

# Experimental Tests of QCD

1. Test of QCD of in  $e^+e^-$  annihilation
2. Running of the strong coupling constant
3. Study of QCD in deep inelastic scattering
4. Hadron-Hadron collisions
5. Hadron spectroscopy (e.g. penta quark)
6. A new state of hadronic matter  
(quark gluon plasma)

# 1. Test of QCD in e+e- annihilation

## Evidence for Color

### a) Existence of $\Delta^{++} = |uuu\rangle$

$L = 0$  : ground state

$$S_3 = S = 3/2$$

$$I_3 = I = 3/2$$

$$\Psi_{\text{total}} = \underbrace{\Psi_{\text{space}}}_{(-1)^L} \Psi_{\text{spin}} \Psi_{\text{isospin}}$$

all three wave functions are symmetric, thus  $\Psi_{\text{total}}$  as well

However combination of three fermions must have asymmetric wave function

➔ one degree of freedom is missing!

$$\Psi_{\text{colour}}(123) = \frac{1}{\sqrt{6}} (\text{RGB} + \text{GBR} + \text{BRG} - \text{GRB} - \text{BGR} - \text{RGB})$$

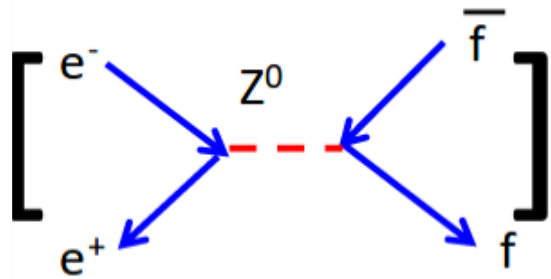
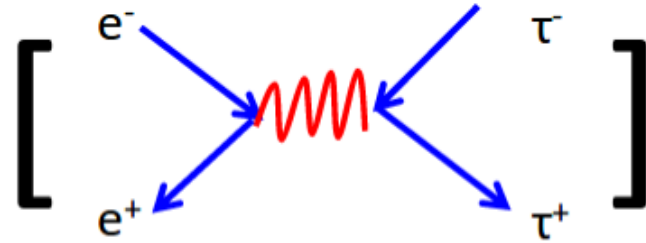
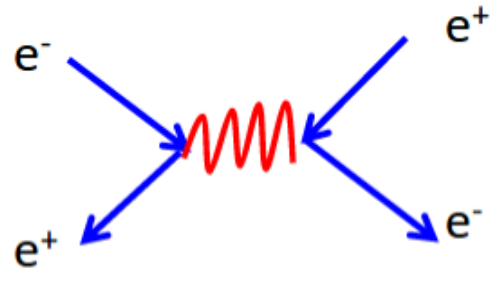
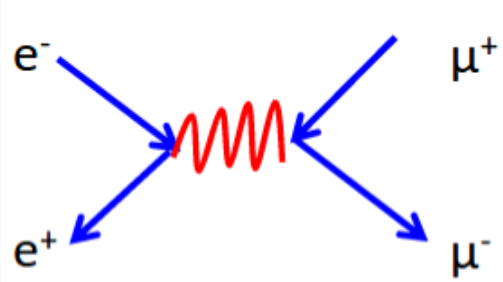
Exchange of quark 1 and 2:

$$\Psi_{\text{colour}}(213) = \frac{1}{\sqrt{6}} (\text{GRB} + \text{BGR} + \text{RBG} - \text{RGB} - \text{GBR} - \text{RGB}) = -\Psi_{\text{colour}}(123)$$

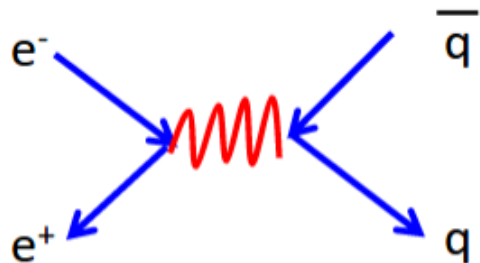
(similar for any other exchange of two quarks)

➔  $\Psi_{\text{total}} = \Psi_{\text{space}} \Psi_{\text{spin}} \Psi_{\text{isospin}} \Psi_{\text{colour}}$

## b) Non-resonant hadron production in $e^+e^-$



weak IA negligible relative to elm IA as long as  $\sqrt{s}$  away from mass of Z.



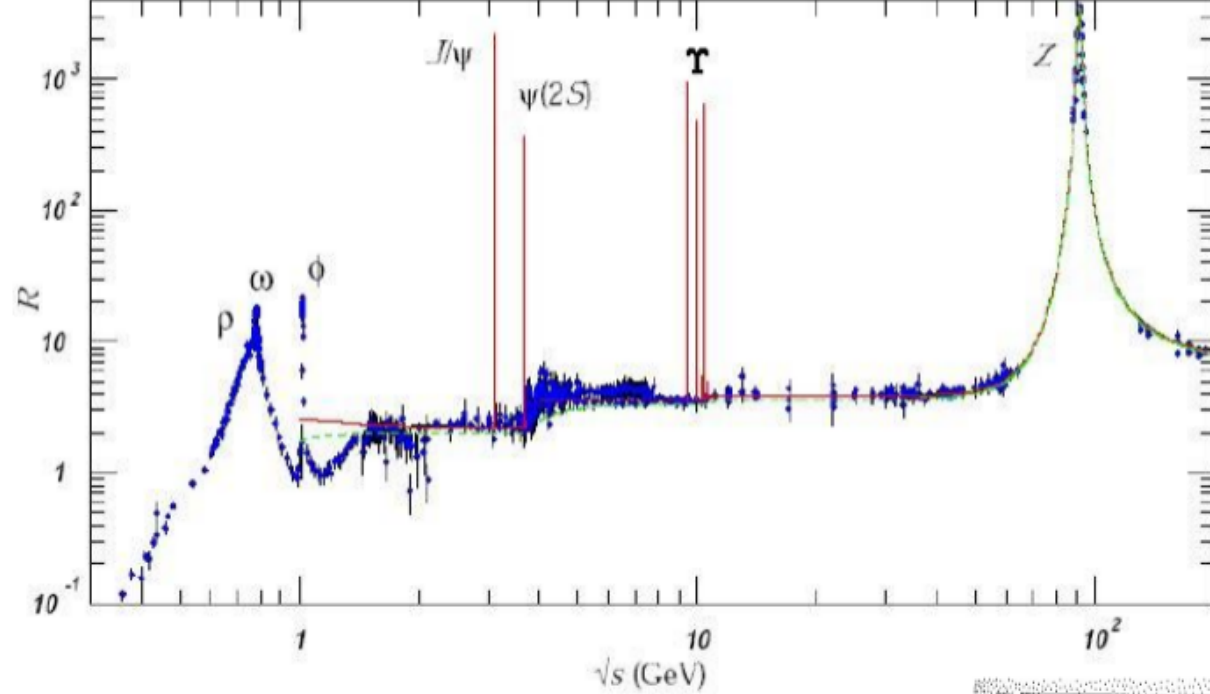
threshold dependent,  
 $\tau$  was not yet known at that time ( $m_\tau = 1.8 \text{ GeV}$ )

**colour factor 3**

$$\sigma(e^+e^- \rightarrow q\bar{q}) = N_c \sum_i^u Z_i^2 \sigma(e^+e^- \rightarrow \mu^+\mu^-)$$

$Z_i$ : charge in elementary units

$u$ : upper limit on quark species due to  $\sqrt{s}$

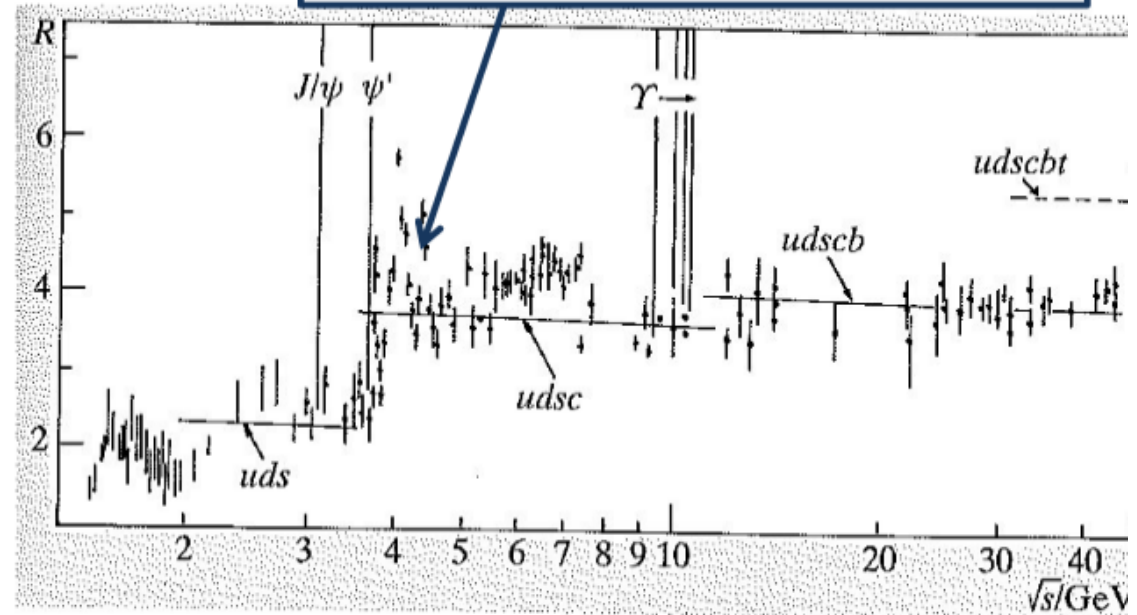


$$R = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

$$= N_c \sum_i^u Z_i^2$$

$\tau\bar{\tau}$  threshold, however not all  $\tau$  decay into hadronic jets

q	$Z_i^2$	$R[\sqrt{s} \leq 2m(q)]$
u	4/9	4/3
d	1/9	5/3
s	1/9	2
c	4/9	10/3
b	1/9	11/3
t	4/9	5

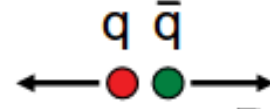


$N_c=3$  „more or less“ confirmed by data!

