambda_{\text{QCD}}$

$\leftarrow$  UV theory

\*  $SU(3)$  generated by  $T_a = \frac{1}{2} \lambda_a$   $\lambda_a$ : Gell-Mann matrices

3x3 matrices

$$T_a^\dagger = T_a, \quad \text{tr } T_a = 0$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - g_s f_{abc} A_\mu^b A_\nu^c$$

\* quark  $q_f$ : SU(3) - triplet : 3 - representation

$$q_f = \begin{pmatrix} q_{f1} \\ q_{f2} \\ q_{f3} \end{pmatrix}$$

$f$ : flavor-index

$$f = \{u, d, c, s, t, b\}$$

$$q_f \xrightarrow{SU(3)} e^{-i\int g_s(x) \cdot \frac{\lambda_a}{2}} q_f \equiv U \cdot q_f$$

$$A_{T^a} \rightarrow U A_{T^a} U^{-1} - \frac{1}{g_s} (\partial_\mu U) U^{-1}$$

$$D_\mu q_f = \partial_\mu q_f + i g_s A_{T^a} \cdot \frac{\lambda_a}{2} q_f$$

$$\mathcal{L}_{QCD} = -\frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \sum_{f=1}^6 \bar{q}_f (\gamma^\mu D_\mu - m_f) q_f$$

Remarks:

1) Quark masses  $m_f \leftrightarrow$  for QCD these are "input"

$m_u \approx 1-5 \text{ MeV}$ ,  $m_d \approx 4-9 \text{ GeV}$ ,  $m_s \approx 75-170 \text{ MeV}$ ,  $m_c \approx 1.3 \text{ GeV}$ ,  $m_b \approx 4 \text{ GeV}$ ,  $m_t \approx 170 \text{ GeV}$   
parameters from EW sector

Note: The EW masses  $m_f$  make only up to  $\frac{1}{3}$  of the mass of hadrons (mesons, baryons) (for GeV baryons)

Res: non-pert. effects

$m_u, m_d, m_s \ll 1 \text{ GeV} \Rightarrow$  usually set to zero in above logarithm

Common practice: include only quarks of  $m_f \lesssim Q$  if working at scale  $\mu = Q$

e.g.: at scale  $\mu = M_Z$  ignore  $t$  ( $m_t \approx 170 \text{ GeV}$ )

$\Rightarrow n_f = 5$  "active"/effective quark flavours

# Global Symmetries

Depending on precise assumptions a  $m_f$  QCD possesses extra global, continuous symmetries

Organise:  $q(x) = \begin{pmatrix} q_1 \\ \vdots \\ q_p \end{pmatrix}$

\* Even for  $m_f \neq 0$ :  $q \rightarrow e^{i\theta} q$ :  $U(1) \checkmark$  global symmetry  
 $\longleftrightarrow$  Baryon number, conserved  $\checkmark$

\* If all  $m_f$  equal (e.g. if we restrict to  $u, d, s$  and sets  $m_u \approx m_d \approx m_s$ )

$q \rightarrow e^{i \sum_b \theta_b} q \longleftrightarrow SU(m_f) \checkmark$  flavour symmetry  
 (rotations in flavour space)

$\theta_b$ ,  $b = 1, \dots, (n_f^2 - 1)$  generate  $SU(n_f) \checkmark$

e.g.  $m_f = 2 \longleftrightarrow$  Isospin  
 $n \longleftrightarrow p$

\* If all  $m_f = 0$  then even chiral symmetries

$q_L = \begin{pmatrix} q_1 \\ \vdots \\ q_p \end{pmatrix}_L$

$q_R = \begin{pmatrix} q_1 \\ \vdots \\ q_p \end{pmatrix}_R$

$\leadsto U(1) \checkmark$   $q_L \rightarrow e^{i\theta} q_L$   $q_R \rightarrow e^{-i\theta} q_R$

anomalous in quantum theory

no  $SU(n_f) \checkmark$

$q_L \rightarrow e^{+i \sum_b \theta_b} q_L$   
 $q_R \rightarrow e^{-i \sum_b \theta_b} q_R$

# Strong CP problem is Theta - Angle

□ another gauge invariant, new normalizable term:

$$\mathcal{L}_\Theta = \frac{\Theta}{16\pi^2} \text{tr} F_{\mu\nu} \tilde{F}^{\mu\nu} \equiv \frac{\Theta}{16\pi^2} \text{tr} (F_{\mu\nu} \tilde{F}^{\mu\nu})$$

$$\tilde{F}^{\mu\nu} = \frac{1}{2} \epsilon^{\mu\nu\rho\lambda} F^{\rho\lambda} \quad \longleftrightarrow \text{dual field strength}$$

(in form language:  $\int -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} d^4x = \int -\frac{1}{2} F \wedge *F$   
 $\int F_{\mu\nu} \tilde{F}^{\mu\nu} d^4x = \int F \wedge F$ )

$\mathcal{L}_\Theta$  is a total derivative:

$$\int d^4x \text{tr} (F_{\mu\nu} \tilde{F}^{\mu\nu}) = \frac{1}{2} \int d^4x \partial_\mu K^\mu$$

$$K_\mu = 4 \epsilon^{\mu\nu\lambda\rho} \text{tr} \left[ A_\nu \partial_\lambda A_\rho + \frac{2}{3} A_\nu A_\lambda A_\rho \right]$$

(in form language:  $K_A = A \wedge dA + \frac{2}{3} A \wedge A \wedge A$   
 Check - Simons - Form)

$\Rightarrow \mathcal{L}_\Theta$  contains no propagating d.o.f. (topological)

$K^\mu$  is not gauge invariant  $\Rightarrow$

$\mathcal{L}_\Theta$  is relevant if at  $|\infty| F \rightarrow 0$  s.t.

$$A_\mu \rightarrow U^{-1} \partial_\mu U \quad \text{pure gauge but } A_\mu \neq 0$$

( $\longleftrightarrow$  instanton solutions see e.g. Cheng/Li Chapter 16)

$\mathcal{L}_\Theta$  leads to P & CP violation

Experimental absence of major CP violation in QCD sector constrains

$$\Theta < 10^{-9} \quad \longleftrightarrow \text{strong CP problem: why is } \Theta \text{ so small?}$$

Important:

For SU(2)  $\Theta$ -angle can be removed by direct trfo of fermions w/ anomalous (B+L) symmetry. QCD: masses break  $U(1)_A \rightarrow$  CP viol. phases!