# Hadronisation & Jets

★ Consider a quark and anti-quark produced in electron positron annihilation

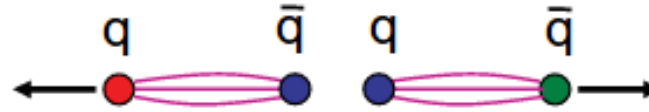
i) Initially Quarks separate at high velocity



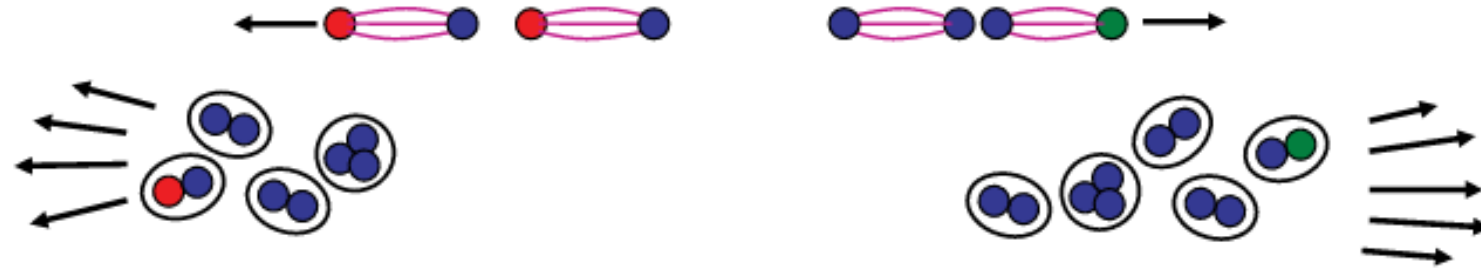
ii) Colour flux tube forms between quarks



iii) Energy stored in the flux tube sufficient to produce  $q\bar{q}$  pairs

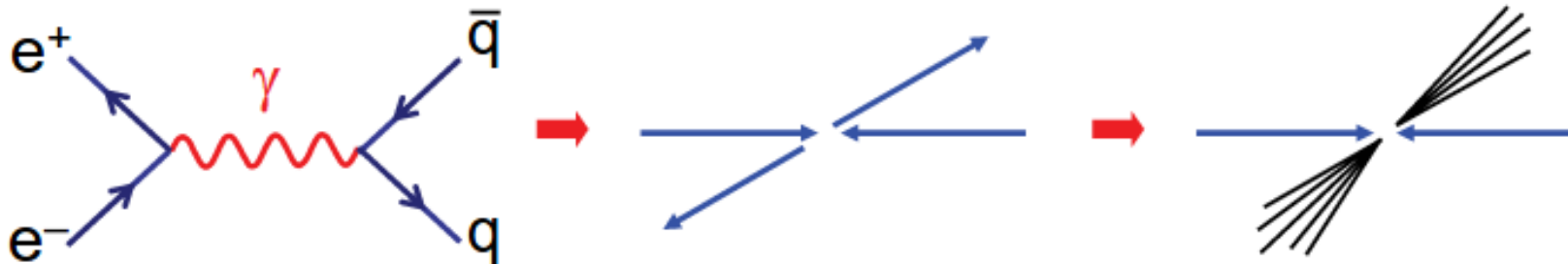


iv) Process continues until quarks pair up into jets of colourless hadrons

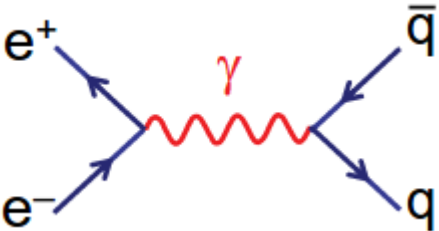
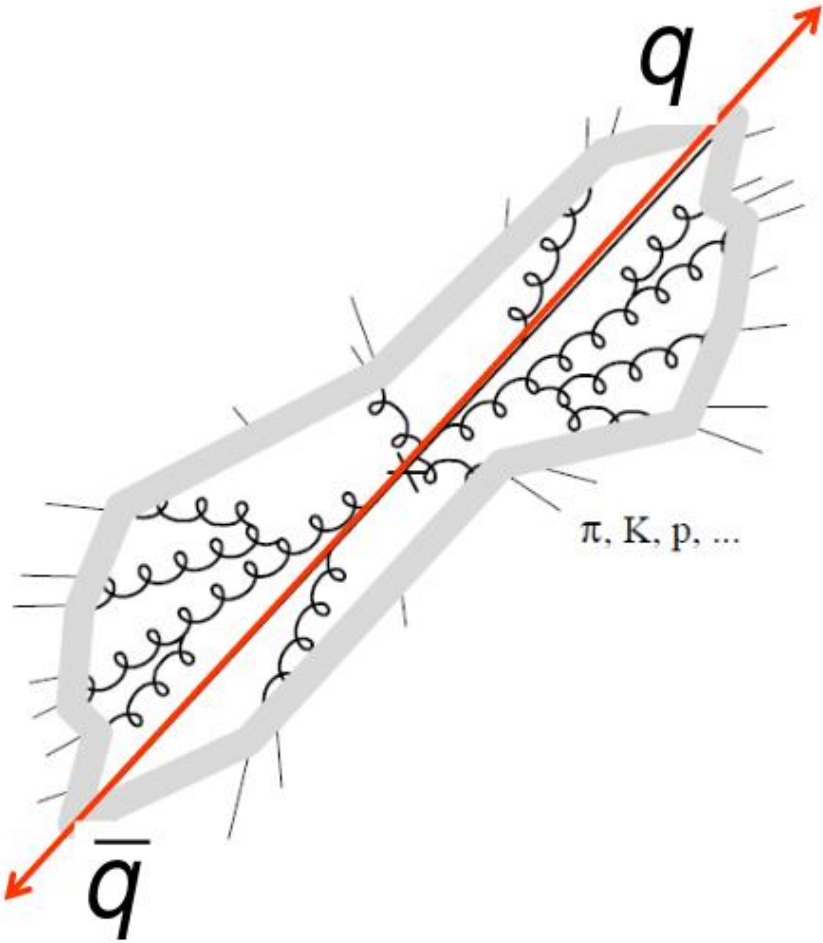
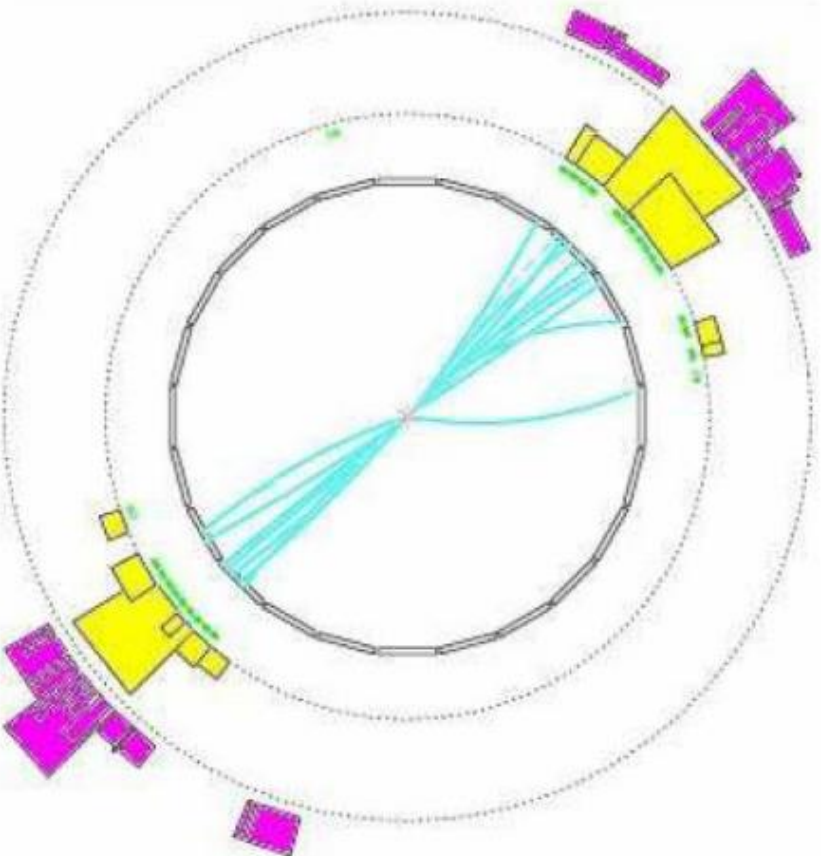


★ This process is called **hadronisation**. It is not (yet) calculable.

★ The main consequence is that at collider experiments **quarks and gluons** observed as jets of particles

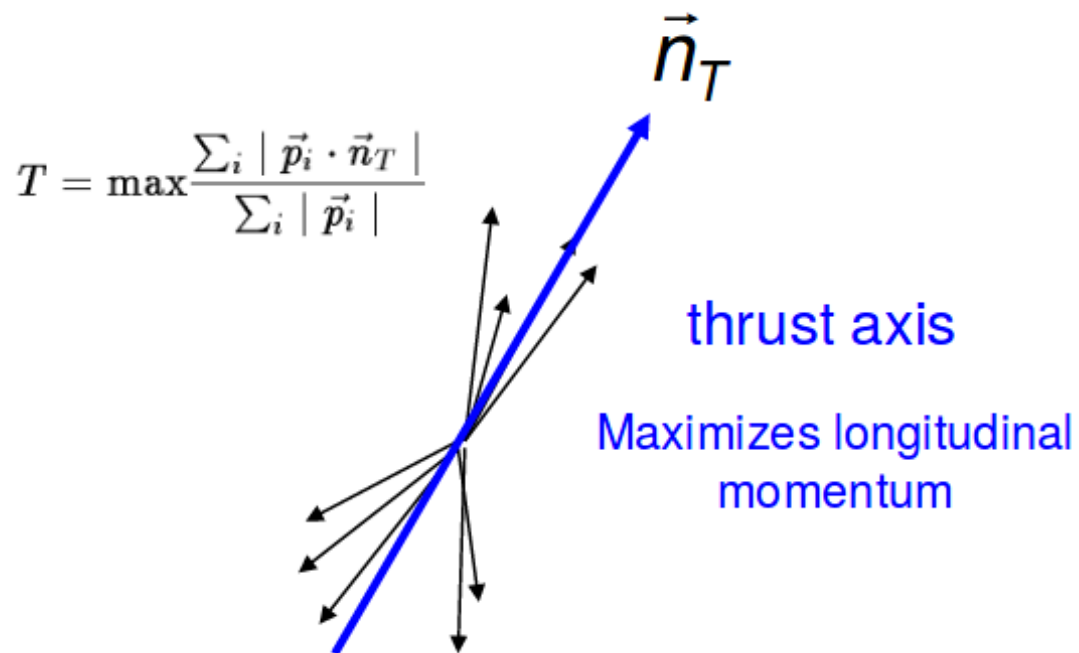



$e^+ e^- \rightarrow q \bar{q}$  and hadron jets



$e^+e^-$  environment excellent place to study quark properties **(no knowledge of pdfs needed)**

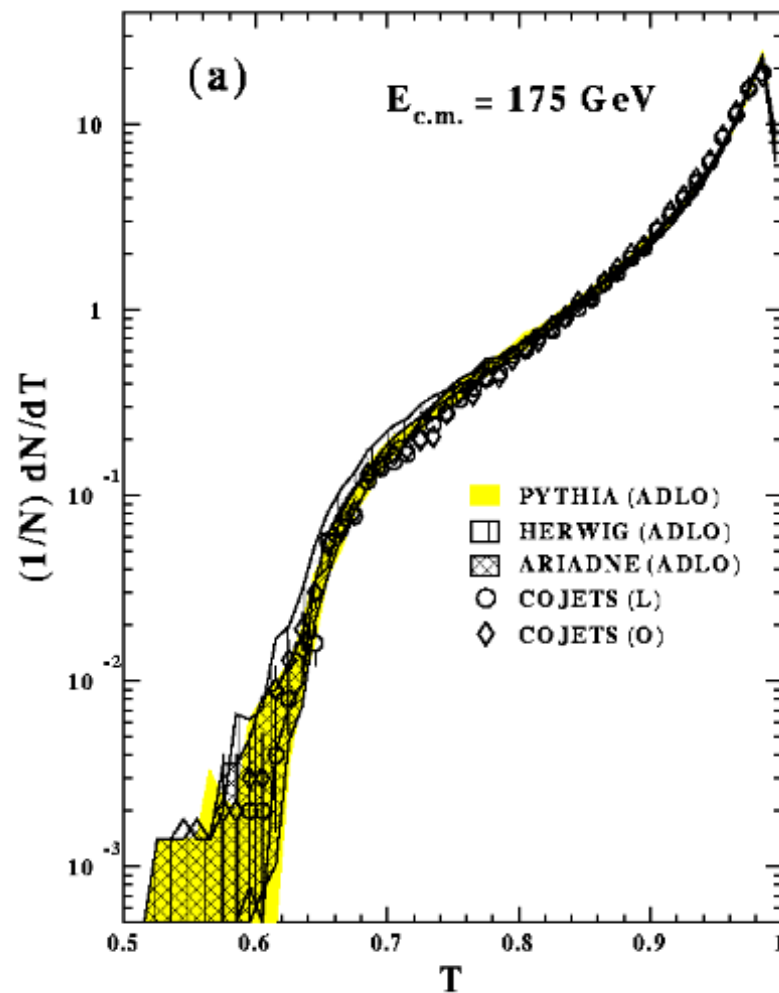
## 2-Jet-likeness: Thrust



Example: 

thrust distribution (LEP) in  $e^+e^-$ -events at  $\sqrt{s} = 175$  GeV: very much 2-jet like.

At early PETRA energies not that pronounced (boost effect).



# Spin of quarks

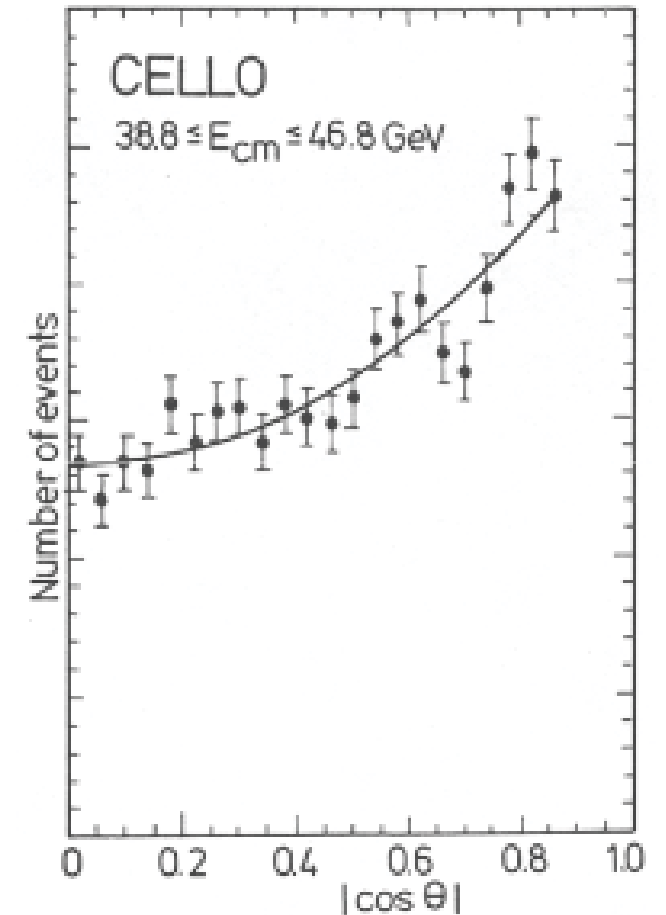


For  $e^+e^- \rightarrow \mu^+\mu^-$  collisions derived the following form of cross-section:

$$\sigma = \frac{4\pi\alpha^2}{3s} \quad \frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4s} (1 + \cos^2 \theta)$$

Angular distribution fits well to describe 2-jet distribution.

Spin of quarks:  $S=1/2$



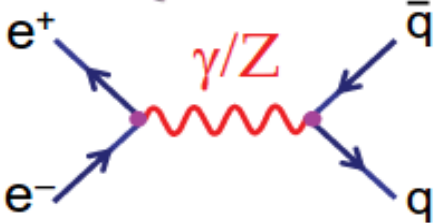
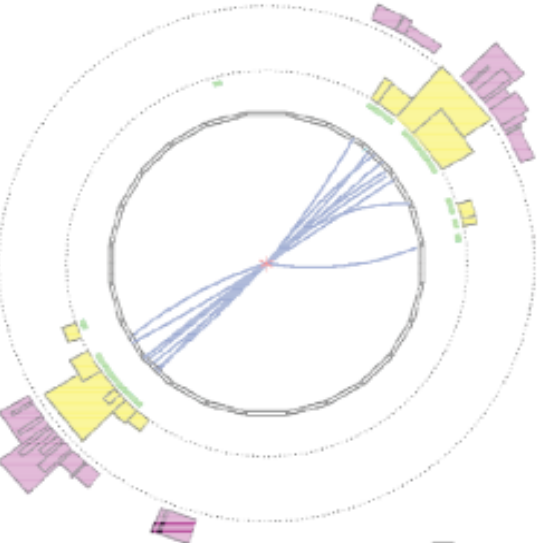


# Jet production in $e^+e^-$

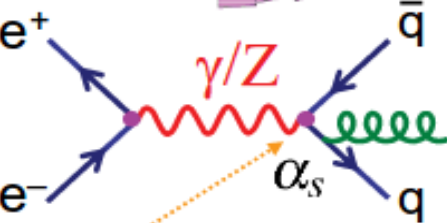
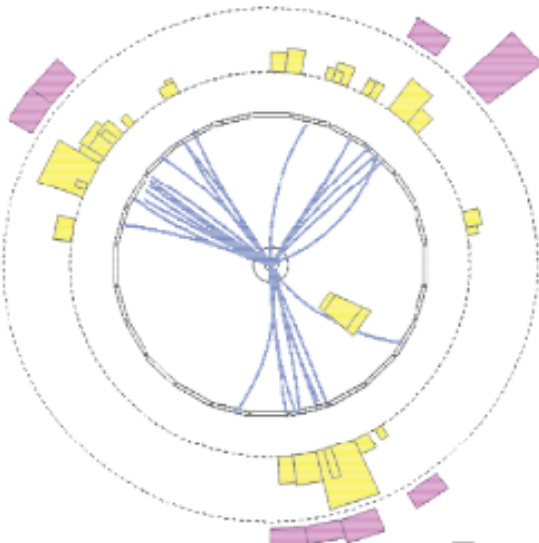
(better suited for QCD test than at hadron collider)

OPAL at LEP (1989-2000)

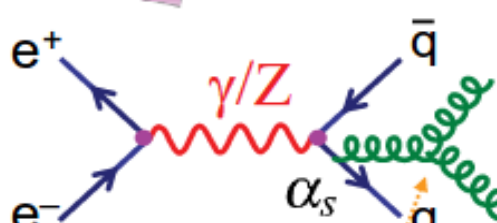
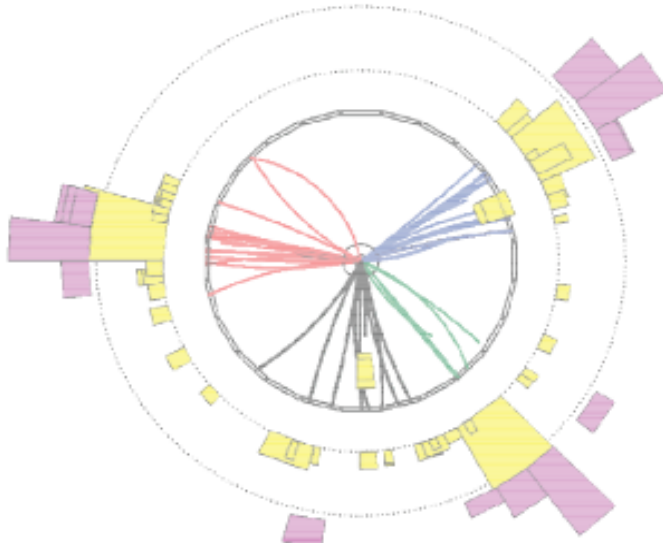
$e^+e^- \rightarrow q\bar{q} \rightarrow 2\text{jets}$



$e^+e^- \rightarrow q\bar{q}g \rightarrow 3\text{jets}$



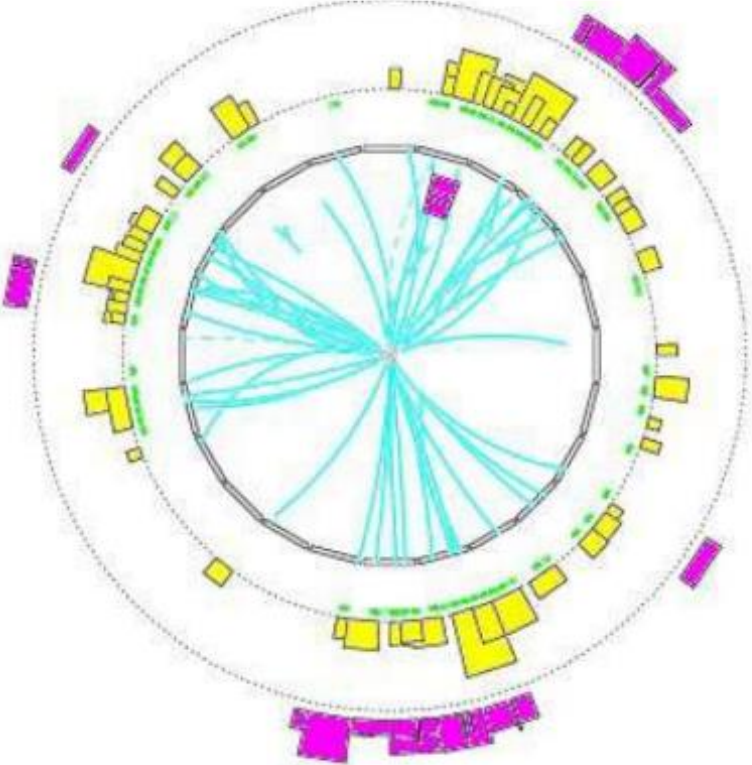
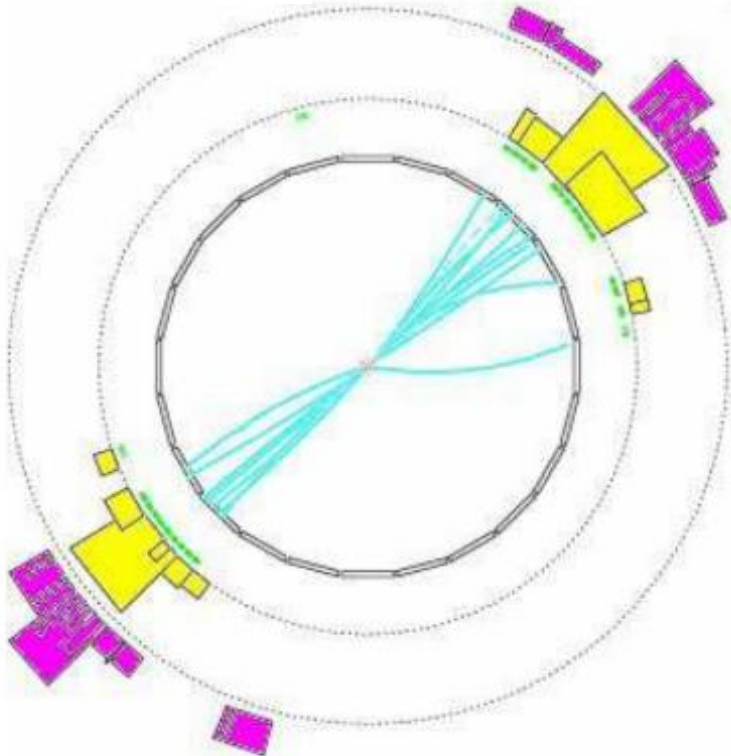
$e^+e^- \rightarrow q\bar{q}gg \rightarrow 4\text{jets}$



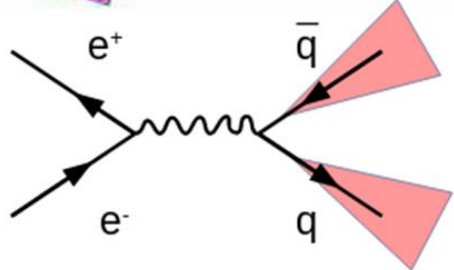
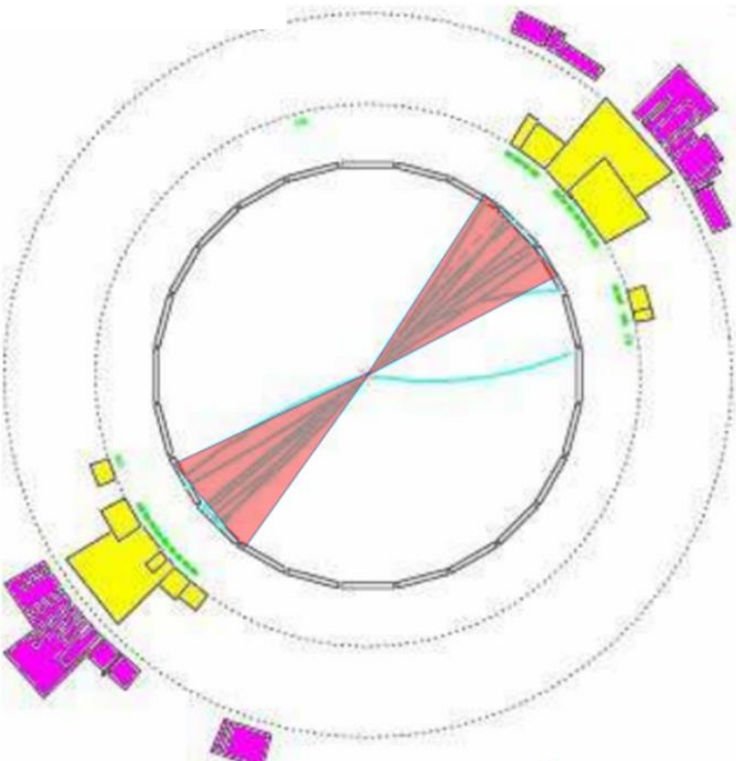
**Experimentally:**

- Three jet rate  $\rightarrow$  measurement of  $\alpha_s$
- Angular distributions  $\rightarrow$  gluons are spin-1
- Four-jet rate and distributions  $\rightarrow$  QCD has an underlying SU(3) symmetry

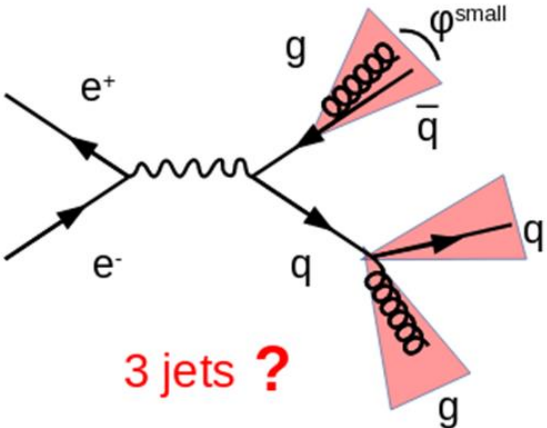
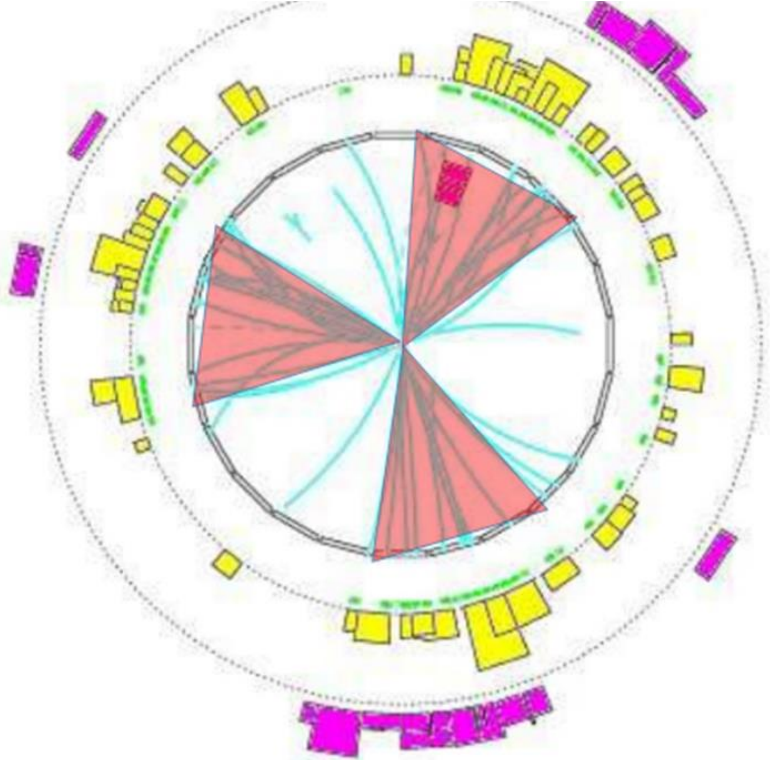
# How many Jets?



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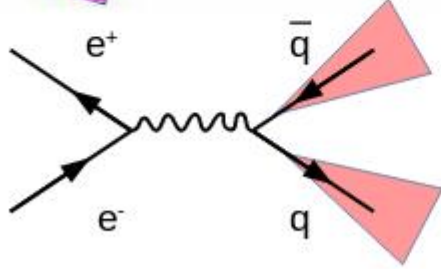
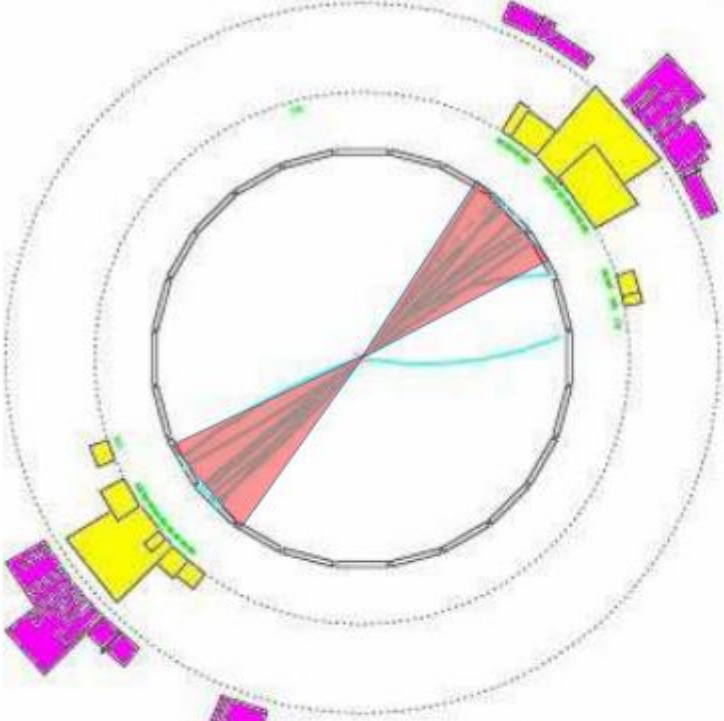
2 clear jets



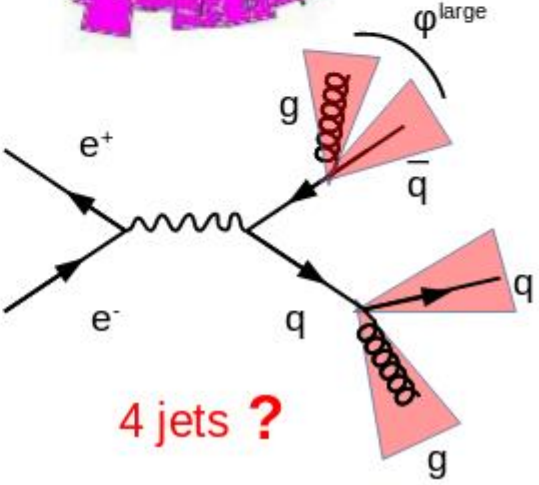
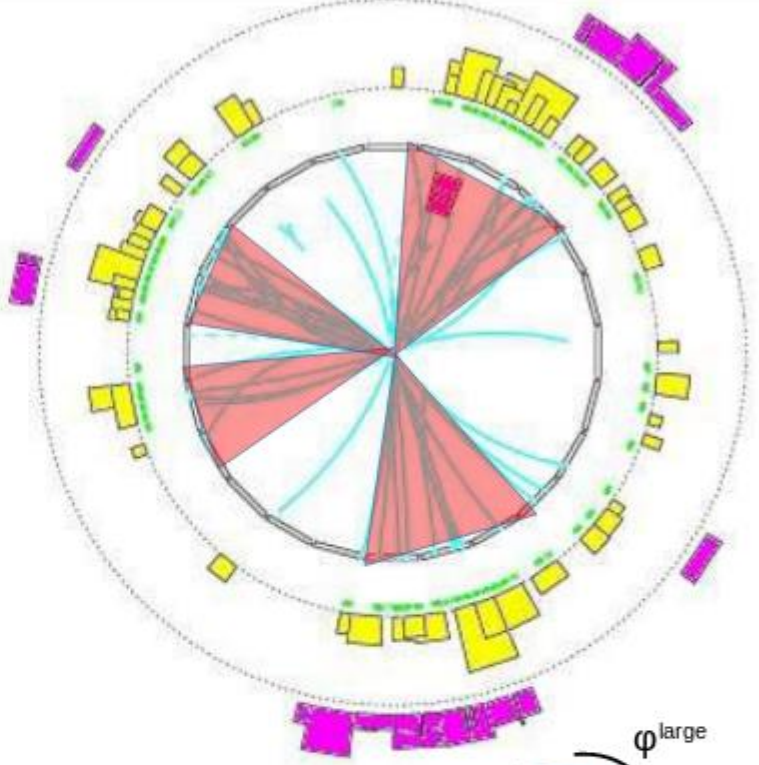
3 jets ?

?  
?  
?

# How many Jets?



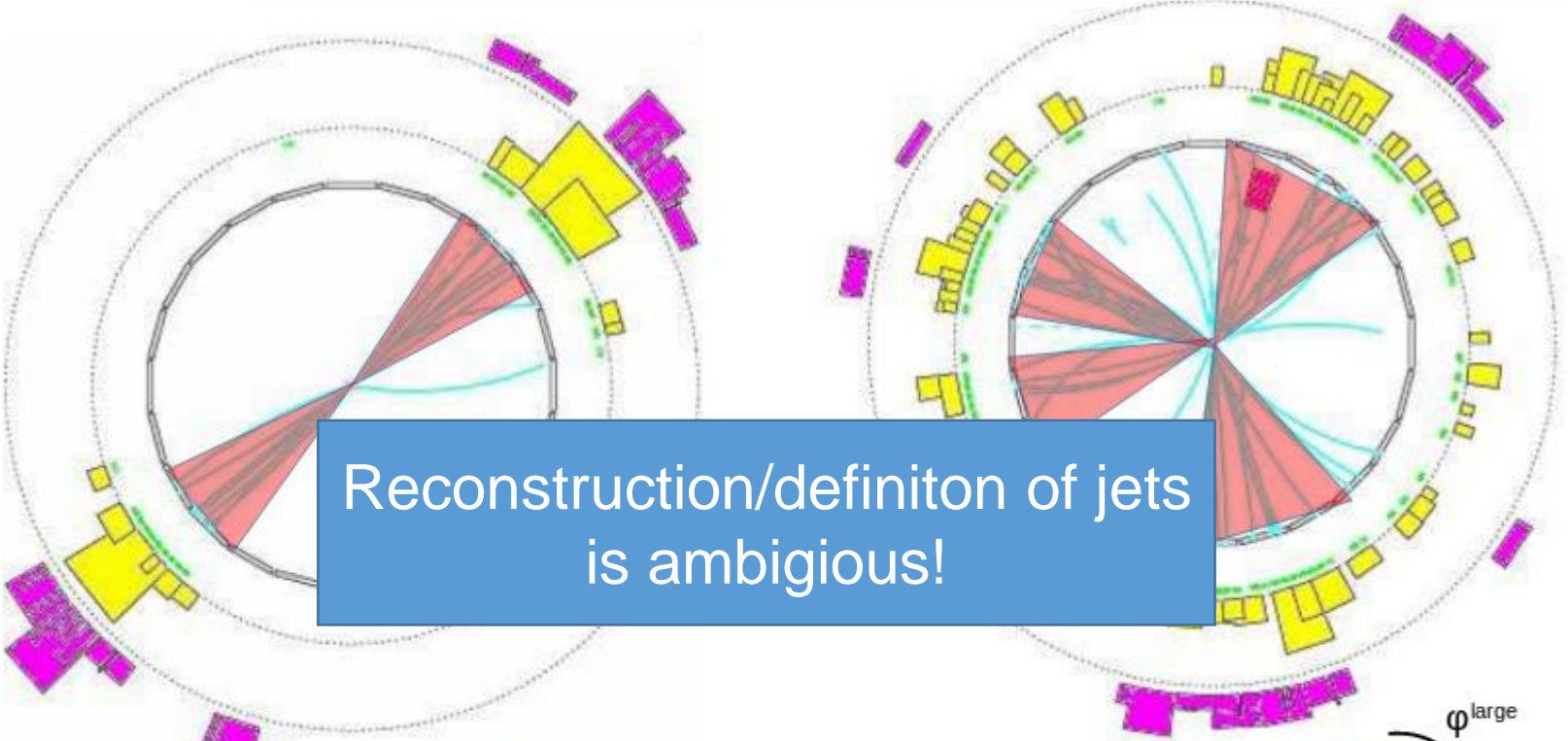
2 clear jets



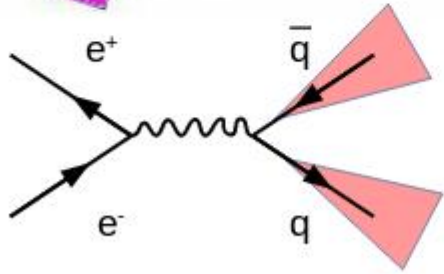
4 jets ?

?  
?  
?

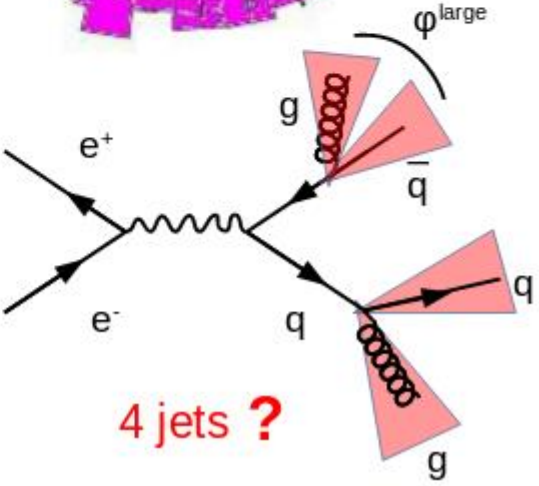
# How many Jets?



Reconstruction/definiton of jets is ambiguous!



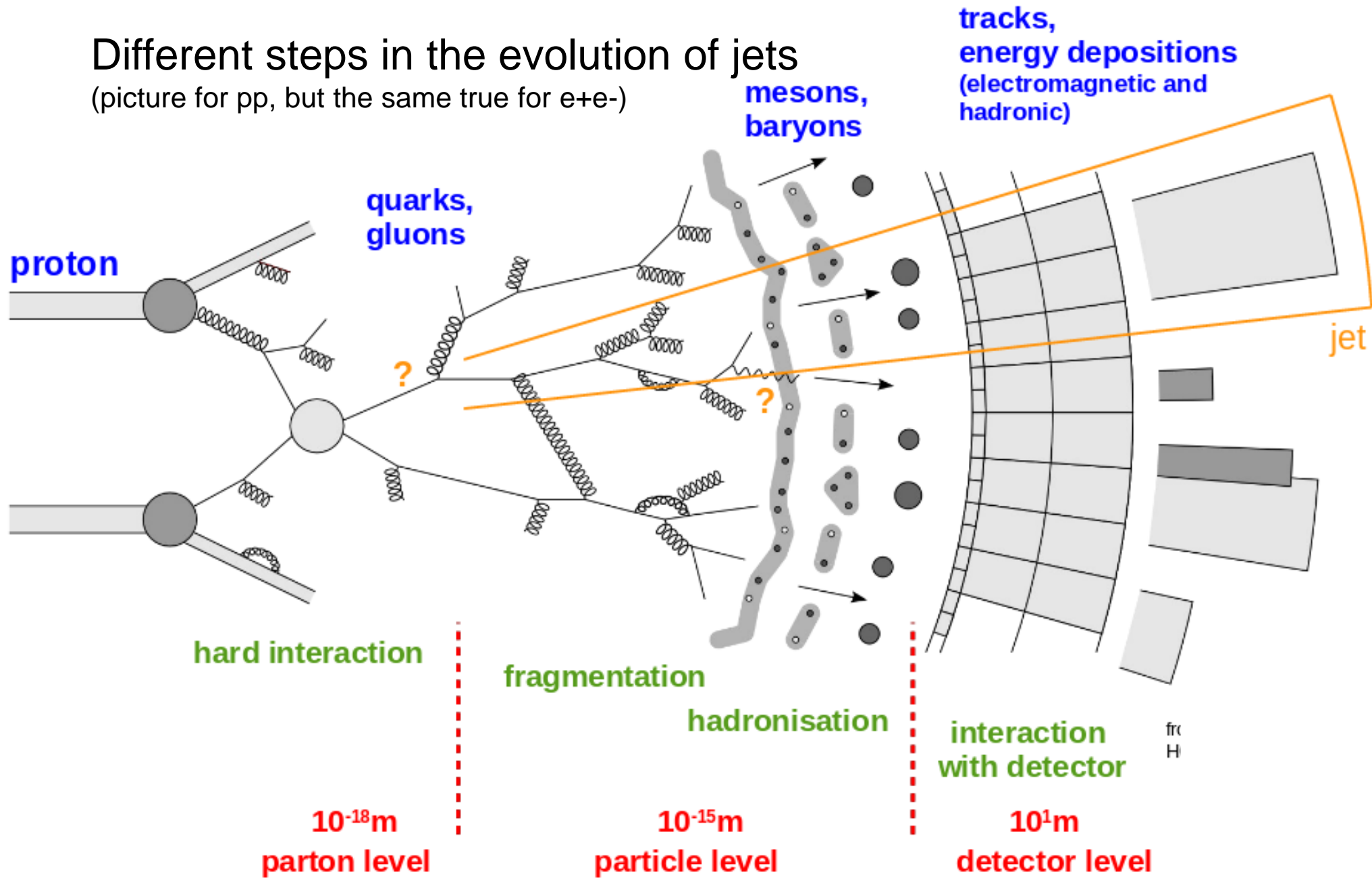
2 clear jets



4 jets ?

# Different steps in the evolution of jets

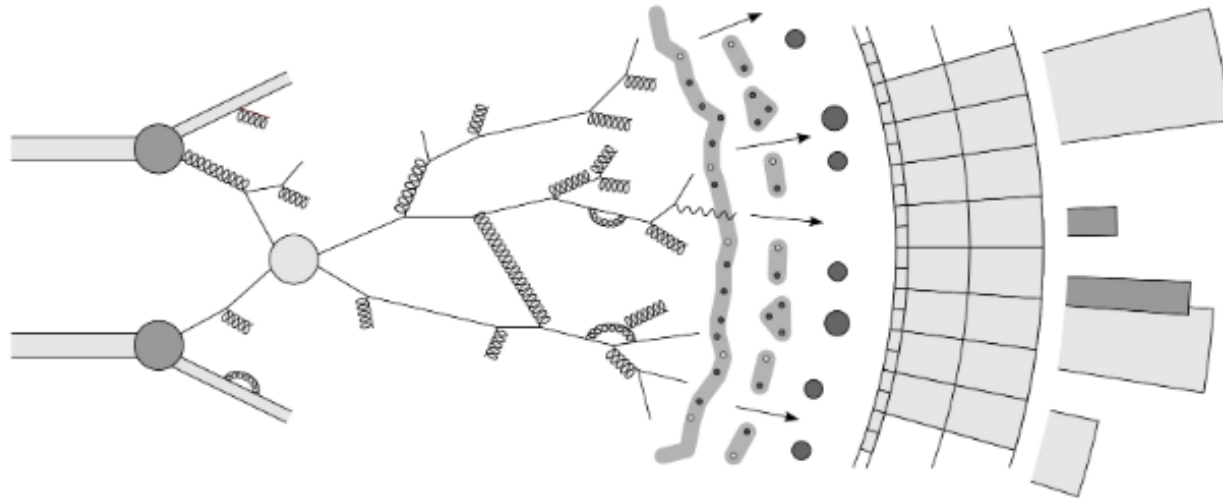
(picture for pp, but the same true for e+e-)



# Requirements on Jet Algorithm

## Goal:

connection between detector measurement, final state particle and hard partons



- Standardization of Jet Definition: Snowmass accord ([FERMILAB-Conf-90/249-E](#) 1990):
  1. Simple to implement in an experimental analysis
  2. Simple to implement in the theoretical calculation
  3. Defined at any order of perturbation theory
  4. Yields finite cross sections at any order of perturbation theory
  5. Yields a cross section that is relatively insensitive to hadronization

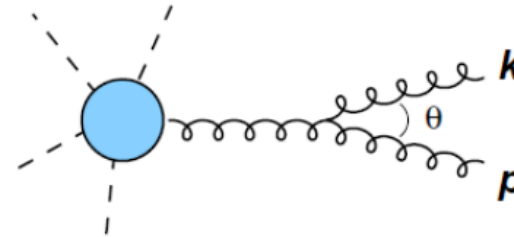
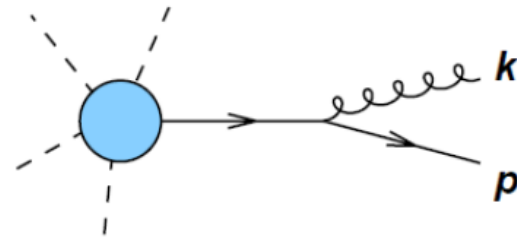
See next slide

# Reminder: Gluon emission

divergent

Gluon emission from quark:  $\frac{2\alpha_s C_F}{\pi} \frac{dE}{E} \frac{d\theta}{\theta}$

from gluon:  $\frac{2\alpha_s C_A}{\pi} \frac{dE}{E} \frac{d\theta}{\theta}$



Both expressions valid only for  $\theta \ll 1$ .

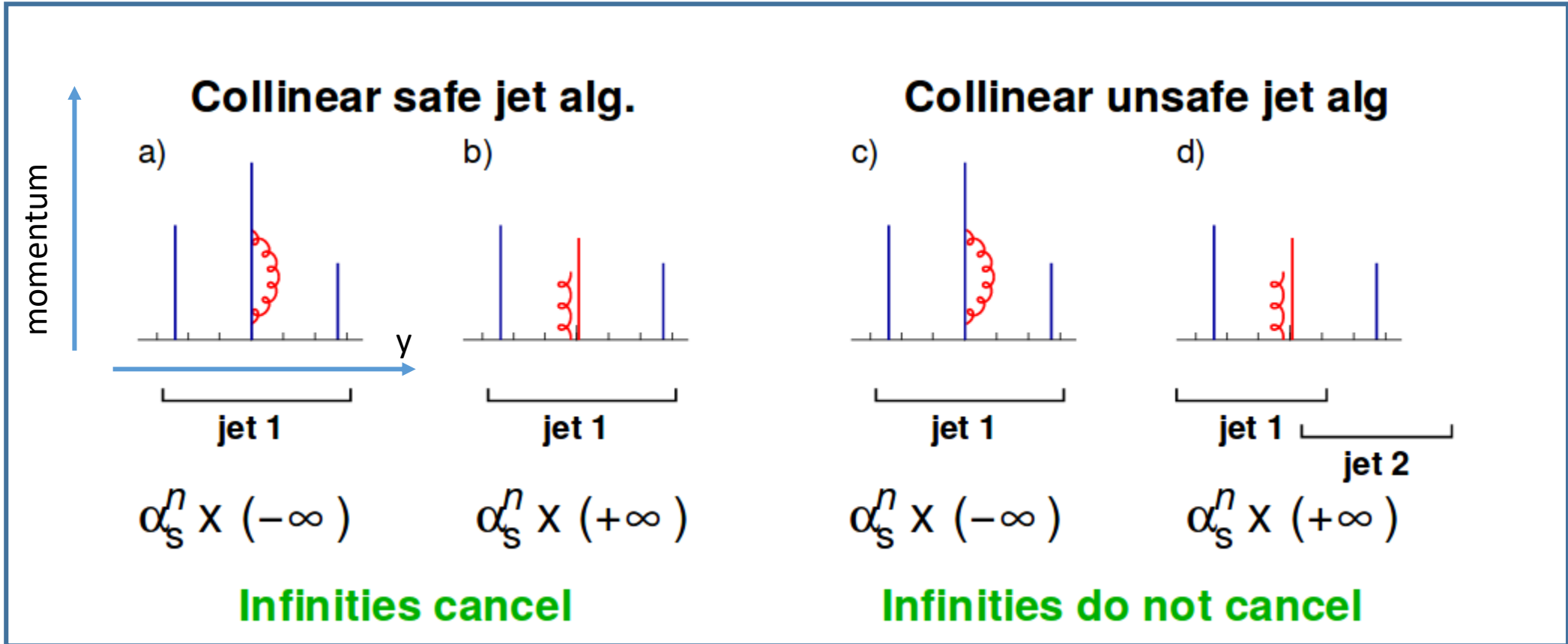
$$C_F = 4/3 \text{ v. } C_A = 3$$

Gluons have two color charges  
→ probability to emit gluon is higher.  
Associated color factor  $C_A$  is larger

**Infrared ( $1/E$ ) and collinear ( $1/\theta$ ) divergent.**

However higher order loop corrections cancel the tree level divergencies.

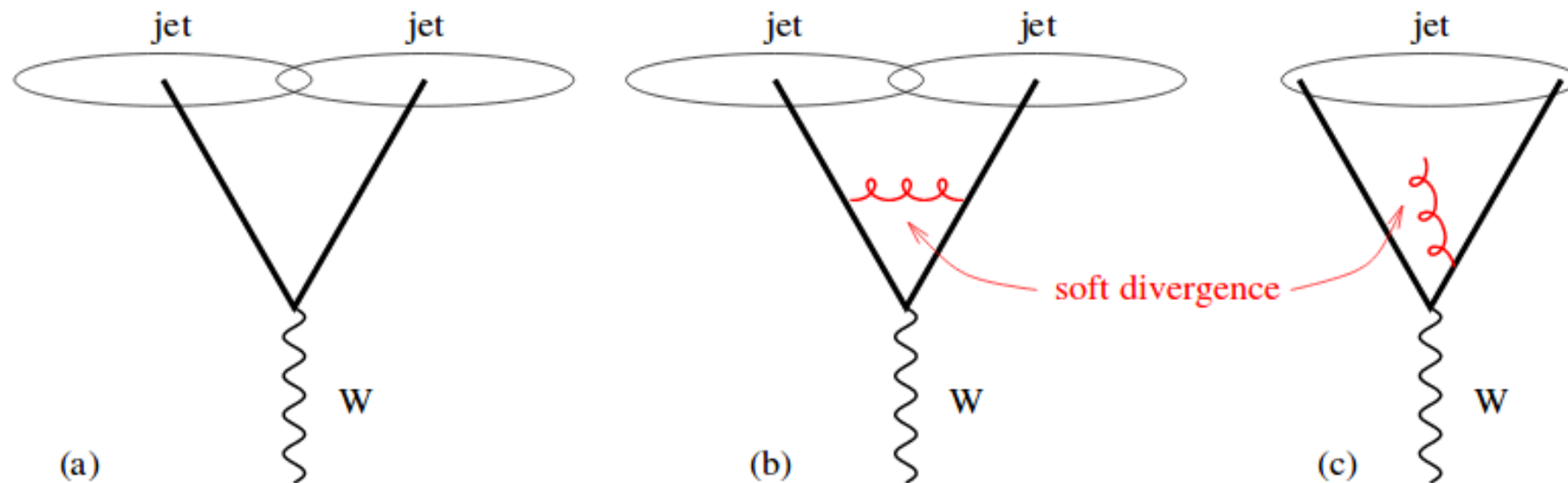




Need to make sure that the divergencies cancel for each class of number of events individually.

Otherwise comparison of experiment and theory not well defined.

Another example of infrared-unsafe algorithm:



Additional gluon could let the two event look like a one jet event.

# Sequential recombination algorithm

Define “distance measure” between particles and do a successive recombination of closest pairs until resulting pseudo particle are too “far way”. Remaining pseudo particles are jets. **Every particle belongs to exactly one jet.**

## Durham Jet-Algorithm

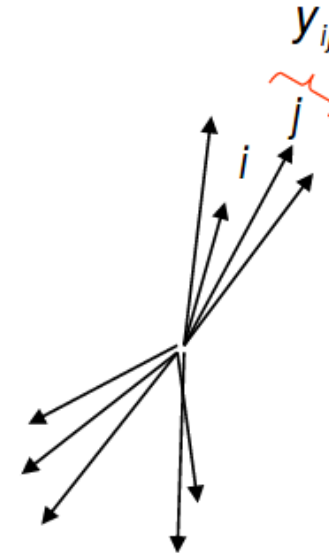
- Most frequent algorithm in  $e^+e^-$  exp.
- Particles (group of particles)  $i$  and  $j$  are not resolved and grouped to a single pseudo particle  $k$  if the **resolution parameter**  $y_{ij}$

$$y_{ij} = \frac{2 \cdot \min(E_i^2, E_j^2) \cdot (1 - \cos\theta_{ij})}{s}$$

is smaller than the resolution  $y_{cut}$ . The new pseudo particle  $k$  is obtained by:

$$E_k = E_i + E_j \quad \vec{p}_k = \vec{p}_i + \vec{p}_j$$

Recursive iteration until all  $y_{ij} \geq y_{cut}$ .  
Remaining pseudo-particle are the **final jets**.

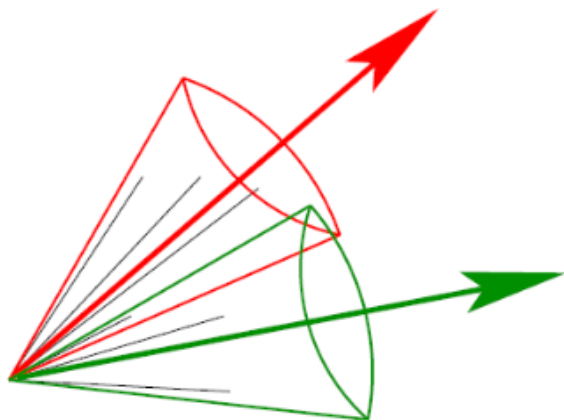


Beside the Durham algorithm there are other algorithms with slightly different definition of  $y_{ij}$  and “joining scheme”: JADE, Aachen, Cambridge

# Cone Algorithm

In cone algorithms jets are defined as the dominant direction of energy flow. Introduce concept of **stable cone** as a circle of fixed radius  $R$  in the plane such that the sum of all the momenta of the particles within the cone points in the direction of the cone-center. Cone algorithms attempt to identify all the stable cones.

Most implementations use a seeded approach to do so: **starting from one seed for the centre of the cone**, one iterates until the cone is found stable.

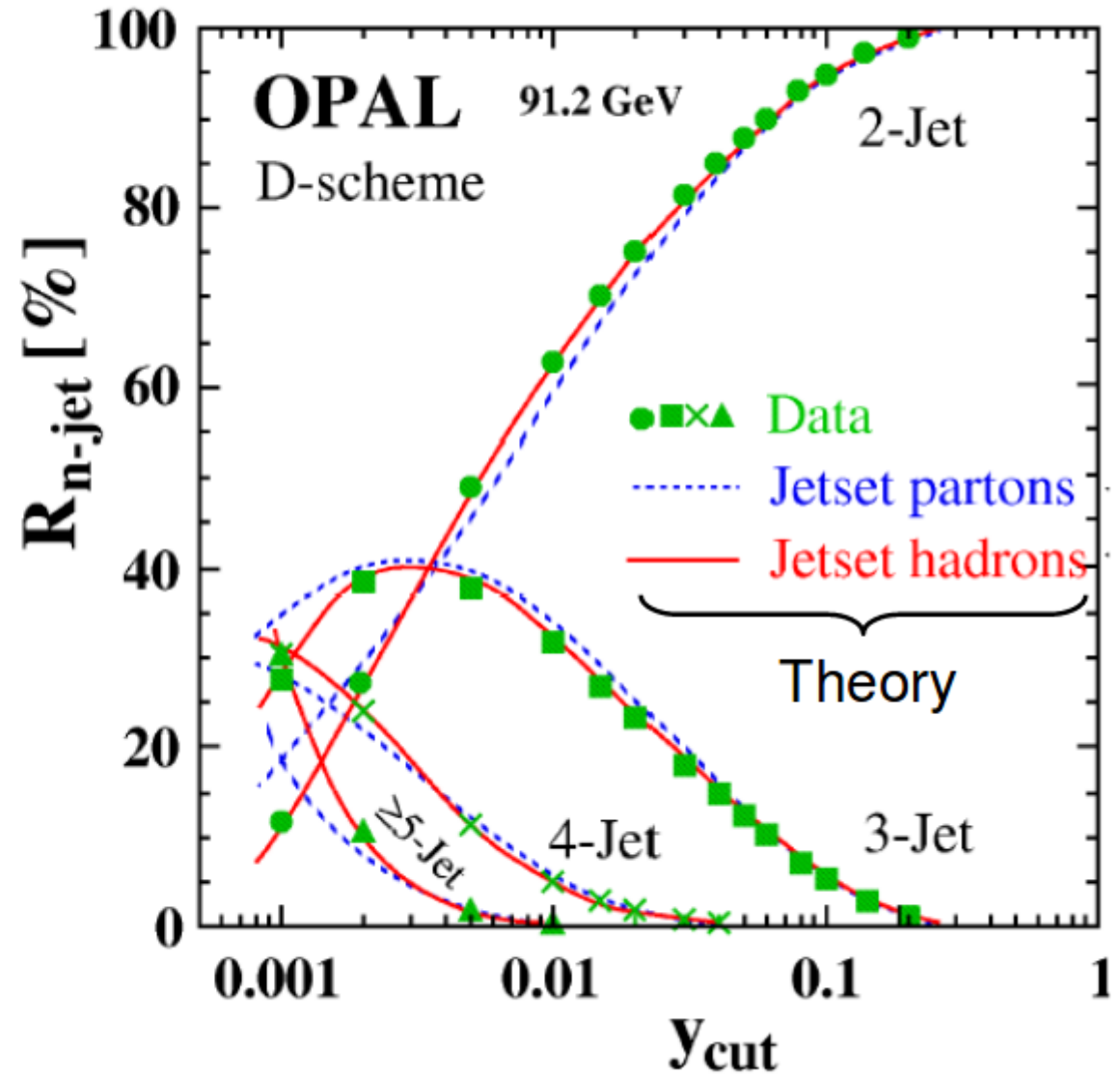
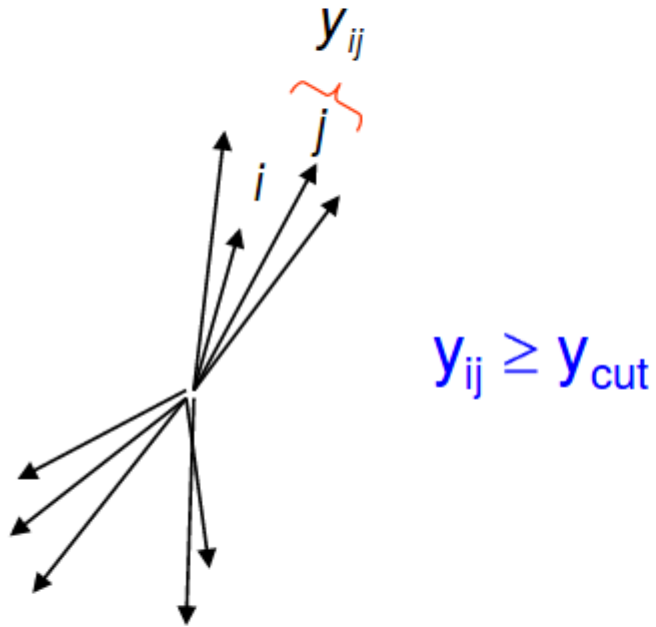


How to find the **stable cones**?

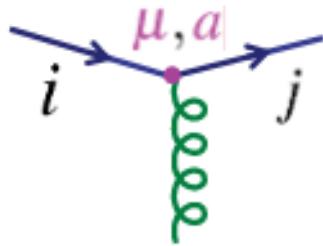
How to avoid that particles belong to two or no cone?

Different implementations of cone algorithms are used at hadron colliders: They differ in the start-point definition, how they find stable cones and how they deal with overlapping jets (split/merge).

# Measurement of Multi-Jet events $\equiv$ Test of SU(3) of QCD



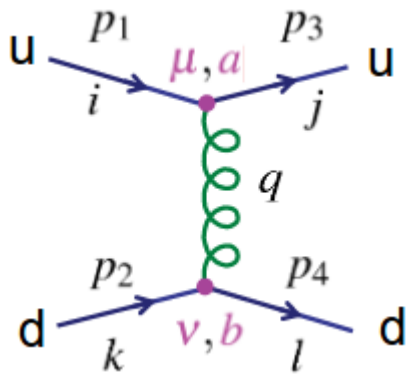
# Gauge Group Structure and Color Factor



vertex factor  $\sim$  
$$-ig_s \frac{1}{2} \lambda_{ji}^a \gamma^\mu$$

$\lambda^a$   $a=1, \dots, 8$  Gell-Mann matrices  
 ( $T^a = \frac{\lambda^a}{2}$  is one representation of generators of SU (3))

$i, j$  are colors of the quark



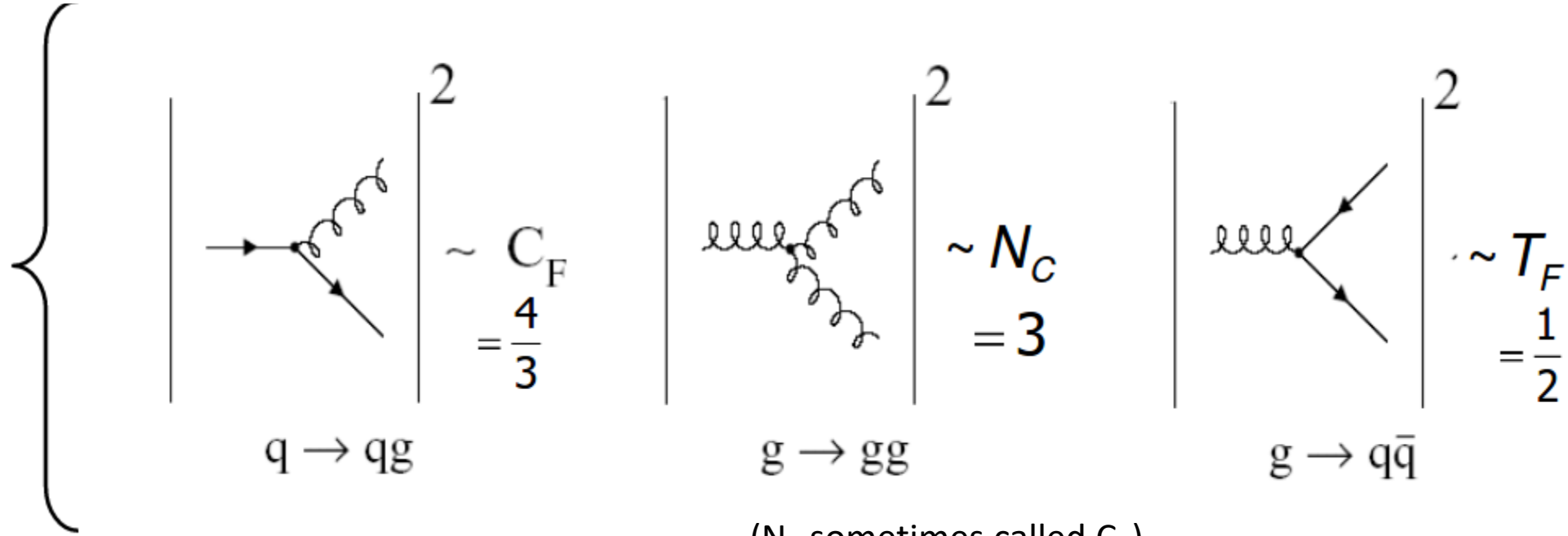
$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\bar{u}_u(p_3) \gamma^\mu u_u(p_1)] [\bar{u}_d(p_4) \gamma^\nu u_d(p_2)]$$

Summing over all possible gluons result in color factor:

$$C(ik \rightarrow jl) \equiv \frac{1}{4} \sum_{a=1}^8 \lambda_{ji}^a \lambda_{lk}^a$$

# Color Factors relevant for 4-Jet events

Different relative angular distribution



( $N_C$  sometimes called  $C_A$ )

$C_F$ ,  $C_A$  describe the effective color charge of quarks and gluons.

# Angular correlation of jets in 4-jet events

## 4-jet cross section:

$$\frac{1}{\sigma_0} d\sigma^4 = \left(\frac{\alpha_s C_F}{\pi}\right)^2 \left[ F_A + \left(1 - \frac{1}{2} \frac{N_C}{C_F}\right) F_B + \frac{N_C}{C_F} F_C \right] + \left(\frac{\alpha_s C_F}{\pi}\right)^2 \left[ \frac{T_F}{C_F} N_f F_D + \left(1 - \frac{1}{2} \frac{N_C}{C_F}\right) F_E \right]$$

$F_{A,B,C,D,E}$  are kinematic functions

Exploiting the angular distribution of 4-jets:

- Bengston-Zerwas angle

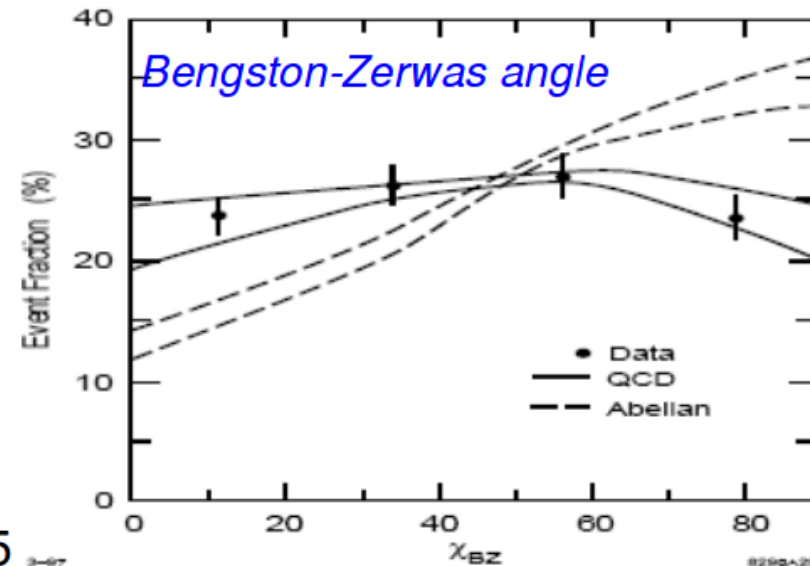
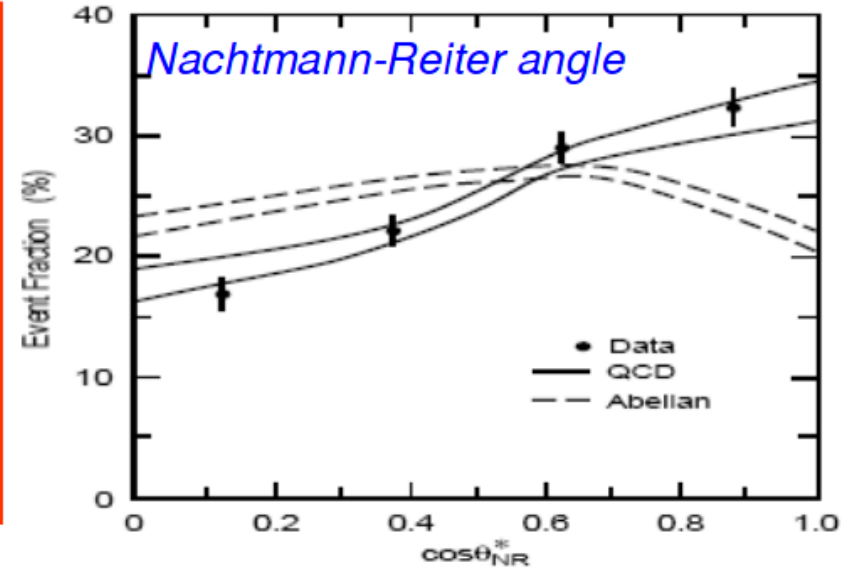
$$\cos \chi_{BZ} \propto (\vec{p}_1 \times \vec{p}_2) \cdot (\vec{p}_3 \times \vec{p}_4)$$

- Nachtmann-Reiter angle

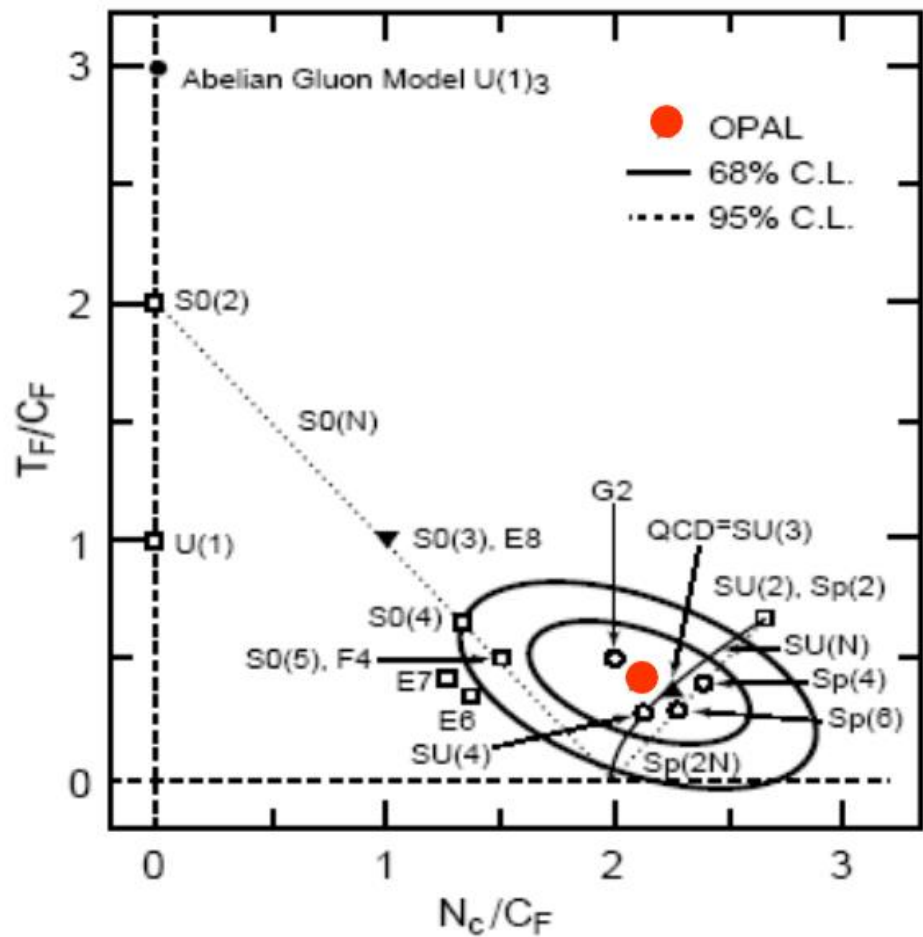
$$\cos \theta_{NR} \propto (\vec{p}_1 - \vec{p}_2) \cdot (\vec{p}_3 - \vec{p}_4)$$

Allows to measure the ratios  $T_F/C_F$  and  $N_C/C_F$

SU(3) predicts:  $T_F/C_F = 0.375$  and  $N_C/C_F = 2.25$





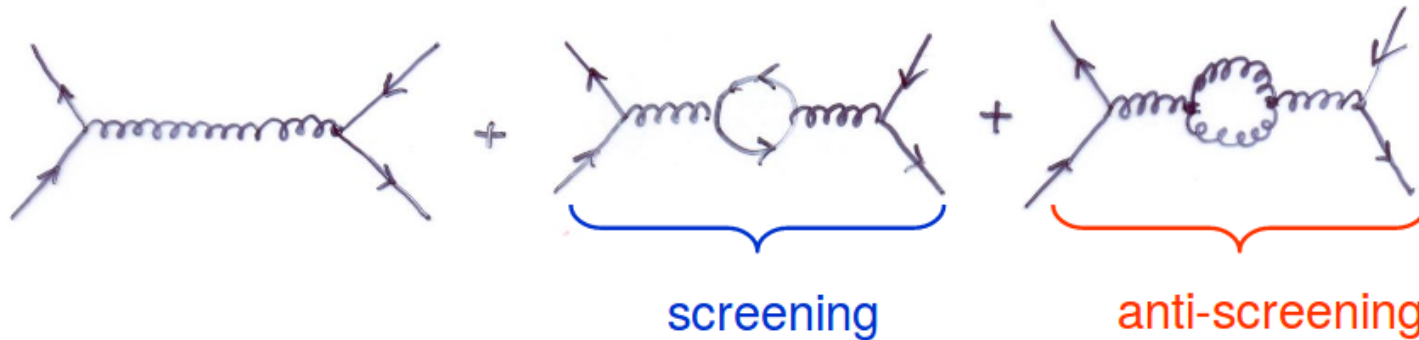


Confirms QCD prediction (SU(3)) and gluon self-coupling:

$$T_F/C_F = 0.375 \text{ and } N_C/C_F = 2.25$$

## 2. Running of the strong coupling constant

Propagator corrections:



Strong coupling  $\alpha_s(Q^2)$

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \alpha_s(\mu^2) \frac{1}{12\pi} (33 - 2n_f) \log \frac{Q^2}{\mu^2}}$$

$n_f$  = active quark flavors

$\mu^2$  = renormalization scale

conventionally  $\mu^2 = M_Z^2$

$$\beta_0 = \frac{1}{12\pi} (33 - 2n_f)$$

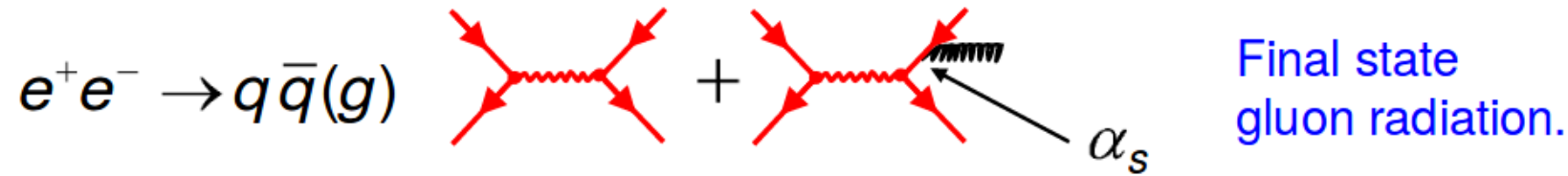
$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2 / \Lambda_{QCD}^2)}$$

← scale at which perturbative description of QCD breaks

# Measurement of $Q^2$ dependence of $\alpha_s$

➔  $\alpha_s$  measurements are done at given scale  $Q^2$ :  $\alpha_s(Q^2)$

a)  $\alpha_s$  from total hadronic cross section



$$\sigma_{had}(s) = \sigma_{had}^{QED}(s) \left[ 1 + \frac{\alpha_s(s)}{\pi} + 1.411 \cdot \frac{\alpha_s(s)^2}{\pi^2} + \dots \right]$$

$$R_{had} = \frac{\sigma(ee \rightarrow hadrons)}{\sigma(ee \rightarrow \mu\mu)} = 3 \sum Q_q^2 \left\{ 1 + \frac{\alpha_s}{\pi} + 1.411 \frac{\alpha_s^2}{\pi^2} + \dots \right\}$$

➔  $\alpha_s(s)$

b)  $\alpha_s$  from hadronic event shape variables

3-jet rate:  $R_3 \equiv \frac{\sigma_{3-jet}}{\sigma_{had}}$  depends on  $\alpha_s$

3-jet rate is measured as function of a jet resolution parameter  $y_{cut}$

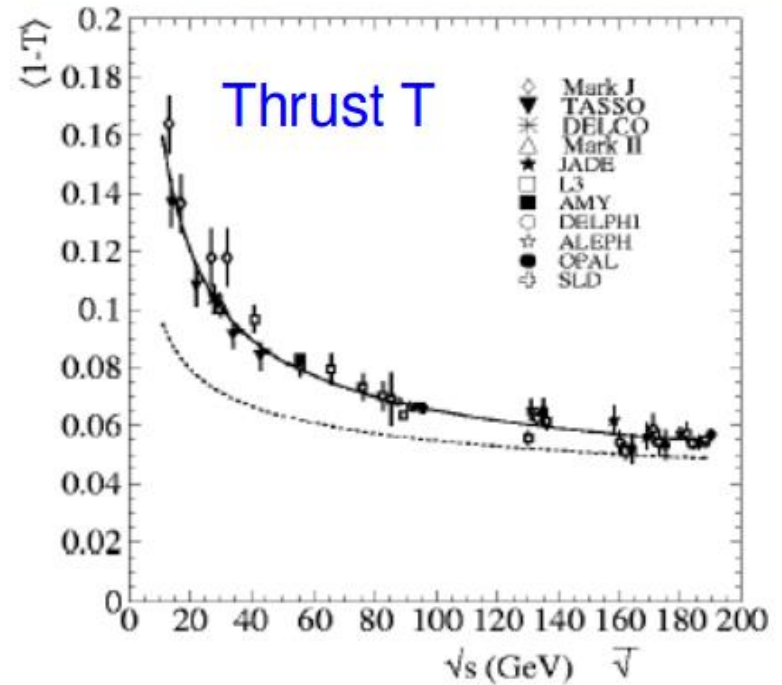
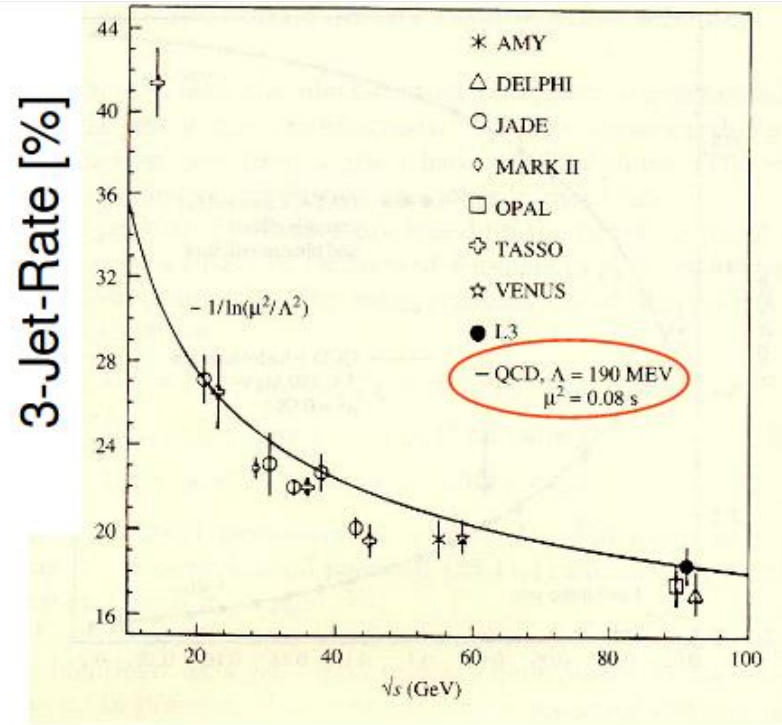
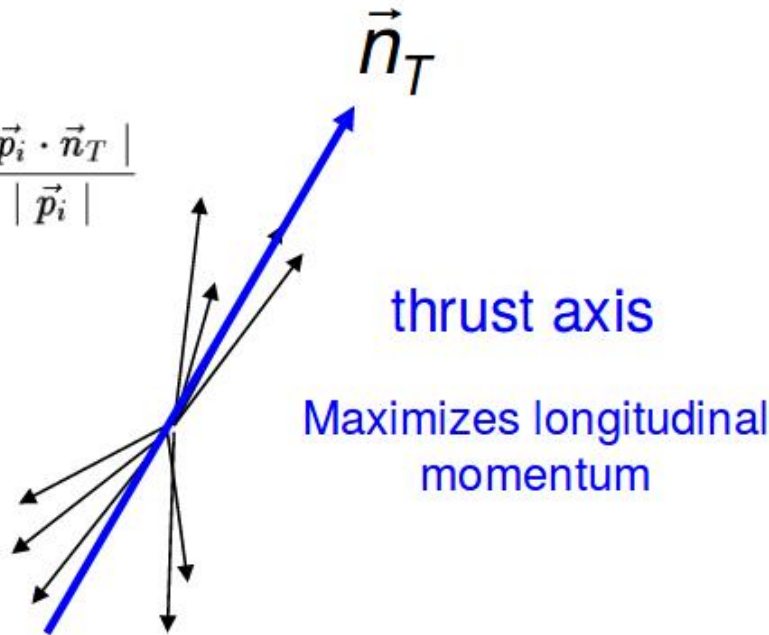
QCD calculation provides a theoretical prediction for  $R_3^{\text{theo}}(\alpha_s, y_{\text{cut}})$

→ fit  $R_3^{\text{theo}}(\alpha_s, y_{\text{cut}})$  to the data to determine  $\alpha_s$

Similarly other event shape variables (sphericity, thrust,...) can be used to obtain a prediction for  $\alpha_s$

→  $\alpha_s(s)$

$$T = \max \frac{\sum_i |\vec{p}_i \cdot \vec{n}_T|}{\sum_i |\vec{p}_i|}$$



c)  $\alpha_s$  from hadronic  $\tau$  decays

$$R_{had}^\tau = \frac{\Gamma(\tau \rightarrow \nu_\tau + \text{Hadrons})}{\Gamma(\tau \rightarrow \nu_\tau + e\bar{\nu}_e)} \sim f(\alpha_s)$$

$$R_{had}^\tau = \frac{\left| \tau^- \rightarrow \nu_\tau + q + \bar{q} \right|_{W^-}^2 + \left| \tau^- \rightarrow \nu_\tau + q + \bar{q} \right|_{W^-}^2}{\left| \tau^- \rightarrow \nu_\tau + e^- \right|_{W^-}^2}$$

$$R_{had}^\tau = R_{had}^{\tau,0} \left( 1 + \frac{\alpha_s(m_\tau^2)}{\pi} + \dots \right)$$

d)  $\alpha_s$  from DIS (deep inelastic scattering)

# Running of $\alpha_s$ and asymptotic freedom

$$\alpha_s(Q^2) = \frac{1}{\beta_0 \log(Q^2/\Lambda_{QCD}^2)}$$

